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**Problem Statement for Simplified Use of Policy Abstractions (SUPA)**  
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Abstract

Simplified Use of Policy Abstractions (SUPA) defines a set of rules that define how services are designed, delivered, and operated within an operator's environment independent of any one particular service or networking device. SUPA expresses policy rules using a generic policy information model, which serves as a unifying influence to enable different data model implementations to be simultaneously developed.

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## **1. Introduction**

The rapid growth in the variety and importance of traffic flowing over increasingly complex enterprise and service provider network architectures makes the task of network operations and management applications and deploying new services much more difficult. In addition, network operators want to deploy new services quickly and efficiently. Two possible mechanisms for dealing with this growing difficulty are the use of software abstractions to simplify the design and configuration of monitoring and control operations and the use of programmatic control over the configuration and operation of such networks. Policy-based management can be used to combine these two mechanisms into an extensible framework.

Policy rules can be used to express high-level network operator requirements directly, or from a set of management applications, to a network management or element system. The network management or element system can then control the configuration and/or monitoring of network elements and services.

Simplified Use of Policy Abstractions (SUPA) will define a generic policy information model (GPIM) [[SUPA-info-model](#)] for use in network operations and management applications. The GPIM defines concepts and terminology needed by policy management independent of the form and content of the policy rule. The ECA Policy Rule Information Model (EPRIM) [[SUPA-info-model](#)] extends the GPIM to define how to build policy rules according to the event-condition-action paradigm.

Both the GPIM and the EPRIM are targeted at controlling the configuration and monitoring of network elements throughout the service development and deployment lifecycle. The GPIM and the EPRIM will both be translated into corresponding YANG [[RFC6020](#)] modules that define policy concepts, terminology, and rules in a generic and interoperable manner; additional YANG modules may also be defined from the GPIM and/or EPRIM to manage specific functions.

The key benefit of policy management is that it enables different network elements and services to be instructed to behave the same way, even if they are programmed differently. Management applications will benefit from using policy rules that enable scalable and consistent programmatic control over the configuration and monitoring of network elements and services.

### **1.1. Problem Statement**

Network operators must construct networks of increasing size and complexity in order to improve their availability and

quality, as more and more business services depend on them.

Currently, different technologies and network elements require different forms of the same policy that governs the production of

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network configuration snippets. The power of policy management is its applicability to many different types of systems, services, and networking devices. This provides significant improvements in configuration agility, error detection, and uptime for operators.

Many different types of actors can be identified that can use a policy management system, including applications, end-users, developers, network administrators, and operators. Each of these actors typically has different skills and uses different concepts and terminologies. For example, an operator may want to express that only Platinum and Gold users can use streaming and interactive multimedia applications. As a second example, an operator may want to define a more concrete policy rule that looks at the number of dropped packets. If, for example, this number exceeds a certain threshold value, then the applied queuing, dropping and scheduling algorithms could be changed in order to reduce the number of dropped packets. The power of SUPA is that both of these examples may be abstracted. For example, in the latter example, different thresholds and algorithms could be defined for different classes of service.

## **1.2. Proposed Solution**

SUPA enables network operators to express policies to control network configuration and monitoring data models in a generic manner. The configuration and monitoring processes are independent of device, as well as domain or type of application, and result in configuration according to YANG data models.

Both of the examples in [section 1.1](#) can be referred to as "policy rules", but they take very different forms, since they are defined at different levels of abstraction and likely authored by different actors. The first example described a very abstract policy rule, and did not contain any technology-specific terms, while the second example included more concrete policy rules and likely used technical terms of a general (e.g., IP address range and port numbers) as well as vendor-specific nature (e.g., specific algorithms implemented in a particular device). Furthermore, these two policy rules could affect each other. For example, Gold and Platinum users might need different device configurations to give the proper QoS markings to their streaming multimedia traffic. This is very difficult to do if a common policy framework does not exist.

Note that SUPA is not limited to any one type of technology. While the above two policies could be considered "QoS" policies, other examples include:

- network elements must not accept passwords for logins

- all SNMP agents in this network must drop all SNMP traffic unless it is originating from, or targeting, the management network

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- Periodically perform workload consolidation if average CPU utilization falls below X%

The above three examples are not QoS related; this emphasizes the utility of the SUPA approach in being able to provide policies to control different types of network element configuration and/or monitoring snippets.

There are many types of policies. SUPA differentiates between "management policies" and "embedded policies". Management policies are used to control the configuration of network elements. Management policies can be interpreted externally to network elements, and the interpretation typically results in configuration changes of collections of network elements. In contrast, "embedded policies" are policies that are embedded in the configuration of network elements, and are usually interpreted on network elements in isolation. Since embedded policies are interpreted in the network device, they are typically composed in a very specific fashion to run at near-realtime timescales.

### **1.3. Value of the SUPA Approach**

SUPA will achieve an optimization and reduction in the amount of work required to define and implement policy-based data models in the IETF. This is due to the generic and extensible framework provided by SUPA.

SUPA defines policy independent of where it is located. Other WGs are working on embedding policy in the configuration of a network element; SUPA is working on defining policies that can be interpreted external to network elements (i.e., management policies). Hence, SUPA policies can be used to define the behavior of and interaction between embedded policies.

Since the GPIM defines common policy terminology and concepts, it can be used to both define more specific policies as part of a data model as well as derive a (more abstract) information model from a (more specific) data model.

This latter approach may be of use in discovering common structures that occur in data models that have been designed in isolation of each other.

The SUPA policy framework defines a set of consistent, flexible, and scalable mechanisms for monitoring and controlling resources and services. It may be used to create a management and operations interface that can enable existing IETF data models, such as those from I2RS and L3SM, to be managed in a unified way that is

independent of application domain, technology and vendor. Resource and service management become more effective, because policy

defines the context that different operations, such as configuration and monitoring, are applied to.

## **2. Application of Generic Policy-based Management**

This section provides examples of how SUPA can be used to define different types of policies. Examples applied to various domains, including system management, operations management, access control, routing, and service function chaining, are also included.

### **2.1. ECA Examples**

ECA policies are rules that consist of an event clause, a condition clause, and an action clause.

#### Network Service Management Example

Event: too many interface alarms received from an L3VPN service  
Condition: alarms resolve to the same interface within a specified time period  
Action: if error rate exceeds x% then put L3VPN service to Error State and migrate users to one or more new L3VPNs

#### Security Management Example

Event: anomalous traffic detected in network  
Condition: determine the severity of the traffic  
Action: apply one or more actions to affected NEs based on the type of the traffic detected (along with other factors, such as the type of resource being attacked if the traffic is determined to be an attack)

#### Traffic Management Examples

Event: edge link close to being overloaded by incoming traffic  
Condition: if link utilization exceeds Y% or if link utilization average is increasing over a specified time period  
Action: change routing configuration to other peers that have better metrics

Event: edge link close to be overloaded by outgoing traffic  
Condition: if link utilization exceeds Z% or if link

utilization average is increasing over a  
specified time period

Action: reconfigure affected nodes to use source-based  
routing to balance traffic across multiple links

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### Service Management Examples

Event: alarm received or periodic time period check  
Condition: CPU utilization level comparison  
Action: no violation: no action  
violation:  
1) determine workload profile in time interval  
2) determine complementary workloads (e.g., whose peaks are at different times in day)  
3) combine workloads (e.g., using integer programming)

Event: alarm received or periodic time check  
Condition: if DSCP == AFxy and throughput < T% or packet loss > P%  
Action: no: no action  
yes: remark to AFx'y'; reconfigure queuing; configure shaping to S pps; ...

Note: it is possible to construct an ECA policy rule that is directly tied to configuration parameters.

## **3. Related Work**

### **3.1. Related Work within the IETF**

#### **3.1.1. I2RS Working Group**

I2RS defines an interface that interacts with the routing system using a collection of protocol-based control or management interfaces. Users of I2RS interfaces are typically management applications and controllers. SUPA does not directly interface to the routing system. Rather, SUPA uses data produced by I2RS (e.g., topological information) to construct its policies.

#### **3.1.2. L3SM Working Group**

L3SM defines an L3 VPN service model that can be used for communication between customers and network operators. This model enables an orchestration application or customers to request network services provided by L3 VPN technologies. The implementation of network services is often guided by specific policies, and SUPA provides a tool that can help with the mapping of L3 VPN service requests to L3 VPN configurations of network elements.



### **3.1.3. ALTO Working Group**

The ALTO working group defined an architecture for exposing topology information, more specifically the cost of paths through an infrastructure, as defined in [[RFC7285](#)]. ALTO services are able to provide network maps defined as groups of endpoints, and can therefore represent any granularity of network, from the physical to groups of networks following similar paths or restraints. Although this model can represent different levels of granularities, it is not clear if it could be adapted easily for other purposes than providing cost maps in the context of ALTO. The ALTO model is meant to be used outside of the trust domain of an ISP by external clients.

SUPA does not generate data that is similar to ALTO. Rather, SUPA could use ALTO data as part of its policies to configure services and/or resources.

### **3.1.4. TEAS Working Group**

The Traffic Engineering Architecture and Signaling (TEAS) working group is responsible for defining MPLS- and GMPLS-based Traffic Engineering architectures that enable operators to control how specific traffic flows are treated within their networks. It covers YANG models for a traffic engineering database. In coordination with other working groups (I2RS) providing YANG models for network topologies.

Both TEAS and SUPA use YANG data models. SUPA does not generate traffic engineering (TE) data. However, SUPA could use TE data as part of its policies for configuring resources and/or services. SUPA could also define policies that define which service, path, and link properties to use for a given customer, and consequently, which protocol extensions to use. TEAS data could also be used to enable operators to define how particular traffic flows are treated in a more abstract (but still consistent) manner.

### **3.1.5. BESS Working Group**

The BGP Enabled Services (BESS) working group defines and extends network services that are based on BGP. This includes BGP/MPLS IP provider-provisioned L3VPNs, L2VPNs, BGP-enabled VPN solutions for use in data center networking, and extensions to BGP-enabled solutions to construct virtual topologies in support of services such as Service Function Chaining. The working group is also chartered to work on BGP extensions to YANG models and data models for BGP-enabled services.

Both BESS and SUPA use YANG data models. SUPA could generate

BGP configurations by using data defined by BESS as part of its policies for configuring resources and/or services.



SUPA could also define policies that govern different aspects of services defined by BESS.

#### **3.1.6. SFC Working Group**

The Service Function Chaining (SFC) working group defines a mechanism where traffic is classified; that classification is then use to select an ordered set of services to pass the traffic through.

Both SFC and SUPA use YANG data models. SUPA could define policies that augment the functionality of SFC in several different ways, including: (1) path selection based on context, (2) which set of mechanisms to use to steer traffic through which set of service functions, (3) simplify the definition of dynamic service function chains (e.g., service paths that change based upon a set of data that is discovered at runtime), and (4) scalable mechanisms to monitor and control the configuration of SFC components.

#### **3.1.7. NV03 Working Group**

The NV03 group proposes a way to virtualize the network edge for data centers in order to be able to move virtual instances without impacting their network configuration. This is realized through a centrally controlled overlay layer-3 network. The NV03 work is not about defining policy information; rather, it uses policy information to perform some functions. Both NV03 and SUPA use YANG data models. SUPA could define policies that define how the logically centralized network virtualization management entity (or entities) of NV03 behave (e.g., the functions in the network virtualization control plane).

#### **3.1.8. ACTN BoF (IETF-90)**

The ACTN proposed work, as described in [actn] framework, has two main goals, the abstraction of multiple optical transport domains into a single controller offering a common abstract topology, and the splitting of that topology into abstract client views that are usually a fraction of the complete network. The ACTN work is therefore about unification of several physical controllers into a virtual one, and also about the segmentation, isolation and sharing of network resources. The ACTN work is not about defining policy information. Both ACTN and SUPA use YANG data models. SUPA could define policies that define the behavior of the controller.

#### **3.1.9. Previous IETF Policy Models**

SUPA is technology-neutral, previous RFCs weren't. SUPA defines a common structure from which ECA policies can be defined; this was not possible in previous RFCs. Previous RFCs do NOT define metadata,

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and do NOT enable policies to formally define obligation, permission, and related concepts. Finally, SUPA uses software patterns, which previous RFCs didn't.

A more complete analysis is in [Appendix A](#) of [[SUPA-info-model](#)].

## **[3.2. Related Work outside the IETF](#)**

### **[3.2.1. TM Forum](#)**

The TM Forum (a.k.a., the TeleManagement Forum) develops standards and best practices, research, and collaborative programs focused on digital business transformation. It consists of three major programs:

- 1) Agile Business and IT
- 2) Customer Centricity (experience)
- 3) Open Digital Ecosystem

Of these, the ZOOM (Zero-touch Orchestration, Operations, and Management) project, located in the Agile Business and IT project, is the main sub-project in this area that is of interest to SUPA.

Within ZOOM, the Foundational Studies project contains work on an information model and management architecture that are directly relevant to SUPA. The TMF Information Model, Policy, and Security working groups are involved in this work.

The ZOOM information model updates the existing Shared Information and Data (SID) information model to add support for the management of physical and virtual infrastructure, event- and data-driven systems, policy management (architecture and model), metadata for describing and prescribing behavior that can support changes at runtime, and access control. The policy information model defines imperative (ECA), declarative (intent-based), utility function, and promise policies. The work in [[SUPA-info-model](#)] is based on, but extends and enhances, the ZOOM ECA model and provides additional detail not currently present in ZOOM.

There is currently no plan to use the utility function and promise policies of ZOOM in SUPA. Finally, it should be noted that the data model work planned for SUPA is not currently planned for the ZOOM project.

### **[3.2.2. MEF](#)**

The MEF (originally named the Metro Ethernet Forum) develops architecture, service and management specifications related to

Carrier Ethernet (CE). The CE architecture includes the definition of several interfaces specific to CE like the User Network Interface (UNI) and External Network Network Interface (ENNI). Specifications

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developed in this space include the definitions of CE services, CE service attributes, Ethernet Access Services, Class of Service, OAM and Management interfaces, Service Activation and Test. The more recent vision of the MEF is described as The Third Network, and includes plans to develop Lifecycle Service Orchestration with APIs for existing network, NFV, and SDN implementations enabling Agile, Assured, and Orchestrated Services. This stage of the MEF activity is now in early phases with focus on architectural work.

The MEF has developed a number of Information and Data Models, and has recently started a project that uses YANG to model and manage the services defined by the MEF. While the MEF has created rigorous definitions of these services, they are specific to transport technology, and they do not currently include and rely on policies.

### **3.2.3. Open Daylight**

Open Daylight network controller implements a number of models through its service abstraction Layer (MD-SAL) based on draft IETF Yang models. Open Daylight is an open source project. Two of these could be relevant to SUPA in the future (since they both are focused on declarative policies, which are currently out of scope for SUPA) and are described below.

#### **3.2.3.1. Network Intent Composition (NIC)**

The Network Intent Composition project aims at providing better flexibility by using declarative policies. It does not cover other types of policies, such as ECA policy rules. The intent-based interface aims to provide a high level of abstraction, primarily for use by an application developer. Its progress has recently stalled.

#### **3.2.3.2. Group Based Policy**

The Group Based Policy project defines an application-centric policy model for Open Daylight that separates information about application connectivity requirements from information about the underlying details of the network infrastructure. The model is positioned as declarative, but uses a relational approach to specifying policy. It does not cover other types of policies, such as ECA policy rules.

### **3.2.4. Open Networking Foundation**

The ONF created a group responsible of defining northbound interfaces, but this hasn't lead to the publication of standards in this area so far. A blog entry on the ONF web site showed an interest in using the principle of intents at ONF,

but no details were provided on the status of this project. A members-only whitepaper was recently published.

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### **3.2.5. OpenStack**

OpenStack software controls large pools of compute, storage, and networking resources throughout a datacenter, managed through a dashboard or via the OpenStack API. OpenStack works with popular enterprise and open source technologies making it ideal for heterogeneous infrastructure. Few of the below mentioned OpenStack projects provides policy abstraction and better flexibility to the user.

#### **3.2.5.1. Group-Based Policy**

The Group-Based Policy project for OpenStack Neutron is built around entities assembled in Endpoints Groups (EPG) that provide or consume Contracts. Such Contracts are hierarchical entities containing policy rules. A first version was released in January 2015, based on the Juno release. This type of approach is more relational than declarative, but could be used to describe a large amount of possible scenarios. It has the advantage of providing a relatively simple policy model that covers a large applicability. From an OpenStack point of view, the scope of Group-Based Policies is limited to networking within the Neutron module. Note that other types of policies, such as ECA policies, are not covered in Group-Based Policy.

#### **3.2.5.2. Congress**

The Congress project within OpenStack provides a way to define complex policies using extensions to the Datalog language. Datalog is entirely declarative, and its evaluation is based on first-order logic with restrictions. This gives it interesting properties, such as providing the same result no matter the order in which the statements are made. The language allows for the definition of types and for active enforcement or verification of the policies. However, it does not cover ECA policies.

There is a significant body of knowledge and experience relating to declarative languages and their implementation. Congress policies aim at manipulating objects exposed by multiple OpenStack modules, and is therefore larger in scope than network element policies.

### **3.2.6. The NEMO Project (not a BoF yet)**

The NEMO project is a research activity aimed at defining a simple framework for "intent-based" networking. This project concentrates on creating a domain-specific language and associated API, not a model or even a rigorous definition of what a policy

rule is. NEMO does not define ECA policies.

The NEMO syntax defines a very simple information model that has three basic elements for network manipulation: nodes, links, and

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flows. A policy rule is NOT defined in this model. Rather, policy is defined as a command. The NEMO project has been successfully demonstrated at IETF-91, along with a companion graphical user interface.

NEMO declarative policies use a flatter, simpler object model with fewer objects to represent targets of policy. NEMO uses a condition-action paradigm to execute its declarative policies. In contrast, SUPA uses a richer class model to represent ECA policies. However, SUPA has not proposed a language.

### **3.2.7. The Floodlight Project**

The Floodlight is an OpenFlow-enabled SDN controller. It uses another open source project called Indigo to support OpenFlow and manage southbound devices. The Indigo agent also supports an abstraction layer to make it easy to integrate with physical and virtual switches. It supports configuration of an abstraction layer so that it can configure OpenFlow in hybrid mode.

### **3.2.8. The ONOS Project**

The ONOS is an SDN controller design for Service Provider networks. It uses a distributed architecture, and supports abstraction for both southbound and northbound interfaces. Its modules are managed as OSGi bundles. It is an open source project. ONOS announced an "application-intent framework". However, no object model or language has been defined yet.

## **4. Conclusions: the Value of SUPA**

SUPA can be used to define high-level, possibly network-wide policies to create interoperable network element configuration snippets. SUPA expresses policies and associated concepts using a generic policy information model, and produces generic policy YANG data modules. SUPA focuses on management policies that control the configuration of network elements. Management policies can be interpreted outside of network elements, and the interpretation typically results in configuration changes to collections of network elements.

Policies embedded in the configuration of network elements are not in the scope of SUPA. In contrast to policies targeted by SUPA, embedded policies are usually interpreted on network elements in isolation, and often at timescales that require the representation of embedded policies to be optimized for a specific purpose.

The SUPA information model generalizes common concepts from multiple technology-specific data models, and makes it reusable.

Conceptually, SUPA can be used to interface and manage existing and future data models produced by other IETF working groups. In

addition, by defining an object-oriented information model with metadata, the characteristics and behavior of data models can be better defined.

## **5. Security Considerations**

Security is a key aspect of any protocol that allows state installation and extracting detailed configuration states of network elements. This places additional security requirements on SUPA (e.g., authorization, and authentication of network services) that needs further investigation. Moreover, policy interpretation can lead to corner cases and side effects that should be carefully examined, e.g., in case policy rules are conflicting with each other.

## **6. IANA Considerations**

This document has no actions for IANA.

## **7. Contributors**

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