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Suite B Profile for Kerberos 5
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Abstract

The United States Government has published guidelines for "NSA Suite B Cryptography" dated July, 2005, which defines cryptographic algorithm policy for national security applications. This document specifies the conventions for using Suite B algorithms in the Kerberos 5 protocol specification.

Since many of the Suite B algorithms enjoy uses in other environments as well, the majority of the conventions needed for the Suite B algorithms are already specified in other documents. This document references the source of these conventions, with some relevant details repeated to aid developers that choose to support Suite B.

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1. Introduction

This document specifies the use of the United States National Security Agency's Suite B algorithms [NSA] in Kerberos 5. Symmetric key encryption algorithms and checksum types are specified for use in the protocol. Additionally, the use of elliptic curve cryptography in the initial authentication protocol (PKINIT) is specified.

2. Conventions used in this Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

3. Suite B Requirements

Suite B requires that key establishment and signature algorithms be based upon Elliptic Curve Cryptography and that the encryption algorithm be AES [FIPS197].

Suite B includes [NSA]:

Encryption:	Advanced Encryption Standard (AES) [FIPS197] (key sizes of 128 and 256 bits)
Digital Signature:	Elliptic Curve Digital Signature Algorithm (ECDSA) [FIPS186-3] (using the curves with 256- and 384-bit prime moduli)
Key Exchange:	Elliptic Curve Diffie-Hellman (ECDH) [SP800-56A] (using the curves with 256- and 384-bit prime moduli)
Hashes:	SHA-256 and SHA-384 [FIPS180-3]

The two elliptic curves used in Suite B each appear in the literature under two different names. For sake of clarity, we list both names below:

Curve	NIST Name	SECG Name	OID [FIPS186-3]
nistp256	P-256	secp256r1	1.2.840.10045.3.1.7
nistp384	P-384	secp384r1	1.3.132.0.34

4. Minimum Levels of Security (minLOS)

Suite B provides for two levels of cryptographic security, namely a 128-bit minimum level of security (minLOS_128) and a 192-bit minimum

level of security (minLOS_192). Each level defines a minimum strength that all cryptographic algorithms must provide.

4.1. Non-signature Primitives

We divide the Suite B non-signature primitives into two columns as shown in Table 1.

	Column 1	Column 2
Encryption	AES-128	AES-256
Key Agreement	ECDH on P-256	ECDH on P-384
Hash for PRF/MAC	SHA-256	SHA-384

Table 1: Suite B Cryptographic Non-Signature Primitives

At the 128-bit minimum level of security:

- the non-signature primitives MUST either come exclusively from Column 1 or exclusively from Column 2.

At the 192-bit minimum level of security:

- the non-signature primitives MUST come exclusively from Column 2.

4.2. Suite B Authentication

Digital signatures using ECDSA MUST be used for authentication by Suite B compliant Kerberos implementations. To simplify notation, ECDSA-256 will be used to represent an instantiation of the ECDSA algorithm using the P-256 curve and the SHA-256 hash function, and ECDSA-384 will be used to represent an instantiation of the ECDSA algorithm using the P-384 curve and the SHA-384 hash function.

If configured at a minimum level of security of 128 bits, a Suite B Kerberos implementation MUST use either ECDSA-256 or ECDSA-384 for authentication. It is allowable for one party to authenticate with ECDSA-256 and the other party to authenticate with ECDSA-384. This flexibility will allow interoperability between a client and a server that have different sizes of ECDSA authentication keys.

Clients and servers in a Suite B Kerberos implementation configured at a minimum level of security of 128 bits MUST be able to verify ECDSA-256 signatures and SHOULD be able to verify ECDSA-384

signatures unless it is absolutely certain that the implementation will never need to verify certificates from an authority which uses an ECDSA-384 signing key.

If configured at a minimum level of security of 192 bits, ECDSA-384 MUST be used by both parties for authentication.

Clients and servers in a Suite B Kerberos implementation configured at a minimum level of security of 192 bits MUST be able to verify ECDSA-384 signatures.

4.3. Digital Signatures and Certificates

The client and server in a Suite B compliant Kerberos implementation, at both minimum levels of security, MUST each use an X.509 certificate that complies with the "Suite B Certificate and Certificate Revocation List (CRL) Profile" [RFC5759] and that contains an elliptic curve public key with the key usage field set for digital signature.

5. PKINIT

This section specifies the use of Suite B algorithms for integrating public key cryptography into the initial authentication protocol (PKINIT). The use of public key certificates and signature schemes allows the client and KDC to mutually authenticate in the Authentication Service (AS) request and reply. Furthermore, PKINIT eliminates the dependency of the AS reply key on a password, enhancing the security of the Kerberos protocol.

The original protocol extensions which include public key cryptography are described in PKINIT [RFC4556] and specifications for using elliptic curve cryptography are presented in ECC for PKINIT [RFC5349]. The majority of the conventions needed for Suite B are in those two documents and only the necessary details are provided here.

In Suite B, public key cryptography (PKINIT) MUST be used in the initial authentication protocol to avoid the need for password-based authentication. As defined in [RFC4556], one of the following pre-authentication data elements MUST be included in the AS_REQ and AS_REP messages.

padata-type	Name	Included in
16	PA_PK_AS_REQ	AS_REQ
17	PA_PK_AS_REP	AS_REP

The specific requirements for using ECDH and ECDSA in PKINIT are presented in Sections 5.2 and 5.3 respectively.

5.1. Algorithm Agility

PKINIT [RFC4556] has several dependencies on SHA-1 as a checksum algorithm. The first occurrence is the paChecksum field of the PKAuthenticator structure in the authentication request which is defined to contain the SHA-1 checksum of the KDC-REQ-BODY. PKINIT also requires SHA-1 in the key derivation function used to derive the AS reply key from the shared secret value generated by the Diffie-Hellman key exchange. Since Suite B requires SHA-256 or SHA-384 for hashing, the client and KDC need a method to negotiate the hash algorithm used in PKINIT.

[alg-agility] updates PKINIT by allowing the client and KDC to negotiate a KDF from [SP800-56A] which will provide integrity of the request body as well as a cryptographic binding between the client's pre-authentication data and the corresponding request body. This is achieved as described in Section 6 of [alg-agility] by including the AS-REQ and PA-PK-AS-REP messages and the ticket from the KDC in the OtherInfo input parameter to the KDF.

Choosing a KDF from [SP800-56A] that uses SHA-256 or SHA-384 as the hash function therefore eliminates the need for the paChecksum field. In Suite B, the client MUST NOT include the SHA-1 checksum of the KDC-REQ-BODY in the paChecksum field of the cryptographic binding and integrity protection. The KDC MUST NOT return a KRB-ERROR message due to the absence of the paChecksum field when validating the client's request since the paChecksum field is optional syntactically in [RFC4556]. Section 6 of [alg-agility] describes the new structures and fields included in the AS request and reply messages.

In Suite B, one of the following KDFs defined in [alg-agility] MUST be used to derive the AS reply key from the Diffie-Hellman shared secret.

Key Derivation Function	OID [alg-agility]
id-pkinit-kdf-ah-sha256	1.3.6.1.5.2.3.6.2
id-pkinit-kdf-ah-sha384	1.3.6.1.5.2.3.6.4

5.2. ECDH Key Exchange

The use of elliptic curve cryptography in PKINIT is described in [RFC5349]. This section describes the Suite B requirements for using Elliptic Curve Diffie-Hellman (ECDH) to generate the AS reply key.

In Suite B, ephemeral-ephemeral ECDH MUST be used as the AS reply key agreement method. In a Suite B Kerberos system configured at a minimum level of security of 128 bits, ephemeral-ephemeral ECDH MUST be used with the SHA-256 KDF and the P-256 elliptic curve or used with the SHA-384 KDF and the P-384 elliptic curve. In a Suite B Kerberos system configured at a minimum level of security of 192 bits, ephemeral-ephemeral ECDH MUST be used with the SHA-384 KDF and the P-384 elliptic curve. A detailed description of the uses of the ECDH key exchange in PKINIT is provided in [RFC5349].

The client MUST include its encoded ECDH ephemeral public key value and domain parameters in the clientPublicValue field of the AuthPack structure as described in [RFC4556]. The clientPublicValue field MUST comply with the SubjectPublicKeyInfo guidance in [RFC5759] Section 4.4.

The KDC MUST include its encoded ECDH ephemeral public key value in the subjectPublicKey field of the KDCDHKeyInfo structure in the authentication reply. Section 2.2 of [RFC5480] provides guidance on the format of the subjectPublicKey field. The KDC MUST NOT reuse its DH keys, even if the client includes the clientDHNonce field. Section 5.6.4.3 of [SP800-56A] states that an ephemeral private key MUST be used in exactly one key establishment transaction, SHOULD be generated as close to its time of use as possible and MUST be zeroized after its use. Section 5.8 of [SP800-56A] states that the Diffie-Hellman shared secret MUST be zeroized immediately after its use. Suite B Kerberos implementations MUST follow the mandates in SP800-56A.

The ECDH shared secret value (Z) is calculated using the ECSVDP-DH primitive described in Section 7.2.1 of [IEEE1363]. Note this primitive is also described in Section 5.7.1.2 of [SP800-56A] under the name ECC CDH.

The AS reply key is derived from the ECDH shared secret value using a negotiated key derivation function from [SP800-56A] with the method described in Section 6 of [alg-agility]. The KDF based on SHA-256 MUST be used when ECDH is used with the 256-bit prime modulus elliptic curve and the KDF based on SHA-384 MUST be used when ECDH is used with the 384-bit prime modulus elliptic curve. Additional guidance on implementing the Ephemeral Unified Model Key Agreement Scheme for Suite B is provided in [IG].

5.3. ECDSA Digital Signatures

The use of elliptic curve signature schemes in PKINIT is described in [RFC5349]. This section describes the use of digital signatures that are compatible with Suite B.

The signatureAlgorithm field of the SignerInfo data type in both the AS request and reply messages MUST contain one of the following signature algorithm identifiers:

Signature Algorithm	OID [FIPS186-3]
ecdsa-with-Sha256	1.2.840.10045.4.3.2
ecdsa-with-Sha384	1.2.840.10045.4.3.3

If configured at a minimum level of security of 128 bits, a Suite B Kerberos client MUST list one or both of ecdsa-with-sha256 and ecdsa-with-sha384 in the supportedCMSTypes field of the authentication request as the only acceptable signature algorithms for the server's response. If configured at a minimum level of security of 192 bits, a Suite B Kerberos client MUST list authentication request as the only acceptable signature algorithm for the server's response.

The corresponding digestAlgorithm field of the SignerInfo data type MUST contain one of the following hash algorithm identifiers.

Hash Algorithm	OID [FIPS180-3]
id-sha256	2.16.840.1.101.3.4.2.2
id-sha384	2.16.840.1.101.3.4.2.3

id-sha256 MUST be used with ecdsa-with-Sha256 and id-sha384 MUST be used with ecdsa-with-Sha384, as noted in [RFC5349].

6. Encryption and Checksum Types

Encryption and checksum types for Kerberos 5 are described in [RFC3961] and specifications for using AES in Kerberos 5 are detailed in [RFC3962]. The dependencies of those types on SHA-1 make them inappropriate choices for Suite B. [AES-CBC-SHA2] defines the encryption and checksum types required by Suite B.

6.1. Suite B Requirements

If configured at a minimum level of security of 128 bits, a Suite B Kerberos implementation MUST use either the combination of aes128-cts-hmac-sha256-128 for content encryption and hmac-sha256-128-aes-128 for message integrity or the combination of aes256-cts-hmac-sha384-192 for content encryption and hmac-sha384-192-aes256 for message integrity.

If configured at a minimum level of security of 192 bits, a Suite B Kerberos implementation MUST use aes256-cts-hmac-sha384-192 for

content encryption and hmac-sha384-192-aes256 for message integrity.

If the Suite B Kerberos client is using ECDH P-256 for its ephemeral public key in its request, it MUST list aes128-cts-hmac-sha256-128 in the etype field of the req-body in the initial request message. If the Suite B Kerberos client is using ECDH P-384 for its ephemeral public key in its request, it MUST list aes256-cts-hmac-sha384-192 in the etype field of the req-body in the initial request message.

7. Security Considerations

The security considerations in [RFC4556] discuss PKINIT in general and the security considerations in [RFC5349] discuss the use of elliptic curve cryptography (ECC).

8. IANA Considerations

None.

9. References

9.1. Normative References

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9.2. Informative References

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[SP800-56A] National Institute of Standards and Technology, "Recommendation for Pair-wise Key Establishment Schemes Using Discrete Logarithm Cryptography", NIST Special Publication 800-56A, March 2007.

Appendix A. Acknowledgements

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