

Internet-Draft
Intended Status: Best Current Practice
Expires: 7 March 2016

R. Housley
Vigil Security
7 September 2015

Guidelines for Cryptographic Algorithm Agility
and Selecting Mandatory-to-Implement Algorithms
<[draft-iab-crypto-alg-agility-08.txt](#)>

Abstract

Many IETF protocols use cryptographic algorithms to provide confidentiality, integrity, authentication or digital signature. Communicating peers must support a common set of cryptographic algorithms for these mechanisms to work properly. This memo provides guidelines to ensure that protocols have the ability to migrate from one mandatory-to-implement algorithm suite to another over time.

Status of this Memo

This Internet-Draft is submitted to IETF in full conformance with the provisions of [BCP 78](#) and [BCP 79](#).

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at
<http://www.ietf.org/lid-abstracts.html>

The list of Internet-Draft Shadow Directories can be accessed at
<http://www.ietf.org/shadow.html>

Copyright and License Notice

Copyright (c) 2015 IETF Trust and the persons identified as the document authors. All rights reserved.

Guidelines for Cryptographic Algorithm Agility

September 2015

This document is subject to [BCP 78](http://trustee.ietf.org/license-info) and the IETF Trust's Legal Provisions Relating to IETF Documents (<http://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

1.	Introduction	2
1.1.	Terminology	3
2.	Algorithm Agility Guidelines	3
2.1.	Algorithm Identifiers	3
2.2.	Mandatory-to-Implement Algorithms	4
2.2.1.	Platform Specifications	5
2.2.2.	Cryptographic Key Size	5
2.2.3.	Providing Notice of Expected Changes	6
2.3.	Transition from Weak Algorithms	6
2.4.	Algorithm Transition Mechanisms	7
2.5.	Cryptographic Key Management	7
2.6.	Preserving Interoperability	8
2.7.	Balance Security Strength	9
2.8.	Balance Protocol Complexity	9
2.9.	Opportunistic Security	10
3.	Cryptographic Algorithm Specifications	10
3.1.	Choosing Mandatory-to-Implement Algorithms	10
3.2.	Too Many Choices Can Be Harmful	11
3.3.	Picking One True Cipher Suite Can Be Harmful	12
3.4.	National Cipher Suites	13
4.	Security Considerations	13
5.	IANA Considerations	16
6.	Normative References	16
7.	Informative References	16
	Acknowledgements	19
	Author's Address	19

[1.](#) Introduction

Many IETF protocols use cryptographic algorithms to provide confidentiality, integrity, authentication, or digital signature.

For interoperability, communicating peers must support a common set of cryptographic algorithms. In most cases, a combination of compatible cryptographic algorithms will be used to provide the desired security services. The set of cryptographic algorithms being used at a particular time is often referred to as a cryptographic

algorithm suite or cipher suite. In a protocol, algorithm identifiers might name a single cryptographic algorithm or a full suite of algorithms.

Cryptographic algorithms age; they become weaker with time. As new cryptanalysis techniques are developed and computing capabilities improve, the work required to break a particular cryptographic algorithm will reduce, making an attack on the algorithm more feasible for more attackers. While it is unknown how cryptoanalytic attacks will evolve, it is certain that they will get better. It is unknown how much better they will become, or when the advances will happen. Protocol designers need to assume that advances in computing power or advances in cryptoanalytic techniques will eventually make any algorithm obsolete. For this reason, protocols need mechanisms to migrate from one algorithm suite to another over time.

Algorithm agility is achieved when a protocol can easily migrate from one algorithm suite to another more desirable one, over time. For the protocol implementer, this means that implementations should be modular to easily accommodate the insertion of new algorithms or suites of algorithms. Ideally, implementations will also provide a way to measure when deployed implementations have shifted away from the old algorithms and to the better ones. For the protocol designer, algorithm agility means that one or more algorithm or suite identifiers must be supported, the set of mandatory-to-implement algorithms will change over time, and an IANA registry of algorithm identifiers will be needed.

Algorithm identifiers by themselves are not sufficient to ensure easy migration. Action by people that maintain implementations and operate services is needed to develop, deploy, and adjust configuration settings to enable the new more desirable algorithms and to deprecate or disable older, less desirable ones. For various reasons, most notably interoperability concerns, experience has shown that it has proven difficult for implementors and administrators to remove or disable weak algorithms. Further, the inability of legacy

systems and resource-constrained devices to support new algorithms adds to those concerns. As a result, people live with weaker algorithms, sometimes seriously flawed ones, well after experts recommend migration.

[1.1.](#) Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

[2.](#) Algorithm Agility Guidelines

These guidelines are for use by IETF working groups and protocol authors for IETF protocols that make use of cryptographic algorithms. Past attempts at algorithm agility have not been completely successful, and this section provides some insights from those experiences.

[2.1.](#) Algorithm Identifiers

IETF protocols that make use of cryptographic algorithms MUST support one or more algorithms or suites. The protocol MUST include a mechanism to identify the algorithm or suite that is being used. An algorithm identifier might be explicitly carried in the protocol. Alternatively, a management mechanism can be used to identify the algorithm. For example, an entry in a key table that includes a key value and an algorithm identifier might be sufficient.

If a protocol does not carry an algorithm identifier, then the protocol version number or some other major change is needed to transition from one algorithm to another. The inclusion of an algorithm identifier is a minimal step toward cryptographic algorithm agility.

Sometimes a combination of protocol version number and explicit algorithm or suite identifiers is appropriate. For example, the TLS [[RFC5246](#)] version number names the default key derivation function and the cipher suite identifier names the rest of the needed algorithms.

Some approaches carry one identifier for each algorithm that is used.

Other approaches carry one identifier for a full suite of algorithms. Both approaches are used in IETF protocols. Designers are encouraged to pick one of these approaches and use it consistently throughout the protocol or family of protocols. Suite identifiers make it easier for the protocol designer to ensure that the algorithm selections are complete and compatible for future assignments. However, suite identifiers inherently face a combinatoric explosion as new algorithms are defined. Algorithm identifiers, on the other hand, impose a burden on implementations by forcing a determination at run-time regarding which algorithm combinations are acceptable.

Regardless of the approach used, protocols historically negotiate the symmetric cipher and cipher mode together to ensure that they are compatible.

In the IPsec protocol suite, IKEv2 [[RFC7296](#)] carries the algorithm identifiers for AH [[RFC4302](#)] and ESP [[RFC4303](#)]. Such separation is a completely fine design choice. In contrast, TLS [[RFC5246](#)] carries cipher suite identifiers, which is also a completely fine design

choice.

An IANA registry SHOULD be used for these algorithm or suite identifiers. Once an algorithm identifier is added to the registry, it should not be changed or removed. However, it is desirable to mark a registry entry as deprecated when implementation is no longer advisable.

2.2. Mandatory-to-Implement Algorithms

For secure interoperability, [BCP 61](#) [[RFC3365](#)] recognizes that communicating peers that use cryptographic mechanisms must support a common set of strong cryptographic algorithms. For this reason, IETF protocols that employ cryptography MUST specify one or more strong mandatory-to-implement algorithms or suites. This does not require all deployments to use this algorithm or suite, but it does require that it be available to all deployments.

The IETF needs to be able to change the mandatory-to-implement algorithms over time. It is highly desirable to make this change without updating the base protocol specification. To achieve this goal, it is RECOMMENDED that the base protocol specification includes

a reference to a companion algorithms document, allowing the update of one document without necessarily requiring an update to the other. This division also facilitates the advancement of the base protocol specification on the standards maturity ladder even if the algorithm document changes frequently.

The IETF SHOULD keep the set of mandatory-to-implement algorithms small. To do so, the set of algorithms will necessarily change over time, and the transition SHOULD happen before the algorithms in the current set have weakened to the breaking point.

[2.2.1.](#) Platform Specifications

Note that mandatory-to-implement algorithms or suites are not specified for protocols that are embedded in other protocols; in these cases the system-level protocol specification identifies the mandatory-to-implement algorithm or suite. For example, S/MIME [[RFC5751](#)] makes use of the cryptographic message Syntax (CMS) [[RFC5652](#)], and S/MIME specifies the mandatory-to-implement algorithms, not CMS. This approach allows other protocols to make use of CMS and make different mandatory-to-implement algorithm choices.

[2.2.2.](#) Cryptographic Key Size

Some cryptographic algorithms are inherently tied to a specific key

size, but others allow many different key sizes. Likewise, some algorithms support parameters of different sizes, such as integrity check values or nonces. The algorithm specification MUST identify the specific key sizes and parameter sizes that are to be supported. When more than one key size is available, expect the mandatory-to-implement key size to increase over time.

Guidance on cryptographic key size for asymmetric keys can be found in [BCP 86](#) [[RFC3766](#)].

Guidance on cryptographic key size for symmetric keys can be found in [BCP 195](#) [[RFC7525](#)].

[2.2.3.](#) Providing Notice of Expected Changes

Fortunately, algorithm failures without warning are rare. More often, algorithm transition is the result of age. For example, the transition from DES to Triple-DES to AES took place over decades, causing a shift in symmetric block cipher strength from 56 bits to 112 bits to 128 bits. Where possible, authors SHOULD provide notice to implementers about expected algorithm transitions. One approach that was first used in [RFC 4307](#) [RFC4307] is to use SHOULD+, SHOULD-, and MUST- in the specification of algorithms.

SHOULD+ This term means the same as SHOULD. However, it is likely that an algorithm marked as SHOULD+ will be promoted to a MUST in the future.

SHOULD- This term means the same as SHOULD. However, it is likely that an algorithm marked as SHOULD- will be deprecated to a MAY or worse in the future.

MUST- This term means the same as MUST. However, it is expected that an algorithm marked as MUST- will be downgraded in the future. Although the status of the algorithm will be determined at a later time, it is reasonable to expect that the status of a MUST- algorithm will remain at least a SHOULD or a SHOULD-.

[2.3.](#) Transition from Weak Algorithms

Transition from an old algorithm that is found to be weak can be tricky. It is of course straightforward to specify the use of a new, better algorithm. And then, when the new algorithm is widely deployed, the old algorithm ought no longer be used. However, knowledge about the implementation and deployment of the new algorithm will always be imperfect, so one cannot be completely assured of interoperability with the new algorithm.

Algorithm transition is naturally facilitated as part of an algorithm selection or negotiation mechanism. Protocols traditionally select the best algorithm or suite that is supported by all communicating peers and acceptable by their policies. In addition, a mechanism is needed to determine whether the new algorithm has been deployed. For example, S/MIMECapabilities [RFC5751] allows S/MIME mail user agents to share the list of algorithms that they are willing to use in preference order. For another example, the DNSSEC EDNS0 option

[RFC6975] measures the acceptance and use of new digital signing algorithms.

In the Resource Public Key Infrastructure (RPKI), a globally-recognized digital signature is needed. [BCP 182](#) [RFC6916] provides an approach to transition where a second signature algorithm is introduced and then the original one is phased out.

In the worst case, the old algorithm may be found to be tragically flawed, permitting a casual attacker to download a simple script to break it. Sadly, this has happened when a secure algorithm is used incorrectly or used with poor key management, resulting in a weak cryptographic algorithm suite. In such situations, the protection offered by the algorithm is severely compromised, perhaps to the point that one wants to stop using the weak suite altogether, rejecting offers to use the weak suite well before the new suite is widely deployed.

In any case, there comes a point in time where one refuses to use the old, weak algorithm or suite. This can happen on a flag day, or each installation can select a date on their own.

[2.4.](#) Algorithm Transition Mechanisms

Cryptographic algorithm selection or negotiation SHOULD be integrity protected. If selection is not integrity protected, then the protocol will be subject to a downgrade attack. Without integrity protection of algorithm or suite selection, the attempt to transition to a new algorithm or suite may introduce new opportunities for downgrade attacks.

Transition mechanisms need to consider the algorithm that is used to provide integrity protection for algorithm negotiation itself.

If a protocol specifies a single mandatory-to-implement integrity algorithm, eventually that algorithm will be found to be weak.

Extra care is needed when a mandatory-to-implement algorithm is used to provide integrity protection for the negotiation of other cryptographic algorithms. In this situation, a flaw in the

the choices of the other algorithms.

[2.5.](#) Cryptographic Key Establishment

Traditionally, protocol designers have avoided more than one approach to exchanges that establish cryptographic keys because it makes the security analysis of the overall protocol more difficult. When frameworks such as EAP [[RFC3748](#)] and SASL [[RFC4422](#)] are employed, key establishment is very flexible, often hiding many of the details from the application. This results in protocols that support multiple key establishment approaches. In fact, the key establishment approach itself is negotiable, which creates a design challenge to protect the negotiation of the key establishment approach before it is used to produce cryptographic keys.

Protocols can negotiate a key establishment approach, derive an initial cryptographic key, and then authenticate the negotiation. However, if the authentication fails, the only recourse is to start the negotiation over from the beginning.

Some environments will restrict the key establishment approaches by policy. Such policies tend to improve interoperability within a particular environment, but they cause problems for individuals that need to work in multiple incompatible environments.

[2.6.](#) Preserving Interoperability

Cryptographic algorithm deprecation is very difficult. People do not like to introduce interoperability problems, even to preserve security. As a result, flawed algorithms are supported for far too long. The impact of legacy software and long support tails on security can be reduced by making it easy to transition from old algorithms and suites to new ones. Social pressure is often needed to cause the transition to happen.

Implementers have been reluctant to remove deprecated algorithms or suites from server software, and server administrators have been reluctant to disable them over concerns that some party will no longer have the ability to connect to their server. Implementers and administrators want to improve security by using the best supported algorithms, but their actions are tempered by the desire to preserve connectivity. Recently, some browser vendors have started to provide visual warnings when a deprecated algorithm or suite is used. These visual warnings provide a new incentive to transition away from deprecated algorithms and suites, prompting customers to ask for improved security.

Transition in Internet infrastructure is particularly difficult. The digital signature on the certificate for an intermediate certification authority (CA) [[RFC5280](#)] is often expected to last decades, which hinders the transition away from a weak signature algorithm or short key length. Once a long-lived certificate is issued with a particular signature algorithm, that algorithm will be used by many relying parties, and none of them can stop supporting it without invalidating all of the subordinate certificates. In a hierarchical system, many subordinate certificates could be impacted by the decision to drop support for a weak signature algorithm or an associated hash function.

Organizations that have a significant influence can assist by coordinating the demise of an algorithm suite, making the transition easier for their own users as well as others.

[2.7](#). Balance Security Strength

When selecting or negotiating a suite of cryptographic algorithms, the strength of each algorithm SHOULD be considered. The algorithms in a suite SHOULD be roughly equal by providing comparable best known attack work factors. However, the security service provided by each algorithm in a particular context needs to be considered when making the selection. Algorithm strength needs to be considered at the time a protocol is designed. It also needs to be considered at the time a protocol implementation is deployed and configured. Advice from experts is useful, but in reality, such advice is often unavailable to system administrators that are deploying a protocol implementation. For this reason, protocol designers SHOULD provide clear guidance to implementors, leading to balanced options being available at the time of deployment.

Performance is always a factor in selecting cryptographic algorithms. Performance and security need to be balanced. Some algorithms offer flexibility in their strength by adjusting the key size, number of rounds, authentication tag size, prime group size, and so on. For example, TLS cipher suites include Diffie-Hellman or RSA without specifying a particular public key length. If the algorithm identifier or suite identifier named a particular public key length, migration to longer ones would be more difficult. On the other hand, inclusion of a public key length would make it easier to migrate away from short ones when computational resources available to attacker dictate the need to do so. The flexibility on asymmetric key length has led to interoperability problems, and to avoid these problems in the future any aspect of the algorithm not specified by the algorithm identifiers need to be negotiated, including key size and parameters.

In CMS [[RFC5652](#)], a previously distributed symmetric key-encryption

key can be used to encrypt a content-encryption key, which in turn is used to encrypt the content. The key-encryption and content-encryption algorithms are often different. If, for example, a message content is encrypted with 128-bit AES key and the content-encryption key is wrapped with a 256-bit AES key, then at most 128 bits of protection is provided. In this situation, the algorithm and key size selections should ensure that the key encryption is at least as strong as the content encryption. In general, wrapping one key with another key of a different size yields the security strength of the shorter key.

[2.8.](#) Balance Protocol Complexity

Protocol designs need to anticipate changes in the supported cryptographic algorithm set over time. There are a number of ways to enable the transition, and [Section 3](#) discusses some of the related issues.

Keep implementations as simple as possible. Complex protocol negotiation provides opportunities for attack, such as downgrade attacks. Support for many algorithm alternatives is also harmful. Both of these can lead to portions of the implementation that are rarely used, increasing the opportunity for undiscovered exploitable implementation bugs.

[2.9.](#) Opportunistic Security

Despite the guidance in [Section 2.4](#), opportunistic security [[RFC7435](#)] also deserves consideration, especially at the time a protocol implementation is deployed and configured. Using algorithms that are weak against advanced attackers but sufficient against others is one way to make pervasive surveillance significantly more difficult. As a result, algorithms that would not be acceptable in many negotiated situations are acceptable for opportunistic security when legacy systems are in use for unauthenticated encrypted sessions as discussed in [Section 3 of \[RFC7435\]](#) as long as their use does not facilitate downgrade attacks. Similarly, weaker algorithms and shorter key sizes are also acceptable for opportunistic security with the same constraints. That said, the use of strong algorithms is

always preferable.

[3.](#) Cryptographic Algorithm Specifications

There are tradeoffs between the number of cryptographic algorithms that are supported and the time to deploy a new algorithm. This section provides some of the insights about the tradeoff faced by protocol designers.

Ideally, two independent sets of mandatory-to-implement algorithms will be specified, allowing for a primary suite and a secondary suite. This approach ensures that the secondary suite is widely deployed if a flaw is found in the primary one.

[3.1.](#) Choosing Mandatory-to-Implement Algorithms

It may seem as if the ability to use an algorithm of one's own choosing is very desirable; however, the selection is often better left to experts. When there are choices, end-users might select between configuration profiles that have been defined by experts. Further, experts need not specify each and every cryptographic algorithm alternative. Specifying all possible choices will not lead to them all being available in every implementation. Mandatory-to-implement algorithms MUST have a stable public specification and public documentation that has been well studied, giving rise to significant confidence. The IETF has always had a preference for unencumbered algorithms. There are significant benefits in selecting algorithms and suites that are widely deployed. The selected algorithms need to be resistant to side-channel attacks and also meet the performance, power, and code size requirements on a wide variety of platforms. In addition, inclusion of too many alternatives may add complexity to algorithm selection or negotiation. Specification of too many alternatives will likely hamper interoperability and may hamper security as well. When specifying new algorithms or suites, protocol designers would be prudent to consider whether existing ones can be deprecated.

There is significant benefit in selecting the same algorithms and suites for different protocols. Using the same algorithms can simplify implementation when more than one of the protocols is used in the same device or system.

Sometimes more than one mandatory-to-implement algorithm is needed to increase the likelihood of interoperability among a diverse population. For example, authenticated encryption is provided by AES-CCM [[RFC3610](#)] and AES-GCM [[GCM](#)]. Both of these algorithms are considered to be secure. AES-CCM is available in hardware used by many small devices, and AES-GCM is parallelizable and well suited high-speed devices. Therefore an application needing authenticated encryption might specify one of these algorithms or both of these algorithms, depending on the population.

[3.2.](#) Too Many Choices Can Be Harmful

It is fairly easy to specify the use of any arbitrary cryptographic algorithm, and once the specification is available, the algorithm gets implemented and deployed. Some people say that the freedom to

specify algorithms independently from the rest of the protocol has lead to the specification of too many cryptographic algorithms. Once deployed, even with moderate uptake, it is quite difficult to remove algorithms because interoperability with some party will be impacted. As a result, weaker ciphers stick around far too long. Sometimes implementors are forced to maintain cryptographic algorithm implementations well beyond their useful lifetime.

In order to manage the proliferation of algorithm choices and provide an expectation of interoperability, many protocols specify mandatory-to-implement algorithms or suites. All implementors are expected to support the mandatory-to-implement cryptographic algorithm, and they can include any others algorithms that they desire. The mandatory-to-implement algorithms are chosen to be highly secure and follow the guidance in [RFC 1984](#) [[RFC1984](#)]. Of course, many other factors, including intellectual property rights, have an impact on the cryptographic algorithms that are selected by the community. Generally, the mandatory-to-implement algorithms ought to be preferred, and the other algorithms ought to be selected only in special situations. However, it can be very difficult for a skilled system administrator to determine the proper configuration to achieve these preferences.

In some cases, more than one mandatory-to-implement cryptographic algorithm has been specified. This is intended to ensure that at

least one secure cryptographic algorithm will be available, even if other mandatory-to-implement algorithms are broken. To achieve this goal, the selected algorithms must be diverse, so that a cryptanalytic advance against one of the algorithms does not also impact the other selected algorithms. The idea is to have an implemented and deployed algorithm as a fallback. However, all of the selected algorithms need to be routinely exercised to ensure quality implementation. This is not always easy to do, especially if the various selected algorithms require different credentials. Obtaining multiple credentials for the same installation is an unacceptable burden on system administrators. Also, the manner by which system administrators are advised to switch algorithms or suites is at best ad hoc, and at worst entirely absent.

[3.3.](#) Picking One True Cipher Suite Can Be Harmful

In the past, protocol designers have chosen one cryptographic algorithm or suite, and then tied many protocol details to that selection. Plan for algorithm transition, either because a mistake is made in the initial selection or because the protocol is successfully used for a long time and the algorithm becomes weak with age. Either way, the design should enable transition.

Protocol designers are sometimes misled by the simplicity that results from selecting one true algorithm or suite. Since algorithms age, the selection cannot be stable forever. Even the most simple protocol needs a version number to signal which algorithm is being used. This approach has at least two desirable consequences. First, the protocol is simpler because there is no need for algorithm negotiation. Second, system administrators do not need to make any algorithm-related configuration decisions. However, the only way to respond to news that the an algorithm that is part of the one true cipher suite has been broken is to update the protocol specification to the next version, implement the new specification, and then get it deployed.

The first IEEE 802.11 [\[WiFi\]](#) specification included Wired Equivalent Privacy (WEP) as the only encryption technique. Many of the protocol details were driven by the selected algorithm. WEP was found to be quite weak [\[WEP\]](#), and a very large effort was needed to specify, implement, and deploy the alternative encryption techniques. This

effort was made even harder by the protocol design choices that were tied to the initial algorithm selection and the desire for backward compatibility.

Experience with the transition from SHA-1 to SHA-256 indicates that the time from protocol specification to widespread use takes more than five years. In this case, the protocol specifications and implementation were straightforward and fairly prompt. In many software products, the new algorithm was not considered an update to existing release, so the roll-out of the next release, subsequent deployment, and finally adjustment of the configuration by system administrators took many years. In many consumer hardware products, firmware to implement the new algorithm were difficult to locate and install, or the were simply not available. Further, infrastructure providers were unwilling to make the transition until all of their potential clients were able to use the new algorithm.

[3.4.](#) National Cipher Suites

Some nations specify cryptographic algorithms, and then require their use through legislation or regulations. These algorithms may not have wide public review, and they can have limited reach of deployments. Yet, the legislative or regulatory mandate creates a captive market. As a result, the use of such algorithms will get specified, implemented, and deployed. The default server or responder configuration SHOULD disable such algorithms; in this way, explicit action by the system administrator is needed to enable them where they are actually required. For tiny devices with no user interface, an administrator action may only be possible at the time the device is purchased.

National algorithms can force an implementer to produce several incompatible product releases for a different countries or regions, which has significantly greater cost over development of a product using a globally-acceptable algorithm. This situation could be even worse if the various national algorithms impose different requirements on the protocol, its key management, or its use of random values.

[4.](#) Security Considerations

This document provides guidance to working groups and protocol

designers. The security of the Internet is improved when broken or weak cryptographic algorithms can be easily replaced with strong ones.

From a software development and maintenance perspective, cryptographic algorithms can often be added and removed without making changes to surrounding data structures, protocol parsing routines, or state machines. This approach separates the cryptographic algorithm implementation from the rest of the code, which makes it easier to tackle special security concerns such as key exposure and constant-time execution.

Sometimes application layer protocols can make use of transport layer security protocols, such as TLS [[RFC5246](#)] or DTLS [[RFC6347](#)]. This insulates the application layer protocol from the details of cryptography, but it is likely to still be necessary to handle the transition from unprotected traffic to protected traffic in the application layer protocol. In addition, the application layer protocol may need to handle the downgrade from encrypted communication to plaintext communication.

Hardware offers challenges in the transition of algorithms, for both tiny devices and very high-end data center equipment. Many tiny devices do not include the ability to update the firmware at all. Even if the firmware can be updated, tiny devices are often deployed in places that make it very inconvenient to do so. High-end data center equipment may use special-purpose chips to achieve very high performance, which means that board-level replacement may be needed to change the algorithm. Cost and down-time are both factors in such an upgrade.

In most cases, the cryptographic algorithm remains strong, but an attack is found against the way that the strong algorithm is used in a particular protocol. In these cases, a protocol change will probably be needed. For example, the order of cryptographic operations in the TLS protocol has evolved as various attacks have been discovered. Originally, TLS performed encryption after

computation of the message authentication code (MAC). This order of operations is called MAC-then-encrypt, which actually involves MAC computation, padding, and then encryption. This is no longer considered secure [[BN](#)][K]. As a result, a mechanism was specified to

use encrypt-then-MAC instead [[RFC7366](#)]. Future versions of TLS are expected to use exclusively authenticated encryption algorithms [[RFC5116](#)], which should resolve the ordering discussion altogether. After discovery of such attacks, updating the cryptographic algorithms is not likely to be sufficient to thwart the new attack. It may necessary to make significant changes to the protocol.

Some protocols are used to protect stored data. For example, S/MIME [[RFC5751](#)] can protect a message kept in a mailbox. To recover the protected stored data, protocol implementations need to support older algorithms, even when they no longer use the older algorithms for the protection of new stored data.

Support for too many algorithms can lead to implementation vulnerabilities. When many algorithms are supported, some of them will be rarely used. Any code that is rarely used can contain undetected bugs, and algorithm implementations are no different. Measurements SHOULD be used to determine whether implemented algorithms are actually being used, and if they are not, future releases should remove them. In addition, unused algorithms or suites SHOULD be marked as deprecated in the IANA registry. In short, eliminate the cruft.

[Section 2.3](#) talks about algorithm transition without considering any other aspects of the protocol design. In practice, there are dependencies between the cryptographic algorithm and other aspects of the protocol. For example, the BEAST attack [[BEAST](#)] against TLS [[RFC5246](#)] caused many sites to turn off modern cryptographic algorithms in favor of older and clearly weaker algorithms.

Mechanisms for timely update of devices are needed to deploy a replacement algorithm or suite. It takes a long time to specify, implement, and deploy a replacement, therefore the transition process needs to begin when practically exploitable flaws become known. The update processes on some devices involve certification, which further increases the time to deploy a replacement. For example, devices that are part of health or safety systems often require certification before deployment. Embedded systems and SCADA systems often have upgrade cycles stretching over many years, leading to similar time to deployment issues. Prompt action is needed if a replacement has any hope of being deployed before exploitation techniques become widely available exploits.

[5.](#) IANA Considerations

This document does not establish any new IANA registries, nor does it add any entries to existing registries.

This document does RECOMMEND a convention for new registries for cryptographic algorithm or suite identifiers. Once an algorithm or suite identifier is added to the registry, it SHOULD NOT be changed or removed. However, it is desirable to include a means of marking a registry entry as deprecated when implementation is no longer advisable.

6. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), March 1997.
- [RFC3766] Orman, H. and P. Hoffman, "Determining Strengths For Public Keys Used For Exchanging Symmetric Keys", [BCP 86](#), [RFC 3766](#), April 2004.

7. Informative References

- [BEAST] http://en.wikipedia.org/wiki/Transport_Layer_Security#BEAST_attack.
- [BN] Bellare, M. and C. Namprempe, "Authenticated Encryption: Relations among notions and analysis of the generic composition paradigm", Proceedings of AsiaCrypt '00, Springer-Verlag LNCS No. 1976, p. 531, December 2000.
- [GCM] Dworkin, M., "Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC", NIST Special Publication 800-38D, November 2007.
- [K] Krawczyk, H., "The Order of Encryption and Authentication for Protecting Communications (or: How Secure Is SSL?)", Proceedings of Crypto '01, Springer-Verlag LNCS No. 2139, p. 310, August 2001.
- [RFC1984] IAB and IESG, "IAB and IESG Statement on Cryptographic Technology and the Internet", [RFC 1984](#), August 1996.
- [RFC3365] Schiller, J., "Strong Security Requirements for Internet Engineering Task Force Standard Protocols", [BCP 61](#), [RFC 3365](#), August 2002.
- [RFC3610] Whiting, D., Housley, R., and N. Ferguson, "Counter with CBC-MAC (CCM)", [RFC 3610](#), September 2003.

Guidelines for Cryptographic Algorithm Agility

September 2015

- [RFC3748] Aboba, B., Blunk, L., Vollbrecht, J., Carlson, J., and H. Levkowitz, "Extensible Authentication Protocol (EAP)", [RFC 3748](#), June 2004.
- [RFC4302] Kent, S., "IP Authentication Header", [RFC 4302](#), December 2005.
- [RFC4303] Kent, S., "IP Encapsulating Security Payload (ESP)", [RFC 4303](#), December 2005.
- [RFC4307] Schiller, J., "Cryptographic Algorithms for Use in the Internet Key Exchange Version 2 (IKEv2)", [RFC 4307](#), December 2005.
- [RFC4422] Melnikov, A., and K. Zeilenga, "Simple Authentication and Security Layer (SASL)", [RFC 4422](#), June 2006.
- [RFC5116] McGrew, D., "An Interface and Algorithms for Authenticated Encryption", [RFC 5116](#), January 2008.
- [RFC5246] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.2", [RFC 5246](#), August 2008.
- [RFC5280] Cooper, D., Santesson, S., Farrell, S., Boeyen, S., Housley, R., and W. Polk, "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", [RFC 5280](#), May 2008.
- [RFC5652] Housley, R., "Cryptographic Message Syntax (CMS)", STD 70, [RFC 5652](#), September 2009.
- [RFC5751] Ramsdell, B. and S. Turner, "Secure/Multipurpose Internet Mail Extensions (S/MIME) Version 3.2 Message Specification", [RFC 5751](#), January 2010.
- [RFC6347] Rescorla, E., and N. Modadugu, "Datagram Transport Layer Security Version 1.2", [RFC 6347](#), January 2012.
- [RFC6916] Gagliano, R., Kent, S., and S. Turner, "Algorithm Agility Procedure for the Resource Public Key Infrastructure

(RPKI)", [BCP 182](#), [RFC 6916](#), April 2013.

[RFC6975] Crocker, S. and S. Rose, "Signaling Cryptographic Algorithm Understanding in DNS Security Extensions (DNSSEC)", [RFC 6975](#), July 2013.

[RFC7296] Kaufman, C., Hoffman, P., Nir, Y., Eronen, P., and T. Kivinen, "Internet Key Exchange Protocol Version 2

Housley

[Page 17]

Guidelines for Cryptographic Algorithm Agility

September 2015

(IKEv2)", STD 79, [RFC 7296](#), October 2014.

[RFC7366] Gutmann, P., "Encrypt-then-MAC for Transport Layer Security (TLS) and Datagram Transport Layer Security (DTLS)", [RFC 7366](#), September 2014.

[RFC7435] Dukhovni, V., "Opportunistic Security: Some Protection Most of the Time", [RFC 7435](#), December 2014.

[RFC7525] Sheffer, Y., Holz, R., and P. Saint-Andre, "Recommendations for Secure Use of Transport Layer Security (TLS) and Datagram Transport Layer Security (DTLS)", [RFC 7525](#), [BCP 195](#), May 2015.

[WEP] http://en.wikipedia.org/wiki/Wired_Equivalent_Privacy

[WiFi] IEEE , "Wireless LAN Medium Access Control (MAC) And Physical Layer (PHY) Specifications, IEEE Std 802.11-1997, 1997.

Acknowledgements

Thanks to Bernard Aboba, Derek Atkins, David Black, Randy Bush, Jon Callas, Andrew Chi, Steve Crocker, Viktor Dukhovni, Stephen Farrell, Tony Finch, Ian Grigg, Peter Gutmann, Wes Hardaker, Joe Hildebrand, Paul Hoffman, Phillip Hallam-Baker, Christian Huitema, Leif Johansson, Suresh Krishnan, Watson Ladd, Paul Lambert, Ben Laurie, Eliot Lear, Nikos Mavrogianopoulos, Kathleen Moriarty, Yoav Nir, Kenny Paterson, Rich Salz, Wendy Seltzer, Joel Sing, Rene Struik, Kristof Teichel, Martin Thompson, Jeffrey Walton, Nico Williams, and Peter Yee for their review and insightful comments. While some of these people do not agree with some aspects of this document, the discussion that resulted for their comments has certainly resulted in

a better document.

Author's Address

Russ Housley
Vigil Security, LLC
918 Spring Knoll Drive
Herndon, VA 20170
USA
EMail: housley@vigilsec.com