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**DHCP and DHCPv6 Options for Port Control Protocol (PCP)  
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**Abstract**

This document specifies DHCP (IPv4 and IPv6) options to provision Port Control Protocol (PCP) Servers. The use of DHCP or DHCPv6 depends on the PCP deployment scenario.

**Requirements Language**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

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## 1. Introduction

This document defines DHCP [[RFC2131](#)] and DHCPv6 [[RFC3315](#)] options which can be used to provision a PCP Server [[I-D.ietf-pcp-base](#)] reachability information; more precisely it defines DHCP options to convey a FQDN (as per [Section 3.1 of \[RFC1035\]](#)) of a PCP Server. In order to make use of these options, this document assumes that a DNS server is configured on the host client by DHCP or other means.

The use of DHCP or DHCPv6 depends on the PCP deployment scenarios.

This document does not make any assumption on the IP address to be used to reach a PCP Server. In particular, this document does not prevent to configure the IANA-to-be-assigned IP address [[I-D.ietf-pcp-base](#)] to be returned when resolving the FQDN carried in DHCP/DHCPv6 options.

In some deployment contexts, the PCP Server may be reachable with an IPv4 address but DHCPv6 is used to provision the PCP Client. In such scenarios, a plain IPv4 address or an IPv4-mapped IPv6 address can be configured to reach the PCP Server. As described in [Section 3.1 of \[I-D.wing-behave-dns64-config\]](#), dual-stack hosts can issue IPv4 datagrams successfully to that IP address.

## 2. Terminology

This document makes use of the following terms:

- o PCP Server: A functional element which receives and processes PCP requests from a PCP Client. A PCP Server can be co-located or be separated from the function (e.g., NAT, Firewall) it controls. Refer to [[I-D.ietf-pcp-base](#)].
- o PCP Client: a PCP software instance responsible for issuing PCP requests to a PCP Server. Refer to [[I-D.ietf-pcp-base](#)].
- o DHCP client (or client) denotes a node that initiates requests to obtain configuration parameters from one or more DHCP servers [[RFC3315](#)].
- o DHCP server (or server) refers to a node that responds to requests from DHCP clients [[RFC3315](#)].



### 3. Rationale

[[Note: May be removed in future version of the I-D.]]

Both IP address and FQDN DHCP options have been defined in previous versions of this document. This flexibility aims to let service providers to make their own engineering choices and use the convenient option according to their deployment context. Nevertheless, DHC WG's position is this flexibility have some drawbacks such as inducing errors. Therefore, only the FQDN option is maintained in this updated version.

This choice of defining the FQDN option rather than the IP address is motivated by operational considerations: In particular, some service providers are considering two levels of redirection: (1) The first level is national-wise is undertaken by DHCP: a regional-specific FQDN will be returned; (2) The second level is done during the resolution of the regional-specific FQDN to redirect the customer to a regional PCP Servers among a pool deployed regionally. Distinct operational teams are responsible for each of the above mentioned levels. A clear separation between the functional perimeter of each team is a sensitive task for the maintenance of the offered services. Regional teams will require to introduce new resources (e.g., new PCP-controlled devices such as CGNs) to meet an increase of customer base. The introduction of these new devices (addressing, redirection, etc.) is implemented locally. Having this regional separation provides flexibility to manage portions of network operated by dedicated teams. This two-level redirection can not be met by the IP Address option.

In addition to the operational considerations:

- o The use of the FQDN for NAT64 might be suitable for load-balancing purposes;
- o For the DS-Lite case, if the encapsulation mode is used to send PCP messages, an IP address may be used (the ipv4-mapped IPv6 address) since the AFTR selection is already done via the AFTR\_NAME DHCPv6 option. Of course, this assumes that the PCP Server is co-located with the AFTR function. If these functions are not co-located, conveying the FQDN would be more convenient.

If the PCP Server is located in a LAN, a simple FQDN such as "pcp-server.local" can be used.



#### 4. Consistent NAT and PCP Configuration

The PCP Server discovered through DHCP MUST be able to install mappings on the appropriate upstream PCP-controlled device that will be crossed by packets issued by the host or any terminal belonging to the same realm (e.g., DHCP client is embedded in a CP router). If DHCP is used to provision the FQDN of a PCP Server, an operator SHOULD configure appropriately DHCP servers to meet this requirement. In case this prerequisite is not met, customers would experience service troubles and their service(s) won't be delivered appropriately.

Note that this constraint is implicitly met in scenarios where only one single PCP-controlled device is deployed in the network.

#### 5. DHCPv6 PCP Server Option

This DHCPv6 option conveys a domain name to be used to retrieve the IP address of a PCP Server. Appropriate DNS queries should be issued to resolve the conveyed FQDN. In the context of a DS-Lite architecture [[I-D.ietf-softwire-dual-stack-lite](#)], the retrieved address may be an IPv4 address or an IPv4-mapped IPv6 address [[RFC4291](#)] of a PCP Server, and in the case of NAT64 [[I-D.ietf-behave-v6v4-xlate-stateful](#)] an IPv6 address can be retrieved.

##### 5.1. Format

The format of the DHCPv6 PCP Server option is shown in Figure 1.

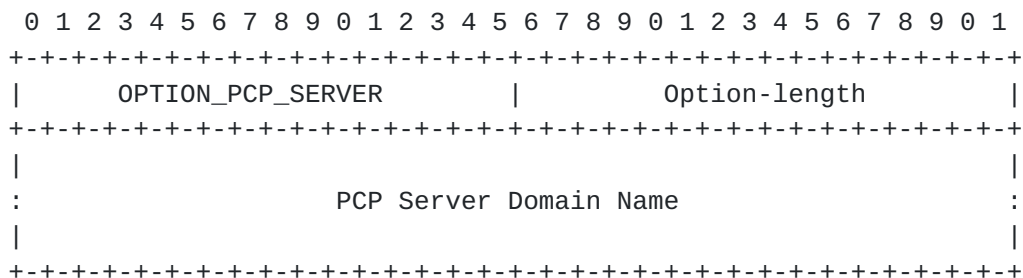


Figure 1: PCP Server FQDN DHCPv6 Option

The fields of the option shown in Figure 1 are as follows:

- o Option-code: OPTION\_PCP\_SERVER (TBA, see [Section 8](#))
- o Option-length: Length of the 'PCP Server Domain Name' field in octets.





- o PCP Server Domain Name: The domain name of the PCP Server to be used by the PCP Client. The domain name is encoded as specified in [Section 8 of \[RFC3315\]](#).

## 5.2. Client Behaviour

To discover a PCP Server [[I-D.ietf-pcp-base](#)], the DHCPv6 client MUST include an Option Request Option (ORO) requesting the DHCPv6 PCP Server FQDN option (i.e., include OPTION\_PCP\_SERVER on its OPTION\_ORO) as described in [Section 22.7 of \[RFC3315\]](#). A client MAY also include the OPTION\_DNS\_SERVERS option on its OPTION\_ORO to retrieve a DNS servers list.

If the DHCPv6 client receives more than one OPTION\_PCP\_SERVER option from the DHCPv6 server, it MUST discard all instances of that option.

Upon receipt of an OPTION\_PCP\_SERVER option, the DHCPv6 client MUST verify that the option length (including the Option-length octet) does not exceed 256 octets [[RFC1035](#)]. The DHCPv6 client MUST verify the FQDN is a properly encoded as detailed in [Section 8 of \[RFC3315\]](#).

Once the FQDN conveyed in a OPTION\_PCP\_SERVER option is validated, the DHCPv6 client issues appropriate DNS Query messages using the provided FQDN to resolve a AAAA Resource Record or A RR Resource Record. AAAA and A Queries may be issued in parallel or sequentially (e.g., using [[RFC3484](#)] selection process).

[Ed. Is there a value to consider a level of indirection (e.g., SRV)? (1) to use an arbitrary port number for PCP Server instead of the default port, (2) detect whether a security channel is in use (using the transport protocol)]

If the DNS response contains more than one IPv6/IPv4 address, the PCP Client MUST use the procedure defined in [[I-D.ietf-pcp-base](#)] for the address selection. The PCP Client MUST NOT send PCP requests to more than one IP address at the same time.

It is RECOMMENDED to associate a TTL with any address resulting from resolving the FQDN conveyed in a OPTION\_PCP\_SERVER DHCPv6 option when stored in a local cache. Considerations on how to flush out a local cache are out of the scope of this document.

## 5.3. Server Behaviour

A DHCPv6 server MUST NOT reply with a value for the OPTION\_PCP\_SERVER if the DHCPv6 client has not explicitly included OPTION\_PCP\_SERVER in its OPTION\_ORO.



If `OPTION_PCP_SERVER` option is requested by the DHCPv6 client, DHCPv6 server MUST NOT send more than one `OPTION_PCP_SERVER` option in the response. The DHCPv6 server MUST include only one FQDN in a `OPTION_PCP_SERVER` option. The DHCPv6 server MUST NOT include an FQDN having a length exceeding 256 octets.

## 6. DHCP PCP Option

The PCP Server DHCP option can be used to configure a FQDN to be used by the PCP Client to contact a PCP Server. The generic format of this option is illustrated in Figure 2.

Because of the depletion of DHCP option codes and in order to anticipate future PCP-related DHCP options, the proposed option uses a sub-option field.

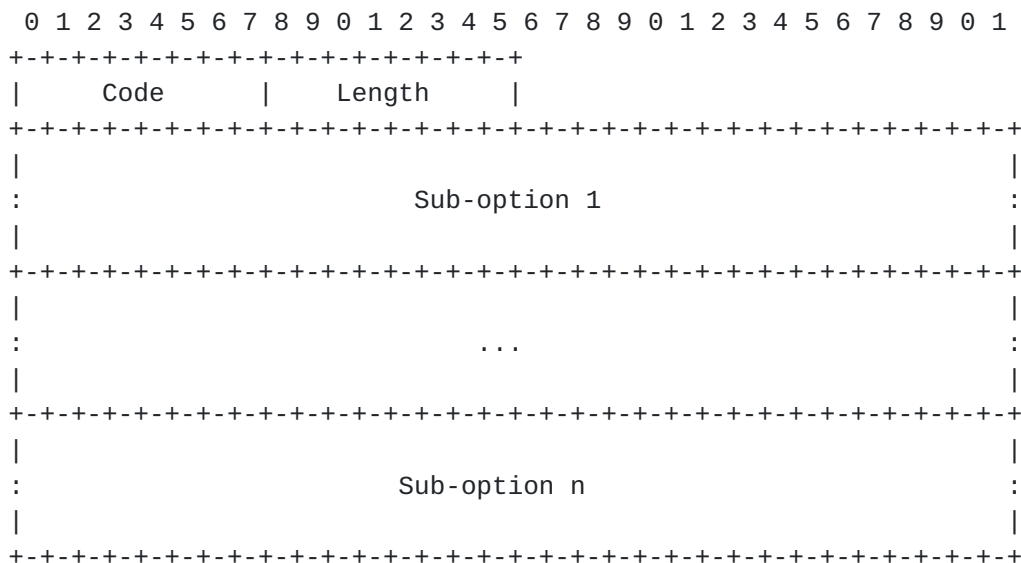


Figure 2: DHCP PCP Option

The description of the fields is as follows:

- o Code: `OPTION_PCP_SERVER` (TBA, see [Section 8](#));
- o Length: Includes the length of included sub-options in octets; The maximum length is 255 octets.
- o One or several sub-options can be included in a PCP DHCP option. The format of each sub-option follows the structure shown in Figure 3.



```

Sub-option
  Code  Len  Data
+-----+-----+-----+-----+
| code|  n |  Data      |
+-----+-----+-----+-----+

```

Figure 3: PCP Server sub-option

Only one sub-option is defined in this document:

1: PCP Server Domain Name Sub-option (OPTION\_PCP\_SERVER\_D (Figure 4)). This sub-option includes a FQDN of the PCP Server to be used by the PCP Client when issuing PCP messages.

```

Sub-option
  Code  Len  FQDN of PCP Server
+-----+-----+-----+-----+-----+-----+-----+-----+
|  1  |  n  |  s1 |  s2 |  s3 |  s4 |  s5 |  ...
+-----+-----+-----+-----+-----+-----+-----+-----+

```

Figure 4: PCP Server FQDN DHCP Sub-option

The fields of the PCP Server Domain Name sub-option shown in Figure 4 are:

- o Sub-option Code: 1.
- o Len: Length of the "PCP Server Domain Name" field in octets.
- o PCP Server Domain Name: The domain name of the PCP Server to be used by the PCP Client. The encoding of the domain name is described in [[RFC2131](#)].

When the PCP Server Domain Name Sub-option is used, the client issues a DNS A record query to retrieve the IPv4 address(es) of the PCP server(s).

[[Note: aside effect of having the sub-option format is the risk to have a large option exceeding the maximum permissible within a single option (254 octets+the length octets). A solution would be to recommend [[RFC3396](#)]?]]



## **7. Security Considerations**

The security considerations in [[RFC2131](#)], [[RFC3315](#)] and [[I-D.ietf-pcp-base](#)] are to be considered.

## **8. IANA Considerations**

This document requests the following codes:

DHCPv6 option code:

- o OPTION\_PCP\_SERVER

DHCP option code:

- o OPTION\_PCP\_SERVER

## **9. Acknowledgements**

Many thanks to B. Volz and C. Jacquenet for their review and comments.

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