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H.264 as Mandatory to Implement Video Codec for WebRTC draft-burman-rtcweb-h264-proposal-03

Abstract

This document proposes that, and motivates why, H.264 should be a Mandatory To Implement video codec for WebRTC.

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Table of Contents

<u>2</u>
<u>3</u>
<u>3</u>
<u>3</u>
<u>4</u>
<u>6</u>
<u>6</u>
<u>7</u>
<u>7</u>
<u>8</u>
L0
<u>L2</u>
<u>L3</u>
<u>L4</u>
<u>L7</u>

1. Introduction

The selection of a Mandatory To Implement (MTI) video codec for WebRTC has been discussed for quite some time in the RTCWEB WG. This document proposes that the H.264 video codec should be mandatory to implement for WebRTC implementations and gives motivation to this proposal.

The core of the proposal is that:

H.264 Constrained Baseline Profile Level 1.2 MUST be supported as Mandatory To Implement video codec.

[Page 2]

To enable higher quality for devices capable of it:

H.264 Constrained High Profile Level 1.3, logically extended to support 720p resolution at 30 Hz framerate is RECOMMENDED.

This draft discusses the advantages of H.264 as the authors of this draft see them; a richness of implementations and hardware support, well known licensing conditions, good performance, and well defined handling of varying device capabilities.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14, RFC 2119 [<u>RFC2119</u>].

3. H.264 Overview

The video coding standard Advanced Video Coding (ITU-T H.264 | ISO/ IEC 14496-10 $[\underline{H264}]$) has been around for almost ten years by now. Developed jointly by MPEG and ITU-T in the Joint Video Team, it was published in its first version in 2003 and amended with support for higher-fidelity video in 2004. Other significant updates include support for scalability (2007) and multiview (2009). The codec goes under the names H.264, AVC and MPEG-4 Part10. In this memo the term "H.264" will be used.

H.264 was from the start very successful and has become widely adopted for (video) content as well as (video) communication services worldwide.

H.264 is mandatory in mobile wireless standards for multimedia telephony and packet switched streaming. It is also the leading de facto standard for web video content delivered in HTML5 or other technologies, and is supported in all major web browsers, mobile device platforms, and desktop operating systems.

4. Implementations

Arguably, hardware or DSP acceleration for video encoding/decoding would be mostly beneficial for devices that has relatively lower capacity in terms of CPU and power (smaller batteries), and the most common devices in this category are phones and tablets. There is a long list of vendors offering hardware or DSP implementations of H.264. In particular all vendors of platforms for mobile high-range phones, smartphones, and tablets support H.264/AVC High Profile encoding and decoding at least 1080p30, but those platforms are

[Page 3]

currently in general not used for low- to mid-range devices. These vendors are Qualcomm, TI, Nvidia, Renesas, Mediatek, Huawei Hisilicon, Intel, Broadcom, Samsung. Those platforms all support H.264/AVC codec with dedicated hardware or DSP. The majority of the implementations also support low-delay real-time applications.

There are also other standards and specifications that support H.264. One notable area is wireless display standards, where H.264 support is pervasive among all the following leading standards:

- o AirPlay (Apple) [AirPlay].
- o WiDi (Intel) [<u>WiDi</u>].
- o Miracast (Wi-Fi Alliance) [Miracast].
- o Google Cast (Google) [GoogleCast].
- o DLNA (Sony) [DLNA].

Regarding software implementations there is a long list of available implementations. Wikipedia provides an illustration of this with their list [Implementations], and more implementations appear, e.g. a royalty-free open source implementation from Polycom including H.264/ SVC support [Woon]. Microsoft has produced an H.264 prototype for use in browsers [CURtcWeb]. Not only are there standalone implementations available, including open source, but in addition recent Windows and Mac OS X versions support H.264 encoding and decoding.

The WebM wiki [WEBM] shows only 3 (out of ~37) ARM SoCs which support VP8 encode and decode. All (~37) support H.264. This only represents a fraction of deployed SoCs. Almost all deployed SoCs, as well as future designs, support H.264 encode and decode, including desktop (Intel x86) chipsets.

The benefits of hardware encoder and decoder implementations typically have an order of magnitude or more performance advantage (e.g., 1080p versus 360p becomes achievable) and power savings (e.g., tens of milliwatts versus many hundreds of milliwatts or even watts are consumed just by the encoder and decoder). While VP8 proponents have argued codec power is not a major concern relative to displays, this neglects the advances in display technology that put the central processor back near the top power consumers.

5. Deployment

[Page 4]

Today, the Internet runs on H.264 for real-time video communications. Though not yet on the web, video communications is in widespread usage on the Internet. It is supported in consumer applications both on the desktop and in mobile apps, provided by many players like Skype and Tango. It is in widespread usage for business communications, in many applications like Webex, Citrix Go-To-Meeting, Tandberg and Polycom telepresence systems, and many more. All of these are in widespread deployment and widespread usage, and are based on H.264.

If we want WebRTC to be successful, we must make sure it is something that can be adopted by the application providers who deploy real-time communications on the Internet. WebRTC needs to be for the developers - the people who are building applications. And a critical target customer base are the ones who are already doing voice and video communications - the ones with the network effect and user bases which need to be tapped to make this technology successful. If WebRTC does not embrace H.264, it will be at the risk of ignoring the needs of one of its most important set of potential adopters - the ones most eager to use it - the ones already in the market for real-time communications.

It may be argued that clients can be upgraded to support any new codec. Opus is mandatory despite no deployment. However, G.711 is also mandatory to ensure broad adoption. Likewise, H.264 should be mandatory to ensure broad video adoption, since it is as widely adopted in video as G.711 in voice. Also, video is more processing intensive than voice, and therefore often implemented in hardware that is not easily upgradeable. Other video systems use desktop software which can also be difficult to broadly upgrade. Still others provide SDKs and toolkits to third parties which cannot easily be upgraded. Others have mobile apps which users cannot be forcefully made to upgrade.

It may be argued that clients must be upgraded anyway to support ICE, DTLS-SRTP and other WebRTC requirements. Some will, some won't. For the latter, application providers will need to build server side gateways. While that adds cost and complexity, the need to transcode video would greatly escalate costs, perhaps making them prohibitive. The CPU cost for transcoding, and the corresponding impact on quality due to recoding and increased delays, are substantially larger compared to just transport-level gateway functions. Perhaps enough to make it impractical at scale.

It may be argued that deployed video systems and applications are insignificant compared to the larger number of web browsers that will support WebRTC. This misses a key point. Real-time communications exists amongst a set of users that can talk to each other, typically

because they are customers of the same service. Skype users can talk to each other. Tango users can talk to each other. There is, to date, relatively little federation for video between these providers, a problem which WebRTC is unlikely to remedy, as its causes have little to do with media stacks, and everything to do with business. Enabling real-time communications in the browser does not immediately create a connected user base that is the size of the web. WebRTC is just a media stack; the namespace is provided by the application provider, as is the size of the communications network to which that user can connect. Existing communications providers greatly value their user bases, and those user bases define the reachable communications network. When viewed in that lens, the most important thing for allowing a WebRTC user to reach a massive network, is enabling WebRTC to be usable by those which have existing networks of users. Of those, many are asking for H.264.

It may be argued that WebRTC should build for the future, and not be constrained by the past. This is reminiscent of the arguments made by those who advocated against IETF doing work on NAT or making NAT friendly protocols. The hope was the same - that IETF could, through standards, dictate the future as we wished it - that by designing protocols which didn't work through NAT, we would force the industry to move away from NAT and embrace IPv6. That strategy failed. The Internet is a living, breathing thing, constantly evolving. Those technologies which are successful are actually those which work for the Internet as it is today, not the Internet as we wish it could be. Those then allow the Internet to take a baby step forward, and from there, another step forward. Successful technologies require consideration for transition, as it is more important than the target. Just like NAT was, and still is, a reality on the Internet today, so too is H.264 a reality of the Internet today. Just like we could not upgrade the routers and switches to eliminate NAT, so too are we unable to upgrade many of the Internet endpoints today to instantly move away from H.264. We should learn from the past and define a WebRTC which can work with the applications in existence today, otherwise we significantly hinder the success and growth of WebRTC.

6. Licensing

6.1. Royalty Free for Innovation, Low-volume Shipments

MPEG-LA released their AVC Patent Portfolio License already in 2004 and in 2010 they announced that H.264 encoded Internet video is free to end users will never be charged royalties [MPEGLA]. Real-time generated content, the content most applicable to WebRTC, was free already from the establishment of the MPEG-LA license [MPEGLA-License]. License fees for products that decode and encode

H.264 video remain though. Those fees [MPEGLA-Terms] are, and will very likely continue to be for the lifetime of MPEG-LA pool, \$0.20 per codec or less.

To paraphrase, the MPEG LA license does allow up to 100K units per year, per legal entity/company (type "a" sublicensees in MPEG LA's definition), to be shipped for zero (\$0) royalty cost. This should be adequate for many WebRTC innovators or start-ups to try out new implementations on a large set of users before incurring any patent royalty costs, a benefit to selecting a H.264/AVC profile as the mandatory codec.

6.2. Higher H.264/AVC Profile Tools Bundled

It should be noted that when one licenses the MPEG LA H.264/AVC pool, patents for higher profile tools - such as CABAC, 8x8 - are bundled in with those required for the Constrained Baseline Profile. Thus, these could optionally be used by WebRTC implementers to achieve even greater performance or efficiencies than using H.264 Constrained Baseline Profile alone.

It can also be noted that for MPEG-LA, since one license covers both an encoder and decoder, there is no additional cost of using an encoder to an implementation that supports decoding of H.264.

6.3. Licensing Stability

H.264 is a mature codec with a mature and well-known licensing model.

It is a well-established fact that not all H.264 right holders are MPEG-LA pool members. H.264 is however an ITU/ISO/IEC international standard, developed under their respective patent policies, and all contributors must license their patents under Reasonable And Non-Discriminatory (RAND) terms. In the field of video coding, most major research groups interested in patents do contribute to the ITU/ ISO/IEC standards process and are therefore bound by those terms.

VP8 is a much younger codec than H.264 and it is fair to say that the licensing situation is less clear than for H.264. Google has provided their patent rights on VP8, including patents owned by 11 patent holders [MpegLaVp8], under a open source friendly license with very restrictive reciprocity conditions.

Recently, VP8 was adopted as Working Draft for Video Coding for Browsers in MPEG, which is the first step in becoming an MPEG standard. As such, it will have to follow the ISO/IEC/ITU common patent policy [IsoIecItuPolicy], but IPR statements cannot be expected there for still some time. There is no guarantee that IPR

[Page 7]

statements in MPEG will be royalty free (option 1), but may just as well be "Fair, Reasonable And Non-Discriminatory" (FRAND, option 2), and potential IPR owners that do not participate in this MPEG work are under no obligation to offer any license at all. This indicates that the licensing situation for VP8 has still not settled.

7. Performance

Comparing video quality is difficult. Practically no modern video encoding method includes any bit-exact encoding where a given (video) input produces a specified encoded output bitstream. Instead, the encoded bitstream syntax and semantics are specified such that a decoder can correctly interpret it and produce a known output. This is true both for H.264 and VP8. Significant freedom is left to the encoder implementation to choose how to represent the encoded video, for example given a specific targeted bitrate. Thus it cannot in general be expected that any encoded video bitstream represents the best possible or most efficient representation, given the defined bitstream syntax elements available to that codec. The actually achieved quality for a certain bitstream, how close it is to the optimally possible with available syntax, at any given bitrate rather depends on the performance of the individual encoder implementation.

Also, not only is the resulting experienced video quality subjective, but also depends on the source material, on the point of operation and a number of other considerations. In addition, performance can be measured vs. bitrate, but also vs. e.g. complexity - and here another can of worms can be opened because complexity depends on hardware used (some platforms have video codec accelerations), SW platform (and how efficient it can use the hardware) and so on. On top of this comes that different implementations can have different performance, and can be operated in different ways (e.g. tradeoffs between complexity and quality can be made). Regardless of how a performance evaluation is carried out it can always be said that it is not "fair". This section nevertheless attempts to shed some light on this subject, and specifically the performance (measured against bitrate) of H.264 compared to VP8.

A number of studies [H264perf1][H264perf2][H264perf3] have been made to compare the compression efficiency performance between H.264 and VP8. These studies show that H.264 is in general performing better than VP8 but the studies are not specifically targeting video conferencing. While constituting an independent test material providing some indications, those tests however do not use exactly the proposed profiles and levels, which calls for performing a set of more targeted tests.

Google made a comparison test between VP8 and H.264 [GooglePSNR], providing a set of test scripts [GoogleScripts]. That test includes the use of rate control for both codecs. We believe this to be a comparison problem since rate control is part of the encoder, which as said above is typically not specified in video codec standards but left up to individual implementations. The quantization parameter (qp) level affects the rate/distortion tradeoff in video coding. Comparing using fixed qp-levels is what has typically been used when benchmarking new codecs, for example when benchmarking HEVC [H265] against H.264 in the JCT-VC [JCT-VC] standardization. We are going to select a codec (essentially bit stream format), not a rate control mechanism; once the codec is selected you can choose whatever rate control mechanism you wish that best suits your specific application. Therefore, we propose to compare the codecs with rate control off, using fixed quantization parameter (qp) levels.

Ericsson made a comparison using Google's published test scripts as baseline and changed the parameter settings in order to make it possible to measure using fixed qp. The focus of that test was to evaluate the best compression efficiency that could be achieved with both codecs since it was believed to be harder to make a fair comparison trying to use complexity constraints. We used the same eleven sequences as in the previous Google test, but limited them to the first 10 seconds since they varied from 10 seconds to minutes; this also eased computation time. The used video resolutions are 640x360 @ 30 fps, 640x480 @ 30 fps, 1280x720 @ 30 fps and 1280x720 @ 50 fps.

We used two H.264 encoder implementations:

- o X264, which is an open-source codec that can operate in everything from real-time to slow
- o JM, which is the (Joint Model) reference implementation that was used to develop H.264, and is very slow but attempts to be very efficient in terms of bits per quality

This is a summary of the results (complete scripts and results available here [<u>H264VP8Tests</u>]):

+-----+ | Resulting bitrate at equivalent | | quality | | Test +----+ | X264 Constrained Baseline vs | H.264 wins with 1% | VP8 | JM Constrained Baseline vs VP8 | H.264 wins with 4% | X264 Constrained High vs VP8 | H.264 wins with 25%

| JM Constrained High vs VP8 | H.264 wins with 24% +-----+

Table 1: Performance Comparison Results

It is interesting to note that the measurements are more stable in this test; the variance of the percentages for the different sequences is now around 70, down from around 700 in Google's test. We believe this is due to the removal of the rate controller, which acts as noise on the measurements.

It can also be noted that the Google method of calculating the rate differences does not give exactly the same numbers as the JCT-VC way of calculating Bjontegaard Delta bitrate (BD-rate) [PSNRdiff]. The main difference is that the JM score for Constrained High in the table above (Table 1) is around 29% better than VP8 if the JCT-VC way of calculating BD-rate is used.

A rough complexity estimate can be obtained from the total running times for the tests:

- o X264: 1 hour 3 minutes
- o VP8: 2 hours 0 minutes
- o JM: An order of magnitude slower

Again, video quality is difficult to compare. The authors however believe that the data provided in this section shows that H.264 Constrained Baseline is at least on par with VP8, while H.264 Constrained High seems to have a clear quality advantage. As a final note, the new H.265/HEVC standard [H265] clearly outperforms all three, but the authors think it is premature to mandate HEVC for WebRTC.

8. Profile/level

H.264/AVC [H264] has a large number of encoding tools, grouped in functionally reasonable toolsets by codec profiles, and a wide range of possible implementation capability and complexity, specified by codec levels. It is typically not reasonable for H.264 encoders and decoders to implement maximum complexity capability for all of the available tools. Thus, any H.264 decoder implementation is typically not able to receive all possible H.264 streams. Which streams can be received is described by what profile and level the decoder conforms to. Any video stream produced by an H.264 encoder must keep within the limits defined by the intended receiving decoder's profile and level to ensure that the video stream can be correctly decoded.

H.264 as Mandatory in WebRTC October 2013

Profiles can be "ranked" in terms of the amount of tools included, such that some profiles with few tools are "lower" than profiles with more tools. However, profiles are typically not strictly supersets or subsets of each other in terms of which tools are used, so a strict ranking cannot be defined. It is also in some cases possible to express compliance to the common subset of tools between two different profiles. This is fairly well described in [RFC6184].

When choosing a Mandatory To Implement codec, it is desirable to use a profile and level that is as widely supported as possible. Therefore, H.264 Constrained Baseline Profile Level 1.2 MUST be supported as Mandatory To Implement video codec. This is possible to support with significant margin in hardware devices (Section 4) and should likely also not cause performance problems for software-only implementations. All Level definitions (Annex A of [H264]) include a maximum framesize in macroblocks (16*16 pixels) as well as a maximum processing requirement in macroblocks per second. That number of macroblocks per second can be almost freely distributed between framesize and framerate. The maximum framesize for Level 1.2 corresponds to 352*288 pixels (CIF). Examples of allowed framesize and framerate combinations for Level 1.2 are CIF (352*288 pixels) at 15 Hz, QVGA (320*240 pixels) at 20 Hz, and QCIF (176*144 pixels) at 60 Hz.

Recognizing that while the above profile and level will likely be possible to implement in any device, it is also likely not sufficient for applications that require higher quality. Therefore, it is RECOMMENDED that devices and implementations that can meet the additional requirements also implement at least H.264 Constrained High Profile Level 1.3, logically extended to support 720p resolution at 30 Hz framerate, but in formal specification text it would have to be expressed as a restriction on a higher level.

Note that the lowest non-extended Level that support 720p30 is Level 3.1, but fully supporting Level 3.1 also requires fairly high bitrate, large buffers, and other encoding parameters included in that Level definition that are likely not reasonable for the targeted communication scenario. This method of extending a lower level in SDP (Section 9) with a smaller set of applicable parameters is fully in line with [RFC6184], and is already used by some video conferencing vendors.

When considering the main WebRTC use case, real-time communication, the lack of need to support interlaced image format in that context, the limited use of bi-predictive (B) pictures, and the added implementation and computation complexity that comes with interlace and B-picture handling suggests that Constrained High Profile should be preferred over High Profile as optional codec. Note also that

while Constrained High Profile is currently less supported in devices than High Profile, any High Profile decoder will be capable of decoding a Constrained High Profile bitstream since it is a subset of High Profile. To make a High Profile encoder support Constrained High Profile encoding, it will have to turn off interlace encoding and turn off the use of bi-prediction.

The below table summarizes the H.264 video encoding features used by Constrained Baseline Profile (CBP) and Constrained High Profile (CHP). For more information on the listed features, see [<u>WikipediaAVC</u>].

+	+ +	++
Feature	CBP	CHP
+	+	++
Bit depth per sample	8	8
Chroma formats	4:2:0	4:2:0
Flexible Macroblock Ordering (FMO)	No	No
Arbitrary Slice Ordering (ASO)	No	No
Redundant Slices	No	No
Data Partitioning	No	No
SI and SP slices	No	No
Interlaced coding	No	No
B slices	No	No
CABAC entropy coding	No	Yes
Monochrome 4:0:0	No	Yes
8x8 vs. 4x4 transform adaptivity	No	Yes
Quantization scaling matrices	No	Yes
Separate color QP control	No	Yes
Separate color plane coding	No	No
Predictive lossless coding	No	No
Weighted prediction	No	Yes
+	+ +	++

9. Negotiation

Given that there exist a fairly large set of defined profiles and levels (Section 8) in the H.264 specification, the probability is rather low that randomly chosen H.264 encoder and decoder implementations have exactly matching capabilities. In any communication scenario, there is therefore a need for a decoder to be able to convey its maximum supported profile and level that the encoder must not exceed.

In addition and depending on the wanted use case and the conditions that apply at a certain communication instance, there may also be a need to describe the currently wanted profile and level at the start

of the communication session, which may be lower than the maximum supported by the implementation. In this scenario it may also be of interest to communicate from the encoder to the decoder both which profile and level that will actually be used and what is the maximum supported profile and level. The reason to communicate not only the starting point but also the maximum assumes that communication conditions may change during the conditions, maybe multiple times, possibly making another profile and level be a more appropriate choice.

Communication of maximum supported profile and level is the only mandatory SDP [<u>RFC4566</u>] parameter in the H.264 payload format [<u>RFC6184</u>], which also includes a large set of optional parameters, describing available use (decoder) and intended use (encoder) of those parameters for a specific offered [<u>RFC3264</u>] stream.

If the above mentioned (<u>Section 8</u>) capability for 720p30 is supported as an extension to Constrained High Profile Level 1.3 (or higher), the logical level extension SHOULD be signaled in SDP using the following parameters as defined in <u>section 8.1 of [RFC6184]</u>:

- o profile-level-id=640c0d (or corresponding to a higher Level of Constrained High profile)
- o max-fs=3600 (or greater)
- o max-mbps=108000 (or greater)
- o max-br=768 (or greater, whatever the device implementation can support)

10. Summary

H.264 is widely adopted and used for a large set of video services. This in turn is because H.264 offers great performance, reasonable licensing terms (and manageable risks). As a consequence of its adoption for many services, a multitude implementations in software and hardware are available. Another result of the widespread adoption is that all associated technologies, such as payload formats, negotiation mechanisms and so on are well defined and standardized. In addition, using H.264 enables interoperability with many other services without video transcoding.

We therefore propose to the WG that H.264 shall be mandatory to implement for all WebRTC endpoints that support video, according to the details described in <u>Section 8</u> and <u>Section 9</u>.

<u>11</u>. IANA Considerations

This document makes no request of IANA.

Note to RFC Editor: this section may be removed on publication as an RFC.

12. Security Considerations

No specific considerations apply to the information in this document.

<u>13</u>. Acknowledgements

All that provided valuable descriptions, comments and insights about the H.264 codec on the IETF mailing lists.

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