Network Working Group Internet-Draft

Intended status: Standards Track

Expires: June 3, 2015

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# Definition of Managed Objects for Battery Monitoring draft-ietf-eman-battery-mib-13

#### Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it defines managed objects that provide information on the status of batteries in managed devices.

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#### 1. Introduction

Today, more and more managed devices contain batteries that supply them with power when disconnected from electrical power distribution grids. Common examples are nomadic and mobile devices, such as notebook computers, netbooks, and smart phones. The status of batteries in such a device, particularly the charging status is typically controlled by automatic functions that act locally on the device and manually by users of the device.

In addition to this, there is a need to monitor battery status of these devices by network management systems. This document defines a portion of the Management Information Base (MIB) that provides a means for monitoring batteries in or attached to managed devices. The Battery MIB module defined in <u>Section 4</u> meets the requirements for monitoring the status of batteries specified in <u>RFC 6988</u> [RFC6988].

The Battery MIB module provides for monitoring the battery status. According to the framework for energy management [RFC7326] it is an Energy Managed Object, and thus, MIB modules such as the Power and Energy Monitoring MIB [I-D.ietf-eman-energy-monitoring-mib] could in principle be implemented for batteries. The Battery MIB extends the more generic aspects of energy management by adding battery-specific information. Amongst other things, the Battery MIB enables the monitoring of:

- o the current charge of a battery,
- o the age of a battery (charging cycles),
- o the state of a battery (e.g. being re-charged),
- o last usage of a battery,
- o maximum energy provided by a battery (remaining and total capacity).

Further, means are provided for battery-powered devices to send notifications when the current battery charge has dropped below a certain threshold to inform the management system of needed replacement. The same applies to the age of a battery.

Many battery-driven devices have existing instrumentation for monitoring the battery status because this is already needed for local control of the battery by the device. This reduces the effort for implementing the managed objects defined in this document. For many devices only additional software will be needed but no additional hardware instrumentation for battery monitoring.

Since there are a lot of devices in use that contain more than one battery, means for battery monitoring defined in this document

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support addressing multiple batteries within a single device. Also, batteries today often come in packages that can include identification and might contain additional hardware and firmware. The former allows tracing a battery and allows continuous monitoring even if the battery is installed in another device. The firmware version is useful information as the battery behavior might be different for different firmware versions.

Not explicitly in scope of definitions in this document are very small backup batteries, such as for example, batteries used on PC motherboard to run the clock circuit and retain configuration memory while the system is turned off. Other means may be required for reporting on these batteries. However, the MIB module defined in Section 3.1 can be used for this purpose.

A traditional type of managed device containing batteries is an Uninterruptible Power Supply (UPS) system; these supply other devices with electrical energy when the main power supply fails. There is already a MIB module for managing UPS systems defined in <a href="RFC 1628">RFC 1628</a> [RFC1628]. The UPS MIB module includes managed objects for monitoring the batteries contained in an UPS system. However, the information provided by the UPS MIB objects is limited and tailored the particular needs of UPS systems.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <a href="https://recommendedcolor: RFC">RFC</a>
2119 [RFC2119].

# 2. The Internet-Standard Management Framework

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to <a href="mailto:section 7">section 7</a> of <a href="mailto:RFC3410">RFC3410</a>].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies MIB modules that are compliant to the SMIv2, which is described in STD 58, RFC 2578 [RFC2578], STD 58, RFC 2579 [RFC2579] and STD 58, RFC 2580 [RFC2580].

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## 3. Design of the Battery MIB Module

#### 3.1. MIB Module Structure

The Battery MIB module defined in this document defines objects for reporting information about batteries. All managed objects providing information of the status of a battery are contained in a single table called batteryTable. The batteryTable contains one conceptual row per battery.

Batteries are indexed by the entPhysicalIndex of the entPhysicalTable defined in the ENTITY-MIB module [RFC6933]. An implementation of the ENTITY-MIB module complying with the entity4CRCompliance MODULE-COMPLIANCE statement is required for compliant implementations of the BATTERY-MIB module.

If a battery is replaced, and the replacing battery uses the same physical connector as the replaced battery, then the replacing battery MUST be indexed with the same value of object entPhysicalIndex as the replaced battery.

The kind of entity in the entPhysicalTable of the Entity MIB module is indicated by the value of enumeration object entPhysicalClass. All batteries SHOULD have the value of object entPhysicalClass set to battery(14) in their row of the entPhysicalTable.

The batteryTable contains three groups of objects. The first group (OIDs ending with 1-9) provides information on static properties of the battery. The second group of objects (OIDs ending with 10-18) provides information on the current battery state, if it is charging or discharging, how much it is charged, its remaining capacity, the number of experienced charging cycles, etc.

# batteryTable(1)

```
+--batteryEntry(1) [entPhysicalIndex]
   +-- r-n SnmpAdminString batteryIdentifier(1)
   +-- r-n SnmpAdminString batteryFirmwareVersion(2)
   +-- r-n Enumeration
                           batteryType(3)
   +-- r-n Unsigned32
                           batteryTechnology(4)
   +-- r-n Unsigned32
                           batteryDesignVoltage(5)
   +-- r-n Unsigned32
                           batteryNumberOfCells(6)
   +-- r-n Unsigned32
                           batteryDesignCapacity(7)
                           batteryMaxChargingCurrent(8)
   +-- r-n Unsigned32
   +-- r-n Unsigned32
                           batteryTrickleChargingCurrent(9)
   +-- r-n Unsigned32
                           batteryActualCapacity(10)
   +-- r-n Unsigned32
                           batteryChargingCycleCount(11)
   +-- r-n DateAndTime
                           batteryLastChargingCycleTime(12)
   +-- r-n Enumeration
                           batteryChargingOperState(13)
   +-- rwn Enumeration
                           batteryChargingAdminState(14)
   +-- r-n Unsigned32
                           batteryActualCharge(15)
   +-- r-n Unsigned32
                           batteryActualVoltage(16)
   +-- r-n Integer32
                           batteryActualCurrent(17)
   +-- r-n Integer32
                           batteryTemperature(18)
   +-- rwn Unsigned32
                           batteryAlarmLowCharge(19)
                           batteryAlarmLowVoltage(20)
   +-- rwn Unsigned32
   +-- rwn Unsigned32
                           batteryAlarmLowCapacity(21)
   +-- rwn Unsigned32
                           batteryAlarmHighCycleCount(22)
                           batteryAlarmHighTemperature(23)
   +-- rwn Integer32
   +-- rwn Integer32
                           batteryAlarmLowTemperature(24)
```

The third group of objects in this table (OIDs ending with 19-25) is used for notifications. Threshold objects (OIDs ending with 19-24) indicate thresholds which can be used to raise an alarm if a property of the battery exceeds one of them. Raising an alarm may include sending a notification.

The Battery MIB defines seven notifications for indicating

+-- r-n SnmpAdminString batteryCellIdentifier(25)

- 1. a battery charging state change that was not triggered by writing to object batteryChargingAdminState,
- 2. a low battery charging state,
- a critical battery state in which it cannot be used for power supply,
- 4. an aged battery that may need to be replaced,
- 5. a battery exceed a temperature threshold,
- 6. a battery that has been connected,
- 7. disconnection of one or more batteries.

Notifications 2.-5. can use object batteryCellIdentifier to indicate a specific cell or a set of cells within the battery that have

triggered the notification.

# **3.2**. Battery Technologies

Static information in the batteryTable includes battery type and technology. The battery type distinguishes primary (not rechargeable) batteries from rechargeable (secondary) batteries and capacitors. The battery technology describes the actual technology of a battery, which typically is a chemical technology.

Since battery technologies are subject of intensive research and widely used technologies are often replaced by successor technologies within an few years, the list of battery technologies was not chosen as a fixed list. Instead, IANA has created a registry for battery technologies at <a href="http://www.iana.org/assignments/eman">http://www.iana.org/assignments/eman</a> where numbers are assigned to battery technologies (TBD).

The table below shows battery technologies known today that are in commercial use with the numbers assigned to them by IANA. New entries can be added to the IANA registry if new technologies are developed or if missing technologies are identified. Note that there exists a huge number of battery types that are not listed in the IANA registry. Many of them are experimental or cannot be used in an economically useful way. New entries should be added to the IANA registry only if the respective technologies are in commercial use and relevant to standardized battery monitoring over the Internet.

+	++
battery technology	assigned
	number
+	++
Unknown	1
Other	2
Zinc-carbon	3
Zinc chloride	4
Nickel oxyhydroxide	5
Lithium-copper oxide	6
Lithium-iron disulfide	7
Lithium-manganese dioxide	8
Zinc-air	9
Silver oxide	10
Alkaline	11
Lead acid	12
Valve-Regulated Lead Acid, Gel	13
Valve-Regulated Lead Acid, AGM	14
Nickel-cadmium	15
Nickel-metal hydride	16
Nickel-zinc	17
Lithium-ion	18
Lithium polymer	19
Double layer capacitor	20
+	++

# **3.2.1**. Guidelines for Adding Battery Technologies

New entries can be added to the IANA registry if new technologies are developed or if missing technologies are identified. Note that there exists a huge number of battery types that are not listed in the IANA registry. Many of them are experimental or cannot be used in an economically useful way. New entries should be added to the IANA registry only if the respective technologies are in commercial use and relevant to standardized battery monitoring over the Internet.

## **3.3**. Battery Identification

There are two identifiers to be used: The entPhysicalUUID defined in the ENTITY-MIB [RFC6933] module and the batteryIdentifier defined in this module. A battery is linked to an entPhysicalUUID through the shared entPhysicalIndex.

The batteryIdentifier uniquely identifies the battery itself while the entPhysicalUUID identifies the slot of the device in which the battery is (currently) contained. For a non-replaceable battery both identifiers are always linked to the same physical battery. But for batteries that can be replaced, the identifiers have different

functions.

The entPhysicalUUID is always the same for a certain battery slot of a containing device even if the contained battery is replaced by another one. The batteryIdentifier is a representation of the battery identifier set by the battery manufacturer. It is tied to the battery and usually cannot be changed.

Many manufacturers deliver not just plain batteries but battery packages including additional hardware and firmware. Typically, these modules include an battery identifier that can by retrieved by a device in which a battery has been installed. The value of the object batteryIdentifier is an exact representation of this identifier. The batteryIdentifier is useful when batteries are removed and re-installed in the same device or in other devices. Then the device or the network management system can trace batteries and achieve continuity of battery monitoring.

## 3.4. Charging Cycles

The lifetime of a battery can be approximated using the measure of charging cycles. A commonly used definition of a charging cycle is the amount of discharge equal to the design (or nominal) capacity of the battery [SBS]. This means that a single charging cycle may include several steps of partial charging and discharging until the amount of discharging has reached the design capacity of the battery. After that the next charging cycle immediately starts.

## 4. Definitions

batteryMIB MODULE-IDENTITY

LAST-UPDATED "201411301200Z"

BATTERY-MIB DEFINITIONS ::= BEGIN **IMPORTS** MODULE-IDENTITY, OBJECT-TYPE, NOTIFICATION-TYPE, mib-2, Integer32, Unsigned32 FROM SNMPv2-SMI -- RFC2578 SnmpAdminString FROM SNMP-FRAMEWORK-MIB -- RFC3411 DateAndTime FROM SNMPv2-TC -- RFC2579 MODULE-COMPLIANCE, OBJECT-GROUP, NOTIFICATION-GROUP FROM SNMPv2-CONF -- RFC2580 entPhysicalIndex FROM ENTITY-MIB; -- <u>RFC6933</u>

-- 30 November 2014

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```
ORGANIZATION "IETF EMAN Working Group"
    CONTACT-INFO
        "General Discussion: eman@ietf.org
        To Subscribe: http://www.ietf.org/mailman/listinfo/eman
       Archive: http://www.ietf.org/mail-archive/web/eman
       Editor:
          Juergen Quittek
         NEC Europe Ltd.
         NEC Laboratories Europe
         Kurfuersten-Anlage 36
         69115 Heidelberg
         Germany
         Tel: +49 6221 4342-115
         Email: guittek@neclab.eu"
   DESCRIPTION
        "This MIB module defines a set of objects for monitoring
        batteries of networked devices and of their components.
        Copyright (c) 2014 IETF Trust and the persons identified as
        authors of the code. All rights reserved.
        Redistribution and use in source and binary forms, with or
       without modification, is permitted pursuant to, and subject
        to the license terms contained in, the Simplified BSD
        License set forth in Section 4.c of the IETF Trust's Legal
        Provisions Relating to IETF Documents
        (http://trustee.ietf.org/license-info).
        This version of this MIB module is part of RFC yyyy; see
        the RFC itself for full legal notices."
-- replace yyyy with actual RFC number & remove this notice
-- Revision history
   REVISION "201411301200Z" -- 30 November 2014
   DESCRIPTION
        "Initial version, published as RFC yyyy."
-- replace yyyy with actual RFC number & remove this notice
    ::= { mib-2 zzz }
```

-- zzz to be assigned by IANA.

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```
batteryNotifications OBJECT IDENTIFIER ::= { batteryMIB 0 }
batteryObjects
                 OBJECT IDENTIFIER ::= { batteryMIB 1 }
batteryConformance OBJECT IDENTIFIER ::= { batteryMIB 2 }
------
-- 1. Object Definitions
------
-- 1.1. Battery Table
______
batteryTable OBJECT-TYPE
   SYNTAX SEQUENCE OF BatteryEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
      "This table provides information on batteries. It contains
      one conceptual row per battery in a managed entity.
      Batteries are indexed by the entPhysicalIndex of the
      entPhysicalTable defined in the ENTITY-MIB (RFC6933).
      For implementations of the BATTERY-MIB an implementation of
      the ENTITY-MIB complying with the entity4CRCompliance
      MODULE-COMPLIANCE statement of the ENTITY-MIB is required.
      If batteries are replaced, and the replacing battery uses
      the same physical connector as the replaced battery, then
      the replacing battery SHOULD be indexed with the same value
      of object entPhysicalIndex as the replaced battery."
   ::= { batteryObjects 1 }
batteryEntry OBJECT-TYPE
   SYNTAX BatteryEntry
   MAX-ACCESS not-accessible
   STATUS
          current
   DESCRIPTION
      "An entry providing information on a battery."
   INDEX { entPhysicalIndex }
   ::= { batteryTable 1 }
BatteryEntry ::=
   SEQUENCE {
     batteryIdentifier
                                SnmpAdminString,
     batteryFirmwareVersion
                                SnmpAdminString,
     batteryType
                                INTEGER,
     batteryTechnology
                                Unsigned32,
     batteryDesignVoltage
                                Unsigned32,
```

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```
Unsigned32,
batteryNumberOfCells
batteryDesignCapacity
                                 Unsigned32,
batteryMaxChargingCurrent
                                 Unsigned32,
batteryTrickleChargingCurrent
                                 Unsigned32,
batteryActualCapacity
                                 Unsigned32,
batteryChargingCycleCount
                                 Unsigned32,
batteryLastChargingCycleTime
                                 DateAndTime,
batteryChargingOperState
                                 INTEGER,
batteryChargingAdminState
                                 INTEGER,
batteryActualCharge
                                 Unsigned32,
batteryActualVoltage
                                 Unsigned32,
batteryActualCurrent
                                 Integer32,
batteryTemperature
                                 Integer32,
batteryAlarmLowCharge
                                 Unsigned32,
batteryAlarmLowVoltage
                                 Unsigned32,
batteryAlarmLowCapacity
                                 Unsigned32,
batteryAlarmHighCycleCount
                                 Unsigned32,
batteryAlarmHighTemperature
                                 Integer32,
batteryAlarmLowTemperature
                                 Integer32,
batteryCellIdentifier
                                 SnmpAdminString
```

batteryIdentifier OBJECT-TYPE
SYNTAX SnmpAdminString
MAX-ACCESS read-only
STATUS current
DESCRIPTION

}

"This object contains an identifier for the battery.

Many manufacturers deliver not only simple batteries but battery packages including additional hardware and firmware. Typically, these modules include an identifier that can be retrieved by a device in which a battery has been installed. The identifier is useful when batteries are removed and re-installed in the same or other devices. Then the device or the network management system can trace batteries and achieve continuity of battery monitoring.

If the battery is identified by more than one value, for example, by a model number and a serial number, then the value of this object is a concatenation of these values, separated by the colon symbol ':'. The values should be ordered that a more significant value comes before a less significant one. In the example above, the (more significant) model number would be first, the serial number would follow: '<model number>:<serial number>'.

If the battery identifier cannot be represented using the

ISO/IEC IS 10646-1 character set, then a hexadecimal encoding of a binary representation of the entire battery identifier must be used.

The value of this object must be an empty string if there is no battery identifier or if the battery identifier is unknown."

```
::= { batteryEntry 1 }
```

batteryFirmwareVersion OBJECT-TYPE

SYNTAX SnmpAdminString

MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This object indicates the version number of the firmware that is included in a battery module.

Many manufacturers deliver not pure batteries but battery packages including additional hardware and firmware.

Since the behavior of the battery may change with the firmware, it may be useful to retrieve the firmware version number.

The value of this object must be an empty string if there is no firmware or if the version number of the firmware is unknown."

```
::= { batteryEntry 2 }
```

"This object indicates the type of battery.

It distinguishes between primary (not rechargeable)
batteries, rechargeable (secondary) batteries, and
capacitors. Capacitors are not really batteries but
often used in the same way as a battery.

The value other(2) can be used if the battery type is known but none of the ones above. Value unknown(1) is to be used

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```
if the type of battery cannot be determined."
    ::= { batteryEntry 3 }
batteryTechnology OBJECT-TYPE
    SYNTAX
                Unsigned32
    MAX-ACCESS read-only
                current
    STATUS
    DESCRIPTION
        "This object indicates the technology used by the battery.
        Numbers identifying battery types are registered at IANA.
        A current list of assignments can be found at
        <a href="http://www.iana.org/assignments/eman">http://www.iana.org/assignments/eman</a>.
        Value 1 (unknown) MUST be used if the type of battery
        cannot be determined.
        Value 2 (other) can be used if the battery type is known
        but not one of the types already registered at IANA."
    ::= { batteryEntry 4 }
batteryDesignVoltage OBJECT-TYPE
    SYNTAX
                Unsigned32
                "millivolt"
    UNITS
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "This object provides the design (or nominal) voltage of the
        battery in units of millivolt (mV).
        Note that the design voltage is a constant value and
        typically different from the actual voltage of the battery.
        A value of 0 indicates that the design voltage is unknown."
    ::= { batteryEntry 5 }
batteryNumberOfCells OBJECT-TYPE
    SYNTAX
                Unsigned32
    MAX-ACCESS read-only
    STATUS
                current
    DESCRIPTION
        "This object indicates the number of cells contained in the
        battery.
        A value of 0 indicates that the number of cells is unknown."
    ::= { batteryEntry 6 }
batteryDesignCapacity OBJECT-TYPE
    SYNTAX
                Unsigned32
```

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UNITS "milliampere hours"

MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This object provides the design (or nominal) capacity of the battery in units of milliampere hours (mAh).

Note that the design capacity is a constant value and typically different from the actual capacity of the battery. Usually, this is a value provided by the manufacturer of the battery.

A value of 0 indicates that the design capacity is  $\operatorname{unknown."}$ 

```
::= { batteryEntry 7 }
```

## batteryMaxChargingCurrent OBJECT-TYPE

SYNTAX Unsigned32 UNITS "milliampere" MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This object provides the maximal current to be used for charging the battery in units of milliampere (mA).

Note that the maximal charging current may not lead to optimal charge of the battery and that some batteries can only be charged with the maximal current for a limited amount of time.

A value of 0 indicates that the maximal charging current is  $\frac{1}{2}$  unknown."

```
::= { batteryEntry 8 }
```

### batteryTrickleChargingCurrent OBJECT-TYPE

SYNTAX Unsigned32 UNITS "milliampere" MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This object provides the recommended current to be used for trickle charging the battery in units of milliampere (mA).

Typically, this is a value recommended by the manufacturer of the battery or by the manufacturer of the charging circuit.

A value of 0 indicates that the recommended trickle charging

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```
current is unknown."
::= { batteryEntry 9 }
```

batteryActualCapacity OBJECT-TYPE

SYNTAX Unsigned32

UNITS "milliampere hours"

MAX-ACCESS read-only STATUS current

DESCRIPTION

"This object provides the actual capacity of the battery in units of milliampere hours (mAh).

Typically, the actual capacity of a battery decreases with time and with usage of the battery. It is usually lower than the design capacity

Note that the actual capacity needs to be measured and is typically an estimate based on observed discharging and charging cycles of the battery.

A value of 'ffffffff'H indicates that the actual capacity cannot be determined."

```
::= { batteryEntry 10 }
```

batteryChargingCycleCount OBJECT-TYPE

SYNTAX Unsigned32 MAX-ACCESS read-only STATUS current

DESCRIPTION

"This object indicates the number of completed charging cycles that the battery underwent. In line with the Smart Battery Data Specification Revision 1.1, a charging cycle is defined as the process of discharging the battery by a total amount equal to the battery design capacity as given by object batteryDesignCapacity. A charging cycle may include several steps of charging and discharging the battery until the discharging amount given by batteryDesignCapacity has been reached. As soon as a charging cycle has been completed the next one starts immediately independent of the battery's current charge at the end of the cycle.

For batteries of type primary(3) the value of this object is always 0.

```
::= { batteryEntry 11 }
```

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```
batteryLastChargingCycleTime OBJECT-TYPE
   SYNTAX
                DateAndTime
   MAX-ACCESS read-only
   STATUS
                current
   DESCRIPTION
        "The date and time of the last charging cycle. The value
        '000000000000000'H is returned if the battery has not been
        charged yet or if the last charging time cannot be
        determined.
        For batteries of type primary(1) the value of this object is
        always '0000000000000000'H."
    ::= { batteryEntry 12 }
batteryChargingOperState OBJECT-TYPE
   SYNTAX
                INTEGER {
                    unknown(1),
                    charging(2),
                    fastCharging(3),
                    maintainingCharge(4),
                    noCharging(5),
                    discharging(6)
                }
   MAX-ACCESS read-only
   STATUS
                current
   DESCRIPTION
        "This object indicates the current charging state of the
        battery.
```

Value unknown(1) indicates that the charging state of the battery cannot be determined.

Value charging(2) indicates that the battery is being charged in a way that the charge of the battery increases.

Value fastCharging(3) indicated that the battery is being charged rapidly, i.e. faster than in the charging(2) state. If multiple fast charging states exist, all of these states are indicated by fastCharging(3).

Value maintainingCharge(4) indicates that the battery is being charged with a low current that compensates self-discharging. This includes trickle charging, float charging and other methods for maintaining the current charge of a battery.

Value noCharging(5) indicates that the battery is not being charged or discharged by electric current between the

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battery and electric circuits external to the battery. Note that the battery may still be subject to self-discharging.

Value discharging(6) indicates that the battery is being
 discharged and that the charge of the battery decreases."
::= { batteryEntry 13 }

"The value of this object indicates the desired charging state of the battery. The real state is indicated by object batteryChargingOperState. See the definition of object batteryChargingOperState for a description of the values.

When this object is initialized by an implementation of the BATTERY-MIB module, its value is set to notSet(7).

However, a SET request can only set this object to either charging(2), fastCharging(3), maintainingCharge(4), noCharging(5), or discharging(6). Attempts to set this object to notSet(7) will always fail with an 'inconsistentValue' error. In case multiple fast charging states exist, the battery logic can choose an appropriate fast charging state - preferably the fastest.

When the batteryChargingAdminState object is set, then the BATTERY-MIB implementation must try to set the battery to the indicated state. The result will be indicated by object batteryChargingOperState.

Due to operational conditions and limitations of the implementation of the BATTERY-MIB module, changing the battery status according to a set value of object batteryChargingAdminState may not be possible.

Setting the value of object batteryChargingAdminState

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may result in not changing the state of the battery to this value or even in setting the charging state to another value. For example, setting batteryChargingAdminState to value fastCharging(3) may have no effect when the battery logic is not allowing fast charging due to temperature constraints."

```
::= { batteryEntry 14 }
```

### batteryActualCharge OBJECT-TYPE

SYNTAX Unsigned32

UNITS "milliampere hours"

MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This object provides the actual charge of the battery in units of milliampere hours (mAh).

Note that the actual charge needs to be measured and is typically an estimate based on observed discharging and charging cycles of the battery.

A value of 'ffffffff'H indicates that the actual charge cannot be determined."

::= { batteryEntry 15 }

### batteryActualVoltage OBJECT-TYPE

SYNTAX Unsigned32 UNITS "millivolt" MAX-ACCESS read-only STATUS current

**DESCRIPTION** 

"This object provides the actual voltage of the battery in units of millivolt (mV).

A value of 'ffffffff'H indicates that the actual voltage cannot be determined."

::= { batteryEntry 16 }

## batteryActualCurrent OBJECT-TYPE

SYNTAX Integer32
UNITS "milliampere"
MAX-ACCESS read-only
STATUS current

**DESCRIPTION** 

"This object provides the actual charging or discharging current of the battery in units of milliampere (mA). Charging current is represented by positive values,

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discharging current is represented by negative values. A value of '7fffffff'H indicates that the actual current cannot be determined." ::= { batteryEntry 17 } batteryTemperature OBJECT-TYPE SYNTAX Integer32 UNITS "deci-degrees Celsius" MAX-ACCESS read-only STATUS current DESCRIPTION "The ambient temperature at or within close proximity of the battery. A value of '7fffffff'H indicates that the temperature cannot be determined." ::= { batteryEntry 18 } batteryAlarmLowCharge OBJECT-TYPE SYNTAX Unsigned32 "milliampere hours" UNITS MAX-ACCESS read-write STATUS current DESCRIPTION "This object provides the lower threshold value for object batteryActualCharge. If the value of object batteryActualCharge falls below this threshold, a low battery alarm will be raised. The alarm procedure may include generating a batteryLowNotification. This object should be set to a value such that when the batteryLowNotification is generated, the battery is still sufficiently charged to keep the device(s) that it powers operational for a time long enough to take actions before the powered device(s) enter a 'sleep' or 'off' state. A value of 0 indicates that no alarm will be raised for any value of object batteryActualCharge." ::= { batteryEntry 19 }

batteryAlarmLowVoltage OBJECT-TYPE

SYNTAX Unsigned32
UNITS "millivolt"
MAX-ACCESS read-write
STATUS current
DESCRIPTION

"This object provides the lower threshold value for object

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batteryActualVoltage. If the value of object batteryActualVoltage falls below this threshold, a low battery alarm will be raised. The alarm procedure may include generating a batteryLowNotification.

This object should be set to a value such that when the batteryLowNotification is generated, the battery is still sufficiently charged to keep the device(s) that it powers operational for a time long enough to take actions before the powered device(s) enter a 'sleep' or 'off' state.

A value of 0 indicates that no alarm will be raised for any value of object battery Actual Voltage."

::= { batteryEntry 20 }

#### batteryAlarmLowCapacity OBJECT-TYPE

SYNTAX Unsigned32

UNITS "milliampere hours"

MAX-ACCESS read-write STATUS current

**DESCRIPTION** 

"This object provides the lower threshold value for object batteryActualCapacity. If the value of object batteryActualCapacity falls below this threshold, a battery aging alarm will be raised. The alarm procedure may include generating a batteryAgingNotification.

A value of 0 indicates that no alarm will be raised for any value of object batteryActualCapacity."

::= { batteryEntry 21 }

#### batteryAlarmHighCycleCount OBJECT-TYPE

SYNTAX Unsigned32 MAX-ACCESS read-write STATUS current

DESCRIPTION

"This object provides the upper threshold value for object batteryChargingCycleCount. If the value of object batteryChargingCycleCount rises above this threshold, a battery aging alarm will be raised. The alarm procedure may include generating a batteryAgingNotification.

A value of 0 indicates that no alarm will be raised for any value of object batteryChargingCycleCount."  $\label{eq:condition} % \begin{center} \end{center} % \begin{center} \end{center$ 

::= { batteryEntry 22 }

batteryAlarmHighTemperature OBJECT-TYPE

SYNTAX Integer32

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UNITS "deci-degrees Celsius"

MAX-ACCESS read-write STATUS current

DESCRIPTION

"This object provides the upper threshold value for object batteryTemperature. If the value of object batteryTemperature rises above this threshold, a battery high temperature alarm will be raised. The alarm procedure may include generating a batteryTemperatureNotification.

A value of '7fffffff'H indicates that no alarm will be raised for any value of object batteryTemperature."
::= { batteryEntry 23 }

batteryAlarmLowTemperature OBJECT-TYPE

SYNTAX Integer32

UNITS "deci-degrees Celsius"

MAX-ACCESS read-write STATUS current

DESCRIPTION

"This object provides the lower threshold value for object batteryTemperature. If the value of object batteryTemperature falls below this threshold, a battery low temperature alarm will be raised. The alarm procedure may include generating a batteryTemperatureNotification.

A value of '7fffffff'H indicates that no alarm will be raised for any value of object batteryTemperature."
::= { batteryEntry 24 }

batteryCellIdentifier OBJECT-TYPE

SYNTAX SnmpAdminString

MAX-ACCESS read-only STATUS current

DESCRIPTION

"The value of this object identifies one or more cells of a battery. The format of the cell identifier may vary between different implementations. It should uniquely identify one or more cells of the indexed battery.

This object can be used for batteries, such as, for example, lithium polymer batteries for which battery controllers monitor cells individually.

This object is used by notifications of type batteryLowNotification, batteryTemperatureNotification, batteryCriticalNotification, and batteryAgingNotification. These notifications can use the value of this object to

indicate the event that triggered the generation of the notification in more details by specifying a single cell or a set of cells within the battery which are specifically addressed by the notification.

An example use case for this object is a single cell in a battery that exceeds the temperature indicated by object batteryAlarmHighTemperature. In such a case, a batteryTemperatureNotification can be generated that not just indicates the battery for which the temperature is exceeded but also the particular cell.

The initial value of this object is the empty string. The value of this object is set at each time a batteryLowNotification, a batteryTemperatureNotification, a batteryCriticalNotification, or a batteryAgingNotification is generated.

When a notification is generated that does not indicate a specific cell or set of cells, the value of this object is set to the empty string."

```
::= { batteryEntry 25 }
```

# 

```
batteryChargingStateNotification NOTIFICATION-TYPE
   OBJECTS {
      batteryChargingOperState
   }
   STATUS current
   DESCRIPTION
      "This notification can be generated when a
```

"This notification can be generated when a charging state of the battery (indicated by the value of object batteryChargingOperState) is triggered by an event other than a write action to object batteryChargingAdminState. Such an event may, for example, be triggered by a local battery controller."

::= { batteryNotifications 1 }

```
batteryLowNotification NOTIFICATION-TYPE

OBJECTS {

batteryActualCharge,

batteryActualVoltage,

batteryCellIdentifier
```

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```
STATUS current
DESCRIPTION
```

"This notification can be generated when the current charge (batteryActualCharge) or the current voltage (batteryActualVoltage) of the battery falls below a threshold defined by object batteryAlarmLowCharge or object batteryAlarmLowVoltage, respectively.

Note that typically, this notification is generated in a state where the battery is still sufficiently charged to keep the device(s) that it powers operational for some time. If the charging state of the battery has become critical, i.e., the device(s) powered by the battery must go to a 'sleep' or 'off' state, then the batteryCriticalNotification should be used instead.

If the low charge or voltage has been detected for a single cell or a set of cells of the battery and not for the entire battery, then object batteryCellIdentifier should be set to a value that identifies the cell or set of cells. Otherwise, the value of object batteryCellIdentifier should be set to the empty string when this notification is generated.

The notification should not be sent again for the same battery or cell before either (a) the current voltage or the current charge, respectively, has become higher than the corresponding threshold through charging or (b) an indication of a maintenance action has been detected, such as battery disconnection event, or a reinitialization of the battery monitoring system.

This notification should not be sent when the battery is in a charging mode, i.e., the value of object batteryChargingOperState is charging(2) or fastCharging(3)."
::= { batteryNotifications 2 }

```
batteryCriticalNotification NOTIFICATION-TYPE
   OBJECTS {
      batteryActualCharge,
      batteryActualVoltage,
      batteryCellIdentifier
   }
   STATUS current
   DESCRIPTION
```

"This notification can be generated when the current charge of the battery falls so low that it cannot provide a

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sufficient power supply function for regular operation of the powered device(s). The battery needs to be charged before it can be used for regular power supply again. The battery may still provide sufficient power for a 'sleep' mode of powered device(s) or for a transition into an 'off' mode.

If the critical state is caused a single cell or a set of cells of the battery, then object batteryCellIdentifier should be set to a value that identifies the cell or set of cells. Otherwise, the value of object batteryCellIdentifier should be set to the empty string when this notification is generated.

The notification should not be sent again for the same battery before either the battery charge has increased through charging to a non-critical value or an indication of a maintenance action has been detected, such a battery disconnection event, or a reinitialization of the battery monitoring system.

This notification should not be sent when the battery is in a charging mode, i.e., the value of object batteryChargingOperState is charging(2) or fastCharging(3)."
::= { batteryNotifications 3 }

```
batteryTemperatureNotification NOTIFICATION-TYPE
   OBJECTS {
      batteryTemperature,
      batteryCellIdentifier
   }
   STATUS current
   DESCRIPTION
```

"This notification can be generated when the measured temperature (batteryTemperature) rises above the threshold defined by object batteryAlarmHighTemperature or falls below the threshold defined by object batteryAlarmLowTemperature.

If the low or high temperature has been detected for a single cell or a set of cells of the battery and not for the entire battery, then object batteryCellIdentifier should be set to a value that identifies the cell or set of cells. Otherwise, the value of object batteryCellIdentifier should be set to the empty string when this notification is generated.

It may occur that the temperature alternates between values

slightly below and slightly above a threshold. For limiting the notification rate in such a case, this notification should not be sent again for the same battery or cell, respectively, with in a time interval of 10 minutes.

An exception to the rate limitations occurs immediately after the reinitialization of the battery monitoring system. If at this point in time the battery temperature is above the threshold defined by object batteryAlarmHighTemperature or below the threshold defined by object batteryAlarmLowTemperature, respectively, then this notification should be sent, independent of the time at which previous notifications for the same battery or cell, respectively, had been sent."

```
::= { batteryNotifications 4 }
```

```
batteryAgingNotification NOTIFICATION-TYPE
   OBJECTS {
     batteryActualCapacity,
     batteryChargingCycleCount,
     batteryCellIdentifier
   }
   STATUS current
   DESCRIPTION
```

"This notification can be generated when the actual capacity (batteryActualCapacity) falls below a threshold defined by object batteryAlarmLowCapacity or when the charging cycle count of the battery (batteryChargingCycleCount) exceeds the threshold defined by object batteryAlarmHighCycleCount.

If the aging has been detected for a single cell or a set of cells of the battery and not for the entire battery, then object batteryCellIdentifier should be set to a value that identifies the cell or set of cells. Otherwise, the value of object batteryCellIdentifier should be set to the empty string when this notification is generated.

This notification should not be sent again for the same battery or cell, respectively, before an indication of a maintenance action has been detected, such as a battery disconnection event, or a reinitialization of the battery monitoring system."

```
::= { batteryNotifications 5 }
```

```
batteryConnectedNotification NOTIFICATION-TYPE
    OBJECTS {
        batteryIdentifier
```

```
}
   STATUS
             current
   DESCRIPTION
       "This notification can be generated when it has been
       detected that a battery has been connected. The battery
       can be identified by the value of object batteryIdentifier
       as well as by the value of index entPhysicalIndex that is
       contained in the OID of object batteryIdentifier."
   ::= { batteryNotifications 6 }
batteryDisconnectedNotification NOTIFICATION-TYPE
   STATUS
             current
   DESCRIPTION
       "This notification can be generated when it has been
       detected that one or more batteries have been disconnected."
   ::= { batteryNotifications 7 }
------
-- 3. Conformance Information
-----
batteryCompliances OBJECT IDENTIFIER ::= { batteryConformance 1 }
batteryGroups OBJECT IDENTIFIER ::= { batteryConformance 2 }
-- 3.1. Compliance Statements
-----
batteryCompliance MODULE-COMPLIANCE
   STATUS
             current
   DESCRIPTION
       "The compliance statement for implementations of the
      BATTERY-MIB module.
      A compliant implementation MUST implement the objects
       defined in the mandatory groups batteryDescriptionGroup
       and batteryStatusGroup.
       Note that compliance with this compliance
       statement requires compliance with the
       entity4CRCompliance MODULE-COMPLIANCE statement of the
      ENTITY-MIB (RFC6933)."
   MODULE -- this module
      MANDATORY-GROUPS {
          batteryDescriptionGroup,
          batteryStatusGroup
       }
```

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GROUP batteryAlarmThresholdsGroup
DESCRIPTION

"A compliant implementation does not have to implement the batteryAlarmThresholdsGroup."

GROUP batteryNotificationsGroup
DESCRIPTION

"A compliant implementation does not have to implement the batteryNotificationsGroup."

GROUP batteryPerCellNotificationsGroup
DESCRIPTION

"A compliant implementation does not have to implement the batteryPerCellNotificationsGroup."

GROUP batteryAdminGroup
DESCRIPTION

"A compliant implementation does not have to implement the batteryAdminGroup."

OBJECT batteryAlarmLowCharge MIN-ACCESS read-only DESCRIPTION

"A compliant implementation is not required to support set operations to this object."

OBJECT batteryAlarmLowVoltage MIN-ACCESS read-only DESCRIPTION

"A compliant implementation is not required to support set operations to this object."

OBJECT batteryAlarmLowCapacity
MIN-ACCESS read-only
DESCRIPTION

"A compliant implementation is not required to support set operations to this object."

OBJECT batteryAlarmHighCycleCount MIN-ACCESS read-only DESCRIPTION

"A compliant implementation is not required to support set operations to this object."

OBJECT batteryAlarmHighTemperature MIN-ACCESS read-only DESCRIPTION

"A compliant implementation is not required

```
to support set operations to this object."
       OBJECT batteryAlarmLowTemperature
       MIN-ACCESS read-only
       DESCRIPTION
           "A compliant implementation is not required
          to support set operations to this object."
   ::= { batteryCompliances 1 }
______
-- 3.2. MIB Grouping
_____
batteryDescriptionGroup OBJECT-GROUP
   OBJECTS {
      batteryIdentifier,
      batteryFirmwareVersion,
      batteryType,
      batteryTechnology,
      batteryDesignVoltage,
      batteryNumberOfCells,
      batteryDesignCapacity,
      batteryMaxChargingCurrent,
      batteryTrickleChargingCurrent
   }
   STATUS
              current
   DESCRIPTION
      "A compliant implementation MUST implement the objects
      contained in this group."
   ::= { batteryGroups 1 }
batteryStatusGroup OBJECT-GROUP
   OBJECTS {
      batteryActualCapacity,
      batteryChargingCycleCount,
      batteryLastChargingCycleTime,
      batteryChargingOperState,
      batteryActualCharge,
      batteryActualVoltage,
      batteryActualCurrent,
      batteryTemperature
   }
   STATUS
              current
   DESCRIPTION
      "A compliant implementation MUST implement the objects
      contained in this group."
   ::= { batteryGroups 2 }
```

```
batteryAdminGroup OBJECT-GROUP
   OBJECTS {
       batteryChargingAdminState
   STATUS
                current
   DESCRIPTION
       "A compliant implementation does not have to implement the
       object contained in this group."
    ::= { batteryGroups 3 }
batteryAlarmThresholdsGroup OBJECT-GROUP
   OBJECTS {
       batteryAlarmLowCharge,
       batteryAlarmLowVoltage,
       batteryAlarmLowCapacity,
       batteryAlarmHighCycleCount,
       batteryAlarmHighTemperature,
       batteryAlarmLowTemperature
   }
   STATUS
                current
    DESCRIPTION
       "A compliant implementation does not have to implement the
       objects contained in this group."
    ::= { batteryGroups 4 }
batteryNotificationsGroup NOTIFICATION-GROUP
    NOTIFICATIONS {
       batteryChargingStateNotification,
       batteryLowNotification,
       batteryCriticalNotification,
       batteryAgingNotification,
       batteryTemperatureNotification,
       batteryConnectedNotification,
       batteryDisconnectedNotification
   }
   STATUS
                current
   DESCRIPTION
        "A compliant implementation does not have to implement the
        notifications contained in this group."
    ::= { batteryGroups 5 }
batteryPerCellNotificationsGroup OBJECT-GROUP
   OBJECTS {
       batteryCellIdentifier
    }
   STATUS
                current
   DESCRIPTION
        "A compliant implementation does not have to implement the
```

```
object contained in this group."
::= { batteryGroups 6 }
END
```

### **5**. Security Considerations

There are a number of management objects defined in this MIB module with a MAX-ACCESS clause of read-write. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations. These are the tables and objects and their sensitivity/vulnerability:

- o batteryChargingAdminState

  Setting the battery charging state can be beneficial for an operator for various reasons such as charging batteries when
  - operator for various reasons such as charging batteries when the price of electricity is low. However, setting the charging state can be used by an attacker to discharge batteries of devices and thereby switching these devices off if they are powered solely by batteries. In particular, if the batteryAlarmLowCharge and batteryAlarmLowVoltage can also be set, this attack will go unnoticed (i.e. no notifications are sent).
- o batteryAlarmLowCharge and batteryAlarmLowVoltage
  These objects set the threshold for an alarm to be raised when the
  battery charge or voltage falls below the corresponding one of
  them. An attacker setting one of these alarm values can switch
  off the alarm by setting it to the 'off' value 0 or modify the
  alarm behavior by setting it to any other value. The result may
  be loss of data if the battery runs empty without warning to a
  recipient expecting such a notification.
- o batteryAlarmLowCapacity and batteryAlarmHighCycleCount
  These objects set the threshold for an alarm to be raised when the
  battery becomes older and less performant than required for stable
  operation. An attacker setting this alarm value can switch off
  the alarm by setting it to the 'off' value 0 or modify the alarm
  behavior by setting it to any other value. This may either lead
  to a costly replacement of a working battery or too old or too
  weak batteries being used. The consequence of the latter could
  e.g. be that a battery cannot provide power long enough between
  two scheduled charging actions causing the powered device to shut
  down and potentially lose data.
- o batteryAlarmHighTemperature and batteryAlarmLowTemperature These objects set thresholds for an alarm to be raised when the battery rises above/falls below them. An attacker setting one of

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these alarm values can switch off these alarms by setting them to the 'off' value '7ffffffff'H or modify the alarm behavior by setting them to any other value. The result may e.g. be an unnecessary shutdown of a device if batteryAlarmHighTemperature is set to too low or damage to the device by too high temperatures if switched off or set to too high values or by damage to the battery when it e.g. is being charged. Batteries can also be damaged e.g. in an attempt to charge them at too low temperatures.

Some of the readable objects in this MIB module (i.e., objects with a MAX-ACCESS other than not-accessible) may be considered sensitive or vulnerable in some network environments. It is thus important to control even GET and/or NOTIFY access to these objects and possibly to even encrypt the values of these objects when sending them over the network via SNMP. These are the tables and objects and their sensitivity/vulnerability:

All potentially sensible or vulnerable objects of this MIB module are in the batteryTable. In general, there are no serious operational vulnerabilities foreseen in case of an unauthorized read access to this table. However, corporate confidentiality issues need to be considered. It may be a trade secret of the operator

- o how many batteries are installed in a managed node (batteryIndex)
- o how old these batteries are (batteryActualCapacity and batteryChargingCycleCount)
- o when the next replacement cycle for batteries can be expected (batteryAlarmLowCapacity and batteryAlarmHighCycleCount)
- o what battery type and make are used with which firmware version (batteryIdentifier, batteryFirmwareVersion, batteryType, and batteryTechnology)

For any battery-powered device whose use can be correlated to an individual or a small group of individuals, the following objects have the potential to reveal information about those individuals' activities or habits (e.g., if they are near a power outlet, if they have been using their devices heavily, etc.):

- o batteryChargingCycleCount
- o batteryLastChargingCycleTime
- o batteryChargingOperState
- o batteryActualCharge
- o batteryActualVoltage
- o batteryActualCurrent
- o batteryTemperature
- o batteryAlarmLowCharge
- o batteryAlarmLowVoltage
- o batteryAlarmLowCapacity

- o batteryAlarmHighCycleCount
- o batteryAlarmHighTemperature
- o batteryAlarmLowTemperature

Implementers SHOULD use appropriate privacy protections. Battery monitoring of devices used by individuals SHOULD only occur with proper authorization. See the relevant discussion in <u>Section 9</u> of the Requirements for Energy Management [RFC6988].

It is RECOMMENDED that implementers consider the security features as provided by the SNMPv3 framework (see <a href="[RFC3410]">[RFC3410]</a>, section 8), including full support for the SNMPv3 cryptographic mechanisms (for authentication and privacy).

Further, deployment of SNMP versions prior to SNMPv3 is NOT RECOMMENDED. Instead, it is RECOMMENDED to deploy SNMPv3 and to enable cryptographic security. It is then a customer/operator responsibility to ensure that the SNMP entity giving access to an instance of this MIB module is properly configured to give access to the objects only to those principals (users) that have legitimate rights to GET or SET (change/create/delete) them.

#### 6. IANA Considerations

#### 6.1. SMI Object Identifier Registration

The Battery MIB module defined in this document uses the following IANA-assigned OBJECT IDENTIFIER value recorded in the SMI Numbers registry:

Descriptor OBJECT IDENTIFIER value
batteryMIB { mib-2 xxx }

[NOTE for IANA: Please allocate an object identifier at <a href="http://www.iana.org/assignments/smi-numbers">http://www.iana.org/assignments/smi-numbers</a> for object batteryMIB.]

### <u>6.2</u>. Battery Technology Registration

Object batteryTechnology defined in <u>Section 4</u> reports battery technologies. Eighteen values for battery technologies have initially been defined. They are listed in a table in <u>Section 3.2</u>.

For ensuring extensibility of this list, IANA has created a registry for battery technologies at <a href="http://www.iana.org/assignments/eman">http://www.iana.org/assignments/eman</a> and filled it with the initial list given in <a href="Section 3.2">Section 3.2</a>.

New assignments of numbers for battery technologies will be administered by IANA through Expert Review ([RFC5226]). Experts must check for sufficient relevance of a battery technology to be added according to the guidelines in section Section 3.2.1.

[NOTE for IANA: Please create a new registry under <a href="http://www.iana.org/assignments/eman">http://www.iana.org/assignments/eman</a> for battery types. Please fill the registry with values from the table in <a href="Section 3.2">Section 3.2</a>]

## Acknowledgements

We would like to thank Steven Chew, Bill Mielke, and Alan Luchuk for their valuable input.

#### 8. References

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