Network Working Group Internet-Draft Intended status: Informational Expires: May 3, 2018 A. Fuchs H. Birkholz Fraunhofer SIT I. McDonald High North Inc C. Bormann Universitaet Bremen TZI October 30, 2017

Time-Based Uni-Directional Attestation draft-birkholz-i2nsf-tuda-01

Abstract

This memo documents the method and bindings used to conduct timebased uni-directional attestation between distinguishable endpoints over the network.

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1. Introduction

Remote attestation describes the attempt to determine and appraise properties, such as integrity and trustworthiness, of an endpoint -the attestee -- over a network to another endpoint -- the verifier -without direct access. Typically, this kind of appraisal is based on integrity measurements of software components right before they are loaded as software instances on the attestee. In general, attestation procedures are utilizing a hardware root of trust (RoT). The TUDA protocol family uses hash values of all started software components that are stored (extended into) a Trust-Anchor (the Rot) implemented as a Hardware Security Module (e.g. a Trusted Platform Module or similar) and are reported via a signature over those measurements.

This draft introduces the concept of including the exchange of evidence (created via a hardware root of trust containing an shielded secret that is unknown to the user in order to increase the confidence that a communication peer is a Trusted System [<u>RFC4949</u>]. In consequnce, this document introduces the term forward authenticity.

Forward Authenticity (FA): A property of secure communication protocols, in which later compromise of the long-term keys of a data origin does not compromise past authentication of data from that origin. FA is achieved by timely recording of assessments of the authenticity from entities (via "audit logs" during "audit sessions") that are authorized for this purpose, in a time frame much shorter than that expected for the compromise of the longterm keys.

Forward Authenticity enables new level of guarantee and can be included in the basically every protocol, such as ssh, router advertisements , link layer neighbor discover, or even ICMP echo.

1.1. Remote Attestation

In essence, remote attestation is composed of three activities. The following definitions are derived from the definitions presented in [PRIRA] and [TCGGLOSS].

- Attestation: The creation of one ore more claims about the properties of an attestee, such that the claims can be used as evidence.
- Conveyance: The transfer of evidence from the attestee to the verifier via an interconnect.
- Verification: The appraisal of evidence by evaluating it against declarative guidance.

With TUDA, the claims that compose the evidence are signatures over trustworthy integrity measurements created by leveraging a hardware RoT. The evidence is appraised via corresponding signatures over reference integrity measurements (RIM, represented, for example via [<u>I-D.ietf-sacm-coswid</u>]).

Protocols that facilitate Trust-Anchor based signatures in order to provide remote attestation are usually bi-directional challenge/ response protocols, such as the Platform Trust Service protocol [PTS] or CAVES [PRIRA], where one entity sends a challenge that is included inside the response to ensure the recentness -- the freshness (see fresh in [RFC4949]) -- of the attestation information. The corresponding interaction model tightly couples the three activities of creating, transferring and appraising evidence.

The Time-Based Uni-directional Attestation family of protocols --TUDA -- described in this document can decouple the three activities remote attestation is composed of. As a result, TUDA provides additional capabilities, such as:

- o remote attestation for attestees that might not always be able to reach the Internet by enabling the verification of past states,
- secure audit logs by combining the evidence created via TUDA with integrity measurement logs that represent a detailed record of corresponding past states,
- o an uni-directional interaction model that can traverse "diodelike" network security functions (NSF) or can be leveraged in RESTful architectures (e.g. CoAP [<u>RFC7252</u>]), analogously.

<u>1.2</u>. Attestation and Verification

TUDA is a family of protocols that packages results from specific attestation and verification activities. The attestation activities of TUDA are based on a hardware root of trust that provides the following capabilities:

- platform Configuration Registers (PCR) that store measurements consecutively and represent the chain of measurements as a single measurement value,
- o restricted signing keys that are can only be accessed if a specific signature about measurements can be provided as authentication, and
- o a source of relative time (for example, a tick counter).

Both the attestation and the verification activity of TUDA also require a trusted Time Stamp Authority (TSA) as an additional third party next to the attestee and the verifier. The protocol uses a Time Stamp Authority based on [RFC3161]. The combination of the local source of time provided by the hardware RoT (located on the attestee) and the Time Stamp Tokens provided by the TSA (to both the attestee and the verifier) enable the attestation and verification of an appropriate freshness of the evidence conveyed by the attestee --without requiring a challenge/response interaction model that uses a nonce to ensure the freshness.

The verification activity can also use declarative guidance (representing desired or compliant endpoint characteristics in the form of RIM) to appraise the individual integrity measurements the conveyed evidence is based on. The acquisition or representation of declarative guidance as well as the corresponding evaluation methods are out of the scope of this document.

<u>1.3</u>. Information Elements and Conveyance

TUDA defines a set of information elements (IE) that are created and stored on the attestee and are intended to be transferred to the verifier in order to enable appraisal. Each TUDA IE:

o is encoded in the Concise Binary Object Representation (CBOR
[RFC7049]) to minimize the volume of data in motion. In this
document, the composition of the CBOR data items that represent IE
is described using the Concise Data Definition Language, CDDL
[I-D.greevenbosch-appsawg-cbor-cdd1]

- o that requires a certain freshness is only created/updated when out-dated, which reduces the overall resources required from the attestee, including the utilization of the hardware root of trust. The IE that have to be created are determined by their age or by specific state changes on the attestee (e.g. state changes due to a reboot-cycle)
- o is only transferred when required, which reduces the amount of data in motion necessary to conduct remote attestation significantly. Only IE that have changed since their last conveyance have to be transferred
- o that requires a certain freshness can be reused for multiple remote attestation procedures in the limits of its corresponding freshness-window, further reducing the load imposed on the attestee and its corresponding hardware RoT.

<u>1.4</u>. TUDA Objectives

The Time-Based Uni-directional Attestation family of protocols is designed to:

- o increase the confidence in authentication and authorization procedures,
- o address the requirements of constrained-node networks,
- o support interaction models that do not maintain connection-state over time, such as REST architectures [REST],
- o be able to leverage existing management interfaces, such as SNMP
 [<u>RFC3411</u>]. RESTCONF [<u>RFC8040</u>] or CoMI [<u>I-D.ietf-core-comi</u>] -- and
 corresponding bindings,
- o support broadcast and multicast schemes (e.g. [IEEE1609]),
- o be able to cope with temporary loss of connectivity, and to
- o provide trustworthy audit logs of past endpoint states.

<u>1.5</u>. Hardware Dependencies

The binding of the attestation scheme used by TUDA to generate the TUDA IE is specific to the methods provided by the hardware RoT used. As a reference, this document includes pseudo-code that illustrates the production of TUDA IE using a TPM 1.2 and TPM 2.0 as well as the corresponding TPM commands specified in [TPM12] and [TPM2] as an example. The references to TPM commands and corresponding pseudo-

code only serve as guidance to enable a better understanding of the attestation scheme and is intended to encourages the use of any appropriate hardware RoT or equivalent set of functions stored in a Trusted Execution Environment [TEE].

<u>1.6</u>. Requirements Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC</u> 2119, <u>BCP 14</u> [RFC2119].

2. TUDA Core Concept

There are significant differences between conventional bi-directional attestation and TUDA regarding both the information elements conveyed between attestee and verifier and the time-frame, in which an attestation can be considered to be fresh (and therefore trustworthy).

In general, remote attestation using a bi-directional communication scheme includes sending a nonce-challenge within a signed attestation token. Using the TPM 1.2 as an example, a corresponding nonce-challenge would be included within the signature created by the TPM_Quote command in order to prove the freshness of the attestation response, see e.g. [PTS].

In contrast, the TUDA protocol would use a combination output of TPM_CertifyInfo and TPM_TickStampBlob. The former provides a proof about the platform's state by attesting that a certain key is bound to said state. The latter provides proof that the platform was in the specified state by using the bound key in a time operation. This combination enables a time-based attestation scheme. This approach is based on the concepts introduced in [SCALE] and [SFKE2008].

The payload of information elements transmitted is based on different methods, because the time-frame, in which an attestation is considered to be fresh (and therefore trustworthy), is defined differently.

The freshness properties of a challenge-response based protocol define the point-of-time of attestation between:

o the time of transmission of the nonce, and

o the reception of the response

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Given the time-based attestation scheme, the freshness property of TUDA is equivalent to that of bi-directional challenge response attestation, if the point-in-time of attestation lies between:

o the transmission of a TUDA time-synchronization token, and

o the typical round-trip time between the verifier and the attestee,

The accuracy of this time-frame is defined by two factors:

- o the time-synchronization between the attestee and the TSA. The time between the two tickstamps acquired via the hardware RoT define the scope of the maximum drift ("left" and "right" in respect to the timeline) to the TSA timestamp, and
- o the drift of clocks included in the hardware RoT.

Since TUDA attestations do not rely upon a verifier provided value (i.e. the nonce), the security guarantees of the protocol only incorporate the TSA and the hardware RoT. In consequence, TUDA attestations can even serve as proof of integrity in audit logs with precise point-in-time guarantees, in contrast to classical attestations.

Appendix A contains guidance on how to utilize a REST architecture.

<u>Appendix B</u> contains guidance on how to create an SNMP binding and a corresponding TUDA-MIB.

<u>Appendix C</u> contains a corresponding YANG module that supports both RESTCONF and CoMI.

Appendix D.2 contains a realization of TUDA using TPM 1.2 primitives.

Appendix D.3 contains a realization of TUDA using TPM 2.0 primitives.

<u>2.1</u>. Terminology

This document introduces roles, information elements and types required to conduct TUDA and uses terminology (e.g. specific certificate names) typically seen in the context of attestation or hardware security modules.

<u>2.1.1</u>. Universal Terms

Attestation Identity Key (AIK): a special purpose signature (therefore asymmetric) key that supports identity related operations. The private portion of the key pair is maintained

confidential to the entity via appropriate measures (that have an impact on the scope of confidence). The public portion of the key pair may be included in AIK credentials that provide a claim about the entity.

Claim: A piece of information asserted about a subject [<u>RFC4949</u>]. A claim is represented as a name/value pair consisting of a Claim Name and a Claim Value [<u>RFC7519</u>]

In the context of SACM, a claim is also specialized as an attribute/value pair that is intended to be related to a statement [<u>I-D.ietf-sacm-terminology</u>].

- Endpoint Attestation: the creation of evidence on the attestee that provides proof of a set of the endpoints's integrity measurements. This is done by digitally signing a set of PCRs using an AIK shielded by the hardware RoT.
- Endpoint Characteristics: the context, composition, configuration, state, and behavior of an endpoint.
- Evidence: a trustworthy set of claims about an endpoint's characteristics.
- Identity: a set of claims that is intended to be related to an entity.
- Integrity Measurements: Metrics of endpoint characteristics (i.e. composition, configuration and state) that affect the confidence in the trustworthiness of an endpoint. Digests of integrity measurements can be stored in shielded locations (i.e. PCR of a TPM).
- Reference Integrity Measurements: Signed measurements about the characteristics of an endpoint's characteristics that are provided by a vendor and are intended to be used as declarative guidance [I-D.ietf-sacm-terminology] (e.g. a signed CoSWID).
- Trustworthy: the qualities of an endpoint that guarantee a specific behavior and/or endpoint characteristics defined by declarative guidance. Analogously, trustworthiness is the quality of being trustworthy with respect to declarative guidance. Trustworthiness is not an absolute property but defined with respect to an entity, corresponding declarative guidance, and has a scope of confidence.

Trustworthy Endpoint: an endpoint that guarantees trustworthy behavior and/or composition (with respect to certain declarative guidance and a scope of confidence).

Trustworthy Statement: evidence that is trustworthy conveyed by an endpoint that is not necessarily trustworthy.

2.1.2. Roles

- Attestee: the endpoint that is the subject of the attestation to another endpoint.
- Verifier: the endpoint that consumes the attestation of another endpoint to conduct a verification.

TSA: a Time Stamp Authority [RFC3161]

2.1.3. General Types

Byte: the now customary synonym for octet

Cert: an X.509 certificate represented as a byte-string

2.1.4. RoT specific terms

- PCR: a Platform Configuration Register that is part of a hardware root of trust and is used to securely store and report measurements about security posture
- PCR-Hash: a hash value of the security posture measurements stored in a TPM PCR (e.g. regarding running software instances) represented as a byte-string

<u>2.1.5</u>. Certificates

- TSA-CA: the Certificate Authority that provides the certificate for the TSA represented as a Cert
- AIK-CA: the Certificate Authority that provides the certificate for the attestation identity key of the TPM. This is the client platform credential for this protocol. It is a placeholder for a specific CA and AIK-Cert is a placeholder for the corresponding certificate, depending on what protocol was used. The specific protocols are out of scope for this document, see also [AIK-Enrollment] and [IEEE802.1AR].

3. Time-Based Uni-Directional Attestation

A Time-Based Uni-Directional Attestation (TUDA) consists of the following seven information elements. They are used to gain assurance of the Attestee's platform configuration at a certain point in time:

- TSA Certificate: The certificate of the Time Stamp Authority that is used in a subsequent synchronization protocol token. This certificate is signed by the TSA-CA.
- AIK Certificate: A certificate about the Attestation Identity Key
 (AIK) used. This may or may not also be an [IEEE802.1AR] IDevID
 or LDevID, depending on their setting of the corresponding
 identity property. ([AIK-Credential], [AIK-Enrollment]; see
 Appendix D.2.1.)
- Synchronization Token: The reference for attestations are the relative timestanps provided by the hardware RoT. In order to put attestations into relation with a Real Time Clock (RTC), it is necessary to provide a cryptographic synchronization between these trusted relative timestamps and the regular RTC that is a hardware component of the attestee. To do so, a synchronization protocol is run with a Time Stamp Authority (TSA).
- Restriction Info: The attestation relies on the capability of the hardware RoT to operate on restricted keys. Whenever the PCR values for the machine to be attested change, a new restricted key is created that can only be operated as long as the PCRs remain in their current state.

In order to prove to the Verifier that this restricted temporary key actually has these properties and also to provide the PCR value that it is restricted, the corresponding signing capabilities of the hardware RoT are used. It creates a signed certificate using the AIK about the newly created restricted key.

- Measurement Log: Similarly to regular attestations, the Verifier needs a way to reconstruct the PCRs' values in order to estimate the trustworthiness of the device. As such, a list of those elements that were extended into the PCRs is reported. Note though that for certain environments, this step may be optional if a list of valid PCR configurations (in the form of RIM available to the verifier) exists and no measurement log is required.
- Implicit Attestation: The actual attestation is then based upon a signed timestamp provided by the hardware RoT using the restricted temporary key that was certified in the steps above. The signed timestamp provides evidence that at this point in time (with respect to the relative time of the hardware RoT) a certain configuration existed (namely the PCR values associated with the restricted key). Together with the synchronization token this timestamp represented in relative time can then be related to the real-time clock.

Concise SWID tags: As an option to better assess the trustworthiness of an Attestee, a Verifier can request the reference hashes (RIM, which are often referred to as golden measurements) of all started software components to compare them with the entries in the measurement log. References hashes regarding installed (and therefore running) software can be provided by the manufacturer via SWID tags. SWID tags are provided by the attestee using the Concise SWID representation [I-D.ietf-sacm-coswid] and bundled into a CBOR array (a RIM Manifest). Ideally, the reference hashes include a signature created by the manufacturer of the software to prove their integrity.

These information elements could be sent en bloc, but it is recommended to retrieve them separately to save bandwidth, since these elements have different update cycles. In most cases, retransmitting all seven information elements would result in unnecessary redundancy.

Furthermore, in some scenarios it might be feasible not to store all elements on the Attestee endpoint, but instead they could be retrieved from another location or be pre-deployed to the Verifier. It is also feasible to only store public keys on the Verifier and skip the whole certificate provisioning completely in order to save bandwidth and computation time for certificate verification.

3.1. TUDA Information Elements Update Cycles

An endpoint can be in various states and have various information associated with it during its life cycle. For TUDA, a subset of the states (which can include associated information) that an endpoint and its hardware root of trust can be in, is important to the attestation process. States can be:

- o persistent, even after a hard reboot. This includes certificates that are associated with the endpoint itself or with services it relies on.
- o volatile to a degree, because they change at the beginning of each boot cycle. This includes the capability of a hardware RoT to provide relative time which provides the basis for the synchronization token and implicit attestation--and which can reset after an endpoint is powered off.
- very volatile, because they change during an uptime cycle (the period of time an endpoint is powered on, starting with its boot).
 This includes the content of PCRs of a hardware RoT and thereby also the PCR-restricted signing keys used for attestation.

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Depending on this "lifetime of state", data has to be transported over the wire, or not. E.g. information that does not change due to a reboot typically has to be transported only once between the Attestee and the Verifier.

There are three kinds of events that require a renewed attestation:

o The Attestee completes a boot-cycle

o A relevant PCR changes

o Too much time has passed since the last attestation statement

The third event listed above is variable per application use case and also depends on the precision of the clock included in the hardware RoT. For usage scenarios, in which the device would periodically push information to be used in an audit-log, a time-frame of approximately one update per minute should be sufficient in most cases. For those usage scenarios, where verifiers request (pull) a fresh attestation statement, an implementation could use the hardware RoT continuously to always present the most freshly created results. To save some utilization of the hardware RoT for other purposes, however, a time-frame of once per ten seconds is recommended, which would typically leave about 80% of utilization for other applications.

```
Attestee
                                Verifier
 Т
                                   Boot
Create Sync-Token
Create Restricted Key
Certify Restricted Key
 | AIK-Cert -----> |
 | Sync-Token -----> |
 | Certify-Info -----> |
 | Measurement Log -----> |
 | Attestation -----> |
                           Verify Attestation
      <Time Passed>
 | Attestation -----> |
                           Verify Attestation
                                   <Time Passed>
```

```
PCR-Change
 Create Restricted Key
Certify Restricted Key
 | Certify-Info -----> |
 | Measurement Log -----> |
 | Attestation -----> |
                          Verify Attestation
 Boot
 Create Sync-Token
 Create Restricted Key
Certify Restricted Key
 | Sync-Token -----> |
 | Certify-Info -----> |
 | Measurement Log -----> |
 | Attestation -----> |
                          Verify Attestation
     <Time Passed>
 | Attestation -----> |
                          Verify Attestation
```

Figure 1: Example sequence of events

4. Sync Base Protocol

The uni-directional approach of TUDA requires evidence on how the TPM time represented in ticks (relative time since boot of the TPM) relates to the standard time provided by the TSA. The Sync Base Protocol (SBP) creates evidence that binds the TPM tick time to the TSA timestamp. The binding information is used by and conveyed via the Sync Token (TUDA IE). There are three actions required to create the content of a Sync Token:

o At a given point in time (called "left"), a signed tickstamp counter value is acquired from the hardware RoT. The hash of counter and signature is used as a nonce in the request directed at the TSA.

- o The corresponding response includes a data-structure incorporating the trusted timestamp token and its signature created by the TSA.
- o At the point-in-time the response arrives (called "right"), a signed tickstamp counter value is acquired from the hardware RoT again, using a hash of the signed TSA timestamp as a nonce.

The three time-related values -- the relative timestamps provided by the hardware RoT ("left" and "right") and the TSA timestamp -- and their corresponding signatures are aggregated in order to create a corresponding Sync Token to be used as a TUDA Information Element that can be conveyed as evidence to a verifier.

The drift of a clock incorporated in the hardware RoT that drives the increments of the tick counter constitutes one of the triggers that can initiate a TUDA Information Element Update Cycle in respect to the freshness of the available Sync Token.

content TBD

5. IANA Considerations

This memo includes requests to IANA, including registrations for media type definitions.

TBD

6. Security Considerations

There are Security Considerations. TBD

7. Change Log

Changes from version 04 to I2NSF related document version 00: * Refactored main document to be more technology agnostic * Added first draft of procedures for TPM 2.0 * Improved content consistency and structure of all sections

Changes from version 03 to version 04:

- o Refactoring of Introduction, intend, scope and audience
- o Added first draft of Sync Base Prootoll section illustrated background for interaction with TSA
- o Added YANG module
- o Added missing changelog entry

Changes from version 02 to version 03:

- o Moved base concept out of Introduction
- o First refactoring of Introduction and Concept
- o First restructuring of Appendices and improved references

Changes from version 01 to version 02:

- Restructuring of Introduction, highlighting conceptual prerequisites
- Restructuring of Concept to better illustrate differences to handshake based attestation and deciding factors regarding freshness properties
- o Subsection structure added to Terminology
- o Clarification of descriptions of approach (these were the FIXMEs)
- Correction of RestrictionInfo structure: Added missing signature member

Changes from version 00 to version 01:

Major update to the SNMP MIB and added a table for the Concise SWID profile Reference Hashes that provides additional information to be compared with the measurement logs.

8. Contributors

TBD

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Appendix A. REST Realization

Each of the seven data items is defined as a media type (<u>Section 5</u>). Representations of resources for each of these media types can be retrieved from URIs that are defined by the respective servers [<u>RFC7320</u>]. As can be derived from the URI, the actual retrieval is via one of the HTTPs ([<u>RFC7230</u>], [<u>RFC7540</u>]) or CoAP [<u>RFC7252</u>]. How a client obtains these URIs is dependent on the application; e.g., CoRE Web links [<u>RFC6690</u>] can be used to obtain the relevant URIs from the self-description of a server, or they could be prescribed by a RESTCONF data model [<u>RFC8040</u>].

Appendix B. SNMP Realization

SNMPv3 [STD62] [RFC3411] is widely available on computers and also constrained devices. To transport the TUDA information elements, an SNMP MIB is defined below which encodes each of the seven TUDA information elements into a table. Each row in a table contains a single read-only columnar SNMP object of datatype OCTET-STRING. The values of a set of rows in each table can be concatenated to reconstitute a CBOR-encoded TUDA information element. The Verifier can retrieve the values for each CBOR fragment by using SNMP GetNext requests to "walk" each table and can decode each of the CBOR-encoded

data items based on the corresponding CDDL
[<u>I-D.greevenbosch-appsawg-cbor-cddl</u>] definition.

Design Principles:

- Over time, TUDA attestation values age and should no longer be used. Every table in the TUDA MIB has a primary index with the value of a separate scalar cycle counter object that disambiguates the transition from one attestation cycle to the next.
- Over time, the measurement log information (for example) may grow large. Therefore, read-only cycle counter scalar objects in all TUDA MIB object groups facilitate more efficient access with SNMP GetNext requests.
- 3. Notifications are supported by an SNMP trap definition with all of the cycle counters as bindings, to alert a Verifier that a new attestation cycle has occurred (e.g., synchronization data, measurement log, etc. have been updated by adding new rows and possibly deleting old rows).

<u>B.1</u>. Structure of TUDA MIB

The following table summarizes the object groups, tables and their indexes, and conformance requirements for the TUDA MIB:

Group/Table	Cycle	Instance	Fragment	Required
General				X
AIKCert	X	X	X	
TSACert	X	X	X	
SyncToken	X		X	X
Restrict	X			X
Measure	X	X		
VerifyToken	X			X
SWIDTag	X	X	X	

B.1.1. Cycle Index

A tudaV1<Group>CycleIndex is the:

 first index of a row (element instance or element fragment) in the tudaV1<Group>Table;

- identifier of an update cycle on the table, when rows were added and/or deleted from the table (bounded by tudaV1<Group>Cycles); and
- binding in the tudaV1TrapV2Cycles notification for directed polling.

B.1.2. Instance Index

- A tudaV1<Group>InstanceIndex is the:
- second index of a row (element instance or element fragment) in the tudaV1<Group>Table; except for
- a row in the tudaV1SyncTokenTable (that has only one instance per cycle).

B.1.3. Fragment Index

A tudaV1<Group>FragmentIndex is the:

- last index of a row (always an element fragment) in the tudaV1<Group>Table; and
- 2. accomodation for SNMP transport mapping restrictions for large string elements that require fragmentation.

B.2. Relationship to Host Resources MIB

The General group in the TUDA MIB is analogous to the System group in the Host Resources MIB [RFC2790] and provides context information for the TUDA attestation process.

The Verify Token group in the TUDA MIB is analogous to the Device group in the Host MIB and represents the verifiable state of a TPM device and its associated system.

The SWID Tag group (containing a Concise SWID reference hash profile [<u>I-D.ietf-sacm-coswid</u>]) in the TUDA MIB is analogous to the Software Installed and Software Running groups in the Host Resources MIB [<u>RFC2790</u>].

B.3. Relationship to Entity MIB

The General group in the TUDA MIB is analogous to the Entity General group in the Entity MIB v4 [<u>RFC6933</u>] and provides context information for the TUDA attestation process.

The SWID Tag group in the TUDA MIB is analogous to the Entity Logical group in the Entity MIB v4 [RFC6933].

B.4. Relationship to Other MIBs

The General group in the TUDA MIB is analogous to the System group in MIB-II [RFC1213] and the System group in the SNMPv2 MIB [RFC3418] and provides context information for the TUDA attestation process.

<u>B.5</u>. Definition of TUDA MIB

```
<CODE BEGINS>
TUDA-V1-ATTESTATION-MIB DEFINITIONS ::= BEGIN
IMPORTS
    MODULE-IDENTITY, OBJECT-TYPE, Integer32, Counter32,
    enterprises, NOTIFICATION-TYPE
        FROM SNMPv2-SMI
                                        -- RFC 2578
    MODULE-COMPLIANCE, OBJECT-GROUP, NOTIFICATION-GROUP
        FROM SNMPv2-CONF
                                       -- RFC 2580
    SnmpAdminString
        FROM SNMP-FRAMEWORK-MIB; -- <u>RFC 3411</u>
tudaV1MIB MODULE-IDENTITY
    LAST-UPDATED "201710300000Z" -- 30 October 2017
    ORGANIZATION
        "Fraunhofer SIT"
    CONTACT-INFO
        "Andreas Fuchs
        Fraunhofer Institute for Secure Information Technology
        Email: andreas.fuchs@sit.fraunhofer.de
        Henk Birkholz
        Fraunhofer Institute for Secure Information Technology
        Email: henk.birkholz@sit.fraunhofer.de
        Ira E McDonald
```

High North Inc Email: blueroofmusic@gmail.com

Carsten Bormann Universitaet Bremen TZI Email: cabo@tzi.org"

DESCRIPTION

"The MIB module for monitoring of time-based unidirectional attestation information from a network endpoint system, based on the Trusted Computing Group TPM 1.2 definition.

```
Copyright (C) High North Inc (2017)."
   REVISION "201710300000Z" -- 30 October 2017
   DESCRIPTION
        "Fifth version, published as draft-birkholz-tuda-05."
   REVISION "201701090000Z" -- 09 January 2017
   DESCRIPTION
       "Fourth version, published as <u>draft-birkholz-tuda-04</u>."
   REVISION "201607080000Z" -- 08 July 2016
   DESCRIPTION
        "Third version, published as draft-birkholz-tuda-02."
   REVISION "201603210000Z" -- 21 March 2016
   DESCRIPTION
       "Second version, published as <u>draft-birkholz-tuda-01</u>."
   REVISION "201510180000Z" -- 18 October 2015
   DESCRIPTION
        "Initial version, published as <u>draft-birkholz-tuda-00</u>."
        ::= { enterprises fraunhofersit(21616) mibs(1) tudaV1MIB(1) }
tudaV1MIBNotifications
                           OBJECT IDENTIFIER ::= { tudaV1MIB 0 }
tudaV1MIBObjects
                           OBJECT IDENTIFIER ::= { tudaV1MIB 1 }
tudaV1MIBConformance OBJECT IDENTIFIER ::= { tudaV1MIB 2 }
-- General
- -
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 1 }
tudaV1General
tudaV1GeneralCycles OBJECT-TYPE
   SYNTAX
               Counter32
   MAX-ACCESS read-only
   STATUS
             current
   DESCRIPTION
        "Count of TUDA update cycles that have occurred, i.e.,
       sum of all the individual group cycle counters.
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1General 1 }
tudaV1GeneralVersionInfo OBJECT-TYPE
               SnmpAdminString (SIZE(0..255))
   SYNTAX
   MAX-ACCESS read-only
   STATUS current
```

```
DESCRIPTION
        "Version information for TUDA MIB, e.g., specific release
       version of TPM 1.2 base specification and release version
       of TPM 1.2 errata specification and manufacturer and model
       TPM module itself."
               { "" }
   DEFVAL
    ::= { tudaV1General 2 }
- -
-- AIK Cert
_ _
tudaV1AIKCert
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 2 }
tudaV1AIKCertCycles OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
        "Count of AIK Certificate chain update cycles that have
       occurred.
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1AIKCert 1 }
tudaV1AIKCertTable OBJECT-TYPE
               SEQUENCE OF TudaV1AIKCertEntry
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
        "A table of fragments of AIK Certificate data."
    ::= { tudaV1AIKCert 2 }
tudaV1AIKCertEntry OBJECT-TYPE
   SYNTAX TudaV1AIKCertEntry
   MAX-ACCESS not-accessible
              current
   STATUS
   DESCRIPTION
        "An entry for one fragment of AIK Certificate data."
             { tudaV1AIKCertCycleIndex,
    INDEX
                 tudaV1AIKCertInstanceIndex,
                  tudaV1AIKCertFragmentIndex }
    ::= { tudaV1AIKCertTable 1 }
TudaV1AIKCertEntry ::=
   SEQUENCE {
        tudaV1AIKCertCycleIndex
                                       Integer32,
        tudaV1AIKCertInstanceIndex
                                       Integer32,
        tudaV1AIKCertFragmentIndex
                                       Integer32,
```

- -

```
OCTET STRING
        tudaV1AIKCertData
   }
tudaV1AIKCertCycleIndex OBJECT-TYPE
               Integer32 (1..2147483647)
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "High-order index of this AIK Certificate fragment.
       Index of an AIK Certificate chain update cycle that has
       occurred (bounded by the value of tudaV1AIKCertCycles).
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1AIKCertEntry 1 }
tudaV1AIKCertInstanceIndex OBJECT-TYPE
             Integer32 (1..2147483647)
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "Middle index of this AIK Certificate fragment.
       Ordinal of this AIK Certificate in this chain, where the AIK
       Certificate itself has an ordinal of '1' and higher ordinals
       go *up* the certificate chain to the Root CA.
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1AIKCertEntry 2 }
tudaV1AIKCertFragmentIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
        "Low-order index of this AIK Certificate fragment.
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1AIKCertEntry 3 }
tudaV1AIKCertData OBJECT-TYPE
               OCTET STRING (SIZE(0..1024))
   SYNTAX
   MAX-ACCESS read-only
               current
   STATUS
   DESCRIPTION
       "A fragment of CBOR encoded AIK Certificate data."
   DEFVAL
               { "" }
   ::= { tudaV1AIKCertEntry 4 }
```

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tuda

```
-- TSA Cert
- -
tudaV1TSACert
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 3 }
tudaV1TSACertCycles OBJECT-TYPE
   SYNTAX
               Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
        "Count of TSA Certificate chain update cycles that have
       occurred.
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1TSACert 1 }
tudaV1TSACertTable OBJECT-TYPE
   SYNTAX SEQUENCE OF TudaV1TSACertEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "A table of fragments of TSA Certificate data."
    ::= { tudaV1TSACert 2 }
tudaV1TSACertEntry OBJECT-TYPE
   SYNTAX TudaV1TSACertEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "An entry for one fragment of TSA Certificate data."
           { tudaV1TSACertCycleIndex,
    INDEX
                 tudaV1TSACertInstanceIndex,
                 tudaV1TSACertFragmentIndex }
    ::= { tudaV1TSACertTable 1 }
TudaV1TSACertEntry ::=
   SEQUENCE {
       tudaV1TSACertCycleIndex
                                      Integer32,
       tudaV1TSACertInstanceIndex
                                      Integer32,
       tudaV1TSACertFragmentIndex
                                      Integer32,
       tudaV1TSACertData
                                      OCTET STRING
   }
tudaV1TSACertCycleIndex OBJECT-TYPE
   SYNTAX Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
           current
   STATUS
   DESCRIPTION
        "High-order index of this TSA Certificate fragment.
```

tuda

```
Index of a TSA Certificate chain update cycle that has
       occurred (bounded by the value of tudaV1TSACertCycles).
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1TSACertEntry 1 }
tudaV1TSACertInstanceIndex OBJECT-TYPE
              Integer32 (1..2147483647)
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "Middle index of this TSA Certificate fragment.
       Ordinal of this TSA Certificate in this chain, where the TSA
       Certificate itself has an ordinal of '1' and higher ordinals
       go *up* the certificate chain to the Root CA.
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1TSACertEntry 2 }
tudaV1TSACertFragmentIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "Low-order index of this TSA Certificate fragment.
       DEFVAL intentionally omitted - index object."
   ::= { tudaV1TSACertEntry 3 }
tudaV1TSACertData OBJECT-TYPE
   SYNTAX OCTET STRING (SIZE(0..1024))
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
       "A fragment of CBOR encoded TSA Certificate data."
              { "" }
   DEFVAL
   ::= { tudaV1TSACertEntry 4 }
- -
-- Sync Token
- -
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 4 }
tudaV1SyncToken
tudaV1SyncTokenCycles OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
```

```
"Count of Sync Token update cycles that have
       occurred.
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1SyncToken 1 }
tudaV1SyncTokenInstances OBJECT-TYPE
   SYNTAX
               Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
       "Count of Sync Token instance entries that have
       been recorded (some entries MAY have been pruned).
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1SyncToken 2 }
tudaV1SyncTokenTable OBJECT-TYPE
   SYNTAX
               SEQUENCE OF TudaV1SyncTokenEntry
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
        "A table of fragments of Sync Token data."
    ::= { tudaV1SyncToken 3 }
tudaV1SyncTokenEntry OBJECT-TYPE
   SYNTAX
               TudaV1SyncTokenEntry
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
        "An entry for one fragment of Sync Token data."
   INDEX
               { tudaV1SyncTokenCycleIndex,
                 tudaV1SyncTokenInstanceIndex,
                  tudaV1SyncTokenFragmentIndex }
    ::= { tudaV1SyncTokenTable 1 }
TudaV1SyncTokenEntry ::=
   SEQUENCE {
       tudaV1SyncTokenCycleIndex
                                       Integer32,
        tudaV1SyncTokenInstanceIndex
                                       Integer32,
        tudaV1SyncTokenFragmentIndex Integer32,
                                       OCTET STRING
       tudaV1SyncTokenData
   }
tudaV1SyncTokenCycleIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS
              current
```

```
DESCRIPTION
        "High-order index of this Sync Token fragment.
       Index of a Sync Token update cycle that has
       occurred (bounded by the value of tudaV1SyncTokenCycles).
       DEFVAL intentionally omitted - index object."
   ::= { tudaV1SyncTokenEntry 1 }
tudaV1SyncTokenInstanceIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
       "Middle index of this Sync Token fragment.
       Ordinal of this instance of Sync Token data
        (NOT bounded by the value of tudaV1SyncTokenInstances).
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1SyncTokenEntry 2 }
tudaV1SyncTokenFragmentIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS
               current
   DESCRIPTION
        "Low-order index of this Sync Token fragment.
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1SyncTokenEntry 3 }
tudaV1SyncTokenData OBJECT-TYPE
   SYNTAX
               OCTET STRING (SIZE(0..1024))
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
       "A fragment of CBOR encoded Sync Token data."
               { "" }
   DEFVAL
   ::= { tudaV1SyncTokenEntry 4 }
-- Restriction Info
tudaV1Restrict
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 5 }
tudaV1RestrictCycles OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
             current
   STATUS
```

```
DESCRIPTION
       "Count of Restriction Info update cycles that have
       occurred.
       DEFVAL intentionally omitted - counter object."
   ::= { tudaV1Restrict 1 }
tudaV1RestrictTable OBJECT-TYPE
   SYNTAX SEQUENCE OF TudaV1RestrictEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "A table of instances of Restriction Info data."
   ::= { tudaV1Restrict 2 }
tudaV1RestrictEntry OBJECT-TYPE
   SYNTAX TudaV1RestrictEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "An entry for one instance of Restriction Info data."
   INDEX { tudaV1RestrictCycleIndex }
   ::= { tudaV1RestrictTable 1 }
TudaV1RestrictEntry ::=
   SEQUENCE {
       tudaV1RestrictCycleIndex
                                   Integer32,
       tudaV1RestrictData
                                      OCTET STRING
   }
tudaV1RestrictCycleIndex OBJECT-TYPE
   SYNTAX Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "Index of this Restriction Info entry.
       Index of a Restriction Info update cycle that has
       occurred (bounded by the value of tudaV1RestrictCycles).
       DEFVAL intentionally omitted - index object."
   ::= { tudaV1RestrictEntry 1 }
tudaV1RestrictData OBJECT-TYPE
               OCTET STRING (SIZE(0..1024))
   SYNTAX
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
```

```
"An instance of CBOR encoded Restriction Info data."
               { "" }
   DEFVAL
   ::= { tudaV1RestrictEntry 2 }
- -
-- Measurement Log
- -
tudaV1Measure
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 6 }
tudaV1MeasureCycles OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
       "Count of Measurement Log update cycles that have
       occurred.
       DEFVAL intentionally omitted - counter object."
   ::= { tudaV1Measure 1 }
tudaV1MeasureInstances OBJECT-TYPE
   SYNTAX
               Counter32
   MAX-ACCESS read-only
   STATUS
               current
   DESCRIPTION
       "Count of Measurement Log instance entries that have
       been recorded (some entries MAY have been pruned).
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1Measure 2 }
tudaV1MeasureTable OBJECT-TYPE
            SEQUENCE OF TudaV1MeasureEntry
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS
            current
   DESCRIPTION
       "A table of instances of Measurement Log data."
    ::= { tudaV1Measure 3 }
tudaV1MeasureEntry OBJECT-TYPE
   SYNTAX TudaV1MeasureEntry
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "An entry for one instance of Measurement Log data."
               { tudaV1MeasureCycleIndex,
   INDEX
                 tudaV1MeasureInstanceIndex }
   ::= { tudaV1MeasureTable 1 }
```

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```
TudaV1MeasureEntry ::=
   SEQUENCE {
       tudaV1MeasureCycleIndex
                                      Integer32,
       tudaV1MeasureInstanceIndex
                                     Integer32,
                                      OCTET STRING
       tudaV1MeasureData
   }
tudaV1MeasureCycleIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "High-order index of this Measurement Log entry.
       Index of a Measurement Log update cycle that has
       occurred (bounded by the value of tudaV1MeasureCycles).
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1MeasureEntry 1 }
tudaV1MeasureInstanceIndex OBJECT-TYPE
   SYNTAX Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "Low-order index of this Measurement Log entry.
       Ordinal of this instance of Measurement Log data
       (NOT bounded by the value of tudaV1MeasureInstances).
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1MeasureEntry 2 }
tudaV1MeasureData OBJECT-TYPE
   SYNTAX OCTET STRING (SIZE(0..1024))
   MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
       "A instance of CBOR encoded Measurement Log data."
   DEFVAL
               { "" }
    ::= { tudaV1MeasureEntry 3 }
- -
-- Verify Token
- -
tudaV1VerifyToken OBJECT IDENTIFIER ::= { tudaV1MIBObjects 7 }
tudaV1VerifyTokenCycles OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
```

```
STATUS current
   DESCRIPTION
       "Count of Verify Token update cycles that have
       occurred.
       DEFVAL intentionally omitted - counter object."
   ::= { tudaV1VerifyToken 1 }
tudaV1VerifyTokenTable OBJECT-TYPE
               SEQUENCE OF TudaV1VerifyTokenEntry
   SYNTAX
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "A table of instances of Verify Token data."
   ::= { tudaV1VerifyToken 2 }
tudaV1VerifyTokenEntry OBJECT-TYPE
   SYNTAX TudaV1VerifyTokenEntry
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "An entry for one instance of Verify Token data."
            { tudaV1VerifyTokenCycleIndex }
   INDEX
   ::= { tudaV1VerifyTokenTable 1 }
TudaV1VerifyTokenEntry ::=
   SEQUENCE {
       tudaV1VerifyTokenCycleIndex Integer32,
       tudaV1VerifyTokenData OCTET STRING
   }
tudaV1VerifyTokenCycleIndex OBJECT-TYPE
   SYNTAX Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "Index of this instance of Verify Token data.
       Index of a Verify Token update cycle that has
       occurred (bounded by the value of tudaV1VerifyTokenCycles).
       DEFVAL intentionally omitted - index object."
   ::= { tudaV1VerifyTokenEntry 1 }
tudaV1VerifyTokenData OBJECT-TYPE
   SYNTAX
               OCTET STRING (SIZE(0..1024))
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
```

```
"A instance of CBOR encoded Verify Token data."
               { "" }
   DEFVAL
   ::= { tudaV1VerifyTokenEntry 2 }
- -
-- SWID Tag
- -
tudaV1SWIDTag
                       OBJECT IDENTIFIER ::= { tudaV1MIBObjects 8 }
tudaV1SWIDTagCycles OBJECT-TYPE
   SYNTAX Counter32
   MAX-ACCESS read-only
   STATUS current
   DESCRIPTION
       "Count of SWID Tag update cycles that have occurred.
       DEFVAL intentionally omitted - counter object."
    ::= { tudaV1SWIDTag 1 }
tudaV1SWIDTagTable OBJECT-TYPE
   SYNTAX
               SEQUENCE OF TudaV1SWIDTagEntry
   MAX-ACCESS not-accessible
   STATUS
           current
   DESCRIPTION
       "A table of fragments of SWID Tag data."
    ::= { tudaV1SWIDTag 2 }
tudaV1SWIDTagEntry OBJECT-TYPE
   SYNTAX TudaV1SWIDTagEntry
   MAX-ACCESS not-accessible
   STATUS
             current
   DESCRIPTION
       "An entry for one fragment of SWID Tag data."
               { tudaV1SWIDTagCycleIndex,
   INDEX
                 tudaV1SWIDTagInstanceIndex,
                 tudaV1SWIDTagFragmentIndex }
    ::= { tudaV1SWIDTagTable 1 }
TudaV1SWIDTagEntry ::=
   SEQUENCE {
       tudaV1SWIDTagCycleIndex
                                       Integer32,
       tudaV1SWIDTagInstanceIndex
                                       Integer32,
       tudaV1SWIDTagFragmentIndex
                                       Integer32,
       tudaV1SWIDTagData
                                       OCTET STRING
   }
tudaV1SWIDTagCycleIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
```

tuda

```
MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
        "High-order index of this SWID Tag fragment.
       Index of an SWID Tag update cycle that has
       occurred (bounded by the value of tudaV1SWIDTagCycles).
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1SWIDTagEntry 1 }
tudaV1SWIDTagInstanceIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
        "Middle index of this SWID Tag fragment.
       Ordinal of this SWID Tag instance in this update cycle.
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1SWIDTagEntry 2 }
tudaV1SWIDTagFragmentIndex OBJECT-TYPE
   SYNTAX
               Integer32 (1..2147483647)
   MAX-ACCESS not-accessible
   STATUS current
   DESCRIPTION
       "Low-order index of this SWID Tag fragment.
       DEFVAL intentionally omitted - index object."
    ::= { tudaV1SWIDTagEntry 3 }
tudaV1SWIDTagData OBJECT-TYPE
   SYNTAX OCTET STRING (SIZE(0..1024))
   MAX-ACCESS read-only
   STATUS
            current
   DESCRIPTION
       "A fragment of CBOR encoded SWID Tag data."
               { "" }
   DEFVAL
    ::= { tudaV1SWIDTagEntry 4 }
- -
-- Trap Cycles
- -
tudaV1TrapV2Cycles NOTIFICATION-TYPE
   OBJECTS {
       tudaV1GeneralCycles,
       tudaV1AIKCertCycles,
       tudaV1TSACertCycles,
```

```
tudaV1SyncTokenCycles,
        tudaV1SyncTokenInstances,
        tudaV1RestrictCycles,
        tudaV1MeasureCycles,
        tudaV1MeasureInstances,
        tudaV1VerifyTokenCycles,
        tudaV1SWIDTagCycles
   }
   STATUS current
   DESCRIPTION
        "This trap is sent when the value of any cycle or instance
        counter changes (i.e., one or more tables are updated).
        Note: The value of sysUpTime in IETF MIB-II (RFC 1213) is
        always included in SNMPv2 traps, per <u>RFC 3416</u>."
    ::= { tudaV1MIBNotifications 1 }
- -
-- Conformance Information
- -
tudaV1Compliances
                            OBJECT IDENTIFIER
    ::= { tudaV1MIBConformance 1 }
                            OBJECT IDENTIFIER
tudaV10bjectGroups
    ::= { tudaV1MIBConformance 2 }
tudaV1NotificationGroups
                            OBJECT IDENTIFIER
    ::= { tudaV1MIBConformance 3 }
-- Compliance Statements
- -
tudaV1BasicCompliance MODULE-COMPLIANCE
   STATUS current
   DESCRIPTION
        "An implementation that complies with this module MUST
        implement all of the objects defined in the mandatory
        group tudaV1BasicGroup."
   MODULE -- this module
   MANDATORY-GROUPS { tudaV1BasicGroup }
   GROUP
            tudaV10ptionalGroup
   DESCRIPTION
        "The optional TUDA MIB objects.
        An implementation MAY implement this group."
   GROUP
          tudaV1TrapGroup
   DESCRIPTION
```

```
"The TUDA MIB traps.
        An implementation SHOULD implement this group."
    ::= { tudaV1Compliances 1 }
- -
  Compliance Groups
- -
- -
tudaV1BasicGroup OBJECT-GROUP
   OBJECTS {
        tudaV1GeneralCycles,
        tudaV1GeneralVersionInfo,
        tudaV1SyncTokenCycles,
        tudaV1SyncTokenInstances,
        tudaV1SyncTokenData,
        tudaV1RestrictCycles,
        tudaV1RestrictData,
        tudaV1VerifyTokenCycles,
        tudaV1VerifyTokenData
   }
   STATUS current
   DESCRIPTION
        "The basic mandatory TUDA MIB objects."
    ::= { tudaV10bjectGroups 1 }
tudaV10ptionalGroup OBJECT-GROUP
   OBJECTS {
        tudaV1AIKCertCycles,
        tudaV1AIKCertData,
        tudaV1TSACertCycles,
        tudaV1TSACertData,
        tudaV1MeasureCycles,
        tudaV1MeasureInstances,
        tudaV1MeasureData,
        tudaV1SWIDTagCycles,
        tudaV1SWIDTagData
    }
   STATUS current
   DESCRIPTION
        "The optional TUDA MIB objects."
    ::= { tudaV10bjectGroups 2 }
tudaV1TrapGroup NOTIFICATION-GROUP
   NOTIFICATIONS { tudaV1TrapV2Cycles }
   STATUS
                current
   DESCRIPTION
        "The recommended TUDA MIB traps - notifications."
    ::= { tudaV1NotificationGroups 1 }
```

```
END
   <CODE ENDS>
Appendix C. YANG Realization
<CODE BEGINS>
module TUDA-V1-ATTESTATION-MIB {
 namespace "urn:ietf:params:xml:ns:yang:smiv2:TUDA-V1-ATTESTATION-MIB";
 prefix "tuda-v1";
  import SNMP-FRAMEWORK-MIB { prefix "snmp-framework"; }
                         { prefix "yang"; }
  import yang-types
  organization
   "Fraunhofer SIT";
  contact
   "Andreas Fuchs
    Fraunhofer Institute for Secure Information Technology
    Email: andreas.fuchs@sit.fraunhofer.de
    Henk Birkholz
    Fraunhofer Institute for Secure Information Technology
    Email: henk.birkholz@sit.fraunhofer.de
    Ira E McDonald
    High North Inc
    Email: blueroofmusic@gmail.com
    Carsten Bormann
    Universitaet Bremen TZI
    Email: cabo@tzi.org";
  description
   "The MIB module for monitoring of time-based unidirectional
    attestation information from a network endpoint system,
    based on the Trusted Computing Group TPM 1.2 definition.
    Copyright (C) High North Inc (2017).";
  revision "2017-10-30" {
    description
    "Fifth version, published as <u>draft-birkholz-tuda-04</u>.";
    reference
    "draft-birkholz-tuda-04";
  }
  revision "2017-01-09" {
```

```
description
   "Fourth version, published as <u>draft-birkholz-tuda-03</u>.";
  reference
   "draft-birkholz-tuda-03";
}
revision "2016-07-08" {
  description
  "Third version, published as <u>draft-birkholz-tuda-02</u>.";
  reference
  "draft-birkholz-tuda-02";
}
revision "2016-03-21" {
  description
  "Second version, published as <u>draft-birkholz-tuda-01</u>.";
  reference
   "draft-birkholz-tuda-01";
}
revision "2015-10-18" {
  description
   "Initial version, published as <u>draft-birkholz-tuda-00</u>.";
  reference
  "<u>draft-birkholz-tuda-00</u>";
}
container tudaV1General {
description
  "TBD";
  leaf tudaV1GeneralCycles {
    type yang:counter32;
    config false;
    description
     "Count of TUDA update cycles that have occurred, i.e.,
      sum of all the individual group cycle counters.
      DEFVAL intentionally omitted - counter object.";
  }
  leaf tudaV1GeneralVersionInfo {
    type snmp-framework:SnmpAdminString {
      length "0..255";
    }
    config false;
    description
     "Version information for TUDA MIB, e.g., specific release
      version of TPM 1.2 base specification and release version
      of TPM 1.2 errata specification and manufacturer and model
      TPM module itself.";
```

```
}
}
container tudaV1AIKCert {
description
  "TBD";
  leaf tudaV1AIKCertCycles {
    type yang:counter32;
    config false;
    description
     "Count of AIK Certificate chain update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
  }
  /* XXX table comments here XXX */
  list tudaV1AIKCertEntry {
    key "tudaV1AIKCertCycleIndex tudaV1AIKCertInstanceIndex
         tudaV1AIKCertFragmentIndex";
      config false;
    description
     "An entry for one fragment of AIK Certificate data.";
    leaf tudaV1AIKCertCycleIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "High-order index of this AIK Certificate fragment.
        Index of an AIK Certificate chain update cycle that has
        occurred (bounded by the value of tudaV1AIKCertCycles).
        DEFVAL intentionally omitted - index object.";
    }
    leaf tudaV1AIKCertInstanceIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
```

}

```
"Middle index of this AIK Certificate fragment.
        Ordinal of this AIK Certificate in this chain, where the AIK
        Certificate itself has an ordinal of '1' and higher ordinals
        go *up* the certificate chain to the Root CA.
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1AIKCertFragmentIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
      "Low-order index of this AIK Certificate fragment.
       DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1AIKCertData {
      type binary {
        length "0..1024";
      }
     config false;
      description
       "A fragment of CBOR encoded AIK Certificate data.";
   }
 }
container tudaV1TSACert {
description
  "TBD";
 leaf tudaV1TSACertCycles {
   type yang:counter32;
   config false;
   description
    "Count of TSA Certificate chain update cycles that have
     occurred.
      DEFVAL intentionally omitted - counter object.";
  }
 /* XXX table comments here XXX */
 list tudaV1TSACertEntry {
```

```
key "tudaV1TSACertCycleIndex tudaV1TSACertInstanceIndex
     tudaV1TSACertFragmentIndex";
config false;
description
 "An entry for one fragment of TSA Certificate data.";
leaf tudaV1TSACertCycleIndex {
  type int32 {
    range "1..2147483647";
  }
  config false;
  description
   "High-order index of this TSA Certificate fragment.
    Index of a TSA Certificate chain update cycle that has
    occurred (bounded by the value of tudaV1TSACertCycles).
    DEFVAL intentionally omitted - index object.";
}
leaf tudaV1TSACertInstanceIndex {
  type int32 {
    range "1..2147483647";
  }
  config false;
  description
   "Middle index of this TSA Certificate fragment.
    Ordinal of this TSA Certificate in this chain, where the TSA
    Certificate itself has an ordinal of '1' and higher ordinals
    go *up* the certificate chain to the Root CA.
    DEFVAL intentionally omitted - index object.";
}
leaf tudaV1TSACertFragmentIndex {
  type int32 {
    range "1..2147483647";
  }
  config false;
  description
   "Low-order index of this TSA Certificate fragment.
    DEFVAL intentionally omitted - index object.";
}
leaf tudaV1TSACertData {
  type binary {
    length "0..1024";
```

```
}
      config false;
      description
       "A fragment of CBOR encoded TSA Certificate data.";
    }
  }
}
container tudaV1SyncToken {
description
  "TBD";
  leaf tudaV1SyncTokenCycles {
    type yang:counter32;
    config false;
    description
     "Count of Sync Token update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
  }
  leaf tudaV1SyncTokenInstances {
    type yang:counter32;
    config false;
    description
     "Count of Sync Token instance entries that have
      been recorded (some entries MAY have been pruned).
      DEFVAL intentionally omitted - counter object.";
  }
  list tudaV1SyncTokenEntry {
    key "tudaV1SyncTokenCycleIndex
         tudaV1SyncTokenInstanceIndex
         tudaV1SyncTokenFragmentIndex";
    config false;
    description
     "An entry for one fragment of Sync Token data.";
    leaf tudaV1SyncTokenCycleIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
```

}

```
"High-order index of this Sync Token fragment.
        Index of a Sync Token update cycle that has
        occurred (bounded by the value of tudaV1SyncTokenCycles).
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1SyncTokenInstanceIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "Middle index of this Sync Token fragment.
        Ordinal of this instance of Sync Token data
        (NOT bounded by the value of tudaV1SyncTokenInstances).
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1SyncTokenFragmentIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "Low-order index of this Sync Token fragment.
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1SyncTokenData {
      type binary {
        length "0..1024";
      }
      config false;
      description
       "A fragment of CBOR encoded Sync Token data.";
   }
 }
container tudaV1Restrict {
description
 "TBD";
  leaf tudaV1RestrictCycles {
    type yang:counter32;
```

```
config false;
   description
    "Count of Restriction Info update cycles that have
     occurred.
     DEFVAL intentionally omitted - counter object.";
  }
 /* XXX table comments here XXX */
  list tudaV1RestrictEntry {
   key "tudaV1RestrictCycleIndex";
   config false;
   description
    "An entry for one instance of Restriction Info data.";
   leaf tudaV1RestrictCycleIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "Index of this Restriction Info entry.
        Index of a Restriction Info update cycle that has
        occurred (bounded by the value of tudaV1RestrictCycles).
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1RestrictData {
      type binary {
        length "0..1024";
      }
     config false;
      description
       "An instance of CBOR encoded Restriction Info data.";
   }
 }
}
container tudaV1Measure {
description
  "TBD";
 leaf tudaV1MeasureCycles {
```

```
type yang:counter32;
 config false;
 description
   "Count of Measurement Log update cycles that have
   occurred.
   DEFVAL intentionally omitted - counter object.";
}
leaf tudaV1MeasureInstances {
 type yang:counter32;
 config false;
 description
   "Count of Measurement Log instance entries that have
   been recorded (some entries MAY have been pruned).
    DEFVAL intentionally omitted - counter object.";
}
list tudaV1MeasureEntry {
  key "tudaV1MeasureCycleIndex tudaV1MeasureInstanceIndex";
 config false;
 description
   "An entry for one instance of Measurement Log data.";
 leaf tudaV1MeasureCycleIndex {
    type int32 {
      range "1..2147483647";
    }
    config false;
    description
     "High-order index of this Measurement Log entry.
      Index of a Measurement Log update cycle that has
      occurred (bounded by the value of tudaV1MeasureCycles).
      DEFVAL intentionally omitted - index object.";
 }
 leaf tudaV1MeasureInstanceIndex {
    type int32 {
      range "1..2147483647";
    }
    config false;
    description
     "Low-order index of this Measurement Log entry.
      Ordinal of this instance of Measurement Log data
```

```
(NOT bounded by the value of tudaV1MeasureInstances).
        DEFVAL intentionally omitted - index object.";
    }
    leaf tudaV1MeasureData {
      type binary {
        length "0..1024";
      }
      config false;
      description
       "A instance of CBOR encoded Measurement Log data.";
   }
  }
}
container tudaV1VerifyToken {
description
  "TBD";
  leaf tudaV1VerifyTokenCycles {
    type yang:counter32;
    config false;
    description
     "Count of Verify Token update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
  }
 /* XXX table comments here XXX */
  list tudaV1VerifyTokenEntry {
    key "tudaV1VerifyTokenCycleIndex";
    config false;
    description
     "An entry for one instance of Verify Token data.";
    leaf tudaV1VerifyTokenCycleIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "Index of this instance of Verify Token data.
```

```
Index of a Verify Token update cycle that has
        occurred (bounded by the value of tudaV1VerifyTokenCycles).
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1VerifyTokenData {
      type binary {
        length "0..1024";
      }
      config false;
      description
       "A instance of CBOR encoded Verify Token data.";
   }
 }
}
container tudaV1SWIDTag {
description
  "see CoSWID and YANG SIWD module for now"
 leaf tudaV1SWIDTagCycles {
   type yang:counter32;
   config false;
   description
     "Count of SWID Tag update cycles that have occurred.
     DEFVAL intentionally omitted - counter object.";
  }
 list tudaV1SWIDTagEntry {
    key "tudaV1SWIDTagCycleIndex tudaV1SWIDTagInstanceIndex
         tudaV1SWIDTagFragmentIndex";
   config false;
   description
    "An entry for one fragment of SWID Tag data.";
   leaf tudaV1SWIDTagCycleIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "High-order index of this SWID Tag fragment.
        Index of an SWID Tag update cycle that has
        occurred (bounded by the value of tudaV1SWIDTagCycles).
```

}

```
DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1SWIDTagInstanceIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "Middle index of this SWID Tag fragment.
       Ordinal of this SWID Tag instance in this update cycle.
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1SWIDTagFragmentIndex {
      type int32 {
        range "1..2147483647";
      }
      config false;
      description
       "Low-order index of this SWID Tag fragment.
        DEFVAL intentionally omitted - index object.";
   }
   leaf tudaV1SWIDTagData {
      type binary {
        length "0..1024";
      }
      config false;
      description
       "A fragment of CBOR encoded SWID Tag data.";
   }
  }
notification tudaV1TrapV2Cycles {
  description
   "This trap is sent when the value of any cycle or instance
   counter changes (i.e., one or more tables are updated).
   Note: The value of sysUpTime in IETF MIB-II (RFC 1213) is
   always included in SNMPv2 traps, per RFC 3416.";
  container tudaV1TrapV2Cycles-tudaV1GeneralCycles {
   description
    "TPD"
```

```
leaf tudaV1GeneralCycles {
    type yang:counter32;
    description
     "Count of TUDA update cycles that have occurred, i.e.,
      sum of all the individual group cycle counters.
      DEFVAL intentionally omitted - counter object.";
 }
}
container tudaV1TrapV2Cycles-tudaV1AIKCertCycles {
  description
   "TPD"
 leaf tudaV1AIKCertCycles {
    type yang:counter32;
    description
     "Count of AIK Certificate chain update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
 }
}
container tudaV1TrapV2Cycles-tudaV1TSACertCycles {
 description
   "TPD"
 leaf tudaV1TSACertCycles {
    type yang:counter32;
    description
     "Count of TSA Certificate chain update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
 }
}
container tudaV1TrapV2Cycles-tudaV1SyncTokenCycles {
  description
   "TPD"
 leaf tudaV1SyncTokenCycles {
    type yang:counter32;
    description
     "Count of Sync Token update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
 }
}
```

```
container tudaV1TrapV2Cycles-tudaV1SyncTokenInstances {
  description
   "TPD"
 leaf tudaV1SyncTokenInstances {
    type yang:counter32;
    description
     "Count of Sync Token instance entries that have
      been recorded (some entries MAY have been pruned).
      DEFVAL intentionally omitted - counter object.";
 }
}
container tudaV1TrapV2Cycles-tudaV1RestrictCycles {
 description
   "TPD"
 leaf tudaV1RestrictCycles {
    type yang:counter32;
    description
     "Count of Restriction Info update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
 }
}
container tudaV1TrapV2Cycles-tudaV1MeasureCycles {
  description
   "TPD"
 leaf tudaV1MeasureCycles {
    type yang:counter32;
    description
     "Count of Measurement Log update cycles that have
      occurred.
      DEFVAL intentionally omitted - counter object.";
 }
}
container tudaV1TrapV2Cycles-tudaV1MeasureInstances {
  description
   "TPD"
 leaf tudaV1MeasureInstances {
    type yang:counter32;
    description
     "Count of Measurement Log instance entries that have
      been recorded (some entries MAY have been pruned).
```

```
DEFVAL intentionally omitted - counter object.";
     }
    }
    container tudaV1TrapV2Cycles-tudaV1VerifyTokenCycles {
      description
       "TPD"
      leaf tudaV1VerifyTokenCycles {
        type yang:counter32;
        description
         "Count of Verify Token update cycles that have
          occurred.
          DEFVAL intentionally omitted - counter object.";
      }
    }
    container tudaV1TrapV2Cycles-tudaV1SWIDTagCycles {
      description
       "TPD"
      leaf tudaV1SWIDTagCycles {
        type yang:counter32;
        description
         "Count of SWID Tag update cycles that have occurred.
          DEFVAL intentionally omitted - counter object.";
      }
    }
 }
<CODE ENDS>
```

Appendix D. Realization with TPM functions

D.1. TPM Functions

}

The following TPM structures, resources and functions are used within this approach. They are based upon the TPM specifications [TPM12] and [TPM2].

D.1.1. Tick-Session and Tick-Stamp

On every boot, the TPM initializes a new Tick-Session. Such a ticksession consists of a nonce that is randomly created upon each boot to identify the current boot-cycle - the phase between boot-time of the device and shutdown or power-off - and prevent replaying of old tick-session values. The TPM uses its internal entropy source that

guarantees virtually no collisions of the nonce values between two of such boot cycles.

It further includes an internal timer that is being initialize to Zero on each reboot. From this point on, the TPM increments this timer continuously based upon its internal secure clocking information until the device is powered down or set to sleep. By its hardware design, the TPM will detect attacks on any of those properties.

The TPM offers the function TPM_TickStampBlob, which allows the TPM to create a signature over the current tick-session and two externally provided input values. These input values are designed to serve as a nonce and as payload data to be included in a TickStampBlob: TickstampBlob := sig(TPM-key, currentTicks || nonce || externalData).

D.1.2. Platform Configuration Registers (PCRs)

The TPM is a secure cryptoprocessor that provides the ability to store measurements and metrics about an endpoint's configuration and state in a secure, tamper-proof environment. Each of these security relevant metrics can be stored in a volatile Platform Configuration Register (PCR) inside the TPM. These measurements can be conducted at any point in time, ranging from an initial BIOS boot-up sequence to measurements taken after hundreds of hours of uptime.

The initial measurement is triggered by the Platforms so-called pre-BIOS or ROM-code. It will conduct a measurement of the first loadable pieces of code; i.e.\ the BIOS. The BIOS will in turn measure its Option ROMs and the BootLoader, which measures the OS-Kernel, which in turn measures its applications. This describes a so-called measurement chain. This typically gets recorded in a socalled measurement log, such that the values of the PCRs can be reconstructed from the individual measurements for validation.

Via its PCRs, a TPM provides a Root of Trust that can, for example, support secure boot or remote attestation. The attestation of an

endpoint's identity or security posture is based on the content of an TPM's PCRs (platform integrity measurements).

D.1.3. PCR restricted Keys

Every key inside the TPM can be restricted in such a way that it can only be used if a certain set of PCRs are in a predetermined state. For key creation the desired state for PCRs are defined via the PCRInfo field inside the keyInfo parameter. Whenever an operation using this key is performed, the TPM first checks whether the PCRs are in the correct state. Otherwise the operation is denied by the TPM.

D.1.4. CertifyInfo

The TPM offers a command to certify the properties of a key by means of a signature using another key. This includes especially the keyInfo which in turn includes the PCRInfo information used during key creation. This way, a third party can be assured about the fact that a key is only usable if the PCRs are in a certain state.

D.2. IE Generation Procedures for TPM 1.2

D.2.1. AIK and AIK Certificate

Attestations are based upon a cryptographic signature performed by the TPM using a so-called Attestation Identity Key (AIK). An AIK has the properties that it cannot be exported from a TPM and is used for attestations. Trust in the AIK is established by an X.509 Certificate emitted by a Certificate Authority. The AIK certificate is either provided directly or via a so-called PrivacyCA [AIK-Enrollment].

This element consists of the AIK certificate that includes the AIK's public key used during verification as well as the certificate chain up to the Root CA for validation of the AIK certificate itself.

```
TUDA-Cert = [AIK-Cert, TSA-Cert]; maybe split into two for SNMP
AIK-Cert = Cert
TSA-Cert = Cert
```

Figure 2: TUDA-Cert element in CDDL

The TSA-Cert is a standard certificate of the TSA.

The AIK-Cert may be provisioned in a secure environment using standard means or it may follow the PrivacyCA protocols. Figure 3

```
gives a rough sketch of this protocol. See [<u>AIK-Enrollment</u>] for more information.
```

The X.509 Certificate is built from the AIK public key and the corresponding PKCS #7 certificate chain, as shown in Figure 3.

```
Required TPM functions:
```

```
| create_AIK_Cert(...) = {
    AIK = TPM_MakeIdentity()
    IdReq = CollateIdentityRequest(AIK,EK)
    IdRes = Call(AIK-CA, IdReq)
    AIK-Cert = TPM_ActivateIdentity(AIK, IdRes)
    }
    /* Alternative */
    create_AIK_Cert(...) = {
        AIK = TPM_CreateWrapKey(Identity)
        AIK-Cert = Call(AIK-CA, AIK.pubkey)
    }
```

Figure 3: Creating the TUDA-Cert element

D.2.2. Synchronization Token

The reference for Attestations are the Tick-Sessions of the TPM. In order to put Attestations into relation with a Real Time Clock (RTC), it is necessary to provide a cryptographic synchronization between the tick session and the RTC. To do so, a synchronization protocol is run with a Time Stamp Authority (TSA) that consists of three steps:

- o The TPM creates a TickStampBlob using the AIK
- o This TickstampBlob is used as nonce to the Timestamp of the TSA
- Another TickStampBlob with the AIK is created using the TSA's Timestamp a nonce

The first TickStampBlob is called "left" and the second "right" in a reference to their position on a time-axis.

These three elements, with the TSA's certificate factored out, form the synchronization token

```
TUDA-Synctoken = [
  left: TickStampBlob-Output,
  timestamp: TimeStampToken,
 right: TickStampBlob-Output,
]
TimeStampToken = bytes ; <u>RFC 3161</u>
TickStampBlob-Output = [
 currentTicks: TPM-CURRENT-TICKS,
 sig: bytes,
1
TPM-CURRENT-TICKS = [
 currentTicks: uint
 ? (
   tickRate: uint
    tickNonce: TPM-NONCE
  )
]
; Note that TickStampBlob-Output "right" can omit the values for
; tickRate and tickNonce since they are the same as in "left"
TPM-NONCE = bytes .size 20
                 Figure 4: TUDA-Sync element in CDDL
Required TPM functions:
```

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```
dummyNonce = dummyDigest
T
create_sync_token(AIKHandle, TSA) = {
   ts_left = TPM_TickStampBlob(
keyHandle = AIK_Handle,
                               /*TPM_KEY_HANDLE*/
T
       antiReplay = dummyNonce,
                               /*TPM_NONCE*/
digestToStamp = dummyDigest /*TPM_DIGEST*/)
L
  ts = TSA_Timestamp(TSA, nonce = hash(ts_left))
ts_right = TPM_TickStampBlob(
      keyHandle = AIK_Handle, /*TPM_KEY_HANDLE*/
                               /*TPM_NONCE*/
      antiReplay = dummyNonce,
digestToStamp = hash(ts)) /*TPM_DIGEST*/
   TUDA-SyncToken = [[ts_left.ticks, ts_left.sig], ts,
L
                  [ts_right.ticks.currentTicks, ts_right.sig]]
/* Note: skip the nonce and tickRate field for ts_right.ticks */
T
| }
```

Figure 5: Creating the Sync-Token element

D.2.3. RestrictionInfo

The attestation relies on the capability of the TPM to operate on restricted keys. Whenever the PCR values for the machine to be attested change, a new restricted key is created that can only be operated as long as the PCRs remain in their current state.

In order to prove to the Verifier that this restricted temporary key actually has these properties and also to provide the PCR value that it is restricted, the TPM command TPM_CertifyInfo is used. It creates a signed certificate using the AIK about the newly created restricted key.

This token is formed from the list of:

- o PCR list,
- o the newly created restricted public key, and
- o the certificate.

```
Internet-Draft tuda
PCRSelection = bytes .size (2..4) ; used as bit string
Composite = [
   bitmask: PCRSelection,
   values: [*PCR-Hash],
]
```

```
Pubkey = bytes ; may be extended to COSE pubkeys
CertifyInfo = [
```

TPM-CERTIFY-INFO,

```
sig: bytes,
1
TPM-CERTIFY-INFO = [
  ; we don't encode TPM-STRUCT-VER:
  ; these are 4 bytes always equal to h'01010000'
  keyUsage: uint, ; 4byte? 2byte?
  keyFlags: bytes .size 4, ; 4byte
  authDataUsage: uint, ; 1byte (enum)
  algorithmParms: TPM-KEY-PARMS,
 pubkeyDigest: Hash,
  ; we don't encode TPM-NONCE data, which is 20 bytes, all zero
 parentPCRStatus: bool,
  ; no need to encode pcrinfosize
 pcrinfo: TPM-PCR-INFO, ; we have exactly one
1
TPM-PCR-INFO = [
```

```
pcrSelection: PCRSelection; /* TPM_PCR_SELECTION */
digestAtRelease: PCR-Hash; /* TPM_COMPOSITE_HASH */
digestAtCreation: PCR-Hash; /* TPM_COMPOSITE_HASH */
]
```

```
TPM-KEY-PARMS = [
  ; algorithmID: uint, ; <= 4 bytes -- not encoded, constant for TPM1.2
  encScheme: uint, ; <= 2 bytes
  sigScheme: uint, ; <= 2 bytes
  parms: TPM-RSA-KEY-PARMS,
]</pre>
```

```
TPM-RSA-KEY-PARMS = [
; "size of the RSA key in bits":
  keyLength: uint
; "number of prime factors used by this RSA key":
  numPrimes: uint
; "This SHALL be the size of the exponent":
  exponentSize: null / uint / biguint
```

```
; "If the key is using the default exponent then the exponentSize
; MUST be 0" -> we represent this case as null
]
```

Figure 6: TUDA-Key element in CDDL

```
Required TPM functions:
```

```
dummyNonce = dummyDigest
| create_Composite
| create_restrictedKey_Pub(pcrsel) = {
 PCRInfo = {pcrSelection = pcrsel,
digestAtRelease = hash(currentValues(pcrSelection))
             digestAtCreation = dummyDigest}
  / * PCRInfo is a TPM_PCR_INFO and thus also a TPM_KEY */
L
   wk = TPM_CreateWrapKey(keyInfo = PCRInfo)
   wk.keyInfo.pubKey
I
| }
create_TPM-Certify-Info = {
   CertifyInfo = TPM_CertifyKey(
                            /* TPM_KEY_HANDLE */
      certHandle = AIK,
keyHandle = wk,
                             /* TPM_KEY_HANDLE */
antiReply = dummyNonce) /* TPM_NONCE */
CertifyInfo.strip()
   /* Remove those values that are not needed */
| }
```

Figure 7: Creating the pubkey

D.2.4. Measurement Log

Similarly to regular attestations, the Verifier needs a way to reconstruct the PCRs' values in order to estimate the trustworthiness of the device. As such, a list of those elements that were extended into the PCRs is reported. Note though that for certain environments, this step may be optional if a list of valid PCR configurations exists and no measurement log is required.

```
TUDA-Measurement-Log = [*PCR-Event]
PCR-Event = [
  type: PCR-Event-Type,
  pcr: uint,
 template-hash: PCR-Hash,
 filedata-hash: tagged-hash,
  pathname: text; called filename-hint in ima (non-ng)
1
PCR-Event-Type = &(
 bios: 0
 ima: 1
  ima-ng: 2
)
; might want to make use of COSE registry here
; however, that might never define a value for sha1
tagged-hash /= [sha1: 0, bytes .size 20]
tagged-hash /= [sha256: 1, bytes .size 32]
```

D.2.5. Implicit Attestation

The actual attestation is then based upon a TickStampBlob using the restricted temporary key that was certified in the steps above. The TPM-Tickstamp is executed and thereby provides evidence that at this point in time (with respect to the TPM internal tick-session) a certain configuration existed (namely the PCR values associated with the restricted key). Together with the synchronization token this tick-related timing can then be related to the real-time clock.

This element consists only of the TPM_TickStampBlock with no nonce.

TUDA-Verifytoken = TickStampBlob-Output

Figure 8: TUDA-Verify element in CDDL

Required TPM functions:

imp_att = TPM_TickStampBlob(
<pre>keyHandle = restrictedKey_Handle,</pre>	/*TPM_KEY_HANDLE*/
antiReplay = dummyNonce,	/*TPM_NONCE*/
digestToStamp = dummyDigest)	/*TPM_DIGEST*/
VerifyToken = imp_att	

Figure 9: Creating the Verify Token

D.2.6. Attestation Verification Approach

The seven TUDA information elements transport the essential content that is required to enable verification of the attestation statement at the Verifier. The following listings illustrate the verification algorithm to be used at the Verifier in pseudocode. The pseudocode provided covers the entire verification task. If only a subset of TUDA elements changed (see <u>Section 3.1</u>), only the corresponding code listings need to be re-executed.

```
| TSA_pub = verifyCert(TSA-CA, Cert.TSA-Cert)
| AIK_pub = verifyCert(AIK-CA, Cert.AIK-Cert)
```

Figure 10: Verification of Certificates

```
| ts_left = Synctoken.left
| ts_right = Synctoken.right
/* Reconstruct ts_right's omitted values; Alternatively assert == */
| ts_right.currentTicks.tickRate = ts_left.currentTicks.tickRate
| ts_right.currentTicks.tickNonce = ts_left.currentTicks.tickNonce
| ticks_left = ts_left.currentTicks
| ticks_right = ts_right.currentTicks
/* Verify Signatures */
verifySig(AIK_pub, dummyNonce || dummyDigest || ticks_left)
verifySig(TSA_pub, hash(ts_left) || timestamp.time)
| verifySig(AIK_pub, dummyNonce || hash(timestamp) || ticks_right)
delta_left = timestamp.time -
     ticks_left.currentTicks * ticks_left.tickRate / 1000
delta_right = timestamp.time -
     ticks_right.currentTicks * ticks_right.tickRate / 1000
```

Figure 11: Verification of Synchronization Token

```
compositeHash = hash_init()
| for value in Composite.values:
     hash_update(compositeHash, value)
compositeHash = hash_finish(compositeHash)
| certInfo = reconstruct_static(TPM-CERTIFY-INFO)
assert(Composite.bitmask == ExpectedPCRBitmask)
assert(certInfo.pcrinfo.PCRSelection == Composite.bitmask)
assert(certInfo.pcrinfo.digestAtRelease == compositeHash)
assert(certInfo.pubkeyDigest == hash(restrictedKey_Pub))
| verifySig(AIK_pub, dummyNonce || certInfo)
            Figure 12: Verification of Restriction Info
| for event in Measurement-Log:
     if event.pcr not in ExpectedPCRBitmask:
         continue
     if event.type == BIOS:
         assert_whitelist-bios(event.pcr, event.template-hash)
     if event.type == ima:
         assert(event.pcr == 10)
         assert_whitelist(event.pathname, event.filedata-hash)
         assert(event.template-hash ==
                 hash(event.pathname || event.filedata-hash))
     if event.type == ima-ng:
         assert(event.pcr == 10)
         assert_whitelist-ng(event.pathname, event.filedata-hash)
         assert(event.template-hash ==
                 hash(event.pathname || event.filedata-hash))
     virtPCR[event.pcr] = hash_extend(virtPCR[event.pcr],
                                      event.template-hash)
| for pcr in ExpectedPCRBitmask:
     assert(virtPCR[pcr] == Composite.values[i++]
```

Figure 13: Verification of Measurement Log

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```
| ts = Verifytoken
|
| /* Reconstruct ts's omitted values; Alternatively assert == */
| ts.currentTicks.tickRate = ts_left.currentTicks.tickRate
| ts.currentTicks.tickNonce = ts_left.currentTicks.tickNonce
|
| verifySig(restrictedKey_pub, dummyNonce || dummyDigest || ts)
|
| ticks = ts.currentTicks
|
| time_left = delta_right + ticks.currentTicks * ticks.tickRate / 1000
| time_right = delta_left + ticks.currentTicks * ticks.tickRate / 1000
|
| [time_left, time_right]
```

Figure 14: Verification of Attestation Token

D.3. IE Generation Procedures for TPM 2.0

D.3.1. AIK and AIK Certificate

AIK-Certificate := X.509-Certificate(AIK-Key,Restricted-Flag)
TSA-Certificate := X.509-Certificate(TSA-Key, TSA-Flag)

D.3.2. Synchronization Token

The synchronization token uses a different TPM command, TPM2 GetTime() instead of TPM TickStampBlob(). The TPM2 GetTime() command contains the clock and time information of the TPM. The clock information is the equivalent of TUDA v1's tickSession information.

}

D.3.3. RestrictionInfo

The restriction to certain PCR values is defined as a policy statement containing a TPM2 PolicyPCR element referencing the according PCR selection and values. The digest of this policy statement is registered in the public area of the key during key creation. In order to provide proof of this PCR restriction, the command TPM2 Certify() is used. The restriction information accordingly consists of the PolicyPCR-information, KeyPublic- information and the certificate of this key.

```
})
```

D.3.4. Measurement Log

The creation procedure is identical to {mlog}.

D.3.5. Implicit Attestation

The attestation token consists of the result of TPM2 GetTime(). It proofs that at a certain point-in-time with respect to the TPM's internal clock, a certain configuration of PCRs was present, as denoted in the keys restriction information.

Acknowledgements

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