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Guidelines for Cryptographic Key Management

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Abstract

The question often arises of whether or not a given security system requires some form of automated key management, or whether manual keying is sufficient. This memo proposes guidelines for making such decisions. The presumption is that when symmetric cryptographic mechanisms are used in a protocol, then automated key management is generally but not always needed. If manual keying is proposed, the burden of proving that automated key management is not required falls to the proposer.

1. Introduction

The question often arises of whether or not a given security system requires some form of automated key management, or whether manual keying is sufficient.

There is not one answer to that question; circumstances differ. In general, automated key management SHOULD be used. Occasionally, relying on manual key management is reasonable; we propose some guidelines for making that judgment.

On the other hand, relying on manual key management has significant disadvantages, and we outline the security concerns that justify the preference for automated key management. Yet, there are situations where manual key management is acceptable.

1.1. Terminology

The keywords MUST, MUST NOT, REQUIRED, SHALL, SHALL NOT, SHOULD, SHOULD NOT, RECOMMENDED, MAY, and OPTIONAL, when they appear in this document, are to be interpreted as described in [RFC 2119](#) [B].

2. Guidelines

These guidelines are for use by IETF working groups and protocol authors who are determining whether to mandate automated key management and whether manual key management is acceptable. Informed judgment is needed.

The term "key management" is the establishment of cryptographic keying material for use with a cryptographic algorithm to provide protocol security services, especially integrity, authentication, and confidentiality. Automated key management derives one or more short-term session keys. The key derivation function may make use of long-term keys to incorporate authentication into the process. The manner in which this long-term key is distributed to the peers and the type of key used (pre-shared symmetric secret value, RSA public key, DSA public key, and others) is beyond the scope of this document. However, it is part of the overall key management solution. Manual key management is used to distribute such values. Manual key management can also be used to distribute long-term session keys.

Automated key management and manual key management provide very different features. In particular, the protocol associated with an automated key management technique will confirm liveness of the peer, protect against replay, authenticate the source of the short-term

session key, associate protocol state information with the short-term session key, and ensure that a fresh short-term session key is generated. Further, an automated key management protocol can improve interoperability by including negotiation mechanisms for cryptographic algorithms. These valuable features are impossible or extremely cumbersome with manual key management.

Implementations of some symmetric cryptographic algorithms are required to prevent the overuse of each key. An implementation of such algorithms can make use of automated key management when the usage limits are nearly exhausted to establish replacement keys before the limits are reached, thereby maintaining secure communications.

Examples of automated key management systems include IPsec IKE and Kerberos. S/MIME and TLS also include automated key management functions.

Key management schemes should not be designed by amateurs; it is almost certainly inappropriate for working groups to design their own. To put it in concrete terms, the very first key management protocol in the open literature was published in 1978 [[NS](#)]. A flaw and a fix were published in 1981 [[DS](#)], and the fix was cracked in 1994 [[AN](#)]. In 1995 [[L](#)], a new flaw was found in the original 1978 version, in an area not affected by the 1981/1994 issue. All of these flaws were blindingly obvious once described -- yet no one spotted them earlier. Note that the original protocol (translated to employ certificates, which had not been invented at that time) was only three messages.

Key management software is not always large or bloated; even IKEv1 [[HC](#)] can be done in less than 200 Kbytes of object code, and TLS [[DA](#)] in half that space. (Note that this TLS estimate includes other functionality as well.)

A session key is used to protect a payload. The nature of the payload depends on the layer where the symmetric cryptography is applied.

In general, automated key management SHOULD be used to establish session keys. This is a very strong "SHOULD", meaning the justification is needed in the security considerations section of a proposal that makes use of manual key management.

2.1. Automated Key Management

Automated key management MUST be used if any of these conditions hold:

A party will have to manage n^2 static keys, where n may become large.

Any stream cipher (such as RC4 [[TK](#)], AES-CTR [[NIST](#)], or AES-CCM [[WHE](#)]) is used.

An initialization vector (IV) might be reused, especially an implicit IV. (Note that random or pseudo-random explicit IVs are not a problem unless the probability of repetition is high.)

Large amounts of data might need to be encrypted in a short time, causing frequent change of the short-term session key.

Long-term session keys are used by more than two parties. (Multicast is a necessary exception, but multicast key management standards are emerging so that this can be avoided in the future. Sharing long-term session keys should generally be discouraged.)

The likely operational environment is one where personnel (or device) turnover is frequent, causing frequent change of the short-term session key.

2.2. Manual Key Management

Manual key management is a reasonable approach in any of these situations:

The environment has very limited available bandwidth or very high round-trip times. Public key systems tend to require long messages and lots of computation; symmetric key alternatives, such as Kerberos, often require several round trips and interaction with third parties.

The information being protected has low value.

The total volume of traffic over the entire lifetime of the long-term session key will be very low.

The scale of each deployment is very limited.

Note that assertions about such things should often be viewed with

the skepticism. The burden of demonstrating that manual key management is appropriate falls to the proponents -- and it is a fairly high hurdle.

Systems that employ manual key management need provisions for key changes. There **MUST** be some way to indicate which key is in use, to avoid problems during transition. Designs **SHOULD** sketch plausible mechanisms for deploying new keys and replacing old ones, which might have been compromised. If done well, such mechanisms can later be used by an add-on key management scheme.

Lack of clarity about the parties involved in authentication is not a valid reason for avoiding key management. Rather, it tends to indicate a deeper problem with the underlying security model.

2.3. Key Size and Random Values

Guidance on cryptographic key size for public keys used for exchanging symmetric keys can be found in [BCP 86](#) [OH].

When manual key management is used, long-term shared secret values **SHOULD** be at least 128 bits.

Guidance on random number generation can be found in [RFC 1750](#) [ECS].

When manual key management is used, long-term shared secrets **MUST** be unpredictable "random" values, ensuring that an adversary will have no greater expectation than 50% of finding the value after searching half the key search space.

3. Security Considerations

This document provides guidance to working groups and protocol designers, and the security if the Internet is improved when automated key management is employed.

The inclusion of automated key management does not mean that an interface for manual key management is prohibited. In fact, manual key management is very helpful for debugging, so implementations ought to provide a manual key management interface for such purposes, even if they are not specified by the protocol.

4. IPR Considerations

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5. References

This section contains normative and informative references.

5.1. Normative Reference

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5.2. Informative References

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