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**Miscellaneous additions to CoAP  
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Abstract

This short I-D makes a number of partially interrelated proposals how to solve certain problems in the CoRE WG's main protocol, the Constrained Application Protocol (CoAP). The current version has been resubmitted to keep information about these proposals available; the proposals are not all fleshed out at this point in time.

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**1. Introduction**

The CoRE WG is tasked with standardizing an Application Protocol for Constrained Networks/Nodes, CoAP [[RFC7252](#)]. This protocol is intended to provide RESTful [[REST](#)] services not unlike HTTP [[RFC2616](#)], while reducing the complexity of implementation as well as the size of packets exchanged in order to make these services useful in a highly constrained network of themselves highly constrained nodes.

This objective requires restraint in a number of sometimes conflicting ways:

- o reducing implementation complexity in order to minimize code size,
- o reducing message sizes in order to minimize the number of fragments needed for each message (in turn to maximize the probability of delivery of the message), the amount of transmission power needed and the loading of the limited-bandwidth channel,
- o reducing requirements on the environment such as stable storage, good sources of randomness or user interaction capabilities.

This draft attempts to address a number of problems not yet adequately solved in [[RFC7252](#)]. The solutions proposed to these problems are somewhat interrelated and are therefore presented in one draft. As of the current version of the draft, the main body is almost empty, since few significant problems remain with CoAP or its satellite specifications.

The appendix contains the "CoAP cemetery" (Appendix C, possibly later to move into its own draft), documenting roads that the WG decided not to take, in order to spare readers from reinventing them in vain. There is also a "CoAP museum" (Appendix B), which documents previous forms of proposals part of which did make it into the main documents in one form or another. Finally, the "CoAP nursery" (Appendix A) contains half- to fully-baked proposals that might become interesting as the basis for future extensions.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

The term "byte" is used in its now customary sense as a synonym for "octet".

## **2. Observing Resources in CoAP**

(Co-Author for this section: Matthias Kovatsch)

There are two open issues related to -observe [[I-D.ietf-core-observe](#)]:

- o mixing freshness and observation lifetime, and
- o non-cacheable resources.

To solve the first issue, we think that -observe should be clarified as follows:

A server sends at least some notifications as confirmable messages. Each confirmable notification is an opportunity for the server to check if the client is still there. If the client acknowledges the notification, it is assumed to be well and alive and still interested in the resource. If it rejects the message with a reset message or if it doesn't respond, it is assumed not longer to be interested and is removed from the list of observers. So an observation relationship can potentially go on forever, if the client acknowledges each confirmable notification. If the server doesn't send a notification for a while and wants to check if the client is still there, it may send a confirmable notification with the current resource state to check that.

So there is no mixing of freshness and lifetime going on.

The other issue is a bit less trivial to solve. The problem is that normal CoAP and -observe actually have very different freshness models:

Normally, when a client wants to know the current state of a resource, it retrieves a representation, uses it and stores it in its cache. Later, when it wants to know the current state again, it can either use the stored representation provided that it's still fresh, or retrieve a new representation, use it and store it in its cache.

If a server knows when the state of the resource will change the next time, it can set the Max-Age of the representation to an accurate time span. So the change of the resource state will coincide with the expiration of the freshness of the representation stored in the client's cache (ignoring network latency).

But if the resource changes its state unpredictably at any time, the server can set the Max-Age only to an estimate. If the state then actually changes before the freshness expires, the client wrongly believes it has fresh information. Conversely, if the freshness expires and the client wants to know the current state, the client wrongly believes it has to make a new request although the representation is actually still fresh (this is defused by ETag validation).

-observe doesn't have these kinds of problems: the server does not have to predict when the resource will change its state the next time. It just sends a notification when it does. The new representation invalidates the old representation stored in the client's cache. So the client always has a fresh representation that it can use when it wants to know the current resource state without ever having to make a request. An explicit Max-Age is not needed for determining freshness.

But -observe has a different set of problems:

The first problem is that the resource may change its state more often than there is bandwidth available or the client can handle. Thus, -observe cannot make any guarantee that a client will see every state change. The solution is that -observe guarantees that the client will eventually see the latest state change, and follows a best effort approach to enable the client to see as many state changes as possible.

The second problem is that, when a notification doesn't arrive for a while, the client does not know if the resource did not change its state or if the server lost its state and forgot that the client is interested in the resource. We propose the following solution: With each notification that the server sends, it makes a promise to send another notification, and that it will send this next notification at latest after a certain time span. This time span is included with each notification. So when no notification arrives for a while and the time span has not expired yet, the client assumes that the resource did not change its state. If the time span has expired, no notification has arrived and the client wants to know the current state of the resource, it has to make a new request.

The third problem is that, when an intermediary is observing a resource and wants to create a response from a representation stored in its cache, it needs to specify a Max-Age. But the intermediary cannot predict when it will receive the next notification, because the next notification can arrive at any time. Unlike the origin server, it also doesn't have the application-specific knowledge that the origin server has. We propose the following solution: With each

notification a server sends, it includes a value that an intermediary should use to calculate the Max-Age.

To summarize:

- o A notification doesn't have a Max-Age; it's fresh until the next notification arrives. A notification is the promise for another notification that will arrive at latest after Next-Notification-At-Latest. This value is included with every notification. The promise includes that the server attempts to transmit a notification to the client for the promised time span, even if the client does not seem to respond, e.g., due to a temporary network outage.
- o A notification also contains another value, called Max-Age-Hint. This value is used by a cache to calculate a Max-Age for the representation if needed. In a cache, the Max-Age-Hint of a representation is counted down like Max-Age. When it reaches zero, however, the representation can be still used to satisfy requests, but is non-cacheable (i.e., Max-Age is 0). The Max-Age-Hint must be less than or equal to Next-Notification-At-Latest.

We see two possible ways to encode Next-Notification-At-Latest and Max-Age-Hint in a message:

- o The first way is to require the values of Next-Notification-At-Latest and Max-Age-Hint to be the same, although they are conceptually unrelated. Then, a single option in the message can be used to hold both values.
- o The second way is to include two options, one for Next-Notification-At-Latest and one for Max-Age-Hint. Since Next-Notification-At-Latest is less than or equal to Max-Age-Hint, the first option should indicate Max-Age-Hint, and the second option Next-Notification-At-Latest minus Max-Age-Hint with a default value of 0.

### **3. The Base-Uri Option**

A proxy that forwards a response with embedded URIs may need to indicate a base URI relative to which the embedded URIs need to be interpreted that is different from the original request URI. E.g., when the proxy forwarded the request to a multicast address, it may need to indicate which specific server sent the response. A similar requirement is the need to provide a request URI relative to which the Location-\* options can be interpreted.

The Base-Uri Option can be used in a response to provide this information. It is structured like the Proxy-Uri option, but it is elective and safe to forward (whether it is a cache-key is irrelevant, as it is a response option only).

```

+-----+-----+-----+
| Number | Name      | Reference |
+-----+-----+-----+
| TBD    | Base-Uri  | [RFCXXXX] |
+-----+-----+-----+
    
```

**4. CoAP Response Sets**

A proxy may receive multiple responses to a multicast request and may want to make the entire response set available in its response.

A response set is represented in CBOR [RFC7049] as an array of responses.

Each single response is represented as a map, keyed by integers. Non-negative integers give the respective CoAP response options; for these, the map values are coded according to the type given for the option: as integers (for options of type uint), text strings (for options of type string), or byte strings (for options of type opaque and for options unknown to the proxy). The following negative integers are defined as additional map keys for responses:

- 1: payload, encoded as a byte string. If the content-format is known to be a UTF-8 string (such as content formats 0 (text/plain), 40 (application/link-format) or 50 (application/json)), the payload MAY alternatively be encoded as a text string.
- 2: IP address of the end-point that sent the response. Coded as a byte string of 16 bytes (IPv6) or 4 bytes (IPv4).
- 3: Port number of the end-point that sent the response, coded as an integer. A port number of 5683 MAY be elided.
- 4: CoAP Response code, coded as an integer. A response code of 2.05 (value 69) MAY be elided.

An example for a response set (mixing IPv4 and IPv6 addresses for illustration only), given in CBOR diagnostic notation:

```
[{
  12: 40,
  14: 86400,
  4: h'08154711',
  -1: "</sensors/light>;if=\"sensor\"",
  -2: h'20010db800001234000000fffe007654'
}, {
  12: 40,
  14: 604800,
  4: h'70dbd7f64469',
  -1: "</sensors/temp>;if=\"sensor\"",
  -2: h'c0000249'
}]
```

Encoded in CBOR, this leads to the following sequence of bytes:

```
82          # array(2)
  a5        # map(5)
    0c      # unsigned(12)
    18 28   # unsigned(40)
    0e      # unsigned(14)
    1a 00015180 # unsigned(86400)
    04      # unsigned(4)
    44      # bytes(4)
           08154711
    20      # negative(0)
    78 1c   # text(28)
           3c2f73656e736f72732f6c696768743e3b69663d2273656e736f7222
    21      # negative(1)
    50      # bytes(16)
           20010db800001234000000fffe007654
  a5        # map(5)
    0c      # unsigned(12)
    18 28   # unsigned(40)
    0e      # unsigned(14)
    1a 00093a80 # unsigned(604800)
    04      # unsigned(4)
    46      # bytes(6)
           70dbd7f64469
    20      # negative(0)
    78 1b   # text(27)
           3c2f73656e736f72732f74656d703e3b69663d2273656e736f7222
    21      # negative(1)
    44      # bytes(4)
           c0000249
```



## **5. Acknowledgements**

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Of course, much of the content of this draft is the result of discussions with the [[RFC7252](#)] authors.

Patience and Leisure were influenced by a mailing list discussion with Esko Dijk, Kepeng Li, and Salvatore Loreto - thanks!

Michael Dorin found a bug in the efficient SMS encoding (and alerted us to insufficient explanation).

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## **Appendix A. The Nursery (Things that still need to ripen a bit)**

### **A.1. Envelope Options**

As of [\[RFC7252\]](#), options can take one of four types, two of which are mostly identical:

- o uint: A non-negative integer which is represented in network byte order using a variable number of bytes (see [\[RFC7252\] Appendix A](#));
- o string: a sequence of bytes that is nominally a Net-Unicode string [\[RFC5198\]](#);
- o opaque: a sequence of bytes.
- o empty (not explicitly identified as a fourth type in [\[RFC7252\]](#)).

It turns out some options would benefit from some internal structure. Also, it may be a good idea to be able to bundle multiple options into one, in order to ensure consistency for a set of elective options that need to be processed all or nothing (i.e., the option becomes critical as soon as another option out of the set is processed, too).

In this section, we introduce a fifth CoAP option type: Envelope options.

An envelope option is a sequence of bytes that looks and is interpreted exactly like a CoAP sequence of options. Instead of an option count or an end-of-option marker, the sequence of options is terminated by the end of the envelope option.

The nested options (options inside the envelope option) may come from the same number space as the top-level CoAP options, or the envelope option may define its own number space - this choice needs to be defined for each envelope option.

If the top-level number space is used, the envelope option typically will restrict the set of options that actually can be used in the envelope. In particular, it is unlikely that an envelope option will allow itself inside the envelope (this would be a recursive option).

Envelope options are a general, but simple mechanism. Some of its potential uses are illustrated by two examples in the cemetery: [Appendix C.1](#) and [Appendix C.2](#). (Each of these examples has its own merits and demerits, which led us to decide not to pursue either of them right now, but this should be discussed separately from the concept of Envelope options employed in the examples.)

## **A.2. Payload-Length Option**

Not all transport mappings may provide an unambiguous length of the CoAP message. For UDP, it may also be desirable to pack more than one CoAP message into one UDP payload (aggregation); in that case,

for all but the last message there needs to be a way to delimit the payload of that message.

This can be solved using a new option, the Payload-Length option. If this option is present, the value of this option is an unsigned integer giving the length of the payload of the message (note that this integer can be zero for a zero-length payload, which can in turn be represented by a zero-length option value). (In the UDP aggregation case, what would have been in the payload of this message after "payload-length" bytes is then actually one or more additional messages.)

### **A.3. URI Authorities with Binary Addresses**

One problem with the way URI authorities are represented in the URI syntax is that the authority part can be very bulky if it encodes an IPv6 address in ASCII.

Proposal: Provide an option "Uri-Authority-Binary" that can be an even number of bytes between 2 and 18 except 12 or 14.

- o If the number of bytes is 2, the destination IP address of the packet transporting the CoAP message is implied.
- o If the number of bytes is 4 or 6, the first four bytes of the option value are an IPv4 address in binary.
- o If the number of bytes is 8 or 10, the first eight bytes are the lower 64 bits of an IPv6 address; the upper eight bytes are implied from the destination address of the packet transporting the CoAP message.
- o If the number of bytes is 16 or 18, the first 16 bytes are an IPv6 address.
- o If two more bytes remain, this is a port number (as always in network byte order).

The resulting authority is (conceptually translated into ASCII and) used in place of an Uri-Authority option, or inserted into a Proxy-Uri. Examples:

Proxy-Uri	Uri-Authority-Binary	Uri-Path	URI
(none)	(none)	(none)	"/"
(none)	(none)	'temp'	"/temp"
(none)	2 bytes: 61616	'temp'	"coap://[DA]:61616/temp"
(none)	16 bytes: 2000::1	temp	"coap://[2000::1]/temp"
'http://'	10 bytes: ::123:45 + 616	(none)	"http://[DA::123:45]:616"
'http:///temp'	18 bytes: 2000::1 + 616	(none)	"http://[2000::1]:616/temp"

#### **A.4. Length-aware number encoding (o256)**

The number encoding defined in [Appendix A of \[RFC7252\]](#) has one significant flaw: Every number has an infinite number of representations, which can be derived by adding leading zero bytes. This runs against the principle of minimizing unnecessary choice. The resulting uncertainty in encoding ultimately leads to unnecessary interoperability failures. (It also wastes a small fraction of the encoding space, i.e., it wastes bytes.)

We could solve the first, but not the second, by outlawing leading zeroes, but then we have to cope with error cases caused by illegal values, another source of interoperability problems.

The number encoding "o256" defined in this section avoids this flaw. The suggestion is not to replace CoAP's "uint" encoding wholesale (CoAP is already too widely implemented for such a change), but to consider this format for new options.

The basic requirements for such an encoding are:

- o numbers are encoded as a sequence of zero or more bytes
- o each number has exactly one encoding

- o for  $a < b$ ,  $\text{encoding-size}(a) \leq \text{encoding-size}(b)$  -- i.e., with larger numbers, the encoding only gets larger, never smaller again.
- o within each encoding size (0 bytes, 1 byte, etc.), lexicographical ordering of the bytes is the same as numeric ordering

Obviously, there is only one encoding that satisfies all these requirements. As illustrated by Figure 1, this is unambiguously derived by

1. enumerating all possible byte sequences, ordered by length and within the same length in lexicographic ordering, and,
2. assigning sequential cardinals.

```

0x'' -> 0
0x'00' -> 1
0x'01' -> 2
0x'02' -> 3
...
0x'fe' -> 255
0x'ff' -> 256
0x'0000' -> 257
0x'0001' -> 258
...
0x'fefd' -> 65534
0x'fefe' -> 65535
0x'feff' -> 65536
...
0x'ffff' -> 65792
0x'000000' -> 65793
0x'000001' -> 65794

```

Figure 1: Enumerating byte sequences by length and then lexicographic order

This results in an exceedingly simple algorithm: each byte is interpreted in the base-256 place-value system, but stands for a number between 1 and 256 instead of 0 to 255. We therefore call this encoding "o256" (one-to-256). 0 is always encoded in zero bytes; 1 to 256 is one byte, 257 (0x101) to 65792 (0x10100) is two bytes, 65793 (0x10101) to 16843008 (0x1010100) is three bytes, etc.

To further illustrate the algorithmic simplicity, pseudocode for encoding and decoding is given in Figure 2 and Figure 3, respectively (in the encoder, "prepend" stands for adding a byte at the `_leading_` edge, the requirement for which is a result of the network byte

order). Note that this differs only in a single subtraction/addition (resp.) of one from the canonical algorithm for [Appendix A](#) uints.

```

while num > 0
  num -= 1
  prepend(num & 0xFF)
  num >>= 8
end

```

Figure 2: o256 encoder (pseudocode)

```

num = 0
each_byte do |b|
  num <<= 8
  num += b + 1
end

```

Figure 3: o256 decoder (pseudocode)

On a more philosophical note, it can be observed that o256 solves the inverse problem of Self-Delimiting Numeric Values (SDNV) [[RFC6256](#)]: SDNV encodes variable-length numbers together with their length (allowing decoding without knowing their length in advance, deriving delimiting information from the number encoding). o256 encodes variable-length numbers when there is a way to separately convey the length (as in CoAP options), encoding (and later deriving) a small part of the numeric value into/from that size information.

### [A.5. SMS encoding](#)

For use in SMS applications, CoAP messages can be transferred using SMS binary mode. However, there is operational experience showing that some environments cannot successfully send a binary mode SMS.

For transferring SMS in character mode (7-bit characters), base64-encoding [[RFC4648](#)] is an obvious choice. 3 bytes of message (24 bits) turn into 4 characters, which consume 28 bits. The overall overhead is approximately 17 %; the maximum message size is 120 bytes (160 SMS characters).

If a more compact encoding is desired, base85 encoding can be employed (however, probably not the version defined in [[RFC1924](#)] -- instead, the version used in tools such as btoa and PDF should be chosen). However, this requires division operations. Also, the base85 character set includes several characters that cannot be transferred in a single 7-bit unit in SMS and/or are known to cause operational problems. A modified base85 character set can be defined to solve the latter problem. 4 bytes of message (32 bits) turn into

5 characters, which consume 35 bits. The overall overhead is approximately 9.3 %; the resulting maximum message size is 128 bytes (160 SMS characters).

Base64 and base85 do not make use of the fact that much CoAP data will be ASCII-based. Therefore, we define the following experimental SMS encoding.

#### **A.5.1. ASCII-optimized SMS encoding**

Not all 128 theoretically possible SMS characters are operationally free of problems. We therefore define:

Shunned code characters: @ sign, as it maps to 0x00

LF and CR signs (0x0A, 0x0D)

uppercase C cedilla (0x09), as it is often mistranslated in gateways

ESC (0x1B), as it is used in certain character combinations only

Some ASCII characters cannot be transferred in the base SMS character set, as their code positions are taken by non-ASCII characters. These are simply encoded with their ASCII code positions, e.g., an underscore becomes a section mark (even though underscore has a different code position in the SMS character set).

Equivalently translated input bytes: \$, @, [, \, ], ^, \_, ` , {, |, }, ~, DEL

In other words, bytes 0x20 to 0x7F are encoded into the same code positions in the 7-bit character set.

Out of the remaining code characters, the following SMS characters are available for encoding:

Non-equivalently translated (NET) code characters: 0x01 to 0x08, (8 characters)

0x0B, 0x0C, (2 characters)

0x0E to 0x1A, (13 characters)

0x1C to 0x1F, (4 characters)

Of the 27 NET code characters, 18 are taken as prefix characters (see below), and 8 are defined as directly translated characters:



Directly translated bytes: Equivalently translated input bytes are represented as themselves

0x00 to 0x07 are represented as 0x01 to 0x08

This leaves 0x08 to 0x1F and 0x80 to 0xFF. Of these, the bytes 0x80 to 0x87 and 0xA0 to 0xFF are represented as the bytes 0x00 to 0x07 (represented by characters 0x01 to 0x08) and 0x20 to 0x7F, with a prefix of 1 (see below). The characters 0x08 to 0x1F are represented as the characters 0x28 to 0x3F with a prefix of 2 (see below). The characters 0x88 to 0x9F are represented as the characters 0x48 to 0x5F with a prefix of 2 (see below). (Characters 0x01 to 0x08, 0x20 to 0x27, 0x40 to 0x47, and 0x60 to 0x7f with a prefix of 2 are reserved for future extensions, which could be used for some backreferencing or run-length compression.)

Bytes that do not need a prefix (directly translated bytes) are sent as is. Any byte that does need a prefix (i.e., 1 or 2) is preceded by a prefix character, which provides a prefix for this and the following two bytes as follows:

char	pxf	.	char	pxf
0x0B	100	.	0x15	200
0x0C	101	.	0x16	201
0x0E	102	.	0x17	202
0x0F	110	.	0x18	210
0x10	111	.	0x19	211
0x11	112	.	0x1A	212
0x12	120	.	0x1C	220
0x13	121	.	0x1D	221
0x14	122	.	0x1E	222

Table 1: SMS prefix character assignment

(This leaves one non-shunned character, 0x1F, for future extension.)

The coding overhead of this encoding for random bytes is similar to Base85, without the need for a division/multiplication. For bytes that are mostly ASCII characters, the overhead can easily become negative. (Conversely, for bytes that are more likely to be non-ASCII than in a random sequence of bytes, the overhead becomes greater.)

So, for instance, for the CoAP message in Figure 4:

```

ver      tt      code    mid
1        ack    2.05    17033
content_type  40
token      sometok
3c 2f 3e 3b 74 69 74 6c 65 3d 22 47 65 6e 65 72 |</>;title="Gener|
61 6c 20 49 6e 66 6f 22 3b 63 74 3d 30 2c 3c 2f |al Info";ct=0,</|
74 69 6d 65 3e 3b 69 66 3d 22 63 6c 6f 63 6b 22 |time>;if="clock"|
3b 72 74 3d 22 54 69 63 6b 73 22 3b 74 69 74 6c |;rt="Ticks";titl|
65 3d 22 49 6e 74 65 72 6e 61 6c 20 43 6c 6f 63 |e="Internal Cloc|
6b 22 3b 63 74 3d 30 2c 3c 2f 61 73 79 6e 63 3e |k";ct=0,</async>|
3b 63 74 3d 30                                     |;ct=0          |
    
```

Figure 4: CoAP response message as captured and decoded

The 116 byte unencoded message is shown as ASCII characters in Figure 5 (\xDD stands for the byte with the hex digits DD):

```

bEB\x89\x11(\xA7sometok</>;title="General Info";ct=0,</time>
;if="clock";rt="Ticks";title="Internal Clock";ct=0,</async>;ct=0
    
```

Figure 5: CoAP response message shown as unencoded characters

The only non-ASCII characters in this example are in the beginning of the message. According to the translation instructions above, the four bytes:

```
89 11 ( A7
```

need the prefixes:

```
2 2 0 1
```

As each prefix character always covers three unencoded bytes, we need the prefix characters for 220 and 100, which are \x1C and \x0B, respectively (Table 1).

The equivalent SMS encoding is shown as equivalent-coded SMS characters in Figure 6 (7 bits per character, \x1C is the 220 prefix and \x0B is the 100 prefix, the rest is shown in equivalent

encoding), adding two characters of prefix overhead, for a total length of 118 7-bit characters or 104 (103.25 plus padding) bytes:

```
bEB\x1CI1(\x0B'sometok</>;title="General Info";ct=0,</time>
;if="clock";rt="Ticks";title="Internal Clock";ct=0,</async>;ct=0
```

Figure 6: CoAP response message shown as SMS-encoded characters

## **A.6. CONNECT**

[RFC2817] defines the HTTP CONNECT method to establish a TCP tunnel through a proxy so that end-to-end TLS connections can be made through the proxy. Recently, a requirement for similar functionality has been discussed for CoAP. This section defines a straw-man CONNECT method and related methods and response codes for CoAP.

(IANA considerations for this section TBD.)

### **A.6.1. Requesting a Tunnel with CONNECT**

CONNECT is allocated as a new method code in the "CoAP Method Codes" registry. When a client makes a CONNECT request to an intermediary, the intermediary evaluates the Uri-Host, Uri-Port, and/or the authority part of the Proxy-Uri Options in a way that is defined by the security policy of the intermediary. If the security policy allows the allocation of a tunnel based on these parameters, the method returns an empty payload and a response code of 2.30 Tunnel Established. Other possible response codes include 4.03 Forbidden.

It may be the case that the intermediary itself can only reach the requested origin server through another intermediary. In this case, the first intermediary SHOULD make a CONNECT request of that next intermediary, requesting a tunnel to the authority. A proxy MUST NOT respond with any 2.xx status code unless it has either a direct or tunnel connection established to the authority.

An origin server which receives a CONNECT request for itself MAY respond with a 2.xx status code to indicate that a tunnel is established to itself.

Code 2.30 "Tunnel Established" is allocated as a new response code in the "CoAP Response Codes" registry.

### **A.6.2. Using a CONNECT Tunnel**

Any successful (2.xx) response to a CONNECT request indicates that the intermediary has established a tunnel to the requested host and port. The tunnel is bound to the requesting end-point and the Token

supplied in the request (as always, the default Token is admissible). The tunnel can be used by the client by making a DATAGRAM request.

DATAGRAM is allocated as a new method code in the "CoAP Method Codes" registry. When a client makes a DATAGRAM request to an intermediary, the intermediary looks up the tunnel bound to the client end-point and Token supplied in the DATAGRAM request (no other Options are permitted). If a tunnel is found and the intermediary's security policy permits, the intermediary forwards the payload of the DATAGRAM request as the UDP payload towards the host and port established for the tunnel. No response is defined for this request (note that the request can be given as a CON or NON request; for CON, there will be an ACK on the message layer if the tunnel exists).

The security policy on the intermediary may restrict the allowable payloads based on its security policy, possibly considering host and port. An inadmissible payload SHOULD cause a 4.03 Forbidden response with a diagnostic message as payload.

The UDP payload of any datagram received from the tunnel and admitted by the security policy is forwarded to the client as the payload of a 2.31 "Datagram Received" response. The response does not carry any Option except for Token, which identifies the tunnel towards the client.

Code 2.31 "Datagram Received" is allocated as a new response code in the "CoAP Response Codes" registry.

An origin server that has established a tunnel to itself processes the CoAP payloads of related DATAGRAM requests as it would process an incoming UDP payload, and forwards what would be outgoing UDP payloads in 2.31 "Datagram Received" responses.

### **A.6.3. Closing down a CONNECT Tunnel**

A 2.31 "Datagram Received" response may be replied to with a RST, which closes down the tunnel. Similarly, the Token used in the tunnel may be reused by the client for a different purpose, which also closes down the tunnel.

## **Appendix B. The Museum (Things we did, but maybe not exactly this way)**

### **B.1. Getting rid of artificial limitations**

Artificial limitations are limitations of a protocol or system that are not rooted in limitations of actual capabilities, but in arbitrary design decisions. Proper system design tries to avoid

artificial limitations, as these tend to cause complexity in systems that need to work with these limitations.

E.g., the original UNIX filesystem had an artificial limitation of the length of a path name component to 14 bytes. This led to a cascade of workarounds in programs that manipulate file names: E.g., systematically replacing a ".el" extension in a filename with a ".elc" for the compiled file might exceed the limit, so all ".el" files were suddenly limited to 13-byte filenames.

Note that, today, there still is a limitation in most file system implementations, typically at 255. This just happens to be high enough to rarely be of real-world concern; we will refer to this case as a "painless" artificial limitation.

CoAP-08 had two highly recognizable artificial limitations in its protocol encoding

- o The number of options in a single message is limited to 15 max.
- o The length of an option is limited to 270 max.

It has been argued that the latter limitation causes few problems, just as the 255-byte path name component limitation in filenames today causes few problems. [Appendix B.1.1](#) provided a design to extend this; as a precaution to future extensions of this kind, the current encoding for length 270 (eight ones in the extension byte) could be marked as reserved today. Since, Matthias Kovatsch has proposed a simpler scheme that seems to gain favor in the WG, see [Appendix B.1.4](#).

The former limitation has been solved in CoAP-09. A historical discussion of other approaches for going beyond 15 options is in [Appendix B.1.2](#). [Appendix B.1.3](#) discusses implementation.

#### **B.1.1. Beyond 270 bytes in a single option**

The authors would argue that 270 as the maximum length of an option is already beyond the "painless" threshold.

If that is not the consensus of the WG, the scheme can easily be extended as in Figure 7:

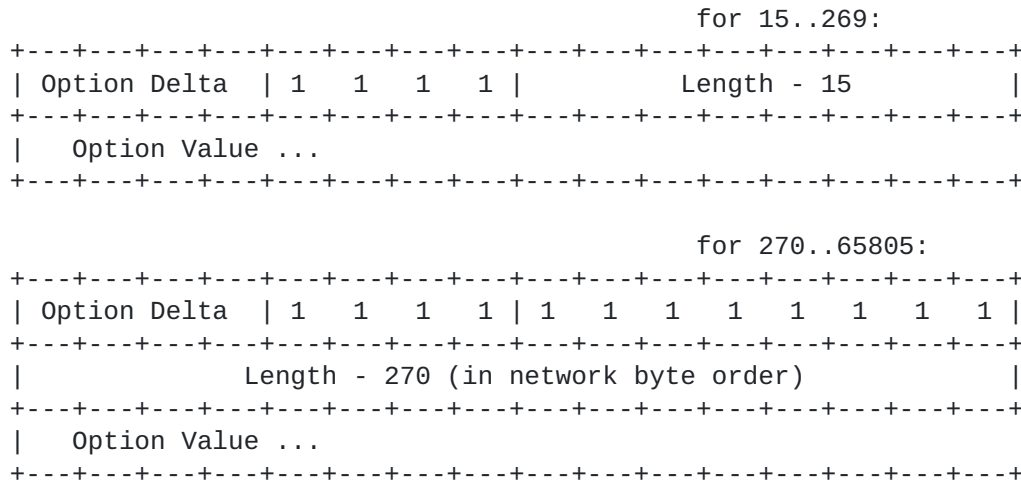


Figure 7: Ridiculously Long Option Header

The infinite number of obvious variations on this scheme are left as an exercise to the reader.

Again, as a precaution to future extensions, the current encoding for length 270 (eight ones in the extension byte) could be marked as reserved today.

**B.1.2. Beyond 15 options**

(This section keeps discussion that is no longer needed as we have agreed to do what is documented in [Appendix B.1.3](#)).

The limit of 15 options is motivated by the fixed four-bit field "OC" that is used for indicating the number of options in the fixed-length CoAP header (Figure 8).

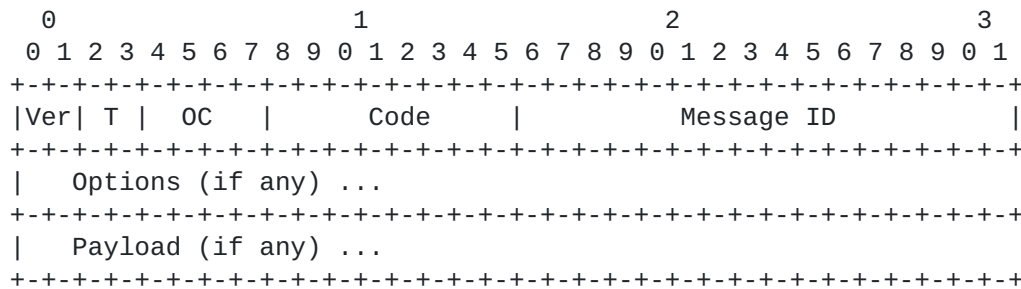


Figure 8: Four-byte fixed header in a CoAP Message

Note that there is another fixed four-bit field in CoAP: the option length (Figure 9 - note that this figure is not to the same scale as the previous figure):

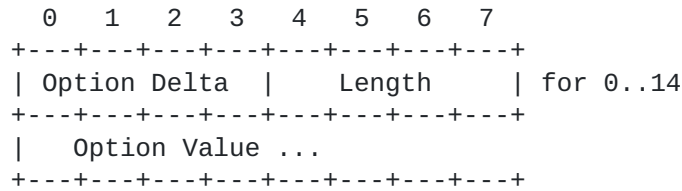


Figure 9: Short Option Header

Since 15 is unacceptable for a maximum option length, the all-ones value (15) was taken out of the set of allowable values for the short header, and a long header was introduced that allows the insertion of an extension byte (Figure 10):

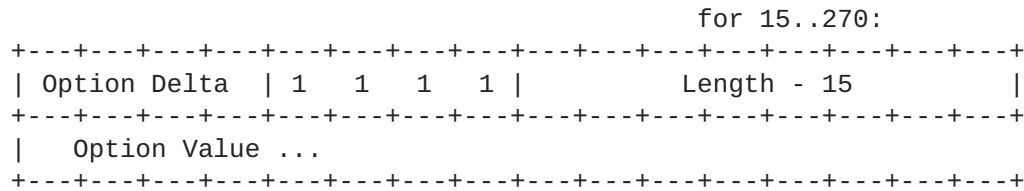


Figure 10: Long Option Header

We might want to use the same technique for the CoAP header as well. There are two obvious places where the extension byte could be placed:

1. right after the byte carrying the OC field, so the structure is the same as for the option header;
2. right after the fixed-size CoAP header.

Both solutions lose the fixed-size-ness of the CoAP header.

Solution 1 has the disadvantage that the CoAP header is also changing in structure: The extension byte is wedged between the first and the second byte of the CoAP header. This is unfortunate, as the number of options only comes into play when the option processing begins, so it is more natural to use solution 2 (Figure 11):

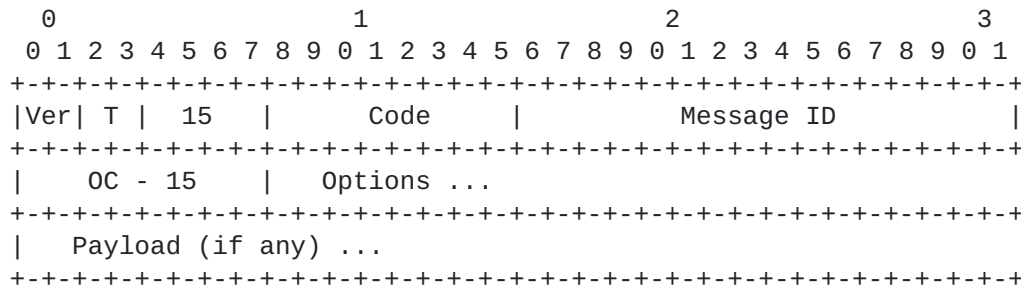


Figure 11: Extended header for CoAP Messages with 15+ options

This would allow for up to 270 options in a CoAP message, which is very likely way beyond the "painless" threshold.

**B.1.2.1. Implementation considerations**

For a message decoder, this extension creates relatively little pain, as the number of options only becomes interesting when the encoding turns to the options part of the message, which is then simply lead in by the extension byte if the four-bit field is 15.

For a message encoder, this extension is not so rosy. If the encoder is constructing the message serially, it may not know in advance whether the number of options will exceed 14. None of the following implementation strategies is particularly savory, but all of them do work:

1. Encode the options serially under the assumption that the number of options will be 14 or less. When the 15th option needs to be encoded, abort the option encoding, and restart it from scratch one byte further to the left.
2. Similar to 1, except that the bytes already encoded are all moved one byte to right, the extension byte is inserted, and the option encoding process is continued.
3. The encoder always leaves space for the extension byte (at least if it can't prove the number will be less than 14). If the extension byte is not needed, an Option 0 with length 0 is encoded instead (i.e., one byte is wasted - this option is elective and will be ignored by the receiver).

As a minimum, to enable strategy 3, the option 0 should be reserved at least for the case of length=0.



#### **B.1.2.2. What should we do now?**

As a minimum proposal for the next version of CoAP, the value 15 for OC should be marked as reserved today.

#### **B.1.2.3. Alternatives**

One alternative that has been discussed previously is to have an "Options" Option, which allows the carriage of multiple options in the belly of a single one. This could also be used to carry more than 15 options. However:

- o The conditional introduction of an Options option has implementation considerations that are likely to be more severe than the ones listed above;
- o since 270 bytes may not be enough for the encoding of `_all_` options, the "Options" option would need to be repeatable. This creates many different ways to encode the same message, leading to combinatorial explosion in test cases for ensuring interoperability.

#### **B.1.2.4. Alternative: Going to a delimiter model**

Another alternative is to spend the additional byte not as an extended count, but as an option terminator.

#### **B.1.3. Implementing the option delimiter for 15 or more options**

Implementation note: As can be seen from the proof of concept code in Figure 12, the actual implementation cost for a decoder is around 4 lines of code (or about 8-10 machine code instructions).

```
while numopt > 0
  nextbyte = ... get next byte

  if numopt == 15                # new
    break if nextbyte == 0xF0    # new
  else                            # new
    numopt -= 1
  end                              # new

  ... decode delta and length from nextbyte and handle them
end
```

Figure 12: Implementing the Option Terminator

Similarly, creating the option terminator needs about four more lines (not marked "old" in the C code in Figure 13).

```
b0 = 0x40 + (tt << 4);          /* old */
buffer[0] = b0 + 15;           /* guess first byte */

.... encode options ....      /* old */

if (option_count >= 15 || first_fragment_already_shipped)
    buffer[pos++] = 0xF0;      /* use delimiter */
else
    buffer[0] = b0 + option_count; /* old: backpatch */
```

Figure 13: Creating the Option Terminator

#### **B.1.4. Option Length encoding beyond 270 bytes**

For option lengths beyond 270 bytes, we reserve the value 255 of an extension byte to mean "add 255, read another extension byte" Figure 14. While this causes the length of the option header to grow linearly with the size of the option value, only 0.4 % of that size is used. With a focus on short options, this encoding is justified.

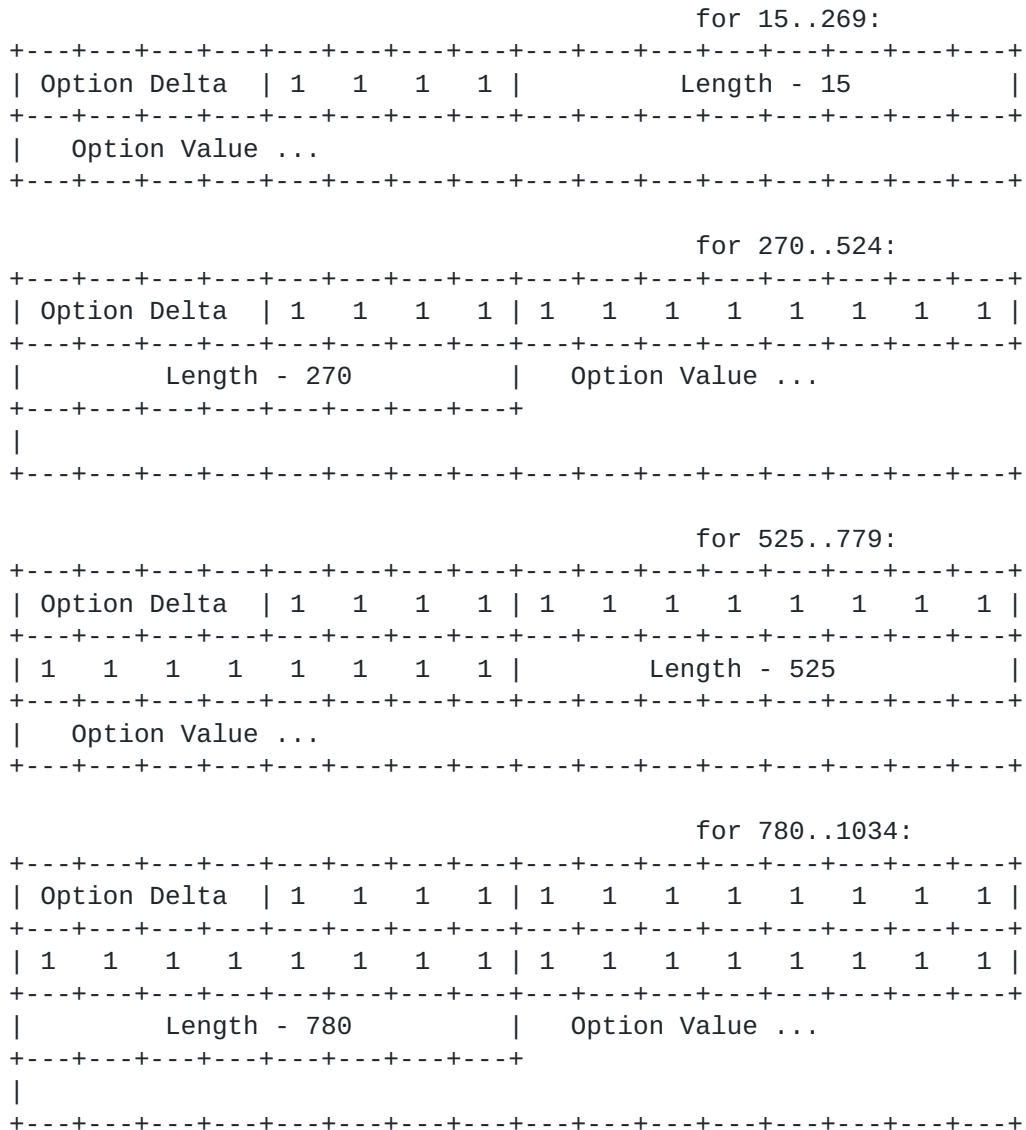


Figure 14: Options beyond 270 bytes

Options that are longer than 1034 bytes MUST NOT be sent; an option that has 255 (all one bits) in the field called "Length - 780" MUST be rejected upon reception as an invalid option.

In the process, the maximum length of all options that are currently set at 270 should now be set to a carefully chosen value. With the purely encoding-based limit gone, Uri-Proxy should now be restored to be a non-repeatable option.

A first proposal for a new set of per-option length restrictions follows:

number	name	min	max	type	repeat
1	content_type	0	2	uint	-
2	max_age	0	4	uint	-
3	proxy_uri	1	1023	string	-
4	etag	1	8	opaque	yes
5	uri_host	1	255	string	-
6	location_path	0	255	string	yes
7	uri_port	0	2	uint	-
8	location_query	0	255	string	yes
9	uri_path	0	255	string	yes
10	observe	0	2	uint	-
11	token	1	8	opaque	-
12	accept	0	2	uint	yes
13	if_match	0	8	opaque	yes
14	registered_elective	1	1023	opaque	yes
15	uri_query	1	255	string	yes
17	block2	0	3	uint	-
18	size	0	4	uint	-
19	block1	0	3	uint	-
21	if_none_match	0	0	empty	-
25	registered_critical	1	1023	opaque	yes

(Option 14 with a length of 0 is a fencepost only.)

**B.2. Registered Option**

CoAP's option encoding is highly efficient, but works best with small option numbers that do not require much fenceposting. The CoAP Option Number Registry therefore has a relatively heavyweight registration requirement: "IETF Review" as described in [RFC5226].

However, there is also considerable benefit in a much looser registry policy, enabling a first-come-first-served policy for a relatively large option number space.

Here, we discuss two solutions that enable such a registry. One is to define a separate mechanism for registered options, discussed in Appendix B.2.1. Alternatively, we could make it easier to use a larger main option number space, discussed in Appendix B.2.2.

**B.2.1. A Separate Suboption Number Space**

This alternative defines a separate space of suboption numbers, with an expert review [RFC5226] (or even first-come-first-served) registration policy. If expert review is selected for this registry, it would be with a relatively loose policy delegated to the expert. This draft proposes leaving the registered suboption numbers 0-127 to expert review with a policy that mainly focuses on the availability of a specification, and 128-16383 for first-come-first-served where essentially only a name is defined.

The "registered" options are used in conjunction with this suboption number registry. They use two normal CoAP option numbers, one for options with elective semantics (Registered-Elective) and one for options with critical semantics (Registered-Critical). The suboption numbers are not separate, i.e. one registered suboption number might have some elective semantics and some other critical semantics (e.g., for the request and the response leg of an exchange). The option value starts with an SDNV [RFC6256] of the registered suboption number. (Note that there is no need for an implementation to understand SDNVs, it can treat the prefixes as opaque. One could consider the SDNVs as a suboption prefix allocation guideline for IANA as opposed to a number encoding.)

```
+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+
|1 0 0 0 0 0 0 1|0 1 1 1 0 0 1 1|           value...           |
+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+
\__SDNV of registered number__/
```

Figure 15: Example option value for registered option

Note that a Registered Option cannot be empty, because there would be no space for the SDNV. Also, the empty option 14 is reserved for fenceposting ([\[RFC7252\], section 3.2](#)). (Obviously, once a Registered-Elective Option is in use, there is never a need for a fence-post for option number 14.)

The Registered-Elective and Registered-Critical Options are repeatable.

No.	C/E	Name	Format	Length	Default
14	Elective	Registered-Elective	(see above)	1-1023 B	(none)
25	Critical	Registered-Critical	(see above)	1-1023 B	(none)

This solves CoRE issue #214 ([CoRE214](#)). (How many options we need will depend on the resolution of #241 ([CoRE241](#)).)

**B.2.2. Opening Up the Option Number Space**

The disadvantage of the registered-... options is that there is a significant syntactic difference between options making use of this space and the usual standard options. This creates a problem not unlike that decried in [\[RFC6648\]](#).

The alternative discussed in this section reduces the distance by opening up the main Option number space instead.

There is still a significant incentive to use low-numbered Options. However, the proposal reduces the penalty for using a high-numbered Option to two or three bytes. More importantly, using a cluster of related high-numbered options only carries a total penalty of two or three bytes.

The main reason high-numbered options are expensive to use and thus the total space is relatively limited is that the option delta mechanism only allows increasing the current option number by up to 14 per one-byte fencepost. To use, e.g., Option number 1234 together with the usual set of low-numbered Options, one needs to insert 88 fence-post bytes. This is prohibitive.

Enabling first-come-first-served probably requires easily addressing a 16-bit option number space, with some potential increase later in the lifetime of the protocol (say, 10 to 15 years from now).

To enable the use of large option numbers, one needs a way to advance the Option number in bigger steps than possible by the Option Delta. So we propose a new construct, the Long Jump construct, to move the Option number forward.

**B.2.2.1. Long Jump construct**

The following construct can occur in front of any Option:

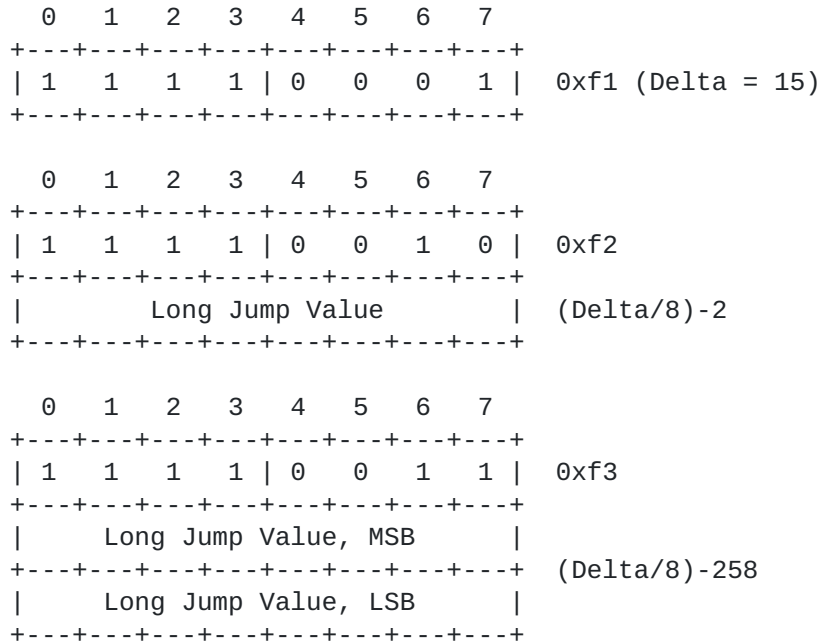


Figure 16: Long Jump Format

This construct is not by itself an Option. It can occur in front of any Option to increase the current Option number that then goes into its Option number calculation. The increase is done in multiples of eight. More specifically, the actual addition to the current Option number is computed as follows:

$$\text{Delta} = ((\text{Long Jump Value}) + N) * 8$$

where N is 2 for the one-byte version and N is 258 for the two-byte version.

A Long Jump MUST be followed by an actual Option, i.e., it MUST NOT be followed by another Long Jump or an end-of-options indicator. A message violating this MUST be rejected as malformed.

Long Jumps do NOT count as Options in the Option Count field of the header (i.e., they cannot by themselves end the Option sequence).

#### **B.2.2.2. Discussion**

Adding a mechanism at this late stage creates concerns of backwards compatibility. A message sender never needs to implement long-jumps unless it wants to make use of a high-numbered option. So this mechanism can be added once a high-numbered option is added. A message receiver, though, would more or less unconditionally have to implement the mechanism, leading to unconditional additional complexity. There are good reasons to minimize this, as follows:

- o The increase in multiples of eight allows looking at an option and finding out whether it is critical or not even if the Long Jump value has just been skipped (as opposed to having been processed fully). (It also allows accessing up to approximately 2048 options with a two-byte Long Jump.) This allows a basic implementation that does not implement any high-numbered options to simply ignore long jumps and any elective options behind them, while still properly reacting to critical options.
- o There is probably a good reason to disallow long-jumps that lead to an option number of 42 and less, enabling simple receivers to do the above simplification.
- o It might seem obvious to remove the fenceposting mechanism altogether in favor of long jumps. This is not advisable: Fenceposting already has zero implementation effort at the receiver, and the overhead at the sender is very limited (it is just a third kind of jump, at one byte per jump). Beyond 42, senders can ignore the existence of fenceposts if they want (possibly obviating the need for more complex base-14 arithmetic).

There is no need for a finer granularity than 8, as the Option construct following can also specify a Delta of 0..14. (A granularity of 16 will require additional fenceposting where an option delta of 15 would happen to be required otherwise, which we have reserved. It can be argued that 16 is still the better choice, as fenceposting is already in the code path.)

The Long Jump construct takes 0xf1 and 0xf2 from the space available for initial bytes of Options. (Note that we previously took 0xf0 to indicate end-of-options for OC=15.)

Varying N with the length as defined above makes it unambiguous whether a one- or two-byte Long Jump is to be used. Setting N=2 for the one-byte version makes it clear that a Delta of 8 is to be



handled the usual way (i.e., by Option Delta itself and/or fenceposting). If the delta is not small and not 7 modulo 8, there is still a choice between using the smaller multiple of 8 and a larger Delta in the actual Option or v.v., this biases the choice towards a larger Long Jump and a smaller following Delta, which is also easier to implement as it reduces the number of choice points.

**B.2.2.3. Example**

The following sequence of bytes would encode a Uri-Path Option of "foo" followed by Options 1357 (value "bar") and 1360 (value "baz"):

```

93 65 6f 6f      Option 9 (0 + 9, "foo")
f1 a6           Long Jump by 1344
43 62 61 72     Option 1357 (9 + 1344 + 4, "bar")
33 62 61 7a     Option 1360 (1357 + 3, "baz")

```

Figure 17: Example using a Long Jump construct

where f1 a6 is the long jump forward by (0xa6+2)\*8=1344 option numbers. The total option count (OC) for the CoAP header is 3. Note that even if f1 a6 is skipped, the 1357 (which then appears as an Option number 13) is clearly visible as Critical.

**B.2.2.4. IANA considerations**

With the scheme proposed above, we could have three tiers of Option Numbers, differing in their allocation policy [[RFC5226](#)]:

Option Number	Policy
0..255	Standards Action
256..2047	Designated Expert
2048..65535	First Come First Served

For the inventor of a new option, this would provide a small incentive to go through the designated expert for some minimal cross-checking in order to be able to use the two-byte long-jump.

This draft adds option numbers to Table 2 of [[RFC7252](#)]:

Number	Name	Reference
14	Registered-Elective	[RFCXXXX]
25	Registered-Critical	[RFCXXXX]

Table 2: New CoAP Option Numbers

This draft adds a suboption registry, initially empty.

Number	Name	Reference
0..127	(allocate on export review)	[RFCXXXX]
128..16383	(allocate fcfs)	[RFCXXXX]

Table 3: CoAP Suboption Numbers

### B.3. Enabling Protocol Evolution

To enable a protocol to evolve, it is critical that new capabilities can be introduced without requiring changes in components that don't really care about the capability. One such problem is exhibited by CoAP options: If a proxy does not understand an elective option in a request, it will not be able to forward it to the origin server, rendering the new option ineffectual. Worse, if a proxy does not understand a critical option in a request, it will not be able to operate on the request, rendering the new option damaging.

As a conclusion to the Ticket #230 discussion in the June 4th interim call, we decided to solve the identification of options that a proxy can safely forward even if not understood (previously called Proxy-Elective).

The proposal is to encode this information in the option number, just like the way the information that an option is critical is encoded now. This leads to two bits with semantics: the lowest bit continues to be the critical bit, and the next higher bit is now the "unsafe" bit (i.e., this option is not safe to forward unless understood by the proxy).

Another consideration (for options that are not unsafe to forward) is whether the option should serve as a cache key in a request. HTTP has a vary header that indicates in the response which header fields

were considered by the origin server to be cache keys. In order to avoid this complexity, we should be able to indicate this information right in the option number. However, reserving another bit is wasteful, in particular as there are few safe-to-forward options that are not cache-keys.

Therefore, we propose the following bit allocation in an option number:

```
xxx nnn UC
```

(where xxx is a variable length prefix, as option numbers are not bounded upwards). UC is the unsafe and critical bits. For U=0 only, if nnn is equal to 111 binary, the option does not serve as a cache key (for U=1, the proxy has to know the option to act on it, so there is no point in indicating whether it is a cache key). There is no semantic meaning of xxx.

Note that clients and servers are generally not interested in this information. A proxy may use an equivalent of the following C code to derive the characteristics of an option number "onum":

```
Critical = (onum & 1);  
UnSafe = (onum & 2);  
NoCache = ((onum & 0x1e) == 0x1c);
```

Discussion: This requires a renumbering of all options.

This renumbering may also be considered as an opportunity to make the numbering straight again shortly before nailing down the protocol

In particular, Content-Type is now probably better considered to be elective.

### **B.3.1. Potential new option number allocation**

We want to give one example for a revised allocation of option numbers. Option numbers are given as decimal numbers, one each for xxx, nnn, and UC, with the UC values as follows

UC binary	UC decimal	meaning
00	0	(safe, elective, 111=no-cache-key)
01	1	(safe, critical, 111=no-cache-key)
10	2	(unsafe, elective)
11	3	(unsafe, critical)

The table is:

New	xx nnn UC	Old	Name	Comment
4	0 1 0	1	Content-Type	category change (elective)
8	0 2 0	4	ETag	
12	0 3 0	12	Accept	
16	0 4 0	6	Location-Path	
20	0 5 0	8	Location-Query	
24	0 6 0	-	(unused)	
28	0 7 0	18	Size	needs nnn=111
32	1 0 0	20/22	Patience	
64	2 x 0	-	Location-reserved	(nnn = 0..3, 4 reserved numbers)
1	0 0 1	13	If-Match	
5	0 1 1	21	If-None-Match	
2	0 0 2	2	Max-Age	
6	0 1 2	10	Observe	
10	0 2 2	xx	Observe-2	

14	0 3 2	xx	(unused)	was fencepost
3	0 0 3	3	Proxy-Uri	
7	0 1 3	5	Uri-Host	
11	0 2 3	7	Uri-Port	
15	0 3 3	9	Uri-Path	
19	0 4 3	15	Uri-Query	
23	0 5 3	11	Token	
27	0 6 3	17	Block2	
31	0 7 3	19	Block1	yes, we can use nnn=111 with U=1

#### **B.4. Patience, Leisure, and Pledge**

A number of options might be useful for controlling the timing of interactions.

(This section also addresses core-coap ticket #177.)

##### **B.4.1. Patience**

A client may have a limited time period in which it can actually make use of the response for a request. Using the Patience option, it can provide an (elective) indication how much time it is willing to wait for the response from the server, giving the server license to ignore or reject the request if it cannot fulfill it in this period.

If the server knows early that it cannot fulfill the request in the time requested, it MAY indicate this with a 5.04 "Timeout" response. For non-safe methods (such as PUT, POST, DELETE), the server SHOULD indicate whether it has fulfilled the request by either responding with 5.04 "Timeout" (and not further processing the request) or by processing the request normally.

Note that the value of the Patience option should be chosen such that the client will be able to make use of the result even in the presence of the expected network delays for the request and the response. Similarly, when a proxy receives a request with a Patience option and cannot fulfill that request from its cache, it may want to

adjust the value of the option before forwarding it to an upstream server.

(TBD: The various cases that arise when combining Patience with Observe.)

The Patience option is elective. Hence, a client MUST be prepared to receive a normal response even after the chosen Patience period (plus an allowance for network delays) has elapsed.

#### **B.4.2. Leisure**

Servers generally will compute an internal value that we will call Leisure, which indicates the period of time that will be used for responding to a request. A Patience option, if present, can be used as an upper bound for the Leisure. Leisure may be non-zero for congestion control reasons, in particular for responses to multicast requests. For these, the server should have a group size estimate  $G$ , a target rate  $R$  (which both should be chosen conservatively) and an estimated response size  $S$ ; a rough lower bound for Leisure can then be computed as follows:

$$\text{lb\_Leisure} = S * G / R$$

Figure 18: Computing a lower bound for the Leisure

E.g., for a multicast request with link-local scope on an 2.4 GHz IEEE 802.15.4 (6LoWPAN) network,  $G$  could be (relatively conservatively) set to 100,  $S$  to 100 bytes, and the target rate to 8 kbit/s = 1 kB/s. The resulting lower bound for the Leisure is 10 seconds.

To avoid response implosion, responses to multicast requests SHOULD be dithered within a Leisure period chosen by the server to fall between these two bounds.

Currently, we don't foresee a need to signal a value for Leisure from client to server (beyond the signalling provided by Patience) or from server to client, but an appropriate Option might be added later.

#### **B.4.3. Pledge**

In a basic observation relationship [[I-D.ietf-core-observe](#)], the server makes a pledge to keep the client in the observation relationship for a resource at least until the max-age for the resource is reached.

To save the client some effort in re-establishing observation relationships each time max-age is reached, the server MAY want to extend its pledge beyond the end of max-age by signalling in a response/notification an additional time period using the Pledge Option, in parallel to the Observe Option.

The Pledge Option MUST NOT be used unless the server can make a reasonable promise not to lose the observation relationship in this time frame.

Currently, we don't foresee a need to signal a value for Pledge from client to server, but an appropriate behavior might be added later for this option when sent in a request.

**B.4.4. Option Formats**

No.	C/E	Name	Format	Length	Default
22	Elective	Patience	Duration in mis	1 B	(none)
24	Elective	Pledge	Duration in s	1 B	0

All timing options use the Duration data type (see [Appendix D.2](#)), however Patience (and Leisure, if that ever becomes an option) uses a timebase of mibiseconds (mis = 1/1024 s) instead of seconds. (This reduces the range of the Duration from ~ 91 days to 128 minutes.)

Implementation note: As there are no strong accuracy requirements on the clocks employed, making use of any existing time base of milliseconds is a valid implementation approach (2.4 % off).

None of the options may be repeated.

**Appendix C. The Cemetery (Things we won't do)**

This annex documents roads that the WG decided not to take, in order to spare readers from reinventing them in vain.

**C.1. Example envelope option: solving #230**

Ticket #230 [[CoRE230](#)] points out a design flaw of [[RFC7252](#)]: When we split the elective Location option of draft -01 into multiple elective options, we made it possible that an implementation might process some of these and ignore others, leading to an incorrect interpretation of the Location expressed by the server.

There are several more or less savory solutions to #230.

Each of the elective options that together make up the Location could be defined in such a way that it makes a requirement on the processing of the related option (essentially revoking their elective status once the option under consideration is actually processed). This falls flat as soon as another option is defined that would also become part of the Location: existing implementations would not know that the new option is also part of the cluster that is re-interpreted as critical. The potential future addition of Location-Host and Location-Port makes this a valid consideration.

A better solution would be to define an elective Envelope Option called Location. Within a Location Option, the following top-level options might be allowed (now or in the future):

- o Uri-Host
- o Uri-Port
- o Uri-Path
- o Uri-Query

This would unify the code for interpreting the top-level request options that indicate the request URI with the code that interprets the Location URI.

The four options listed are all critical, while the envelope is elective. This gives exactly the desired semantics: If the envelope is processed at all (which is elective), the nested options are critical and all need to be processed.

### **C.2. Example envelope option: proxy-elective options**

Another potential application of envelope options is motivated by the observation that new critical options might not be implemented by all proxies on the CoAP path to an origin server. So that this does not become an obstacle to introducing new critical options that are of interest only to client and origin server, the client might want to mark some critical options proxy-elective, i.e. elective for a proxy but still critical for the origin server.

One way to do this would be an Envelope option, the Proxy-Selective Option. A client might bundle a number of critical options into a critical Proxy-Selective Option. A proxy that processes the message is obliged to process the envelope (or reject the message), where processing means passing on the nested options towards the origin



server (preferably again within a Proxy-Elective option). It can pass on the nested options, even ones unknown to the proxy, knowing that the client is happy with proxies not processing all of them.

(The assumption here is that the Proxy-Elective option becomes part of the base standard, so all but the most basic proxies would know how to handle it.)

**C.3. Stateful URI compression**

Is the approximately 25 % average saving achievable with Huffman-based URI compression schemes worth the complexity? Probably not, because much higher average savings can be achieved by introducing state.

Henning Schulzrinne has proposed for a server to be able to supply a shortened URI once a resource has been requested using the full-length URI. Let's call such a shortened referent a `_Temporary Resource Identifier_`, `_TeRI_` for short. This could be expressed by a response option as shown in Figure 19.

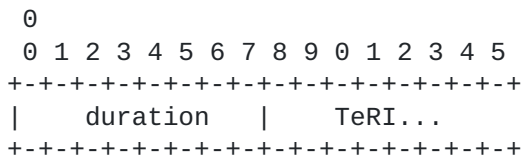


Figure 19: Option for offering a TeRI in a response

The TeRI offer option indicates that the server promises to offer this resources under the TeRI given for at least the time given as the duration. Another TeRI offer can be made later to extend the duration.

Once a TeRI for a URI is known (and still within its lifetime), the client can supply a TeRI instead of a URI in its requests. The same option format as an offer could be used to allow the client to indicate how long it believes the TeRI will still be valid (so that the server can decide when to update the lifetime duration). TeRIs in requests could be distinguished from URIs e.g. by using a different option number.

Proposal: Add a TeRI option that can be used in CoAP requests and responses.

Add a way to indicate a TeRI and its duration in a link-value.

Do not add any form of stateless URI encoding.

Benefits: Much higher reduction of message size than any stateless URI encoding could achieve.

As the use of TeRIs is entirely optional, minimal complexity nodes can get by without implementing them.

Drawbacks: Adds considerable state and complexity to the protocol.

It turns out that real CoAP URIs are short enough that TeRIs are not needed.

(Discuss the security implications of TeRIs.)

## **Appendix D. Experimental Options**

This annex documents proposals that need significant additional discussion before they can become part of (or go back to) the main CoAP specification. They are not dead, but might die if there turns out to be no good way to solve the problem.

### **D.1. Options indicating absolute time**

HTTP has a number of headers that may indicate absolute time:

- o "Date", defined in [Section 14.18 in \[RFC2616\]](#) ([Section 9.3 in \[RFC7230\]](#)), giving the absolute time a response was generated;
- o "Last-Modified", defined in [Section 14.29 in \[RFC2616\]](#), ([Section 6.6 in \[RFC7232\]](#), giving the absolute time of when the origin server believes the resource representation was last modified;
- o "If-Modified-Since", defined in [Section 14.25 in \[RFC2616\]](#), "If-Unmodified-Since", defined in [Section 14.28 in \[RFC2616\]](#), and "If-Range", defined in [Section 14.27 in \[RFC2616\]](#) can be used to supply absolute time to gate a conditional request;
- o "Expires", defined in [Section 14.21 in \[RFC2616\]](#) ([Section 3.3 in \[RFC7234\]](#)), giving the absolute time after which a response is considered stale.
- o The more obscure headers "Retry-After", defined in [Section 14.37 in \[RFC2616\]](#), and "Warning", defined in [section 14.46 in \[RFC2616\]](#), also may employ absolute time.

[RFC7252] defines a single "Date" option, which however "indicates the creation time and date of a given resource representation", i.e., is closer to a "Last-Modified" HTTP header. HTTP's caching rules

[RFC7234] make use of both "Date" and "Last-Modified", combined with "Expires". The specific semantics required for CoAP needs further consideration.

In addition to the definition of the semantics, an encoding for absolute times needs to be specified.

In UNIX-related systems, it is customary to indicate absolute time as an integer number of seconds, after midnight UTC, January 1, 1970. Unless negative numbers are employed, this time format cannot represent time values prior to January 1, 1970, which probably is not required for the uses of absolute time in CoAP.

If a 32-bit integer is used and allowance is made for a sign-bit in a local implementation, the latest UTC time value that can be represented by the resulting 31 bit integer value is 03:14:07 on January 19, 2038. If the 32-bit integer is used as an unsigned value, the last date is 2106-02-07, 06:28:15.

The reach can be extended by: - moving the epoch forward, e.g. by 40 years (= 1262304000 seconds) to 2010-01-01. This makes it impossible to represent Last-Modified times in that past (such as could be gatewayed in from HTTP). - extending the number of bits, e.g. by one more byte, either always or as one of two formats, keeping the 32-bit variant as well.

Also, the resolution can be extended by expressing time in milliseconds etc., requiring even more bits (e.g., a 48-bit unsigned integer of milliseconds would last well after year 9999.)

For experiments, an experimental "Date" option is defined with the semantics of HTTP's "Last-Modified". It can carry an unsigned integer of 32, 40, or 48 bits; 32- and 40-bit integers indicate the absolute time in seconds since 1970-01-01 00:00 UTC, while 48-bit integers indicate the absolute time in milliseconds since 1970-01-01 00:00 UTC.

However, that option is not really that useful until there is a "If-Modified-Since" option as well.

(Also: Discuss nodes without clocks.)

## **D.2. Representing Durations**

Various message types used in CoAP need the representation of \*durations\*, i.e. of the length of a timespan. In SI units, these are measured in seconds. CoAP durations represent integer numbers of seconds, but instead of representing these numbers as integers, a

more compact single-byte pseudo-floating-point (pseudo-FP) representation is used (Figure 20).

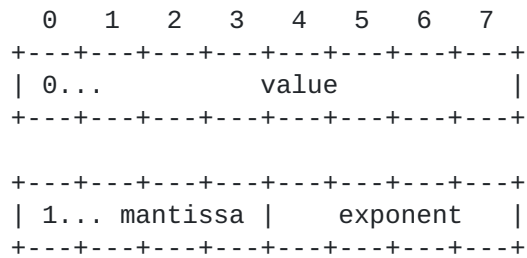


Figure 20: Duration in (8,4) pseudo-FP representation

If the high bit is clear, the entire n-bit value (including the high bit) is the decoded value. If the high bit is set, the mantissa (including the high bit, with the exponent field cleared out but still present) is shifted left by the exponent to yield the decoded value.

The (n,e)-pseudo-FP format can be decoded with a single line of code (plus a couple of constant definitions), as demonstrated in Figure 21.

```

#define N 8
#define E 4
#define HIBIT (1 << (N - 1))
#define EMASK ((1 << E) - 1)
#define MMASK ((1 << N) - 1 - EMASK)

#define DECODE_8_4(r) (r < HIBIT ? r : (r & MMASK) << (r & EMASK))

```

Figure 21: Decoding an (8,4) pseudo-FP value

Note that a pseudo-FP encoder needs to consider rounding; different applications of durations may favor rounding up or rounding down the value encoded in the message.

The highest pseudo-FP value, represented by an all-ones byte (0xFF), is reserved to indicate an indefinite duration. The next lower value (0xEF) is thus the highest representable value and is decoded as 7340032 seconds, a little more than 12 weeks.

### **D.3. Rationale**

Where CPU power and memory is abundant, a duration can almost always be adequately represented by a non-negative floating-point number representing that number of seconds. Historically, many APIs have also used an integer representation, which limits both the resolution (e.g., if the integer represents the duration in seconds) and often the range (integer machine types have range limits that may become relevant). UNIX's "time\_t" (which is used for both absolute time and durations) originally was a signed 32-bit value of seconds, but was later complemented by an additional integer to add microsecond ("struct timeval") and then later nanosecond ("struct timespec") resolution.

Three decisions need to be made for each application of the concept of duration:

- o the *\*resolution\**. What rounding error is acceptable?
- o the *\*range\**. What is the maximum duration that needs to be represented?
- o the *\*number of bits\** that can be expended.

Obviously, these decisions are interrelated. Typically, a large range needs a large number of bits, unless resolution is traded. For most applications, the actual requirement for resolution are limited for longer durations, but can be more acute for shorter durations.

### **D.4. Pseudo-Floating Point**

Constrained systems typically avoid the use of floating-point (FP) values, as

- o simple CPUs often don't have support for floating-point datatypes
- o software floating-point libraries are expensive in code size and slow.

In addition, floating-point datatypes used to be a significant element of market differentiation in CPU design; it has taken the industry a long time to agree on a standard floating point representation.

These issues have led to protocols that try to constrain themselves to integer representation even where the ability of a floating point representation to trade range for resolution would be beneficial.

The idea of introducing `_pseudo-FP_` is to obtain the increased range provided by embedding an exponent, without necessarily getting stuck with hardware datatypes or inefficient software floating-point libraries.

For the purposes of this draft, we define an  $(n,e)$ -pseudo-FP as a fixed-length value of  $n$  bits,  $e$  of which may be used for an exponent. Figure 20 illustrates an  $(8,4)$ -pseudo-FP value.

If the high bit is clear, the entire  $n$ -bit value (including the high bit) is the decoded value. If the high bit is set, the mantissa (including the high bit, but with the exponent field cleared out) is shifted left by the exponent to yield the decoded value.

The  $(n,e)$ -pseudo-FP format can be decoded with a single line of code (plus a couple of constant definition), as demonstrated in Figure 21.

Only non-negative numbers can be represented by this format. It is designed to provide full integer resolution for values from 0 to  $2^{(n-1)}-1$ , i.e., 0 to 127 in the  $(8,4)$  case, and a mantissa of  $n-e$  bits from  $2^{(n-1)}$  to  $(2^n-2^e)*2^{(2^e-1)}$ , i.e., 128 to 7864320 in the  $(8,4)$  case. By choosing  $e$  carefully, resolution can be traded against range.

Note that a pseudo-FP encoder needs to consider rounding; different applications of durations may favor rounding up or rounding down the value encoded in the message. This requires a little more than a single line of code (which is left as an exercise to the reader, as the most efficient expression depends on hardware details).

#### **D.5. A Duration Type for CoAP**

CoAP needs durations in a number of places. In [\[RFC7252\]](#), durations occur in the option "Subscription-lifetime" as well as in the option "Max-age". (Note that the option "Date" is not a duration, but a point in time.) Other durations of this kind may be added later.

Most durations relevant to CoAP are best expressed with a minimum resolution of one second. More detailed resolutions are unlikely to provide much benefit.

The range of lifetimes and caching ages are probably best kept below the order of magnitude of months. An  $(8,4)$ -pseudo-FP has the maximum value of 7864320, which is about 91 days; this appears to be adequate for a subscription lifetime and probably even for a maximum cache age. Figure 22 shows the values that can be expressed. (If a larger range for the latter is indeed desired, an  $(8,5)$ -pseudo-FP could be

used; this would last 15 milleniums, at the cost of having only 3 bits of accuracy for values larger than 127 seconds.)

Proposal: A single duration type is used throughout CoAP, based on an (8,4)-pseudo-FP giving a duration in seconds.

Benefits: Implementations can use a single piece of code for managing all CoAP-related durations.

In addition, length information never needs to be managed for durations that are embedded in other data structures: All durations are expressed by a single byte.

It might be worthwhile to reserve one duration value, e.g. 0xFF, for an indefinite duration.

Duration	Seconds	Encoded
-----	-----	-----
00:00:00	0x00000000	0x00
00:00:01	0x00000001	0x01
00:00:02	0x00000002	0x02
00:00:03	0x00000003	0x03
00:00:04	0x00000004	0x04
00:00:05	0x00000005	0x05
00:00:06	0x00000006	0x06
00:00:07	0x00000007	0x07
00:00:08	0x00000008	0x08
00:00:09	0x00000009	0x09
00:00:10	0x0000000a	0x0a
00:00:11	0x0000000b	0x0b
00:00:12	0x0000000c	0x0c
00:00:13	0x0000000d	0x0d
00:00:14	0x0000000e	0x0e
00:00:15	0x0000000f	0x0f
00:00:16	0x00000010	0x10
00:00:17	0x00000011	0x11
00:00:18	0x00000012	0x12
00:00:19	0x00000013	0x13
00:00:20	0x00000014	0x14
00:00:21	0x00000015	0x15
00:00:22	0x00000016	0x16
00:00:23	0x00000017	0x17
00:00:24	0x00000018	0x18
00:00:25	0x00000019	0x19
00:00:26	0x0000001a	0x1a
00:00:27	0x0000001b	0x1b
00:00:28	0x0000001c	0x1c
00:00:29	0x0000001d	0x1d

00:00:30	0x0000001e	0x1e
00:00:31	0x0000001f	0x1f
00:00:32	0x00000020	0x20
00:00:33	0x00000021	0x21
00:00:34	0x00000022	0x22
00:00:35	0x00000023	0x23
00:00:36	0x00000024	0x24
00:00:37	0x00000025	0x25
00:00:38	0x00000026	0x26
00:00:39	0x00000027	0x27
00:00:40	0x00000028	0x28
00:00:41	0x00000029	0x29
00:00:42	0x0000002a	0x2a
00:00:43	0x0000002b	0x2b
00:00:44	0x0000002c	0x2c
00:00:45	0x0000002d	0x2d
00:00:46	0x0000002e	0x2e
00:00:47	0x0000002f	0x2f
00:00:48	0x00000030	0x30
00:00:49	0x00000031	0x31
00:00:50	0x00000032	0x32
00:00:51	0x00000033	0x33
00:00:52	0x00000034	0x34
00:00:53	0x00000035	0x35
00:00:54	0x00000036	0x36
00:00:55	0x00000037	0x37
00:00:56	0x00000038	0x38
00:00:57	0x00000039	0x39
00:00:58	0x0000003a	0x3a
00:00:59	0x0000003b	0x3b
00:01:00	0x0000003c	0x3c
00:01:01	0x0000003d	0x3d
00:01:02	0x0000003e	0x3e
00:01:03	0x0000003f	0x3f
00:01:04	0x00000040	0x40
00:01:05	0x00000041	0x41
00:01:06	0x00000042	0x42
00:01:07	0x00000043	0x43
00:01:08	0x00000044	0x44
00:01:09	0x00000045	0x45
00:01:10	0x00000046	0x46
00:01:11	0x00000047	0x47
00:01:12	0x00000048	0x48
00:01:13	0x00000049	0x49
00:01:14	0x0000004a	0x4a
00:01:15	0x0000004b	0x4b
00:01:16	0x0000004c	0x4c
00:01:17	0x0000004d	0x4d



00:01:18	0x0000004e	0x4e
00:01:19	0x0000004f	0x4f
00:01:20	0x00000050	0x50
00:01:21	0x00000051	0x51
00:01:22	0x00000052	0x52
00:01:23	0x00000053	0x53
00:01:24	0x00000054	0x54
00:01:25	0x00000055	0x55
00:01:26	0x00000056	0x56
00:01:27	0x00000057	0x57
00:01:28	0x00000058	0x58
00:01:29	0x00000059	0x59
00:01:30	0x0000005a	0x5a
00:01:31	0x0000005b	0x5b
00:01:32	0x0000005c	0x5c
00:01:33	0x0000005d	0x5d
00:01:34	0x0000005e	0x5e
00:01:35	0x0000005f	0x5f
00:01:36	0x00000060	0x60
00:01:37	0x00000061	0x61
00:01:38	0x00000062	0x62
00:01:39	0x00000063	0x63
00:01:40	0x00000064	0x64
00:01:41	0x00000065	0x65
00:01:42	0x00000066	0x66
00:01:43	0x00000067	0x67
00:01:44	0x00000068	0x68
00:01:45	0x00000069	0x69
00:01:46	0x0000006a	0x6a
00:01:47	0x0000006b	0x6b
00:01:48	0x0000006c	0x6c
00:01:49	0x0000006d	0x6d
00:01:50	0x0000006e	0x6e
00:01:51	0x0000006f	0x6f
00:01:52	0x00000070	0x70
00:01:53	0x00000071	0x71
00:01:54	0x00000072	0x72
00:01:55	0x00000073	0x73
00:01:56	0x00000074	0x74
00:01:57	0x00000075	0x75
00:01:58	0x00000076	0x76
00:01:59	0x00000077	0x77
00:02:00	0x00000078	0x78
00:02:01	0x00000079	0x79
00:02:02	0x0000007a	0x7a
00:02:03	0x0000007b	0x7b
00:02:04	0x0000007c	0x7c
00:02:05	0x0000007d	0x7d

00:02:06	0x0000007e	0x7e
00:02:07	0x0000007f	0x7f
00:02:08	0x00000080	0x80
00:02:24	0x00000090	0x90
00:02:40	0x000000a0	0xa0
00:02:56	0x000000b0	0xb0
00:03:12	0x000000c0	0xc0
00:03:28	0x000000d0	0xd0
00:03:44	0x000000e0	0xe0
00:04:00	0x000000f0	0xf0
00:04:16	0x00000100	0x81
00:04:48	0x00000120	0x91
00:05:20	0x00000140	0xa1
00:05:52	0x00000160	0xb1
00:06:24	0x00000180	0xc1
00:06:56	0x000001a0	0xd1
00:07:28	0x000001c0	0xe1
00:08:00	0x000001e0	0xf1
00:08:32	0x00000200	0x82
00:09:36	0x00000240	0x92
00:10:40	0x00000280	0xa2
00:11:44	0x000002c0	0xb2
00:12:48	0x00000300	0xc2
00:13:52	0x00000340	0xd2
00:14:56	0x00000380	0xe2
00:16:00	0x000003c0	0xf2
00:17:04	0x00000400	0x83
00:19:12	0x00000480	0x93
00:21:20	0x00000500	0xa3
00:23:28	0x00000580	0xb3
00:25:36	0x00000600	0xc3
00:27:44	0x00000680	0xd3
00:29:52	0x00000700	0xe3
00:32:00	0x00000780	0xf3
00:34:08	0x00000800	0x84
00:38:24	0x00000900	0x94
00:42:40	0x00000a00	0xa4
00:46:56	0x00000b00	0xb4
00:51:12	0x00000c00	0xc4
00:55:28	0x00000d00	0xd4
00:59:44	0x00000e00	0xe4
01:04:00	0x00000f00	0xf4
01:08:16	0x00001000	0x85
01:16:48	0x00001200	0x95
01:25:20	0x00001400	0xa5
01:33:52	0x00001600	0xb5
01:42:24	0x00001800	0xc5
01:50:56	0x00001a00	0xd5

	01:59:28	0x00001c00	0xe5
	02:08:00	0x00001e00	0xf5
	02:16:32	0x00002000	0x86
	02:33:36	0x00002400	0x96
	02:50:40	0x00002800	0xa6
	03:07:44	0x00002c00	0xb6
	03:24:48	0x00003000	0xc6
	03:41:52	0x00003400	0xd6
	03:58:56	0x00003800	0xe6
	04:16:00	0x00003c00	0xf6
	04:33:04	0x00004000	0x87
	05:07:12	0x00004800	0x97
	05:41:20	0x00005000	0xa7
	06:15:28	0x00005800	0xb7
	06:49:36	0x00006000	0xc7
	07:23:44	0x00006800	0xd7
	07:57:52	0x00007000	0xe7
	08:32:00	0x00007800	0xf7
	09:06:08	0x00008000	0x88
	10:14:24	0x00009000	0x98
	11:22:40	0x0000a000	0xa8
	12:30:56	0x0000b000	0xb8
	13:39:12	0x0000c000	0xc8
	14:47:28	0x0000d000	0xd8
	15:55:44	0x0000e000	0xe8
	17:04:00	0x0000f000	0xf8
	18:12:16	0x00010000	0x89
	20:28:48	0x00012000	0x99
	22:45:20	0x00014000	0xa9
1d	01:01:52	0x00016000	0xb9
1d	03:18:24	0x00018000	0xc9
1d	05:34:56	0x0001a000	0xd9
1d	07:51:28	0x0001c000	0xe9
1d	10:08:00	0x0001e000	0xf9
1d	12:24:32	0x00020000	0x8a
1d	16:57:36	0x00024000	0x9a
1d	21:30:40	0x00028000	0xaa
2d	02:03:44	0x0002c000	0xba
2d	06:36:48	0x00030000	0xca
2d	11:09:52	0x00034000	0xda
2d	15:42:56	0x00038000	0xea
2d	20:16:00	0x0003c000	0xfa
3d	00:49:04	0x00040000	0x8b
3d	09:55:12	0x00048000	0x9b
3d	19:01:20	0x00050000	0xab
4d	04:07:28	0x00058000	0xbb
4d	13:13:36	0x00060000	0xcb
4d	22:19:44	0x00068000	0xdb

5d	07:25:52	0x00070000	0xeb
5d	16:32:00	0x00078000	0xfb
6d	01:38:08	0x00080000	0x8c
6d	19:50:24	0x00090000	0x9c
7d	14:02:40	0x000a0000	0xac
8d	08:14:56	0x000b0000	0xbc
9d	02:27:12	0x000c0000	0xcc
9d	20:39:28	0x000d0000	0xdc
10d	14:51:44	0x000e0000	0xec
11d	09:04:00	0x000f0000	0xfc
12d	03:16:16	0x00100000	0x8d
13d	15:40:48	0x00120000	0x9d
15d	04:05:20	0x00140000	0xad
16d	16:29:52	0x00160000	0xbd
18d	04:54:24	0x00180000	0xcd
19d	17:18:56	0x001a0000	0xdd
21d	05:43:28	0x001c0000	0xed
22d	18:08:00	0x001e0000	0xfd
24d	06:32:32	0x00200000	0x8e
27d	07:21:36	0x00240000	0x9e
30d	08:10:40	0x00280000	0xae
33d	08:59:44	0x002c0000	0xbe
36d	09:48:48	0x00300000	0xce
39d	10:37:52	0x00340000	0xde
42d	11:26:56	0x00380000	0xee
45d	12:16:00	0x003c0000	0xfe
48d	13:05:04	0x00400000	0x8f
54d	14:43:12	0x00480000	0x9f
60d	16:21:20	0x00500000	0xaf
66d	17:59:28	0x00580000	0xbf
72d	19:37:36	0x00600000	0xcf
78d	21:15:44	0x00680000	0xdf
84d	22:53:52	0x00700000	0xef
91d	00:32:00	0x00780000	0xff (reserved)

Figure 22

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