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Guidelines and Registration Procedures for URI Schemes  
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Abstract

This document updates the guidelines and recommendations, as well as the IANA registration processes, for the definition of Uniform Resource Identifier (URI) schemes. It obsoletes RFC 4395.

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## 1. Introduction

The Uniform Resource Identifier (URI) protocol element and generic syntax is defined by [RFC3986]. Each URI begins with a scheme name, as defined by Section 3.1 of RFC 3986, that refers to a specification for identifiers within that scheme. The URI syntax provides a federated and extensible naming system, where each scheme's specification can further restrict the syntax and define the semantics of identifiers using that scheme.

This document obsoletes [RFC4395], which in turn obsoleted [RFC2717] and [RFC2718]. Recent documents have used the term "URI" for all resource identifiers, avoiding the term "URL" and reserving the term "URN" explicitly for those URIs using the "urn" scheme name ([RFC2141]). URN "namespaces" ([RFC3406]) are specific to the "urn" scheme and are not covered explicitly by this specification.

This document provides updated guidelines for the definition of new schemes, for consideration by those who are defining, registering, or evaluating those definitions, as well as a process and mechanism for registering schemes within the IANA URI Schemes registry. There is a single namespace for registered schemes. The intent of the registry is to:

- o provide a central point of discovery for established URI scheme names, and easy location of defining documents for schemes;
- o discourage multiple separate uses of the same scheme name;
- o help those proposing new scheme names to discern established trends and conventions, and avoid names that might be confused with existing ones;
- o encourage registration by setting a low barrier for registration.

### 1.1. URIs and IRIs

As originally defined, URIs only allowed a limited repertoire of characters chosen from US-ASCII. An Internationalized Resource Identifier (IRI), as defined by [RFC3987], extends the URI syntax to allow characters from a much greater repertoire, to accommodate resource identifiers from the world's languages. RFC 3987 [RFC3987] also defined a mapping between URIs and IRIs. IRIs use the same scheme names as URIs. Thus, there is no separate, independent registry or registration process for IRI schemes: the URI Schemes registry is used for both URIs and IRIs. Those who wish to describe resource identifiers that are useful as IRIs should define the corresponding URI syntax, and note that the IRI usage follows the rules and transformations defined in [RFC3987].

## 2. Terminology

Within this document, the key words MUST, MAY, SHOULD, REQUIRED, RECOMMENDED, and so forth are used within the general meanings established in [RFC2119], as requirements on future registrations.

This document distinguishes between a "scheme specification", being a document defining the syntax and semantics of a scheme, vs. a "scheme

registration request" being the completed template submitted to IANA. The term "scheme definition" refers generically to the syntax and semantics of a scheme, typically documented in a scheme specification.

### 3. Requirements for Permanent Scheme Definitions

This section gives considerations for new schemes. Meeting these guidelines is REQUIRED for 'permanent' scheme registration. 'Permanent' status is appropriate for, but not limited to, use in standards. For URI schemes defined or normatively referenced by IETF Standards-Track documents, 'permanent' registration status is REQUIRED.

[RFC3986] defines the overall syntax for URIs as:

```
URI = scheme ":" hier-part [ "?" query ] [ "#" fragment ]
```

A scheme definition cannot override the overall syntax for URIs. For example, this means that fragment identifiers cannot be re-used outside the generic syntax restrictions, and fragment identifiers are not scheme-specific. A scheme definition must specify the scheme name and the syntax of the scheme-specific part, which is clarified as follows:

```
URI = scheme ":" scheme-specific-part [ "#" fragment ]  
  
scheme-specific-part = hier-part [ "?" query ]
```

#### 3.1. Demonstrable, New, Long-Lived Utility

In general, the use and deployment of new schemes in the Internet infrastructure can be costly; some parts of URI processing are often scheme-dependent. Introducing a new scheme might require additional software, not only for client software and user agents but also in additional parts of the network infrastructure (gateways, proxies, caches) [W3CWebArch]. Since scheme names share a single, global namespace, it is desirable to avoid contention over use of short, mnemonic scheme names. New schemes ought to have utility to the Internet community beyond that available with already registered schemes. The scheme specification SHOULD discuss the utility of the scheme being registered.

### 3.2. Syntactic Compatibility

[RFC3986] defines the generic syntax for all URI schemes, along with the syntax of common URI components that are used by many URI schemes to define hierarchical identifiers. [RFC3987] extended this generic syntax to cover IRIs. All scheme specifications **MUST** define their own URI <scheme-specific-part> syntax. Care must be taken to ensure that all strings matching their scheme-specific syntax will also match the <absolute-URI> grammar described in [RFC3986].

New schemes **SHOULD** reuse the common URI components of [RFC3986] for the definition of hierarchical naming schemes. If there is a strong reason for a scheme not to use the hierarchical syntax, then the new scheme definition **SHOULD** follow the syntax of similar previously registered schemes.

Schemes that are not intended for use with relative URIs **SHOULD** avoid use of the forward slash "/" character, in order to avoid unintended processing such as resolution of "." and ".." (dot-segments).

Schemes **SHOULD** avoid improper use of "//". The use of double slashes in the first part of a URI is not a stylistic indicator that what follows is a URI: Double slashes are intended for use **ONLY** when the syntax of the <scheme-specific-part> contains a hierarchical structure. In URIs from such schemes, the use of double slashes indicates that what follows is the top hierarchical element for a naming authority. (Section 3.2 of RFC 3986 has more details.) Schemes that do not contain a conformant hierarchical structure in their <scheme-specific-part> **SHOULD NOT** use double slashes following the "<scheme>:" string.

New schemes **SHOULD** clearly define the role of reserved characters (see [RFC3986], Section 2.2) in URIs of the scheme being defined. The syntax of the new scheme should be clear about which of the "reserved" set of characters are used as delimiters within the URIs of the new scheme, and when those characters must be escaped, versus when they can be used without escaping.

### 3.3. Well-Defined

While URIs might or might not be defined as locators in practice, a scheme definition itself **MUST** be clear as to how it is expected to function. Schemes that are not intended to be used as locators **SHOULD** describe how the resource identified can be determined or accessed by software that obtains a URI of that scheme.

For schemes that function as locators, it is important that the mechanism of resource location be clearly defined. This might mean different things depending on the nature of the scheme.

In many cases, new schemes are defined as ways to translate between other namespaces or protocols and the general framework of URIs. For example, the "ftp" scheme translates into the FTP protocol, while the "mid" scheme translates into a Message-ID identifier of an email message. For such schemes, the description of the mapping SHOULD be complete, and in sufficient detail so that the mapping in both directions is clear: how to map from a URI into an identifier or set of protocol actions or name in the target namespace, and how legal values in the base namespace, or legal protocol interactions, are represented in a valid URI. See Section 3.6 for guidelines for encoding strings or sequences of bytes within valid character sequences in a URI. If not all legal values or protocol interactions of the base standard can be represented using the scheme, the definition SHOULD be clear about which subset is allowed, and why.

### 3.4. Definition of Operations

As part of the definition of how a URI identifies a resource, a scheme definition SHOULD define the applicable set of operations that can be performed on a resource using the URI as its identifier. A model for this is HTTP methods; an HTTP resource can be operated on by GET, POST, PUT, and a number of other methods available through the HTTP protocol. The scheme definition SHOULD describe all well-defined operations on the resource identifier, and what they are supposed to do.

Some schemes don't fit into the "information access" paradigm of URIs. For example, "telnet" provides location information for initiating a bi-directional data stream to a remote host; the only operation defined is to initiate the connection. In any case, the operations appropriate for a scheme SHOULD be documented.

Note: It is perfectly valid to say that "no operation apart from GET is defined for this URI". It is also valid to say that "there's only one operation defined for this URI, and it's not very GET-like". The important point is that what is defined on this scheme is described.

Scheme definitions SHOULD define a "default" operation for when a URI is invoked (or "dereferenced") by an application. For example, a common "default" operation today is to launch an application associated with the scheme name, and let it use the other URI components as inputs to do something. The default invocation, or dereferencing, of a URI SHOULD be "safe" in the sense described by

section 3.4 of [W3CWebArch]; i.e., performing such an invocation should not incur any additional obligations by doing so.

### 3.5. Context of Use

In general, URIs are used within a broad range of protocols and applications. For example, URIs are commonly used within hypertext documents as references to other resources. In some cases, a scheme is intended for use within a different, specific set of protocols or applications. If so, the scheme definition SHOULD describe the intended use and include references to documentation that define the applications and/or protocols cited. This does not obviate the need for documentation on applications and/or protocols to discuss URI schemes relevant to them.

### 3.6. Internationalization and Character Encoding

When describing schemes in which (some of) the elements of the URI are actually representations of human-readable text, care should be taken not to introduce unnecessary variety in the ways in which characters are encoded into octets and then into URI characters; see [RFC3987] and Section 2.5 (especially the last paragraph) of [RFC3986] for guidelines. If URIs of a scheme contain any text fields, the scheme definition MUST describe the ways in which characters are encoded and any compatibility issues with IRIs of the scheme.

The scheme specification SHOULD be as restrictive as possible regarding what characters are allowed in the URI, because some characters can create several different security issues (see, for example [RFC4690]).

Percent-encoded character sequences are automatically included by definition for characters given in IRI productions. This means that if you want to restrict the URI percent-encoded forms in some way, you must restrict the Unicode forms that would lead to them. In most cases, it is advisable to define the actual characters allowed in an IRI production, to allow the 'pct-encoded' definition from Section 2.1 of [RFC3986] at the same places, and to add prose that limits percent-escapes to those that can be created by converting valid UTF-8 character sequences to percent-encoding.

### 3.7. Clear Security and Privacy Considerations

Definitions of schemes MUST be accompanied by a clear analysis of the security and privacy implications for systems that use the scheme; this follows the practice of Security Consideration sections within IANA registrations [RFC5226].

In particular, Section 7 of RFC 3986 [RFC3986] describes general security considerations for URIs, while [RFC3987] gives those for IRIs. The definition of an individual scheme should note which of these apply to the specified scheme, in addition to any more scheme-specific concerns. For example, if the scheme-specific part is privacy sensitive then that should be documented.

### 3.8. Scheme Name Considerations

Section 3.1 of RFC 3986 defines the syntax of a URI scheme name; this syntax remains the same for IRIs. New scheme registrations MUST follow this syntax, which only allows a limited repertoire of characters (taken from US-ASCII). Although the syntax for the scheme name in URIs is case insensitive, the scheme name itself MUST be registered using lowercase letters.

Scheme names SHOULD be short, but also sufficiently descriptive and distinguished to avoid problems.

Schemes SHOULD NOT use names or other symbols that might cause problems with rights to use the name in IETF specifications and Internet protocols. For example, be careful with trademark and service mark names. (See Section 7.4 of [RFC3978].)

Schemes SHOULD NOT use names that are either very general purpose or associated in the community with some other application or protocol. Schemes also SHOULD NOT use names that are overly general or grandiose in scope (e.g., that allude to their "universal" or "standard" nature.)

A scheme name is not a "protocol." However, like a service name as defined in section 5 of [RFC6335], it often identifies a particular protocol or application. If a scheme name has a one-to-one correspondence with a service name, then the names SHOULD be the same.

Some organizations desire their own namespace for URI scheme names for private use (see Section 6). In doing so, it is important to prevent collisions, and to make it possible to identify the owner of a private use scheme. To accomplish these two goals, such organizations SHOULD use a prefix based on their domain name, expressed in reverse order. For example, a URI scheme name of com.example.mything might be used by the organization that owns the example.com domain name. Care must be taken, however, if the organization later loses the domain name embedded in their scheme names, since domain name registrations are not permanent. To associate the private use scheme name with the original organization,



the private use scheme can be registered using the registration procedure in Section 7.

Furthermore, to prevent collisions with private use scheme names, new scheme names registered MUST NOT contain a "." unless actually constructed from a reversed domain name.

### 3.9. Interoperability Considerations

If the person or group registering the scheme is aware of any details regarding the scheme that might impact interoperability, identify them. For example: proprietary or uncommon encoding methods; incompatibility with types or versions of any underlying protocol.

## 4. Guidelines for Provisional URI Scheme Registration

'Provisional' registration can be used for schemes that are not part of any standard, but that are intended for use (or observed to be in use) that is not limited to a private environment within a single organization. 'Provisional' registration can also be used as an intermediate step on the way to 'permanent' registration, e.g., before the scheme specification is finalized as a standard.

For a 'provisional' registration, the following apply:

- o The scheme name must meet the syntactic requirements of Section 3.8.
- o There must not already be an entry with the same scheme name. In the unfortunate case that there are multiple, different uses of the same scheme name, the Designated Expert can approve a request to modify an existing entry to note the separate use.
- o Contact information identifying the person supplying the registration must be included. Previously unregistered schemes discovered in use can be registered by third parties (even if not on behalf of those who created the scheme). In this case, both the registering party and the scheme creator SHOULD be identified.
- o If no permanent, citable specification for the scheme definition is included, credible reasons for not providing it SHOULD be given.
- o The scheme definition SHOULD include clear security considerations (Section 3.7) or explain why a full security analysis is not available (e.g., in a third-party scheme registration).

- o If the scheme definition does not meet the guidelines laid out in Section 3, the differences and reasons SHOULD be noted.

## 5. Guidelines for Historical URI Scheme Registration

In some circumstances, it is appropriate to note a scheme that was once in use or registered but for whatever reason is no longer in common use or whose use is not recommended. In this case, it is possible for an individual to request that the URI scheme be registered (newly, or as an update to an existing registration) as 'historical'. Any scheme that is no longer in common use MAY be designated as 'historical'; the registration SHOULD contain some indication as to where the scheme was previously defined or documented.

## 6. Guidelines for Private URI Scheme Use

Unregistered schemes can cause problems if use is not limited to a private environment within a single organization, since the use could leak out beyond the closed environment. Even within a closed environment, other colliding uses of the same scheme name could occur. As such, a unique namespace (see Section 3.8) MUST be used, and it is strongly encouraged to do a 'provisional' registration unless the scheme name is constructed from a domain name as discussed in Section 3.8.

## 7. URI Scheme Registration Procedure

### 7.1. General

The IANA policy (using terms defined in [RFC5226]) for 'provisional' registration was formerly Expert Review. The policy for 'permanent' and 'historical' registration continues to be Expert Review, but this document changes the policy for 'provisional' registration to First Come First Served.

The registration procedure is intended to be very lightweight for non-contentious registrations. For the most part, we expect the good sense of submitters and reviewers, guided by these procedures, to achieve an acceptable and useful consensus for the community.

In exceptional cases, where the negotiating parties cannot form a consensus, the final arbiter of any contested registration shall be the IESG.

If standardization is anticipated, the working group or individuals concerned are advised to submit an early 'permanent' registration request, rather than waiting until the standardization process has

run its course. IANA will pass this to the Designated Expert who may recommend 'provisional' registration until the specification is approved as a standard. This will provide opportunity for feedback while specification development and review is still active, and the submitter(s) are still in a position to respond to any issues that might be raised. If and when the specification is approved as a standard, the submitters should submit a request to change the registration status to 'permanent'.

The role of the Designated Expert in the procedure for 'permanent' registrations described here is to ensure that the normal open review process has been properly followed, and to raise possible concerns about wider implications of proposals for the use and deployment of URIs. Nothing in the procedure empowers the Designated Expert to override properly arrived-at IETF or working group consensus.

## 7.2. Registration Procedures

Someone wishing to register a new scheme MUST:

1. Check the IANA URI Schemes registry to see whether there is already an entry for the desired name. If there is already an entry under the name, choose a different scheme name, or update the existing scheme specification.
2. Prepare a scheme registration request using the template specified in Section 7.4. The scheme registration request can be contained in an Internet Draft, submitted alone, or as part of some other permanently available, stable, protocol specification. The scheme registration request can also be submitted in some other form (as part of another document or as a stand-alone document), but the scheme registration request will be treated as an "IETF Contribution" under the guidelines of [RFC3978].
3. If the registration request is for a 'permanent' registration (or, optionally, for any other registration if desired):
  1. Review the requirements in Section 3.
  2. Send a copy of the scheme registration request or a pointer to the containing document (with specific reference to the section with the scheme registration request) to the mailing list [uri-review@ietf.org](mailto:uri-review@ietf.org), requesting review. In addition, request review on other relevant mailing lists as appropriate. For example, general discussion of URI syntactical issues can be discussed on [uri@w3.org](mailto:uri@w3.org); schemes for a network protocol can be discussed on a mailing list for

that protocol. Allow a reasonable time for discussion and comments. Four weeks is reasonable for a 'permanent' registration request.

3. Respond to review comments and make revisions to the proposed registration as needed to bring it into line with the guidelines given in this document.
4. Submit the (possibly updated) scheme registration request (or pointer to document containing it) to IANA at [iana@iana.org](mailto:iana@iana.org).

Upon receipt of a scheme registration request, the following steps MUST be followed:

1. IANA checks the submission for completeness; if required sections of the scheme registration request are missing or any citations are not correct, IANA will reject the registration request. A registrant can resubmit a corrected request if desired.
2. If the request is for 'provisional' registration and no entry already exists in the current registry for the same name, IANA adds the registration to the registry, under the First Come First Served policy.
3. Otherwise, IANA enters the registration request in the IANA registry, with status marked as "Pending Review", and the remainder of this section applies.
4. IANA requests Expert Review of the registration request against the corresponding guidelines from this document.
5. The Designated Expert will evaluate the request against the criteria of the requested status.
6. In the case of a 'permanent' registration request, the Designated Expert may:
  - \* Accept the specification of the scheme for 'permanent' registration.
  - \* Suggest 'provisional' registration instead.
  - \* Request IETF review and IESG approval; in the meanwhile, suggest 'provisional' registration.
  - \* Request additional review or discussion, as necessary.

7. If an entry already exists for the same name, the Designated Expert will determine whether the request should be rejected, or whether the existing entry should be modified to note the separate use. This conflict process applies regardless of the requested status or the status of the existing entry.
8. Once Expert Review approves registration for a given status, IANA updates the registration to indicate the approved status. If Expert Review instead rejects the registration, the "Pending Review" request is removed from the registry.

Either based on an explicit request or independently initiated, the Designated Expert or the IESG can request the upgrade of a 'provisional' registration to a 'permanent' one. In such cases, IANA will update the status of the corresponding entry. Typically this would only occur if the use is considered a standard (not necessarily an IETF standard).

### 7.3. Change Control

Registrations can be updated in the registry by the same mechanism as required for an initial registration. In cases where the original definition of the scheme is contained in an IESG-approved document, update of the specification also requires IESG approval.

'Provisional' registrations can be updated by the original registrant or anyone designated by the original registrant. In addition, the IESG can reassign responsibility for a 'provisional' registration scheme, or can request specific changes to a scheme registration. This will enable changes to be made to schemes where the original registrant is out of contact, or unwilling or unable to make changes.

Transition from 'provisional' to 'permanent' status can be requested and approved in the same manner as a new 'permanent' registration. Transition from 'permanent' to 'historical' status requires IESG approval. Transition from 'provisional' to 'historical' can be requested by anyone authorized to update the 'provisional' registration.

### 7.4. URI Scheme Registration Template

This template describes the fields that **MUST** be supplied in a scheme registration request, suitable for adding to the registry:

Scheme name:

See Section 3.8 for guidelines.

Status:

This reflects the status requested, and must be one of 'permanent', 'provisional', or 'historical'.

Applications/protocols that use this scheme name:  
See Section 3.5.

Contact:

Person (including contact information) to contact for further information.

Change controller:

Organization or person (often the author), including contact information, authorized to change this.

References:

Include full citations for all referenced documents. Scheme registration requests for 'provisional' registration can be included in an Internet Draft; when the documents expire or are approved for publication as an RFC, the registration will be updated. A scheme specification is only required for 'permanent' registration.

The previous version of this specification required the following additional fields in a scheme registration request. These fields are no longer part of the template. The answers instead belong in the scheme specification.

Scheme syntax:

See Section 3.2 for guidelines.

Scheme semantics:

See Section 3.3 and Section 3.4 for guidelines.

Encoding considerations:

See Section 3.3 and Section 3.6 for guidelines.

Interoperability considerations:

See Section 3.9 for guidelines.

Security considerations:

See Section 3.7 for guidelines.

## 8. The "example" URI Scheme

There is a need for a scheme name that can be used for examples in documentation without fear of conflicts with current or future actual schemes. The scheme "example" is hereby registered as a 'permanent' scheme for that purpose.

The "example" scheme is specified as follows:

**Scheme syntax:** The entire range of allowable syntax specified in [RFC3986] is allowed for "example" URIs. Similarly, the entire range of allowable syntax specified in [RFC3987] is allowed for "example" IRIs. For example, <example:foo>, <example:/foo>, and <example://foo> are all valid.

**Scheme semantics:** URIs in the "example" scheme are to be used for documentation purposes only. The use of "example" URIs must not be used as locators, identify any resources, or specify any particular set of operations.

**Encoding considerations:** See Section 2.5 of [RFC3986] for guidelines.

**Interoperability considerations:** None.

**Security considerations:** None.

#### 8.1. "Example" URI Scheme Registration Request

**Scheme name:** example

**Status:** permanent

**Applications/protocols that use this scheme name:** An "example" URI is to be used for documentation purposes only. It MUST NOT be used for any protocol.

**Contact:** N/A

**Change controller:** IETF

**References:** Section 8 of this RFC XXXX.

RFC Editor Note: Replace XXXX with this RFC's reference.

#### 9. IANA Considerations

Previously, the former "URL Scheme" registry was replaced by the "Uniform Resource Identifier (URI) Schemes" registry. The process was based on [RFC5226] "Expert Review" with an initial (optional) mailing list review.

The updated template has an additional field for the status of the scheme, and the procedures for entering new name schemes have been augmented. Section 7 establishes the process for new scheme registration.

IANA is requested to do the following:

- o Update the URI Schemes registry to point to this document.
- o Combine the "Permanent URI Schemes", "Provisional URI Schemes", and "Historical URI Schemes" sub-registries into a single common registry with an additional "Status" column containing the status (Permanent, Provisional, Historical, or Pending Review), and an additional "Notes" column which is normally empty, but may contain notes approved by the Designated Expert.
- o Add the "example" URI scheme to the registry (see the template in Section 8.1 for registration).

## 10. Security Considerations

All registered values are expected to contain clear security considerations as discussed in Section 3.7. However, information concerning possible security vulnerabilities of a protocol might change over time. Consequently, claims as to the security properties of a registered scheme might change as well. As new vulnerabilities are discovered, information about such vulnerabilities might need to be attached to existing documentation, so that users are not misled as to the true security properties of a registered scheme.

## 11. Acknowledgements

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Parts of this document are based on [RFC2717], [RFC2718] and [RFC3864]. Some of the ideas about use of URIs were taken from the "Architecture of the World Wide Web" [W3CWebArch].

## 12. References

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## Appendix A. Changes Since RFC 4395

1. Combined the Historical, Permanent, and Provisional URI Schemes registries into one registry with a status column. This is done to make it easier to prevent duplicates and see existing conventions.
2. Added a Notes column in the registry for notes approved by the Designated Expert.
3. Moved the following fields out of the scheme registration request template and into the requirements for a scheme specification: Scheme syntax, Scheme semantics, Encoding considerations, Interoperability considerations, and Security considerations.
4. Simplified the process for 'provisional' registration significantly: changed from Expert Review to First Come First Served, and clarified that mailing list review is not required.
5. Updated process for handling of scheme name conflicts, so that adding a note can be approved by the Designated Expert rather than the IESG.
6. Clarified that a "URI scheme name" and an "IRI scheme name" are the same thing and thus use the same IANA registry.
7. Clarified that a registration request falls under the "IETF Contribution" rules, but the scheme's specification need not.
8. Added the "example:" URI scheme.
9. Added text about when to use 'provisional' registration.
10. Updated convention for Private use schemes to use "." (instead of "-") between domain name labels, to reduce chance of collision, and recommended use of a reverse domain name prefix to allow identifying the owning organization.
11. Recommended that scheme definitions define a "default" operation for when a URI is invoked.
12. Recommended that a scheme name be the same as the service name, when there exists a 1:1 correspondence.
13. Elaborated on when a 'provisional' request should be upgraded to Permanent.

14. Clarified that since, per RFC 3986, fragment identifiers are not scheme-specific and hence are not part of the scheme definition.
15. Added text about getting early review and registration for schemes intended to become standards.
16. Added text about privacy as an example of what should be covered in security considerations in a scheme definition.
17. Clarified the role of a Designated Expert in reviewing Standards-Track registrations.

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