NETCONF Working Group Internet-Draft Intended status: Standards Track Expires: April 26, 2019

Software Inventory YANG module based on Software Identifiers draft-birkholz-yang-swid-02

Abstract

This document provides a YANG module definition that enables a computing context to provide detailed information about installed software components. The structure of the module is based on the Concise Software Identifier draft and therefore also strongly related to the ISO 19770-2:2015 Software Identifiers standard. Both standards provide no interface to transport the SWID tag information between system entities and this document leverages the wide adoption of YANG based management interfaces.

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1. Introduction

Software Identification Tags (SWID tags [SWID]) or their binary equivalent - the CoSWID tags [I-D.ietf-sacm-coswid] - provide a versatile document standard that can be installed in conjunction with a software component on a system entity. There is no standard interface to access, export, or transfer these tag document between system entities. The following YANG module enables full, finegrained access to every attribute and metadata defined in the SWID standards via a YANG-based management interface. In addition, access to all SWID documents - encoded in XML or CBOR - is enabled by corresponding SWID inventory statement. Changes to the SWID inventory can be emitted via SWID-Updates YANG notifications.

2. YANG SWID module

Every node defined is read-only, as there is no installation or deployment capability associated with tag information. The descriptions of each attribute are derived from the SWID XML schema definition provided by ISO:

http://standards.iso.org/iso/19770/-2/2015-current/schema.xsd

The definitions were adapted and modified if appropriate.

The following summary illustrates the module in tree format. The complete YANG module can be found in <u>Appendix A</u>.

<CODE BEGINS> module: yang-software-identity +--ro (swid-inventory-type) +--:(native) Birkholz

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```
+--ro (representation-type)?
+--:(isoswid)
     +--ro iso-software-identities*
                                           anydata
+--:(coswid)
+--ro cbor-software-identities*
                                           anydata
+--:(yang-modeled)
  +--ro concise-software-identities*
     +--ro concise-software-identity
        +--ro lang?
                                              string
        +--ro any-element*
        +--ro any-attribute
        1
             +--ro attribute-name?
                                      string
              +--ro attribute-value?
                                      string
        +--ro tag-id
                                              string
        +--ro swid-name
                                              string
        +--ro (major-ressource-collection)?
        +--:(payload)
          | +--ro payload
        +--ro lang?
                                     string
                +--ro any-element*
           +--ro any-attribute
                      +--ro attribute-name?
                                              string
          +--ro attribute-value?
                                              string
                 +--ro (item-type)?
           +--:(directory)
                   | +--ro directory
                        +--ro directory-path?
                                                string
                   +--:(file)
                   | +--ro file
                        +--ro directory-path?
                                                string
                    +--ro size?
                                                uint32
                    +--ro file-version?
                                                string
                        +--ro file-hash
                    +--ro hash-algo?
                                               int16
                    +--ro hash-value?
                                               binary
                    +--:(key)
                                          boolean
                   +--ro key?
                   +--:(location)
                   | +--ro location?
                                          string
                   +--:(fs-name)
                   | +--ro fs-name
                                          string
                   +--:(root)
           +--ro root?
                                          string
           Ι
        +--:(evidence)
             +--ro evidence
        +--ro lang?
                                     string
                +--ro any-element*
        | +--ro any-attribute
        I
```

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+--ro attribute-name? string I +--ro attribute-value? string +--ro (item-type)? +--:(directory) | +--ro directory +--ro directory-path? string +--:(file) | +--ro file +--ro directory-path? string +--ro size? uint32 +--ro file-version? string +--ro file-hash +--ro hash-algo? int16 +--ro hash-value? binary | +--:(key) boolean | | +--ro key? +--:(location) | +--ro location? string +--:(fs-name) | | +--ro fs-name string | +--:(root) +--ro root? string +--ro date? string +--ro device-id? string Ι +--ro additional-resource-collection* +--ro process | +--ro lang? string +--ro any-element* | +--ro any-attribute +--ro attribute-name? string +--ro attribute-value? string | +--ro process-name string | +--ro pid? uint16 +--ro resource +--ro lang? string +--ro any-element* | +--ro any-attribute +--ro attribute-name? string +--ro attribute-value? string | +--ro type? string +--ro entity +--ro lang? string +--ro any-element* | | +--ro any-attribute +--ro attribute-name? string +--ro attribute-value? string +--ro entity-name string +--ro reg-id? string T

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+--ro role? L string +--ro thumbprint +--ro hash-algo? int16 +--ro thumbprint-value? binary +--ro link | +--ro lang? string +--ro any-element* +--ro any-attribute +--ro attribute-name? string +--ro attribute-value? string +--ro artifact? string +--ro href string +--ro media? string +--ro ownership? string +--ro rel string +--ro type? string +--ro use? string +--ro software-meta +--ro lang? string +--ro any-element* +--ro any-attribute +--ro attribute-name? string +--ro attribute-value? string +--ro activation-status? string +--ro channel-type? string +--ro colloquial-version? string +--ro description? string +--ro edition? string +--ro entitlement-data-required? boolean +--ro entitlement-key? string +--ro generator? string +--ro persistent-id? string +--ro product? string +--ro product-family? string +--ro revision? string +--ro summary? string +--ro unspsc-code? string +--ro unspsc-version? string +--ro corpus? boolean +--ro patch? boolean +--ro media? boolean +--ro supplemental? boolean +--ro tag-version? string +--ro software-version? string +--ro version-scheme? string

notifications:

+---n swid-inventory-update

```
+--ro swid-update-type
                               identityref
+--ro swid-relationships*
+--ro swid-relationship
     +--ro relationship?
                            identityref
+--ro related-swids*
                            string
+--ro (representation-type)?
  +--:(isoswid)
   +--ro iso-software-identity?
                                     anydata
  +--:(coswid)
     +--ro ietf-software-identity?
                                     anydata
```

<CODE ENDS>

3. IANA considerations

This document includes no requests to IANA.

<u>4</u>. Security Considerations

This document includes no security considerations yet, but will act as an incubator to create them

5. Acknowledgements

Eric Voit

<u>6</u>. Change Log

First version -00

7. Normative References

```
[I-D.ietf-sacm-coswid]
Birkholz, H., Fitzgerald-McKay, J., Schmidt, C., and D.
Waltermire, "Concise Software Identifiers", draft-ietf-
sacm-coswid-06 (work in progress), July 2018.
```

[SWID] "Information technology - Software asset management - Part 2: Software identification tag'", ISO/IEC 19770-2:2015, October 2015.

Appendix A. Detailed YANG SWID module

```
<CODE BEGINS>
module yang-software-identity {
```

```
namespace "urn:ietf:params:xml:ns:yang:swid";
prefix "yang-swid";
organization
```

Birkholz

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```
"Fraunhofer SIT";
contact
 "Henk Birkholz
 Fraunhofer Institute for Secure Information Technology
  Email: henk.birkholz@sit.fraunhofer.de";
description
 "The YANG module to provide SWID tags via YANG modeled
  management interfaces.
   Copyright (C) Fraunhofer SIT (2017).";
revision "2017-10-30" {
description
 "Initial version";
reference
  "draft-birkholz-yang-swid-00";
}
grouping global-attributes {
 leaf lang {
   type string;
   description
      "An <u>RFC5646</u> conferment language tag";
  }
 list any-element {
   container any-attribute {
      leaf attribute-name {
        type string;
        description
          "The name of the custom attribute.";
      }
      leaf attribute-value {
        type string;
        description
          "The value of the custom attribute.";
     }
   }
 }
}
grouping relative-path {
 leaf directory-path {
   type string;
   description
      "A file-system path expression relative to the SWID tag document,";
 }
}
grouping filesystem-item {
 uses global-attributes;
```

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```
choice item-type {
 container directory {
    uses relative-path;
 }
 container file {
   uses relative-path;
    leaf size {
      type uint32;
      description
        "The file size in bytes of the file.";
    }
    leaf file-version {
      type string;
      description
        "The file version.";
    }
    container file-hash {
      leaf hash-algo {
        type int16;
        description
          "The integer index of the IANA Named Information Hash Algorithm
           Registry table";
      }
      leaf hash-value {
        type binary;
        description
          "The binary hash value of the file";
      }
    }
 }
 leaf key {
    type boolean;
    description
      "Files that are considered important or required
       for the use of a software component. Typical key files
       would be those which, if not available on a system entity,
       would cause the software component not to execute or
       function properly.
       Key files will typically be used to validate that
       a software component referenced by the CoSWID tag document
       is actually installed on a specific system
       entity.";
  }
 leaf location {
    type string;
    description
      "The directory or location where a file was found
       or can expected to be located. This text-string is intended
```

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```
to include the filename itself. This SHOULD be the relative
         path represented by the root item.";
   }
   leaf fs-name {
      type string;
      mandatory true;
      description
        "The file name or directory name without any path characters.";
    }
   leaf root {
      type string;
      description
        "A system-specific root folder that the location
         item is an offset from. If this is not specified the
         assumption is the root is the same folder as the location of
         the CoSWID tag. The text-string value represents a path
         expression relative to the CoSWID tag document location in
         the (composite) file-system hierarchy.";
   }
  }
}
identity update-type {
  description
    "The type of update with respect to a change in the SWID inventory.";
}
identity added {
 base update-type;
 description
    "A SIWD was added to the inventory.";
}
identity removed {
 base update-type;
 description
    "A SWID was removed from the inventory.";
}
identity relationship-type {
  description
   "The type of relationship the SWID tag in the inventory update
    notification has to other SWID tags currently in the inventory.";
}
identity patches {
  base relationship-type;
  description
```

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YANG SWID

```
"This SWID tag represents a software component that patches
     different software components (to be identified via tag-id).";
}
identity supersedes {
  base relationship-type;
  description
    "This SWID tag represents a software component that supersedes
     different software components (to be identified via tag-id).";
}
identity requires {
  base relationship-type;
  description
    "This SWID tag represents a software component that requires
     different software component (to be identified via tag-id).";
}
identity required-by {
  base relationship-type;
  description
    "This SWID tag represents a software component that is required by
     different software components (to be identified via tag-ids).";
}
grouping representation {
  description
    "Identifies the type of the native representation of individual
     SWID documents. On this level, the choice is between
     ISO 19770-2:2015 and I-D.ietf-sacm-coswid Software
     Identifiers: isoswid or coswid. In case of CoMI, the choice
     is between 0 and 1, respectively.";
  choice representation-type {
    case isoswid {
      description
        "Native representation of ISO 19770-2:2015 Software Identifiers";
      leaf-list iso-software-identities {
        type anydata;
        description
          "A list of XML encoded SWID documents.";
      }
    }
    case coswid {
      description
        "Native representation of Concise Software Identifiers";
      leaf-list ietf-software-identities {
        type anydata;
        description
```

```
"A list of CNOR encoded SWID documents.";
      }
   }
 }
}
notification swid-inventory-update {
  description
    "This notification is emitted, if the composition of software components
     in a computing context changes.";
  leaf swid-update-type {
    type identityref {
      base update-type;
    }
    mandatory true;
    description
      "Indicates if a SWID tag was added or removed.";
  }
  list swid-relationships {
    description
      "A list of relationships to other SWID documents.";
    container swid-relationship {
      description
        "An individual SWID relationship.";
      leaf relationship {
        type identityref {
          base relationship-type;
        }
        description
          "The type of relationship, e.g. supersedes or patches.";
      }
      leaf-list related-swids {
        type string;
        description
          "A list of tag-ids that reference corresponding SWID tags.";
      }
    }
  }
  choice representation-type {
    description
      "Identifies the type of the native representation of individual
       SWID documents. On this level, the choice is between
       ISO 19770-2:2015 and I-D.ietf-sacm-coswid Software
       Identifiers: isoswid or coswid. In case of CoMI, the choice
       is between 0 and 1, respectively.";
    case isoswid {
      description
        "Native representation of ISO 19770-2:2015 Software Identifiers";
```

```
leaf iso-software-identity {
        type anydata;
        description
          "An XML encoded SWID document.";
      }
    }
    case coswid {
      description
        "Native representation of Concise Software Identifiers";
      leaf ietf-software-identity {
        type anydata;
        description
          "A CNOR encoded SWID document.";
      }
   }
  }
}
choice swid-inventory-type {
  config false;
  mandatory true;
  description
    "Identifies the representation of the SWID inventory.
     On this level, the choice is between native representation and
     YANG representation: native or yang-modeled. In case of CoMI,
     the choice is between 0 and 1, respectively.";
  case native {
    choice representation-type {
      description
        "Identifies the type of the native representation of individual
         SWID documents. On this level, the choice is between
         ISO 19770-2:2015 and I-D.ietf-sacm-coswid Software
         Identifiers: isoswid or coswid. In case of CoMI, the choice
         is between 0 and 1, respectively.";
      case isoswid {
        description
          "Native representation of ISO 19770-2:2015 Software Identifiers";
        leaf-list iso-software-identities {
          type anydata;
          description
            "A list of XML encoded SWID documents.";
        }
      }
      case coswid {
        description
          "Native representation of Concise Software Identifiers";
        leaf-list cbor-software-identities {
          type anydata;
```

```
description
          "A list of CNOR encoded SWID documents.";
      }
    }
 }
}
case yang-modeled {
 description
    "A list of YANG-modeled SWID document containers.";
 list concise-software-identities {
    container concise-software-identity {
      uses global-attributes;
      leaf tag-id {
        type string;
        mandatory true;
        description
          "An identifier uniquely referencing a (composite)
           software component. The tag identifier is intended to be
           globally unique. There are no strict guidelines on how this
           identifier is structured, but examples include a 16 byte
           GUID (e.g. class 4 UUID).";
      }
      leaf swid-name {
        type string;
        mandatory true;
        description
          "This item provides the software component name as
           it would typically be referenced. For example, what would
           be seen in the add/remove dialog on a Windows device, or
           what is specified as the name of a packaged software product
           or a patch identifier name on a Linux device.";
      }
      choice major-ressource-collection {
        container payload {
          uses filesystem-item;
        }
        container evidence {
          uses filesystem-item;
          leaf date {
            type string;
                description
              "The sate and time evidence represented by an
               evidence item was gathered.";
          }
          leaf device-id {
            type string;
            description
              "A text-string identifier for a device
```

```
evidence was gathered from.";
   }
 }
}
list additional-resource-collection {
 container process {
    uses global-attributes;
    leaf process-name {
      type string;
      mandatory true;
      description
        "The process name as it will be found in the
         system entity's process table.";
    }
   leaf pid {
      type uint16;
      description
        "The process ID for the process in execution
         that can be included in the process item as part of an
         evidence tag.";
   }
  }
 container resource {
   uses global-attributes;
   leaf type {
      type string;
      description
        "The type of resource represented via a
         text-string (typically, registry-key, port
         or root-uri).";
    }
  }
 container entity {
   uses global-attributes;
    leaf entity-name {
      type string;
      mandatory true;
      description
        "The text-string name of the organization
         claiming a particular role in the SWID tag";
    }
    leaf reg-id {
      type string;
      description
        "The registration id is intended to uniquely
         identify a naming authority in a given scope (e.g. global,
         organization, vendor, customer, administrative domain, etc.)
         that is implied by the referenced naming authority. The
```

```
value of an registration ID MUST be a \underline{\text{RFC}\ 3986} URI. The
                   scope SHOULD be the scope of an organization. In a given
                   scope, the registration id MUST be used consistently.";
              }
              leaf role {
                type string;
                description
                  "The relationship between this organization
                   and this tag. The role of tag creator is required for every
                   SWID tag. The role of an entity may include any role value,
                   but the per-defined roles include: "aggregator",
                   "distributor", "licensor", "software-creator",
                   "tag-creator". The enumerations of this will include a
                   request to IANA in order to be reference-able via an integer
                   index.";
              }
              container thumbprint {
                leaf hash-algo {
                  type int16;
                  description
                    "The integer index of the IANA Named Information Hash
Algorithm
                     Registry table that is used to create the
                     thumbprint.";
                }
                leaf thumbprint-value {
                  type binary;
                  description
                    "This value provides a hexadecimal string
                     that contains a hash (i.e. the thumbprint) of the signing
                     entities certificate.";
                }
              }
            }
            container link {
              uses global-attributes;
              leaf artifact {
                type string;
                description
                  "For installation media
                   (rel=installation-media); dictates the canonical name for
                   the file.
                   Items with the same artifact name should be considered
                   mirrors of each other (so download from
               wherever works).";
              }
              leaf href {
                type string;
```

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```
description
                  "An URI pointing to the resource referenced
                   using a system-acceptable URI scheme (e.g., file:// http://
                   https:// ftp://), including yang+swid://";
              }
              leaf media {
                type string;
                description
                  "This text value is a hint to the tag consumer
                   to understand what this SWID tag applies to. This item can
                   also be included in the link item to represent a attributes
                   defined by the W3C Media Queries Recommendation (see
                   http://www.w3.org/TR/css3-mediaqueries/). A hint to the
                   consumer of the link to what the target item is applicable
                   for.";
              }
              leaf ownership {
                type string;
                description
                  "Determines the relative strength of ownership
                   of the software components. Valid enumerations are: abandon,
                   private, shared.";
              }
              leaf rel {
                type string;
                mandatory true;
                description
                  "The relationship between this SWID and the
                   target file. Relationships can be identified by referencing
                   the IANA registration library:
                   https://www.iana.org/assignments/link-relations/link-
relations.xhtml.";
              }
              leaf type {
                type string;
                description
                  "A longer, detailed description of the
                   software. This description can be multiple sentences
                   (differentiated from summary, which is a very short,
                   one-sentence description).";
              }
              leaf use {
                type string;
                description
                  "Determines if the target software is a hard
                   requirement or not. Valid enumerations are: required,
                   recommended, optional.";
              }
```

}

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```
container software-meta {
 uses global-attributes;
 leaf activation-status {
    type string;
    description
      "Identification of the activation status of
       this software title (e.g. Trial, Serialized, Licensed,
       Unlicensed, etc). Typically, this is used in supplemental
       tags.";
  }
 leaf channel-type {
    type string;
    description
      "Provides information on which channel this
       particular software was targeted for (e.g. Volume, Retail,
       OEM, Academic, etc). Typically used in supplemental tags.";
  }
 leaf colloquial-version {
    type string;
    description
      "The informal or colloquial version of the
       product (i.e. 2013). Note that this version may be the same
       through multiple releases of a software product where the
       version specified in entity is much more specific and will
       change for each software release.
       Note that this representation of version is typically used
       to identify a group of specific software releases that are
       part of the same release/support infrastructure (i.e.
       Fabrikam Office 2013). This version is used for string
       comparisons only and is not compared to be an earlier or
       later release (that is done via the entity
       version).";
 }
 leaf description {
    type string;
    description
      "A longer, detailed description of the
       software. This description can be multiple sentences
       (differentiated from summary, which is a very short,
       one-sentence description).";
  }
 leaf edition {
    type string;
    description
      "The variation of the product (Extended,
       Enterprise, Professional, Standard etc).";
  }
 leaf entitlement-data-required {
```

85).aspx

```
type boolean;
  description
    "An indicator to determine if there should be
     accompanying proof of entitlement when a software license
     reconciliation is completed.";
}
leaf entitlement-key {
  type string;
  description
    "A vendor-specific textual key that can be
     used to reconcile the validity of an entitlement. (e.g.
     serial number, product or license key).";
}
leaf generator {
  type string;
  description
    "The name of the software tool that created a
     SWID tag. This item is typically used if tags are created
     on the fly or via a catalog-based analysis for data found on
     a computing device.";
}
leaf persistent-id {
  type string;
  description
    "A GUID used to represent products installed
    where the product are related, but may be different
     versions. For example, an "upgradeCode" (see
     http://msdn.microsoft.com/en-us/library/aa372375(v=vs.
     as an reference for this example).";
}
leaf product {
  type string;
  description
    "The base name of the product (e.g. Office,
     Creative Suites, Websphere, etc).";
}
leaf product-family {
  type string;
  description
    "The overall product family this software
     belongs to. Product family is not used to identify that a
     product is part of a suite, but is instead used when a set
     of products that are all related may be installed on
     multiple different devices.
     For example, an enterprise backup system may consist of a
     backup services, multiple different backup services that
     support mail services, databases and ERP systems, as well as
```

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YANG SWID

```
entities. In such an usage scenario, all software components
       that are part of the backup system would have the same
       product-family name so they can be grouped together in
       respect to reporting systems.";
  }
 leaf revision {
    type string;
    description
      "The informal or colloquial representation of
       the sub-version of the given product (ie, SP1, R2, RC1, Beta
       2, etc). Note that the SoftwareIdentity.version will
       provide very exact version details,
       the revision is intended for use in environments where
       reporting on the informal or colloquial representation of
       the software is important (for example, if for a certain
       business process, an organization recognizes that it must
       have, for example "ServicePack 1" or later of a specific
       product installed on all devices, they can use the revision
       data value to quickly identify any devices that do not meet
       this requirement).
       Depending on how a software organizations distributes
       revisions, this value could be specified in a primary (if
       distributed as an upgrade) or supplemental (if distributed
       as a patch) SWID tag.";
 }
 leaf summary {
    type string;
    description
      "A short (one-sentence) description of the
       software.";
 }
 leaf unspsc-code {
    type string;
    description
      "An 8 digit code that provides UNSPSC
       classification of the software product this SWID tag
       identifies. For more information see,
       http://www.unspsc.org/.";
  }
  leaf unspsc-version {
    type string;
    description
      "An 8 digit code that provides UNSPSC
       classification of the software product this SWID tag
       identifies. For more information see,
       http://www.unspsc.org/.";
 }
}
```

```
}
leaf corpus {
 type boolean;
 description
    "Set to true, if this attribute specifies that this SWID tag is a
     collection of information that describes the pre-installation
     data of software component.";
}
leaf patch {
  type boolean;
  description
    "A set of files that is intended to modify an
     existing set of files (including configuration files,
     scripts and corresponding environment variables that are
     create by the OS for the runtime environment) that composes
     a software component. A software component patch does
     neither alter the version number (see 13) nor the release
     details (descriptive english text, see 44) of a software
    components.
     If a SWID tag is a patch, it MUST
     contain the patch item and its value MUST be set
     to true.
     It is recommended but not required to include a
     rel(ation) item in a patch CoSWID. If a CoSWID includes a
     patch member, but not a rel member, it is implied that it
     SHOULD be installed independently of any other CoSWID tag
     document -- even if an effective but not explicit
     relationship exists.";
}
leaf media {
  type boolean;
  description
    "This text value is a hint to the tag consumer to
     understand what this SWID tag applies to. This item can also
     be included in the link item to represent a attributes
     defined by the W3C Media Queries Recommendation (see
     http://www.w3.org/TR/css3-mediaqueries/). A hint to the
     consumer of the link to what the target item is applicable
     for.";
}
leaf supplemental {
  type boolean;
  description
    "Specifies that this tag provides supplemental tag
     data that can be merged with primary tag data to create a
     complete record of the software information. Supplemental
     tags will often be provided at install time and may be
```

} }

```
provided by different entities (such as the tag consumer, or
       a Value Added Reseller).";
  }
  leaf tag-version {
    type string;
    description
      "This item indicates if a specific release of a
       software component has more than one tag that can represent
       that specific release. This may be the case if a CoSWID tag
       producer creates and releases an incorrect tag that they
       subsequently want to fix, but with no underlying changes to
       the product the CoSWID tag represents. This could happen if,
       for example, a patch is distributed that has a link
       reference that does not cover all the various software
       releases it can patch. A newer CoSWID tag for that patch can
       be generated and the tag-version value incremented to
       indicate that the data is updated.";
  }
  leaf software-version {
    type string;
    description
      "Underlying development version for the software
       component.";
  }
  leaf version-scheme {
    type string;
    description
       "Scheme used for the version number. Valid
        enumerations are :
        * alphanumeric: strictly a string, sorting alphanumerically
        * decimal: a floating point number (i.e., 1.25 is less than
          1.3)
        * multipartnumeric: numbers separated via dots, where the
          numbers are interpreted as integers (ie, 1.2.3, 1.4.5.6
          , 1.2.3.4.5.6.7). This string convention is similar to
          OIDs.
        * multipartnumeric+suffix: numbers separated via dots, where
          the numbers are interpreted as integers with an additional
          string suffix (e.g., 1.2.3a).
        * semver: a string as defined by the semver.org spec [FiXME:
          reference1
        * unknown: the last resort choice, no attempt should be made
          to order these";
 }
}
```

} } <CODE ENDS>

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