Hypertext Transfer Protocol Working Group

Internet-Draft

Intended status: Informational Expires: February 11, 2017

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HTTP/2 Implementation Debug State draft-benfield-http2-debug-state-01

Abstract

This document defines a standard format and well-known URI for HTTP/2 server implementations to expose their internal state for the purposes of debugging and interoperability work.

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1. Introduction

The HTTP/2 [RFC7540] specification provides an alternative framing layer for the semantics of HTTP/1.1 [RFC7231]. This alternative framing layer includes substantially greater quantities of state to be stored by all implementations. Disagreements on the state of the connection are the cause of the vast majority of interoperability errors in HTTP/2 implementations.

In general it is not possible for implementations to query the internal state of their peer, and those implementations that do expose their internal state do it using a number of different interfaces, in different places, and in different formats. This makes it hard to debug interoperability problems, particularly when those problems arise on the open web with implementations that have unknown configuration and that may not identify themselves clearly.

This document defines a standard format and well-known URI for HTTP/2 server implementations to make their internal state available for introspection. This allows both new and established implementers to do more effective testing of their implementations, as well as to enable them to more effectively diagnose and report subtle bugs in both their own and other implementations.

August 2016

1.1. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

2. Debug Output

An implementation that wishes to support the HTTP/2 debug state information does so by publishing a JSON document at a well-known URI ([RFC5785]): specifically, at .well-known/h2/state. This JSON document reveals aspects of the state of the specific HTTP/2 connection as seen by the implementation in question at the time of response generation.

This JSON document is represented as a single JSON object with multiple keys. The object has several mandatory keys, as well as several optional ones. The fields are outlined below.

2.1. Version

The "version" key in the state object is associated with a string carrying the version of the debug output specification the debug output conforms to. For an implementation that supports this draft specification, the output must be "draft-01".

Sample output:

"version": "draft-01"

Figure 1: Example output for version key

2.2. Settings

The "settings" key in the state object is associated with a JSON object that contains the remote implementation's active settings. These are the settings that are actually in force for the connection at this time. This means that if the implementation has emitted a SETTINGS frame but has not yet received an ACK, the changes in that SETTINGS frame MUST NOT be reflected in the object.

Each setting is published along with its value. The name of each setting MUST be the same as its name in [RFC7540] Section 6.5.2: for example, "SETTINGS_ENABLE_PUSH". The values MUST be sent as JSON integers.

An implementation MAY omit a setting from this object if it has never been emitted by the implementation. In this situation it should be assumed that the default value is in force.

A conforming implementation MUST emit this field.

```
Sample output:
"settings": {
    "SETTINGS_MAX_CONCURRENT_STREAMS": 250,
    "SETTINGS_MAX_FRAME_SIZE": 1048576,
    "SETTINGS_MAX_HEADER_LIST_SIZE": 1048896
}
```

Figure 2: Example output for settings key

2.3. Peer Settings

The "peerSettings" key in the state object is associated with a JSON object that contains the remote implementation's view of the local implementation's settings. These are the settings that are actually in force for the connection at this time.

The value of this key is exactly symmetrical with the value of the "settings" key: see <u>Section 2.2</u> for more.

A conforming implementation MUST emit this field.

```
Sample output:
```

```
"peerSettings": {
    "SETTINGS_HEADER_TABLE_SIZE": 4096,
    "SETTINGS_ENABLE_PUSH": 1,
    "SETTINGS_INITIAL_WINDOW_SIZE": 6291456,
    "SETTINGS_MAX_FRAME_SIZE": 16384,
    "SETTINGS_MAX_CONCURRENT_STREAMS": 1000
}
```

Figure 3: Example output for peerSettings key

2.4. Outbound Flow Control Window

The "connFlowOut" key in the state object is associated with a JSON integer that reflects the remote peer's outbound connection window size. This represents the number of flow controlled bytes the remote implementation believes it can emit before the entire connection is blocked behind flow control.

A conforming implementation MUST emit this field.

Sample output:

"connFlowOut": 15724175,

Figure 4: Example output for connFlowOut key

2.5. Inbound Flow Control Window.

The "connFlowIn" key in the state object is associated with a JSON integer that reflects the remote peer's inbound connection window size. This represents the number of flow controlled bytes the remote implementation believes it can receive before the entire connection is blocked behind flow control.

A conforming implementation MUST emit this field.

Sample output:

"connFlowIn": 65535,

Figure 5: Example output for connFlowIn key

2.6. Streams

The "streams" key in the state object is associated with a JSON object containing state about all the active streams on the connection. A stream MUST be represented in this JSON object if it is in any state other than IDLE or CLOSED.

This JSON object has keys that are the stream IDs for the active streams. Each key has an object associated with it, with the following keys:

o "state": This key maps to a string value representing the stream state. The stream states are represented as all-caps ASCII text with all parentheses stripped and spaces replaced with underscores. For example, "OPEN" or "HALF_CLOSED_LOCAL". This field MUST be present.

- o "flowIn": The remote peer's inbound stream window size as a JSON integer. This represents the number of flow controlled bytes the remote implementation believes it can receive on this stream before this stream is blocked behind flow control. This field MUST be present.
- o "flowOut": The remote peer's outbound stream window size as a JSON integer. This represents the number of flow controlled bytes the remote implementation believes it can send on this stream before this stream is blocked behind flow control. This field MUST be present.
- o "dataIn": The number of bytes of data the remote implementation has received on this stream. This excludes padding bytes. This field MAY be present, but is optional.
- o "dataOut": The number of bytes of data the remote implementation has sent on this stream. This excludes padding bytes. This field MAY be present, but is optional.
- o "paddingIn": The number of padding bytes the remote implementation has received on this stream. This excludes data bytes. This field MAY be present, but is optional.
- o "paddingOut": The number of padding bytes the remote implementation has sent on this stream. This excludes data bytes. This field MAY be present, but is optional.
- o "queuedData": The number of bytes of data the remote implementation has available to send, but has not yet sent. These bytes may be blocked behind flow control or priority information: the value of the "flowOut" field can be used to distinguish between these two cases. This field MAY be present, but is optional.
- o "created": A timestamp indicating when the peer believes the stream first transitioned out of the idle state (see [RFC7540] Section 5.1). This time stamp must be in the form of a Unix time stamp: that is, a number representing the number of seconds since 00:00:00 Thursday 1 January 1970 UTC. This number may have any number of decimal digits. This field MAY be present, but is optional.

A conforming implementation MUST emit this field, but MAY omit any of the optional sub-fields.

Sample output:

```
"streams": {
  "5": {
    "state": "HALF_CLOSED_REMOTE",
    "flowIn": 65535,
    "flowOut": 6291456,
    "dataIn": 0,
    "dataOut": 0,
    "paddingIn": 0,
    "paddingOut": 0,
    "created": 1470835059.619137
  },
  "7": {
    "state": "OPEN",
    "flowIn": 65535,
    "flowOut": 6291456,
    "queuedData": 59093,
 }
},
```

Figure 6: Example output for streams key

2.7. HPACK

The "hpack" key contains information about the HPACK compression state for the connection. It maps to a JSON object that represents this compression state.

This JSON object contains the following fields:

- o "inboundTableSize": The current size of the HPACK dynamic header table for the headers emitted by the local implementation, as an integer. This field MUST be present.
- o "outboundTableSize": The current size of the HPACK dynamic header table for the headers emitted by the remote implementation, as an integer. Note that this value MUST include the headers added to the compression context as part of serving this response. This field MUST be present.
- o "inboundDynamicHeaderTable": The entries added to the HPACK dynamic header table by the local implementation. This is formatted as a JSON array of two-element JSON arrays, the first element of which contains the header name and the second element of which contains the header value. This field MAY be omitted.
- o "outboundDynamicHeaderTable": The entries added to the HPACK dynamic header table by the remote implementation. This is

formatted in the same manner as "outboundDynamicHeaderTable". This field MAY be omitted.

A conforming implementation MAY omit this field. If it does include this field, it MAY omit any optional sub-fields.

```
Sample output:
"hpack": {
    "inboundTableSize": 340,
    "inboundDynamicHeaderTable": [
        "accept-encoding",
            "gzip, deflate, sdch, br"
        ],
        Γ
            "upgrade-insecure-requests",
            "1"
        ],
        Γ
            "cache-control",
            "max-age=0"
        ],
        Γ
            ":authority",
            "shootout.lukasa.co.uk"
        1
    ],
    "outboundTableSize": 137,
    "outboundDynamicHeaderTable": [
        "content-type",
            "application/json"
        ],
        "server",
            "TwistedWeb/16.3.0"
        1
    ]
}
```

Figure 7: Example output for hpack key

2.8. Sent GoAway

The "sentGoAway" field tracks whether or not a GOAWAY frame ([RFC7540] Section 6.8) has been sent on the connection by the remote implementation. The value of this field is boolean.

A conforming implementation MAY omit this field.

Sample output:

"sentGoAway": false,

Figure 8: Example output for sentGoAway key

2.9. Additional Fields

In addition to these fields, implementations MAY add their own debugging information, as appropriate, to the JSON object. These MUST be keyed off keys other than the ones defined in this document. For example, some implementations are known to expose the number of threads they currently have active in the "threads" field.

3. Debug Headers

One of the most common issues when implementing HTTP/2 is to have problems with flow control windows. This is why the "connFlowOut" (Section 2.4) and "connFlowIn" (Section 2.5) fields are defined in the JSON document.

However, it's possible that the two implementations disagree on the size of this window, and that the server believes that it cannot send the response body because it's blocked behind flow control. For this reason, a small amount of debugging data MUST be inserted into the response headers for this JSON document. This ensures that it is possible for implementations to discover that they have inadvertently blocked the debug response behind flow control, and to take action to widen the flow control window so that the response can be delivered.

The following header fields MUST be emitted by implementations.

<u>3.1</u>. Flow In

The "conn-flow-in" header field contains the size of the remote implementation's inbound flow control window. The field value contains only the size of that window in octets. This MUST be calculated the same way that the implementation calculates "connFlowIn" (Section 2.5).

3.2. Flow Out

The "conn-flow-out" header field contains the size of the remote implementation's outbound flow control window. The field value contains only the size of that window in octets. This MUST be

calculated the same way that the implementation calculates "connFlowOut" (Section 2.4).

4. Security Considerations

4.1. HPACK State

For a single-hop HTTP/2 connection there is no risk in exposing the HPACK state to the client, as the only entity that can possibly have affected the HPACK state is the client itself.

However, once intermediaries are considered this stops being true. If any intermediary is performing connection coalescing, the HPACK state will almost certainly include entries inserted into the dynamic table by or for multiple clients. Exposing this state will put the security and privacy of those other clients at risk.

For this reason, if it is at all possible that a server implementing this specification may have an intermediary on a connection between itself and a client, the server MUST NOT emit the "hpack" key or any of its sub-fields. It is only safe to emit this key in controlled environments.

5. IANA Considerations

5.1. Well-known URI

This document establishes a single well-known URI, with the suffix "h2/state".

6. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate
 Requirement Levels", BCP 14, RFC 2119,
 DOI 10.17487/RFC2119, March 1997,
 <http://www.rfc-editor.org/info/rfc2119>.
- [RFC5785] Nottingham, M. and E. Hammer-Lahav, "Defining Well-Known
 Uniform Resource Identifiers (URIs)", RFC 5785,
 DOI 10.17487/RFC5785, April 2010,
 <http://www.rfc-editor.org/info/rfc5785>.

<u>Appendix A</u>. Defined Fields

This appendix contains tables of all defined fields, along with their field names, field value type, optionality, the versions in which they appear, and what section defines them.

For fields whose values are objects, there are additional tables defining the fields in those sub-objects, with the same information.

This can be used as a quick reference point.

Field Name	Field Type	Optional?	Versions	Section
version	String	No	<u>draft-01</u>	Section 2.1
 settings	 Object 	No No	 <u>draft-01</u>	Section 2.2
 peerSettings	 Object 	No	 <u>draft-01</u>	Section 2.3
connFlowOut	 Number 	No	 <u>draft-01</u>	Section 2.4
 connFlowIn	 Number 	No	 <u>draft-01</u> 	Section 2.5
streams	ı Object	No	 <u>draft-01</u>	Section 2.6
 hpack	 Object 	Yes	 <u>draft-01</u> 	Section 2.7
sentGoAway	 Boolean	 Yes 	 <u>draft-01</u> +	<u>Section 2.8</u>

Table 1: Top-level Fields

A.1. Settings Sub-Fields

This table lists the sub-fields of the "settings" and "peerSettings" values, each of which is a single JSON object containing the following fields.

Field Name	+		
SETTINGS_HEADER_TABLE_SIZE	Number 	Yes	<u>draft-01</u>
SETTINGS_ENABLE_PUSH	 Number 	Yes	draft-01
SETTINGS_MAX_CONCURRENT_STREAMS	 Number 	Yes	draft-01
SETTINGS_INITIAL_WINDOW_SIZE	 Number 	Yes	<u>draft-01</u>
SETTINGS_MAX_FRAME_SIZE	 Number 	Yes	<u>draft-01</u>
SETTINGS_MAX_HEADER_LIST_SIZE	 Number 	Yes	draft-01

Table 2: settings and peerSettings Sub-Fields

A.2. Streams Sub-Fields

This table lists the sub-fields of the "streams" value. The "streams" object is defined more thoroughly in section <u>Section 2.6</u>. All of the fields defined here appear in the objects that are the values of the "streams" sub-keys.

+	+	H	+
Field Name	Field Type	Optional?	Versions
state	String	No	<u>draft-01</u>
 flowIn	 Number		draft-01
flowOut	Number		draft-01
dataIn	 Number	Yes	draft-01
dataOut	 Number	Yes	draft-01
 paddingIn	 Number	Yes	draft-01
paddingOut	 Number	Yes	draft-01
queuedData	 Number	Yes	draft-01
created	 Number +	 Yes	ا <u>draft-01</u> +
•			·

Table 3: Stream Sub-Fields

A.3. HPACK Sub-Fields

This table lists the sub-fields of the "hpack" value, each of which is a single JSON object containing the following fields.

Field Name	Field Type	 Optional? 	Versions
inboundTableSize	Number 	No	<u>draft-01</u>
outboundTableSize	 Number 	 No 	draft-01
 inboundDynamicHeaderTable 	 List of list of String	 Yes	draft-01
 outboundDynamicHeaderTable 	 List of list of String	 Yes 	draft-01

Table 4: HPACK Sub-Fields

Appendix B. Acknowledgements

We would like to thank the attendees of the 2016 HTTP Workshop in Stockholm for their feedback on early prototype implementations of this debugging feature.

Appendix C. Changelog

This appendix to be deleted by the RFC editor.)

Since -00:

- o Changed URI from "/.well-known/h2interop/state" to "/.well-known/h2/state".
- o Changed keys of "hpack" entry to all be camel-case, rather than snake-case.
- o Added the "version" top-level key.
- o Added the "created" sub-key to the "stream" objects.
- o Added the "queuedData" sub-key to the "stream" objects.
- o Added the "paddingIn" and "paddingOut" sub-keys to the "stream" objects.
- o Added appendix documenting all field values.

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