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Cisco Lawful Intercept Control MIB draft-baker-slem-mib-00

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Abstract

Ths document describes an SNMP V3 MIB for controlling the Lawful Intercept architecture described in the associated document. Any comments on this document should be sent to: li-comment@external.cisco.com

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1. Introduction

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to <u>section 7 of</u> <u>RFC 3410</u> [5].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies a MIB module that is compliant to the SMIv2, which is described in STD 58, <u>RFC 2578 [1]</u>, STD 58, <u>RFC 2579 [2]</u>, and STD 58, <u>RFC 2580 [3]</u>.

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2. Theory of Operations

The essential information described in the Lawful Intercept MIB is the relationship between the Mediation Device and the Intercept Access Point, and the data which is diverted into that connection.

<u>2.1</u> Mediation Device Sessions

The Mediation Device, or MD, is, simply, the device which serves as a formal interface between the parties imposing the intercept and the network in which the intercept occurs. It is operated by a trusted administration, by definition, and has the responsibilities of

- Configuring Intercept Access Points (IAP, usually routers and switches) to intercept data to it,
- o Accepting that data,
- Selecting a subset of the data to report to the appropriate authority, and
- o Delivering the data to the authority.

Each such session represents a separate and identifiable data stream, such as the traffic to and from a particular subscriber. If there are multiple intercepts in place for multiple agencies but requesting the same data, it is preferable that the Mediation Device program the Intercept Access Point to intercept the data once, and have the Mediation Device deliver separate copied to the various agencies. However, it is imaginable that the data streams would be sufficiently different that it is simpler to understand them as separate intercept orders.

A note on transports is in order. There are a number of ways to convey information from an intercepting device to the Mediation Device. One could simply dump Ethernet traffic onto a dedicated Ethernet port, encapsulate in UDP, encapsulate in UDP per the PacketCable specification, encapsulate in TCP or some other "normal" transport, or something else. One that Cisco has looked at closely is the use of the Nack-Oriented Retransmission feature of RTP, being discussed in the IETF. When standardized, this has the relatively nice attributes of being able to reliably deliver an intercepted data stream to a Mediation Device without many of the overheads or start-up issues of a TCP session.

The key attributes of a session between a Mediation Device and an Intercept Access Point are:

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- Content ID: An identifier for the MD<->IAP Session.
- Destination Address Type: The type of address for the MD (IPv4 or IPv6).
- Destination Address: The address of the MD.
- Destination Port: The UDP port number to which data is sent.
- Source Interface: The interface (hardware and address) the IAP will use to transmit the data.
- RTCP Port: If RTP NOR is used (future), the port number used for RTCP messages
- DSCP: The DSCP that intercept data will carry.
- Data Stream Type: If RTP NOR is used (future), the data type for data.
- Retransmission Stream Type: If RTP NOR is used (future), the data type for retransmissions.
- Time-out: The interval after which a session is dropped if communication to the MD is lost.
- Transport: The transport protocol used for intercepted data.
- Notification Enable: Whether notifications are in use for this session.
- Status: Controls to activate and de-activate sessions with the Mediation Device.

2.2 Intercepted Data Streams

The data stream intercepted to the MD on a particular IAP must be specified. Depending on the relevant law and warrant, it may be necessary to intercept all data on a specified interface, all IP or Ethernet data to or from a specified address, or something as specific as a single voice out of a teleconference. The tables which describe this data are referred to as "stream tables". In this MIB, we show a stream table for IP traffic and a stream table for Ethernet traffic; other stream tables are possible as well. The key elements of every stream table are:

- Content ID: The Content ID of the Session with the MD that this data stream is associated with.
- Index: An enumeration of the data stream itself (there might be several).
- N-Tuple: Parameters that permit selection of the data stream according to the relevant architecture.
- Intercept Enable: It may be appropriate to enable and disable interception of a given data stream.
- Intercepted packet counter: Counts packets intercepted in this data stream.
- Intercepted Packet Drops: Counts packets that matched the criterion but could not be intercepted.

Status: Controls to activate and de-activate streams.

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3. The Management Information Base

```
-- CISCO-TAP-MIB.my: Cisco intercept ("tap") MIB
- -
-- December 2001, Fred Baker
-- July 2002, Edward Pham
- -
-- Copyright (c) 2001-2002 by Cisco Systems, Inc.
-- All rights reserved.
-- $Log:
- -
-- $Endlog$
- -
CISCO-TAP-MIB DEFINITIONS ::= BEGIN
IMPORTS
      MODULE-IDENTITY,
      OBJECT-TYPE,
      NOTIFICATION-TYPE,
      Integer32,
      Unsigned32
            FROM SNMPv2-SMI
      MODULE-COMPLIANCE,
      OBJECT-GROUP,
      NOTIFICATION-GROUP
            FROM SNMPv2-CONF
      InetAddressType,
      InetAddress,
      InetAddressPrefixLength,
      InetPortNumber
            FROM INET-ADDRESS-MIB
      RowStatus,
      TruthValue,
      DateAndTime,
      MacAddress
            FROM SNMPv2-TC
      SnmpAdminString
            FROM SNMP-FRAMEWORK-MIB
      InterfaceIndexOrZero
            FROM IF-MIB
      Dscp
            FROM CISCO-QOS-PIB-MIB
```

ciscoMgmt FROM CISCO-SMI; cTapMIB MODULE-IDENTITY LAST-UPDATED "200207250000Z" ORGANIZATION "Cisco Systems, Inc." CONTACT-INFO 11 Cisco Systems Customer Service Postal:170 W. Tasman Drive San Jose, CA 95134 USA Tel:+1 800 553-NETS E-mail:li-comment@cisco.com" DESCRIPTION "This module manages Cisco's intercept feature." "200207250000Z" REVISION DESCRIPTION "Initial version of this MIB module." ::= { ciscoMgmt 252 } cTapMIBNotifications OBJECT IDENTIFIER ::= { cTapMIB 0 } cTapMIBObjects OBJECT IDENTIFIER ::= { cTapMIB 1 } cTapMIBConformance OBJECT IDENTIFIER ::= { cTapMIB 2 } cTapMediationGroup OBJECT IDENTIFIER ::= { cTapMIBObjects 1 } cTapStreamGroup OBJECT IDENTIFIER ::= { cTapMIBObjects 2 } cTapDebugGroup OBJECT IDENTIFIER ::= { cTapMIBObjects 3 } -- cTapMediationNewIndex is defined to allow a network manager -- to create a new Mediation Table entry and its corresponding -- Stream Table entries without necessarily knowing what other -- entries might exist.

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cTapMediationNewIndex OBJECT-TYPE

SYNTAX Integer32 (1..2147483647)
MAX-ACCESS read-only
STATUS current
DESCRIPTION
 "This object contains a value which may be used as an index
 value for a new cTapMediationEntry. Whenever read, the agent

value for a new clapmediationEntry. whenever read, the agent will change the value to a new non-conflicting value. This is to reduce the probability of errors during creation of new cTapMediationTable entries."

::= { cTapMediationGroup 1 }

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```
-- The Tap Mediation Table lists the applications, by address and
-- port number, to which traffic may be intercepted. These may be
-- on the same or different Mediation Devices.
cTapMediationTable OBJECT-TYPE
               SEQUENCE OF CTapMediationEntry
    SYNTAX
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "This table lists the Mediation Devices with which the
        intercepting device communicates. These may be on the same or
        different Mediation Devices.
        This table is written by the Mediation Device, and is always
       volatile. This is because intercepts may disappear during a
        restart of the intercepting equipment."
     ::= { cTapMediationGroup 2 }
cTapMediationEntry OBJECT-TYPE
    SYNTAX
               CTapMediationEntry
    MAX-ACCESS not-accessible
    STATUS
               current
    DESCRIPTION
        "The entry describes a single session maintained with an
        application on a Mediation Device."
    INDEX
                { cTapMediationContentId }
     ::= { cTapMediationTable 1 }
CTapMediationEntry ::= SEQUENCE {
        cTapMediationContentId
                                        Integer32,
        cTapMediationDestAddressType
                                        InetAddressType,
        cTapMediationDestAddress
                                        InetAddress,
        cTapMediationDestPort
                                        InetPortNumber,
        cTapMediationSrcInterface
                                        InterfaceIndexOrZero,
        cTapMediationRtcpPort
                                        InetPortNumber,
        cTapMediationDscp
                                        Dscp,
        cTapMediationDataType
                                        Integer32,
        cTapMediationRetransmitType
                                        Integer32,
        cTapMediationTimeout
                                        DateAndTime,
        cTapMediationTransport
                                        INTEGER,
        cTapMediationNotificationEnable TruthValue,
        cTapMediationStatus
                                        RowStatus
}
cTapMediationContentId OBJECT-TYPE
    SYNTAX Integer32 (1..2147483647)
    MAX-ACCESS not-accessible
```

STATUS current

```
DESCRIPTION
        "cTapMediationContentId is a session identifier, from the
        intercept application's perspective, and a content identifier
        from the Mediation Device's perspective. The Mediation Device
        is responsible for making sure these are unique, although the
       SNMP RowStatus row creation process will help by not allowing
        it to create conflicting entries. Before creating a new entry,
        a value for this variable may be obtained by reading
       cTapMediationNewIndex to reduce the probability of a value
       collision."
     ::= { cTapMediationEntry 1 }
cTapMediationDestAddressType OBJECT-TYPE
               InetAddressType
    SYNTAX
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The type of cTapMediationDestAddress."
     ::= { cTapMediationEntry 2 }
cTapMediationDestAddress OBJECT-TYPE
    SYNTAX
               InetAddress
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The IP Address of the Mediation Device's network interface
        to which to direct intercepted traffic."
     ::= { cTapMediationEntry 3 }
cTapMediationDestPort OBJECT-TYPE
    SYNTAX
               InetPortNumber
    MAX-ACCESS read-create
    STATUS
               current
     DESCRIPTION
        "The port number on the Mediation Device's network interface
        to which to direct intercepted traffic."
     ::= { cTapMediationEntry 4 }
cTapMediationSrcInterface OBJECT-TYPE
    SYNTAX
               InterfaceIndex0rZero
    MAX-ACCESS read-create
    STATUS current
    DESCRIPTION
        "The interface on the intercepting device from which to
        transmit intercepted data. If zero, any interface may be used
        according to normal IP practice."
     ::= { cTapMediationEntry 5 }
```

```
cTapMediationRtcpPort OBJECT-TYPE
    SYNTAX
               InetPortNumber
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
        "The port number on the intercepting device to which the
       Mediation Devices directs RTCP Receiver Reports and Nacks.
        This object is only relevant when the value of
        cTapMediationTransport is 'rtpNack'.
        This port is assigned by the intercepting device, rather than
        by the Mediation Device or manager application. The value of
        this MIB object has no effect before activating the
        cTapMediationEntry."
    ::= { cTapMediationEntry 6 }
cTapMediationDscp OBJECT-TYPE
    SYNTAX
               Dscp
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The Differentiated Services Code Point the intercepting
        device applies to the IP packets encapsulating the
        intercepted traffic."
    DEFVAL { 34 }
                     -- by default, AF41, code 100010
     ::= { cTapMediationEntry 7 }
cTapMediationDataType OBJECT-TYPE
    SYNTAX
               Integer32 (0..127)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "If RTP with Ack/Nack resilience is selected as a transport,
        the mediation process requires an RTP payload type for data
        transmissions, and a second RTP payload type for
        retransmissions. This is the RTP payload type for
        transmissions.
        This object is only effective when the value of
        cTapMediationTransport is 'rtpNack'."
    DEFVAL { 0 }
     ::= { cTapMediationEntry 8 }
cTapMediationRetransmitType OBJECT-TYPE
    SYNTAX
               Integer32 (0..127)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
```

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```
"If RTP with Ack/Nack resilience is selected as a transport,
        the mediation process requires an RTP payload type for data
        transmissions, and a second RTP payload type for
        retransmissions. This is the RTP payload type for
        retransmissions.
       This object is only effective when the value of
       cTapMediationTransport is 'rtpNack'."
    DEFVAL { 0 }
     ::= { cTapMediationEntry 9 }
cTapMediationTimeout OBJECT-TYPE
    SYNTAX
               DateAndTime
    MAX-ACCESS read-create
    STATUS current
    DESCRIPTION
       "The time at which this row and all related Stream Table rows
       should be automatically removed, and the intercept function
       cease. Since the initiating network manager may be the only
       device able to manage a specific intercept or know of its
       existence, this acts as a fail-safe for the failure or removal
       of the network manager. The object is only effective when the
       value of cTapMediationStatus is 'active'."
     ::= { cTapMediationEntry 10 }
cTapMediationTransport OBJECT-TYPE
    SYNTAX
               INTEGER {
                           udp(1),
                           rtpNack(2),
                           tcp(3),
                           sctp(4)
                }
    MAX-ACCESS read-create
    STATUS
            current
    DESCRIPTION
       "The protocol used in transferring intercepted data to the
       Mediation Device. The following protocols may be supported:
                  udp:
                          PacketCable udp format
                   rtpNack: RTP with Nack resilience
                         TCP with head of line blocking
                   tcp:
                   sctp:
                           SCTP with head of line blocking "
     ::= { cTapMediationEntry 11 }
cTapMediationNotificationEnable OBJECT-TYPE
    SYNTAX
               TruthValue
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
```

```
"This variable controls the generation of any notifications or
        informs by the MIB agent for this table entry."
    DEFVAL { true }
     ::= { cTapMediationEntry 12 }
cTapMediationStatus OBJECT-TYPE
    SYNTAX
               RowStatus
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
       "The status of this conceptual row. This object is used to
       manage creation, modification and deletion of rows in this
        table.
       cTapMediationTimeout may be modified at any time (even while the
        row is active). But when the row is active, the other writable
        objects may not be modified without setting its value to
        'notInService'.
        The entry may not be deleted or deactivated by setting its
        value to 'destroy' or 'notInService' if there is any associated
        entry in cTapStreamIpTable, or other such tables when such are
       defined."
     ::= { cTapMediationEntry 13 }
-- cTapMediationCapabilities
cTapMediationCapabilities OBJECT-TYPE
    SYNTAX
                BITS {
                         ipV4SrcInterface(0),
                         ipV6SrcInterface(1),
                         udp(2),
                         rtpNack(3),
                         tcp(4),
                         sctp(5)
                     }
    MAX-ACCESS read-only
               current
    STATUS
    DESCRIPTION
         "This object displays the device capabilities with respect to
         certain fields in Mediation Device table. This may be dependent
         on hardware capabilities, software capabilities.
         The following values may be supported:
             ipV4SrcInterface: SNMP ifIndex Value may be used to select
                                the interface (denoted by
                                cTapMediationSrcInterface) on the
```

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intercepting device from which to transmit intercepted data to an IPv4 address Mediation Device.

ipV6SrcInterface: SNMP ifIndex Value may be used to select the interface (denoted by cTapMediationSrcInterface) on the intercepting device from which to transmit intercepted data to an IPv6 address Mediation Device.

udp: UDP may be used as transport protocol (denoted by cTapMediationTransport) in transferring intercepted data to the Mediation Device.

rtcpNack: RTP with Nack resilience may be used as transport protocol (denoted by cTapMediationTransport) in transferring intercepted data to the Mediation Device.

- tcp: TCP may be used as transport protocol (denoted by cTapMediationTransport) in transferring intercepted data to the Mediation Device.
- sctp: SCTP may be used as transport protocol (denoted by cTapMediationTransport) in transferring intercepted data to the Mediation Device."
- ::= { cTapMediationGroup 3 }

- -

-- the stream tables

SYNTAX

- -

In the initial version of the MIB, only IPv4 and IPv6 intercept is
 defined. It is expected that in the future other types of intercepts
 may be required; these will be defined in tables like the
 cTapStreamIpTable with appropriate attributes. Such tables, when
 defined, will be used by the Mediation Entry in exactly the same way
 that the cTapStreamIpTable is used.
 Such Tables all belong in cTapStreamGroup.
 CTapStreamCapabilities OBJECT-TYPE

BITS {

tapEnable(0),

interface(1), ipV4(2), ipV6(3), 14Port(4), dscp(5), dstMacAddr(6), srcMacAddr(7), ethernetPid(8), dstLlcSap(9), srcLlcSap(10) } MAX-ACCESS read-only STATUS current DESCRIPTION "This object displays what types of intercept streams can be configured on this type of device. This may be dependent on hardware capabilities, software capabilities. The following fields may be supported: interface: SNMP ifIndex Value may be used to select interception of all data crossing an interface or set of interfaces. set if table entries with tapEnable: cTapStreamIpInterceptEnable set to 'false' are used to pre-screen packets for intercept; otherwise these entries are ignored. IPv4 Address or prefix may be used to select ipV4: traffic to be intercepted. ipV6: IPv6 Address or prefix may be used to select traffic to be intercepted. 14Port: TCP/UDP Ports may be used to select traffic to be intercepted. DSCP may be used to select traffic to be dscp: intercepted. dstMacAddr: Destination MAC Address may be used to select traffic to be intercepted. srcMacAddr: Source MAC Address may be used to select traffic to be intercepted. ethernetPid: Ethernet Protocol Identifier may be used to select traffic to be intercepted. dstLlcSap: IEEE 802.2 Destination SAP may be used to select traffic to be intercepted. IEEE 802.2 Source SAP may be used to select srcLlcSap: traffic to be intercepted." ::= { cTapStreamGroup 1 } -- The 'access list' for intercepting data at the IP network

- -- layer
- -

```
cTapStreamIpTable OBJECT-TYPE
    SYNTAX
                  SEQUENCE OF CTapStreamIpEntry
    MAX-ACCESS not-accessible
    STATUS
                 current
    DESCRIPTION
        "The Intercept Stream IP Table lists the IPv4 and IPv6 streams
        to be intercepted. The same data stream may be required by
        multiple taps, and one might assume that often the intercepted
        stream is a small subset of the traffic that could be
        intercepted.
        This essentially provides options for packet selection, only
        some of which might be used. For example, if all traffic to or
        from a given interface is to be intercepted, one would
        configure an entry which lists the interface, and wild-card
        everything else. If all traffic to or from a given IP Address
        is to be intercepted, one would configure two such entries
        listing the IP Address as source and destination respectively,
        and wild-card everything else. If a particular voice on a
        teleconference is to be intercepted, on the other hand, one
        would extract the multicast (destination) IP address, the
        source IP Address, the protocol (UDP), and the source and
        destination ports from the call control exchange and list all
        necessary information.
        The first index indicates which Mediation Device the
        intercepted traffic will be diverted to. The second index
        permits multiple classifiers to be used together, such as
        having an IP address as source or destination. "
     ::= { cTapStreamGroup 2 }
cTapStreamIpEntry OBJECT-TYPE
    SYNTAX
               CTapStreamIpEntry
    MAX-ACCESS not-accessible
```

```
MAX-ACCESS not-accessible
STATUS current
DESCRIPTION
    "A stream entry indicates a single data stream to be
    intercepted to a Mediation Device. Many selected data
    streams may go to the same application interface, and many
    application interfaces are supported."
INDEX { cTapMediationContentId, cTapStreamIpIndex }
    ::= { cTapStreamIpTable 1 }
```

```
Integer32,
Integer32,
InetAddressType,
InetAddress,
```

cTapStreamIpDestinationLength InetAddressPrefixLength, cTapStreamIpSourceAddress InetAddress, cTapStreamIpSourceLength InetAddressPrefixLength, cTapStreamIpTosByte Integer32, cTapStreamIpTosByteMask Integer32, cTapStreamIpFlowId Integer32, cTapStreamIpProtocol Integer32, cTapStreamIpDestL4PortMin InetPortNumber, cTapStreamIpDestL4PortMax InetPortNumber, cTapStreamIpSourceL4PortMin InetPortNumber, cTapStreamIpSourceL4PortMax InetPortNumber, cTapStreamIpInterceptEnable TruthValue, cTapStreamIpInterceptedPackets Counter32, cTapStreamIpInterceptDrops Counter32, cTapStreamIpStatus RowStatus } cTapStreamIpIndex OBJECT-TYPE SYNTAX Integer32 (1..2147483647) MAX-ACCESS not-accessible current STATUS DESCRIPTION "The index of the stream itself." ::= { cTapStreamIpEntry 1 } cTapStreamIpInterface OBJECT-TYPE SYNTAX Integer32 (-1 | 0 | 1..2147483647) MAX-ACCESS read-create current STATUS DESCRIPTION "The ifIndex value of the interface over which traffic to be intercepted is received or transmitted. The interface may be physical or virtual. If this is the only parameter specified, and it is other than -1 or 0, all traffic on the selected interface will be chosen. If the value is zero, matching traffic may be received or transmitted on any interface. Additional selection parameters must be selected to limit the scope of traffic intercepted. This is most useful on non-routing platforms or on intercepts placed elsewhere than a subscriber interface. If the value is -1, one or both of cTapStreamIpDestinationAddress and cTapStreamIpSourceAddress must be specified with prefix length greater than zero. Matching traffic on the interface pointed to by ipRouteIfIndex or ipCidrRouteIfIndex values associated with those values is

intercepted, whichever is specified to be more focused than a

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```
default route. If routing changes, either by operator action
       or by routing protocol events, the interface will change with
        it. This is primarily intended for use on subscriber interfaces
        and other places where routing is guaranteed to be
        symmetrical.
        In both of these cases, it is possible to have the same packet
        selected for intersection on both its ingress and egress
        interface. Nonetheless, only one instance of the packet is
        sent to the Mediation Device.
        This value must be set when creating a stream entry, either to
        select an interface, to select all interfaces, or to select the
        interface that routing chooses. Some platforms may not
        implement the entire range of options."
    REFERENCE "RFC 1213, RFC 2096"
     ::= { cTapStreamIpEntry 2 }
cTapStreamIpAddrType OBJECT-TYPE
    SYNTAX
             InetAddressType
    MAX-ACCESS read-create
               current
    STATUS
    DESCRIPTION
        "The type of address, used in packet selection."
               { ipv4 }
    DEFVAL
     ::= { cTapStreamIpEntry 3 }
cTapStreamIpDestinationAddress OBJECT-TYPE
    SYNTAX
            InetAddress
    MAX-ACCESS read-create
    STATUS
             current
    DESCRIPTION
       "The Destination address or prefix used in packet selection.
       This address will be of the type specified in
       cTapStreamIpAddrType."
                 { '0000000'H } -- 0.0.0.0
    DEFVAL
     ::= { cTapStreamIpEntry 4 }
cTapStreamIpDestinationLength OBJECT-TYPE
    SYNTAX InetAddressPrefixLength
    MAX-ACCESS read-create
    STATUS
             current
    DESCRIPTION
       "The length of the Destination Prefix. A value of zero causes
       all addresses to match. This prefix length will be consistent
       with the type specified in cTapStreamIpAddrType."
    DEFVAL { 0 } -- by default, any destination address
     ::= { cTapStreamIpEntry 5 }
```

```
cTapStreamIpSourceAddress OBJECT-TYPE
    SYNTAX
                InetAddress
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The Source Address used in packet selection. This address will
       be of the type specified in cTapStreamIpAddrType."
                  { '0000000'H } -- 0.0.0.0
    DEFVAL
     ::= { cTapStreamIpEntry 6 }
cTapStreamIpSourceLength OBJECT-TYPE
    SYNTAX
               InetAddressPrefixLength
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The length of the Source Prefix. A value of zero causes all
        addresses to match. This prefix length will be consistent with
        the type specified in cTapStreamIpAddrType."
    DEFVAL { 0 } -- by default, any source address
     ::= { cTapStreamIpEntry 7 }
cTapStreamIpTosByte OBJECT-TYPE
    SYNTAX
                Integer32 (0..255)
    MAX-ACCESS read-create
    STATUS
                current
    DESCRIPTION
        "The value of the TOS byte, when masked with
       cTapStreamIpTosByteMask, of traffic to be intercepted.
        If cTapStreamIpTosByte & (~cTapStreamIpTosByteMask) != 0,
       configuration is rejected."
    DEFVAL { 0 }
     ::= { cTapStreamIpEntry 8 }
cTapStreamIpTosByteMask OBJECT-TYPE
    SYNTAX
                Integer32 (0..255)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The value of the TOS byte in an IPv4 or IPv6 header is ANDed
       with cTapStreamIpTosByteMask and compared with
       cTapStreamIpTosByte.
        If the values are equal, the comparison is equal. If the mask
       is zero and the TosByte value is zero, the result is to always
        accept."
    DEFVAL { 0 } -- by default, any DSCP or other TOS byte value
     ::= { cTapStreamIpEntry 9 }
```

```
cTapStreamIpFlowId OBJECT-TYPE
    SYNTAX
               Integer32 (-1 | 0..1048575)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The flow identifier in an IPv6 header. -1 indicates that the
        Flow Id is unused."
    DEFVAL { -1 } -- by default, any flow identifier value
     ::= { cTapStreamIpEntry 10 }
cTapStreamIpProtocol OBJECT-TYPE
    SYNTAX
              Integer32 (-1 | 0..255)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The IP protocol to match against the IPv4 protocol number or
        the IPv6 Next- Header number in the packet. -1 means 'any IP
        protocol'."
    DEFVAL { -1 } -- by default, any IP protocol
     ::= { cTapStreamIpEntry 11 }
cTapStreamIpDestL4PortMin OBJECT-TYPE
    SYNTAX
               InetPortNumber
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The minimum value that the layer-4 destination port number in
        the packet must have in order to match. This value must be
        equal to or less than the value specified for this entry in
       cTapStreamIpDestL4PortMax.
        If both cTapStreamIpDestL4PortMin and cTapStreamIpDestL4PortMax
        are at their default values, the port number is effectively
        unused."
    DEFVAL { 0 } -- by default, any transport layer port number
     ::= { cTapStreamIpEntry 12 }
cTapStreamIpDestL4PortMax OBJECT-TYPE
    SYNTAX
               InetPortNumber
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The maximum value that the layer-4 destination port number in
        the packet must have in order to match this classifier entry.
       This value must be equal to or greater than the value specified
       for this entry in cTapStreamIpDestL4PortMin.
        If both cTapStreamIpDestL4PortMin and cTapStreamIpDestL4PortMax
```

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```
are at their default values, the port number is effectively
        unused."
    DEFVAL { 65535 } -- by default, any transport layer port number
     ::= { cTapStreamIpEntry 13 }
cTapStreamIpSourceL4PortMin OBJECT-TYPE
    SYNTAX
               InetPortNumber
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The minimum value that the layer-4 destination port number in
        the packet must have in order to match. This value must be
        equal to or less than the value specified for this entry in
        cTapStreamIpSourceL4PortMax.
        If both cTapStreamIpSourceL4PortMin and
       cTapStreamIpSourceL4PortMax are at their default values, the
        port number is effectively unused."
    DEFVAL { 0 } -- by default, any transport layer port number
     ::= { cTapStreamIpEntry 14 }
cTapStreamIpSourceL4PortMax OBJECT-TYPE
    SYNTAX
               InetPortNumber
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The maximum value that the layer-4 destination port number in
        the packet must have in order to match this classifier entry.
        This value must be equal to or greater than the value specified
        for this entry in cTapStreamIpSourceL4PortMin.
        If both cTapStreamIpSourceL4PortMin and
       cTapStreamIpSourceL4PortMax are at their default values, the
        port number is effectively unused."
    DEFVAL { 65535 } -- by default, any transport layer port number
     ::= { cTapStreamIpEntry 15 }
cTapStreamIpInterceptEnable OBJECT-TYPE
    SYNTAX
               TruthValue
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
         "If 'true', the tap should intercept matching traffic.
         If 'false', this entry is used to pre-screen packets for
         intercept."
    DEFVAL { true }
     ::= { cTapStreamIpEntry 16 }
```

```
cTapStreamIpInterceptedPackets OBJECT-TYPE
    SYNTAX
                Counter32
    MAX-ACCESS read-only
                current
    STATUS
    DESCRIPTION
        "The number of packets matching this data stream specification
        that have been intercepted."
     ::= { cTapStreamIpEntry 17 }
cTapStreamIpInterceptDrops OBJECT-TYPE
    SYNTAX
               Counter32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
        "The number of packets matching this data stream specification
        that, having been intercepted, were dropped in the lawful
        intercept process."
     ::= { cTapStreamIpEntry 18 }
cTapStreamIpStatus OBJECT-TYPE
    SYNTAX
                RowStatus
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The status of this conceptual row. This object manages
       creation, modification, and deletion of rows in this table.
        cTapStreamIpInterceptEnable may be modified any time even the
       value of this entry rowStatus object is 'active'. When other
        rows must be changed, cTapStreamIpStatus must be first set to
        'notInService'."
     ::= { cTapStreamIpEntry 19 }
-- The "access list" for intercepting data at the IEEE 802
-- link layer
- -
cTapStream802Table OBJECT-TYPE
    SYNTAX
                SEQUENCE OF CTapStream802Entry
    MAX-ACCESS not-accessible
    STATUS
                 current
    DESCRIPTION
        "The Intercept Stream 802 Table lists the IEEE 802 data streams
        to be intercepted. The same data stream may be required by
       multiple taps, and one might assume that often the intercepted
        stream is a small subset of the traffic that could be
        intercepted.
```

This essentially provides options for packet selection, only some of which might be used. For example, if all traffic to or from a given interface is to be intercepted, one would configure an entry which lists the interface, and wild-card everything else. If all traffic to or from a given MAC Address is to be intercepted, one would configure two such entries listing the MAC Address as source and destination respectively, and wild-card everything else. The first index indicates which Mediation Device the intercepted traffic will be diverted to. The second index permits multiple classifiers to be used together, such as having a MAC address as source or destination. " ::= { cTapStreamGroup 3 } cTapStream802Entry OBJECT-TYPE SYNTAX CTapStream802Entry MAX-ACCESS not-accessible STATUS current DESCRIPTION "A stream entry indicates a single data stream to be intercepted to a Mediation Device. Many selected data streams may go to the same application interface, and many application interfaces are supported." INDEX { cTapMediationContentId, cTapStream802Index } ::= { cTapStream802Table 1 } CTapStream802Entry ::= SEQUENCE { cTapStream802Index Integer32, cTapStream802Fields BITS, cTapStream802Interface Integer32, cTapStream802DestinationAddress MacAddress, cTapStream802SourceAddress MacAddress, cTapStream802EthernetPid Integer32, cTapStream802SourceLlcSap Integer32, cTapStream802DestinationLlcSap Integer32, cTapStream802InterceptEnable TruthValue, cTapStream802InterceptedPackets Counter32, cTapStream802InterceptDrops Counter32, cTapStream802Status RowStatus } cTapStream802Index OBJECT-TYPE SYNTAX Integer32 (1..2147483647) MAX-ACCESS not-accessible STATUS current DESCRIPTION

```
"The index of the stream itself."
```

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```

```
::= { cTapStream802Entry 1 }
cTapStream802Fields OBJECT-TYPE
    SYNTAX
                BITS {
                         interface(0),
                         dstMacAddress(1),
                         srcMacAddress(2),
                         ethernetPid(3),
                         dstLlcSap(4),
                         srcLlcSap(5)
                     }
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
         "This object displays what attributes must be tested to
         identify traffic which requires interception. The packet
         matches if all flagged fields match.
              interface:
                             indicates that traffic on the stated
                             interface is to be intercepted
              dstMacAddress: indicates that traffic destined to a
                             given address should be intercepted
              srcMacAddress: indicates that traffic sourced from a
                             given address should be intercepted
              ethernetPid:
                             indicates that traffic with a stated
                             Ethernet Protocol Identifier should be
                             intercepted
              dstLlcSap:
                             indicates that traffic with an certain
                             802.2 LLC Destination SAP should be
                             intercepted
                             indicates that traffic with an certain
              srcLlcSap:
                             802.2 LLC Source SAP should be
                             intercepted
         At least one of the bits has to be set in order to activate an
         entry. If the bit is not on, the corresponding MIB object
         value has no effect, and need not be specified when creating
         the entry."
     ::= { cTapStream802Entry 2 }
cTapStream802Interface OBJECT-TYPE
    SYNTAX
               Integer32 (-1 | 0 | 1..2147483647)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The ifIndex value of the interface over which traffic to be
        intercepted is received or transmitted. The interface may be
        physical or virtual. If this is the only parameter specified,
```

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and it is other than -1 or 0, all traffic on the selected interface will be chosen.

If the value is zero, matching traffic may be received or transmitted on any interface. Additional selection parameters must be selected to limit the scope of traffic intercepted. This is most useful on non-routing platforms or on intercepts placed elsewhere than a subscriber interface.

```
If the value is -1, one or both of
```

cTapStream802DestinationAddress and cTapStream802SourceAddress must be specified. Matching traffic on the interface pointed to by the dot1dTpFdbPort values associated with those values is intercepted, whichever is specified. If dot1dTpFdbPort changes, either by operator action or by protocol events, the interface will change with it. This is primarily intended for use on subscriber interfaces and other places where routing is guaranteed to be symmetrical.

In both of these cases, it is possible to have the same packet selected for intersection on both its ingress and egress interface. Nonetheless, only one instance of the packet is sent to the Mediation Device.

This value must be set when creating a stream entry, either to select an interface, to select all interfaces, or to select the interface that bridging learns. Some platforms may not implement the entire range of options." REFERENCE "RFC 1493"

::= { cTapStream802Entry 3 }

```
cTapStream802DestinationAddress OBJECT-TYPE
    SYNTAX
               MacAddress
    MAX-ACCESS read-create
    STATUS
            current
    DESCRIPTION
        "The Destination address used in packet selection."
    ::= { cTapStream802Entry 4 }
cTapStream802SourceAddress OBJECT-TYPE
    SYNTAX
               MacAddress
    MAX-ACCESS read-create
    STATUS
            current
    DESCRIPTION
        "The Source Address used in packet selection."
     ::= { cTapStream802Entry 5 }
```

```
cTapStream802EthernetPid OBJECT-TYPE
```

```
SYNTAX Integer32 (0..65535)
    MAX-ACCESS read-create
    STATUS
            current
    DESCRIPTION
       "The value of the Ethernet Protocol Identifier, which may be
       found on Ethernet traffic or IEEE 802.2 SNAP traffic."
     ::= { cTapStream802Entry 6 }
cTapStream802DestinationLlcSap OBJECT-TYPE
               Integer32 (0..65535)
    SYNTAX
    MAX-ACCESS read-create
               current
    STATUS
    DESCRIPTION
        "The value of the IEEE 802.2 Destination SAP."
     ::= { cTapStream802Entry 7 }
cTapStream802SourceLlcSap OBJECT-TYPE
    SYNTAX
               Integer32 (0..65535)
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "The value of the IEEE 802.2 Source SAP."
     ::= { cTapStream802Entry 8 }
cTapStream802InterceptEnable OBJECT-TYPE
    SYNTAX
               TruthValue
    MAX-ACCESS read-create
    STATUS
               current
    DESCRIPTION
        "If 'true', the tap enables interception of matching traffic.
        If cTapStreamCapabilities flag tapEnable is zero, this may not
        be set to 'false'."
    DEFVAL { true }
     ::= { cTapStream802Entry 9 }
cTapStream802InterceptedPackets OBJECT-TYPE
    SYNTAX
             Counter32
    MAX-ACCESS read-only
    STATUS
               current
    DESCRIPTION
       "The number of packets matching this data stream specification
       that have been intercepted."
     ::= { cTapStream802Entry 10 }
cTapStream802InterceptDrops OBJECT-TYPE
    SYNTAX Counter32
    MAX-ACCESS read-only
    STATUS current
```

```
DESCRIPTION
        "The number of packets matching this data stream specification
        that, having been intercepted, were dropped in the lawful
        intercept process."
     ::= { cTapStream802Entry 11 }
cTapStream802Status OBJECT-TYPE
     SYNTAX
                RowStatus
     MAX-ACCESS read-create
     STATUS
                current
     DESCRIPTION
        "The status of this conceptual row. This object manages
        creation, modification, and deletion of rows in this table.
        cTapStream802InterceptEnable can be modified any time even the
        value of this entry rowStatus object is active. When other
        rows must be changed, cTapStream802Status must be first set to
        'notInService'."
     ::= { cTapStream802Entry 12 }
- -
-- The debug table
- -
cTapDebugTable OBJECT-TYPE
    SYNTAX
                SEQUENCE OF CTapDebugEntry
    MAX-ACCESS not-accessible
                current
    STATUS
    DESCRIPTION
        "A table that contains Lawful Intercept debug information
        available on this device. This table is used to map an error
        code to a text message for further information."
    ::= { cTapDebugGroup 1 }
cTapDebugEntry OBJECT-TYPE
    SYNTAX
               CTapDebugEntry
    MAX-ACCESS not-accessible
    STATUS
                current
    DESCRIPTION
        "A list of the debug messages."
    INDEX { cTapDebugIndex }
    ::= { cTapDebugTable 1 }
CTapDebugEntry ::= SEQUENCE {
        cTapDebugIndex
                            Unsigned32,
        cTapDebugMessage
                            SnmpAdminString
}
```

```
cTapDebugIndex OBJECT-TYPE
    SYNTAX
                  Unsigned32
    MAX-ACCESS
                  not-accessible
    STATUS
                  current
    DESCRIPTION
        "Indicates an error code."
     ::= { cTapDebugEntry 1 }
cTapDebugMessage OBJECT-TYPE
    SYNTAX
                 SnmpAdminString
    MAX-ACCESS
                 read-only
    STATUS
                 current
    DESCRIPTION
        "A text string contains the description of an error code."
     ::= { cTapDebugEntry 2 }
-- notifications
cTapMIBActive
               NOTIFICATION-TYPE
    STATUS
               current
    DESCRIPTION
        "This Notification is sent when an intercepting router or
        switch is first capable of intercepting a packet corresponding
        to a configured data stream. If the configured data stream is
        an IP one, the value of the corresponding cTapStreamIpStatus
        is included in this notification. If the configured data stream
        is an IEEE 802 one, the value of the corresponding
        cTapStream802Status is included in this notification.
        This notification may be generated in conjunction with the
        intercept application, which is designed to expect the
        notification to be sent as reliably as possible, e.g., through
        the use of a finite number of retransmissions until
        acknowledged, as and when such mechanisms are available; for
        example, with SNMPv3, this would be an InformRequest. Filter
        installation can take a long period of time, during which call
        progress may be delayed."
     ::= { cTapMIBNotifications 1 }
cTapMediationTimedOut NOTIFICATION-TYPE
    OBJECTS
             { cTapMediationStatus }
               current
    STATUS
    DESCRIPTION
        "When an intercept is autonomously removed by an intercepting
        device, such as due to the time specified in
```

```
cTapMediationTimeout arriving, the device notifies the manager
        of the action."
     ::= { cTapMIBNotifications 2 }
cTapMediationDebug NOTIFICATION-TYPE
    OBJECTS
                { cTapMediationContentId, cTapDebugIndex }
    STATUS
                current
    DESCRIPTION
        "When there is intervention needed due to some events related
        to entries configured in cTapMediationTable, the device
        notifies the manager of the event.
       This notification may be generated in conjunction with the
        intercept application, which is designed to expect the
        notification to be sent as reliably as possible, e.g., through
        the use of a finite number of retransmissions until
        acknowledged, as and when such mechanisms are available; for
        example, with SNMPv3, this would be an InformRequest."
     ::= { cTapMIBNotifications 3 }
cTapStreamIpDebug NOTIFICATION-TYPE
    OBJECTS
                { cTapMediationContentId, cTapStreamIpIndex,
                  cTapDebugIndex }
    STATUS
               current
    DESCRIPTION
        "When there is intervention needed due to some events related
        to entries configured in cTapStreamIpTable, the device
        notifies the manager of the event.
       This notification may be generated in conjunction with the
        intercept application, which is designed to expect the
        notification to be sent as reliably as possible, e.g., through
        the use of a finite number of retransmissions until
        acknowledged, as and when such mechanisms are available; for
        example, with SNMPv3, this would be an InformRequest."
     ::= { cTapMIBNotifications 4 }
-- conformance information
cTapMIBCompliances OBJECT IDENTIFIER ::= { cTapMIBConformance 1 }
cTapMIBGroups
                  OBJECT IDENTIFIER ::= { cTapMIBConformance 2 }
-- compliance statement
cTapMIBCompliance MODULE-COMPLIANCE
    STATUS current
    DESCRIPTION
        "The compliance statement for entities which implement the
```

```
Cisco Intercept MIB"
     MODULE
                   -- this module
        MANDATORY-GROUPS {
                cTapMediationComplianceGroup,
                cTapStreamComplianceGroup,
                cTapMediationCpbComplianceGroup,
                cTapNotificationGroup
        }
     ::= { cTapMIBCompliances 1 }
-- units of conformance
cTapMediationComplianceGroup OBJECT-GROUP
     OBJECTS {
        cTapMediationNewIndex,
        cTapMediationDestAddressType,
        cTapMediationDestAddress,
        cTapMediationDestPort,
        cTapMediationSrcInterface,
        cTapMediationRtcpPort,
        cTapMediationDscp,
        cTapMediationDataType,
        cTapMediationRetransmitType,
        cTapMediationTimeout,
        cTapMediationTransport,
        cTapMediationNotificationEnable,
        cTapMediationStatus
     }
     STATUS
                current
     DESCRIPTION
        "These objects are necessary for description of the data
        streams directed to a Mediation Device."
     ::= { cTapMIBGroups 1 }
cTapStreamComplianceGroup OBJECT-GROUP
     OBJECTS {
        cTapStreamCapabilities
     }
                current
     STATUS
     DESCRIPTION
        "These objects are necessary for a description of the packets
        to select for interception."
     ::= { cTapMIBGroups 2 }
cTapStreamIpComplianceGroup OBJECT-GROUP
     OBJECTS {
        cTapStreamIpInterface,
        cTapStreamIpAddrType,
```

```
cTapStreamIpDestinationAddress,
        cTapStreamIpDestinationLength,
        cTapStreamIpSourceAddress,
        cTapStreamIpSourceLength,
        cTapStreamIpTosByte,
        cTapStreamIpTosByteMask,
        cTapStreamIpFlowId,
        cTapStreamIpProtocol,
        cTapStreamIpDestL4PortMin,
        cTapStreamIpDestL4PortMax,
        cTapStreamIpSourceL4PortMin,
        cTapStreamIpSourceL4PortMax,
        cTapStreamIpInterceptEnable,
        cTapStreamIpInterceptedPackets,
        cTapStreamIpInterceptDrops,
        cTapStreamIpStatus
     }
     STATUS
                current
     DESCRIPTION
        "These objects are necessary for a description of IPv4 and IPv6
        packets to select for interception."
     ::= { cTapMIBGroups 3 }
cTapStream802ComplianceGroup OBJECT-GROUP
     OBJECTS {
        cTapStream802Fields,
        cTapStream802Interface,
        cTapStream802DestinationAddress,
        cTapStream802SourceAddress,
        cTapStream802EthernetPid,
        cTapStream802SourceLlcSap,
        cTapStream802DestinationLlcSap,
        cTapStream802InterceptEnable,
        cTapStream802InterceptedPackets,
        cTapStream802InterceptDrops,
        cTapStream802Status
     }
     STATUS
                current
     DESCRIPTION
        "These objects are necessary for a description of IEEE 802
        packets to select for interception."
     ::= { cTapMIBGroups 4 }
cTapNotificationGroup NOTIFICATION-GROUP
     NOTIFICATIONS {
         cTapMIBActive,
         cTapMediationTimedOut,
         cTapMediationDebug,
```

```
cTapStreamIpDebug
    }
    STATUS
              current
    DESCRIPTION
       "These notifications are used to present status from the
       intercepting device to the Mediation Device."
     ::= { cTapMIBGroups 5 }
cTapMediationCpbComplianceGroup OBJECT-GROUP
    OBJECTS {
       cTapMediationCapabilities
    }
    STATUS
             current
    DESCRIPTION
       "These objects are necessary for a description of the
       mediation device to select for Lawful Intercept."
     ::= { cTapMIBGroups 6 }
cTapDebugComplianceGroup OBJECT-GROUP
    OBJECTS {
       cTapDebugMessage
    }
    STATUS current
    DESCRIPTION
       "These objects are necessary for debug information."
    ::= { cTapMIBGroups 7 }
```

END

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4. Security Considerations

Lawful Intercept can be viewed as the direct violation of the privacy, and therefore of the security, of the party under surveillance. This is a legal matter, not a technical one; the laws of a country and a warrant issued by a duly appointed authority in that country cause the feature to be deployed and to be used.

The presence of the capability in a certain router or switch creates the possibility that it can be misused, either accidentally or on purpose. It may be misconfigured, causing unintended data to be intercepted, for example, or the target may come under a denial of service attack, resulting in an indirect denial of service attack on the Mediation Device. Intercepted data, if left in the clear, may betray information to an unintended party. As such, it is Cisco's position that appropriate security measures should be used by the agency deploying this feature. It should use appropriate configuration protocols, such as SNMPv3, and appropriate privacy management facilities, such as IPSEC ESP, on this data. It is also necessary to maintain close control of the visibility of the configuration, as this can have harmful effects both on the surveillance subject if leaked, and on the investigation if leaked to the subject.

The considerations of <u>RFC 2804</u> [4] are very important; it is for this reason that Cisco did not attempt to modify existing protocols, but created a separate feature for the interception of relevant information.

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<u>5</u>. Acknowledgements

The authors worked among a large team of contributors at Cisco, too many to name here. And they might not want us to...

Normative References

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- [4] IAB and IESG, "IETF Policy on Wiretapping", <u>RFC 2804</u>, May 2000.
- [5] Case, J., Mundy, R., Partain, D. and B. Stewart, "Introduction and Applicability Statements for Internet-Standard Management Framework", <u>RFC 3410</u>, December 2002.

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