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**TLS 1.3 Impact on Network-Based Security**  
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Abstract

Network-based security solutions are used by enterprises, public sector, and cloud service providers today in order to both complement and augment host-based security solutions. TLS 1.3 introduces several changes to TLS 1.2 with a goal to improve the overall security and privacy provided by TLS. However some of these changes have a negative impact on network-based security solutions. While this may be viewed as a feature, there are several real-life use case scenarios that are not easily solved without such network-based security solutions. In this document, we identify the TLS 1.3 changes that may impact network-based security solutions and provide a set of use case scenarios that are not easily solved without such solutions.

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## Table of Contents

<a href="#">1.</a>	<a href="#">Introduction</a>	<a href="#">3</a>
<a href="#">1.1.</a>	<a href="#">Requirements notation</a>	<a href="#">5</a>
<a href="#">2.</a>	<a href="#">TLS 1.3 Change Impact Overview</a>	<a href="#">5</a>
<a href="#">2.1.</a>	<a href="#">Inbound Session Change Impacts</a>	<a href="#">5</a>
<a href="#">2.1.1.</a>	<a href="#">Removal of Static RSA and Diffie-Hellman Cipher Suites</a>	<a href="#">5</a>
<a href="#">2.2.</a>	<a href="#">Outbound Session Change Impacts</a>	<a href="#">5</a>
<a href="#">2.2.1.</a>	<a href="#">Encrypted Server Certificate</a>	<a href="#">5</a>
<a href="#">2.2.2.</a>	<a href="#">Resumption and Pre-Shared Key</a>	<a href="#">6</a>
<a href="#">2.2.3.</a>	<a href="#">Version Negotiation and Downgrade Protection</a>	<a href="#">7</a>
<a href="#">2.2.4.</a>	<a href="#">SNI Encryption in TLS Through Tunneling</a>	<a href="#">8</a>
<a href="#">3.</a>	<a href="#">Inbound Session Use Cases</a>	<a href="#">8</a>
<a href="#">3.1.</a>	<a href="#">Use Case I1 - Data Center Protection</a>	<a href="#">8</a>
<a href="#">3.2.</a>	<a href="#">Use Case I2 - Application Operation over NAT</a>	<a href="#">9</a>
<a href="#">3.3.</a>	<a href="#">Use Case I3 - Compliance</a>	<a href="#">9</a>
<a href="#">3.4.</a>	<a href="#">Use Case I4 - Crypto Security Audit</a>	<a href="#">9</a>
<a href="#">4.</a>	<a href="#">Outbound Session Use Cases</a>	<a href="#">10</a>
<a href="#">4.1.</a>	<a href="#">Use Case O1 - Acceptable Use Policy (AUP)</a>	<a href="#">10</a>
<a href="#">4.2.</a>	<a href="#">Use Case O2 - Malware and Threat Protection</a>	<a href="#">11</a>
<a href="#">4.3.</a>	<a href="#">Use Case O3 - IoT Endpoints</a>	<a href="#">11</a>
<a href="#">4.4.</a>	<a href="#">Use Case O4 - Unpatched Endpoints</a>	<a href="#">11</a>
<a href="#">4.5.</a>	<a href="#">Use Case O5 - Rapid Containment of New Vulnerability and Campaigns</a>	<a href="#">12</a>
<a href="#">4.6.</a>	<a href="#">Use Case O6 - End-of-Life Endpoint</a>	<a href="#">12</a>
<a href="#">4.7.</a>	<a href="#">Use Case O7 - Compliance</a>	<a href="#">12</a>
<a href="#">4.8.</a>	<a href="#">Use Case O8 - Crypto Security Audit</a>	<a href="#">13</a>
<a href="#">5.</a>	<a href="#">IANA considerations</a>	<a href="#">13</a>
<a href="#">6.</a>	<a href="#">Security Considerations</a>	<a href="#">13</a>
<a href="#">7.</a>	<a href="#">Acknowledgements</a>	<a href="#">13</a>
<a href="#">8.</a>	<a href="#">Change Log</a>	<a href="#">13</a>
<a href="#">8.1.</a>	<a href="#">Version -01</a>	<a href="#">13</a>
<a href="#">9.</a>	<a href="#">References</a>	<a href="#">13</a>
<a href="#">9.1.</a>	<a href="#">Normative References</a>	<a href="#">13</a>
<a href="#">9.2.</a>	<a href="#">Informative References</a>	<a href="#">14</a>
	<a href="#">Authors' Addresses</a>	<a href="#">15</a>



## 1. Introduction

Enterprises, public sector, and cloud service providers need to defend their information systems from attacks originating from both inside and outside their networks. Protection and detection are typically done both on end hosts and in the network. Host agents have deep visibility on the devices where they are installed, whereas the network has broader visibility and provides homogenous security controls across heterogeneous endpoints, covering devices for which no host monitoring is available (which is common today and is increasingly so in the Internet of Things). This helps protect against unauthorized devices installed by insiders, and provides a fallback in case the infection of a host disables its security agent. Because of these advantages, network-based security mechanisms are widely used. In fact, regulatory standards such as NERC CIP [NERCCIP] place strong requirements about network perimeter security and its ability to have visibility to provide security information to the security management and control systems. At the same time, the privacy of employees, customers, and other users must be respected by minimizing the collection of personal data and controlling access to what data is collected. These imperatives hold for both end host and network based security monitoring.

Network-based security solutions such as Firewalls (FW) and Intrusion Prevention Systems (IPS) rely on network traffic inspection to implement perimeter-based security policies. Depending on the security functions required, these middleboxes can either be deployed as traffic monitoring devices or active in-line devices. A traffic monitoring middlebox may for example perform vulnerability detection, intrusion detection, crypto audit, compliance monitoring, etc. An active in-line middlebox may for example prevent malware download, block known malicious URLs, enforce use of strong ciphers, stop data exfiltration, etc. A significant portion of such security policies require clear-text traffic inspection above Layer 4, which becomes problematic when traffic is encrypted with Transport Layer Security (TLS) [RFC5246]. Today, network-based security solutions typically address this problem by becoming a man-in-the-middle (MITM) for the TLS session according to one of the following two scenarios:

1. Outbound Session, where the TLS session originates from a client inside the perimeter towards an entity on the outside
2. Inbound Session, where the TLS session originates from a client outside the perimeter towards an entity on the inside

For the outbound session scenario, MITM is enabled by generating a local root certificate and an accompanying (local) public/private key pair. The local root certificate is installed on the inside entities



for which TLS traffic is to be inspected, and the network security device(s) store a copy of the private key. During the TLS handshake, the network security device (hereafter referred to as a TLS proxy) modifies the certificate provided by the (outside) server and (re)signs it with the private key from the local root certificate. From here on, the TLS proxy has visibility into further exchanges between the client and server which enables it to decrypt and inspect subsequent network traffic.

For the inbound session scenario, the TLS proxy is configured with a copy of the local servers' certificate(s) and corresponding private key(s). Based on the server certificate presented, the TLS proxy determines the corresponding private key, which again enables the TLS proxy to gain visibility into further exchanges between the client and server and hence decrypt subsequent network traffic.

To date, there are a number of use case scenarios that rely on the above capabilities when used with TLS 1.2 [[RFC5246](#)] or earlier. TLS 1.3 [[I-D.ietf-tls-tls13](#)] introduces several changes which prevent a number of these use case scenarios from being satisfied with the types of TLS proxy based capabilities that exist today.

It has been noted, that currently deployed TLS proxies (middleboxes) may reduce the security of the TLS connection itself due to a combination of poor implementation and configuration, and they may compromise privacy when decrypting a TLS session. As such, it has been argued that preventing TLS proxies from working should be viewed as a feature of TLS 1.3 and that the proper way of solving these issues is to rely on endpoint (client and server) based solutions instead. We believe this is an overly constrained view of the problem that ignores a number of important real-life use case scenarios that improve the overall security posture. We also note that current endpoint-based TLS proxies suffer from many of the same security issues as the network-based TLS proxies do [[HTTPSintercept](#)].

The purpose of this document is to provide a representative set of \_network based security\_ use case scenarios that are negatively impacted by TLS 1.3 and do not lend themselves to an endpoint-based alternative solution. For each use case scenario, we highlight the specific aspect(s) of TLS 1.3 that makes the use case problematic with a TLS proxy based solution and we explain why an endpoint-based only solution is not considered acceptable.

It should be noted that this document addresses only \_security\_ use cases with a focus on identifying the problematic ones. The document does not offer specific solutions to these as the goal is to stimulate further discussion and explore possible solutions subsequently.



### **1.1. Requirements notation**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#), [BCP 14](#) [[RFC2119](#)].

## **2. TLS 1.3 Change Impact Overview**

To improve its overall security and privacy, TLS 1.3 introduces several changes to TLS 1.2; in doing so, some of the changes present a negative impact on network based security. In this section, we describe those TLS 1.3 changes and briefly outline some scenario impacts. We divide the changes into two groups; those that impact inbound sessions and those that impact outbound sessions.

### **2.1. Inbound Session Change Impacts**

#### **2.1.1. Removal of Static RSA and Diffie-Hellman Cipher Suites**

TLS 1.2 supports static RSA and Diffie-Hellman cipher suites, which enables the server's private key to be shared with server-side middle-boxes. TLS 1.3 has removed support for these cipher suites in favor of ephemeral mode Diffie-Hellman in order to provide perfect forward secrecy (PFS). As a result of this, it is no longer possible for a server to share a key with the middlebox a priori, which in turn implies that the middlebox cannot gain access to the TLS session data.

Example scenarios that are impacted by this include network monitoring, troubleshooting, compliance, etc.

For further details (and a suggested solution), please refer to [[I-D.green-tls-static-dh-in-tls13](#)].

### **2.2. Outbound Session Change Impacts**

#### **2.2.1. Encrypted Server Certificate**

In TLS 1.2, the ClientHello message is sent to the server's transport address (IP and port). The ClientHello message may include the Server Name Indication (SNI) to specify the actual server the client wishes to contact. This is useful when multiple "virtual servers" are hosted on a given transport address (IP and port). It also provides information about the domain the client is attempting to reach.





The server replies with a ServerHello message, which contains the selected connection parameters, followed by a Certificate message, which contains the server's certificate and hence its identity.

In TLS 1.2, the ClientHello, ServerHello and Certificate messages are all sent in clear-text, however in TLS 1.3, the Certificate message is encrypted thereby hiding the server identity from any intermediary. Note that even *if* the SNI is provided (in cleartext) by the client, there is no guarantee that the actual server responding is the one indicated in the SNI from the client.

Example scenarios that are impacted by this involve selective network security, such as whitelists or blacklists based on security intelligence, regulatory requirements, categories (e.g. financial services), etc. An added challenge is that some of these scenarios require the middlebox to perform inspection, whereas other scenarios require the middlebox to *not* perform inspection. Note that the use cases cited here assume a conformant client and server that are not actively trying to evade the middlebox inspection. A non-conformant client and server that collude can always evade middlebox inspection if the middlebox policy is based on information provided by the client or server, such as SNI or certificate.

#### **2.2.2. Resumption and Pre-Shared Key**

In TLS 1.2 and below, session resumption is provided by "session IDs" and "session tickets" [[RFC5077](#)]. If the server does not want to honor a ticket, then it can simply initiate a full TLS handshake with the client as usual.

In TLS 1.3, the above mechanism is replaced by Pre-Shared Keys (PSK), which can be negotiated as part of an initial handshake and then used in a subsequent handshake to perform resumption using the PSK. TLS 1.3 states that the client *SHOULD* include a "key\_share" extension to enable the server to decline resumption and fall back to a full handshake, however it is not an absolute requirement.

Example scenarios that are impacted by this are middleboxes that were not part of the initial handshake, and hence do not know the PSK. If the client does not include the "key\_share" extension, the middlebox cannot force a fallback to the full handshake. If the middlebox policy requires it to inspect the session, it will have to fail the connection instead.

Note that in practice though, it is unlikely that clients using session resumption will not allow for fallback to a full handshake since the server may treat a ticket as valid for a shorter period of time than what is stated in the ticket\_lifetime [[I-D.ietf-tls-tls13](#)].



As long as the client advertises a supported DH group, the server (or middlebox) can always send a HelloRetryRequest to force the client to send a key\_share and hence a full handshake.

Clients that truly only support PSK mode of operation (provisioned out of band) will of course not negotiate a new key, however that is not a change in TLS 1.3.

### **2.2.3. Version Negotiation and Downgrade Protection**

In TLS, the ClientHello message includes a list of supported protocol versions. The server will select the highest supported version and indicate its choice in the ServerHello message.

TLS 1.3 changes the way in which version negotiation is performed. The ClientHello message will indicate TLS version 1.3 in the new "supported\_versions" extension, however for backwards compatibility with TLS 1.2, the ClientHello message will indicate TLS version 1.2 in the "legacy\_version" field. A TLS 1.3 server will recognize that TLS 1.3 is being negotiated, whereas a TLS 1.2 server will simply see a TLS 1.2 ClientHello and proceed with TLS 1.2 negotiation.

In TLS 1.3, the random value in the ServerHello message includes a special value in the last eight bytes when the server negotiates either TLS 1.2 or TLS 1.1 and below. The special value(s) enable a TLS 1.3 client to detect an active attacker launching a downgrade attack when the client did indeed reach a TLS 1.3 server, provided ephemeral ciphers are being used.

From a network security point of view, the primary impact is that TLS 1.3 requires the TLS proxy to be an active man-in-the-middle from the start of the handshake. However, a middlebox that supports only TLS 1.2 may let the initial TLS 1.3 ClientHello proceed resulting in a TLS 1.3 ServerHello from the server, which the middlebox does not support. Depending on the policy configured, the TLS proxy may reject the session at that point.

If the TLS 1.2 middlebox takes on an active role in the handshake from the start, then the middlebox effectively proxies between a client-to-middlebox and a middlebox-to-server TLS connection, in which case the handshake succeeds (but as TLS 1.2). Note that due to downgrade protection, the proxy cannot simply downgrade the TLS version to 1.2 in the ClientHello, which would enable it to disengage from the handshake later.



#### **2.2.4. SNI Encryption in TLS Through Tunneling**

As noted above, with server certificates encrypted, the Server Name Indication (SNI) in the ClientHello message is the only information available in cleartext to indicate the client's targeted server, and the actual server reached may differ.

[I-D.ietf-tls-sni-encryption] proposes to hide the SNI in the ClientHello from middleboxes.

Example scenarios that are impacted by this involve selective network security, such as whitelists or blacklists based on security intelligence, regulatory requirements, categories (e.g. financial services), etc. An added challenge is that some of these scenarios require the middlebox to perform inspection, whereas other scenarios require the middlebox to not perform inspection, however without the SNI, the middlebox may not have the information required to determine the actual scenario before it is too late.

### **3. Inbound Session Use Cases**

In this section we explain how a set of inbound real-life inbound use case scenarios are affected by some of the TLS 1.3 changes.

#### **3.1. Use Case I1 - Data Center Protection**

Services deployed in the data center may be offered for access by external and untrusted hosts. Network security functions such as IPS and Web Application Firewall (WAF) are deployed to monitor and control the transactions to these services. While an Application level load balancer is not a security function strictly speaking, it is also an important function that resides in front of these services

These network security functions are usually deployed in two modes: monitoring and inline. In either case, they need to access the L7 and application data such as HTTP transactions which could be protected by TLS encryption. They may monitor the TLS handshakes for additional visibility and control.

The TLS handshake monitoring function will be impacted by TLS 1.3.

For additional considerations on this scenario, see also [\[I-D.green-tls-static-dh-in-tls13\]](#).



### **3.2. Use Case I2 - Application Operation over NAT**

The Network Address Translation (NAT) function translates L3 and L4 addresses and ports as the packet traverses the network device. Sophisticated NAT devices may also implement application inspection engines to correct L3/L4 data embedded in the control messages (e.g., FTP control message, SIP signaling messages) so that they are consistent with the outer L3/L4 headers.

Without the correction, the secondary data (FTP) or media (SIP) connections will likely reach a wrong destination.

The embedded address and port correction operation requires access to the L7 payload which could be protected by encryption.

While TLS 1.3 will not prevent the middlebox from performing this function, once a proxy function is established, the middlebox is not able to remove itself from the packet path for the particular session.

### **3.3. Use Case I3 - Compliance**

Many regulations exist today that include cyber security requirements requiring close inspection of the information traversing through the network. For example, organizations that require PCI-DSS [[PCI-DSS](#)] compliance must provide the ability to regularly monitor the network to prevent, detect and minimize impact of a data compromise. [[PCI-DSS](#)] Requirement #2 (and [Appendix A2](#) as it concerns TLS) describes the need to be able to detect protocol and protocol usage correctness. Further, [[PCI-DSS](#)] Requirement #10 detailing monitoring capabilities also describe the need to provide network-based audit to ensure that the protocols and configurations are properly used.

Deployments today still use factory or default credentials and settings that must be observed, and to meet regulatory compliance, must be audited, logged and reported. As the server (certificate) credential is now encrypted in TLS 1.3, the ability to verify the appropriate (or compliant) use of these credentials are lost, unless the middlebox always becomes an active MITM.

### **3.4. Use Case I4 - Crypto Security Audit**

Organizations may have policies around acceptable ciphers and certificates on their servers. Examples include no use of self-signed certificates, black or white-list Certificate Authority, etc. In TLS 1.2, the Certificate message was sent in clear-text, however in TLS 1.3 the message is encrypted thereby preventing either a





network-based audit or policy enforcement around acceptable server certificates.

While the audits and policy enforcements could in theory be done on the servers themselves, the premise of the use case is that not all servers are configured correctly and hence such an approach is unlikely to work in practice. A common example where this occurs includes lab servers.

#### **4. Outbound Session Use Cases**

In this section we explain how a set of real-life outbound session use case scenarios are affected by some of the TLS 1.3 changes.

##### **4.1. Use Case 01 - Acceptable Use Policy (AUP)**

Enterprises deploy security devices to enforce Acceptable Use Policy (AUP) according to the IT and workplace policies. The security devices, such as firewall/next-gen firewall, web proxy and an application on the endpoints, act as middleboxes to scan traffic in the enterprise network for policy enforcement.

Sample AUP policies are:

- o "Employees are not allowed to access 'gaming' websites from enterprise network"
- o "Temporary workers are not allowed to use enterprise network to upload video clips to Internet, but are allowed to watch video clips"

Such enforcements are accomplished by controlling the DNS transactions and HTTP transactions. A coarse control is achieved by controlling the DNS response (which itself may be protected by TLS), however, in many cases, granular control is required at HTTP URL or Method levels, to distinguish a specific web page on a hosting site, or to differentiate between uploading and downloading operations.

The security device requires access to plain text HTTP header for granular AUP control. For traffic passing the AUP check, the middlebox is also required to cut through the rest of the traffic without decryption, for performance and privacy reasons.

The cut-through action is possible with up to TLS 1.2 but will not work with TLS 1.3.



#### **4.2. Use Case 02 - Malware and Threat Protection**

Enterprises adopt a multi-technology approach when it comes to malware and threat protection for the network assets. This includes solutions deployed on the endpoint, network and cloud.

While an endpoint application based solution may be effective in protecting from malware and virus attacks, enterprises prefer to deploy multiple technologies for a multi-layer protection. Network based solutions provide such additional protection with the benefit of rapid and centralized updates.

The network based solutions comprise security devices and applications that scan network traffic for the purpose from malware signatures to 0-day analysis.

The security functions require access to clear text HTTP or other application level streams on a needed basis. After a successful scan the traffic should be allowed to flow through without being decrypted continuously. This is not possible with TLS 1.3.

#### **4.3. Use Case 03 - IoT Endpoints**

As the Internet of Everything continues to evolve, more and more endpoints become connected to the Internet. From a security point of view, some of the challenges presented by these are:

- o Constrained devices with limited resources (CPU, memory, etc.)
- o Lack of ability to install and update endpoint protection software.
- o Lack of software updates as new vulnerabilities are discovered.

In short, the security posture of such devices is expected to be weak, especially as they get older, and the only way to improve this posture is to supplement them with a network-based solution. This in turn requires a MITM, and with TLS 1.3, this implies the MITM must be present at all times since the TLS 1.3 proxy must engage during the ClientHello and cannot disengage subsequently.

#### **4.4. Use Case 04 - Unpatched Endpoints**

New vulnerabilities appear constantly and in spite of many advances in recent years in terms of automated software updates, especially in reaction to security vulnerabilities, the reality is that a very large number of endpoints continue to run versions of software with known vulnerabilities.



In theory, these endpoints should of course be patched, but in practice, it is often not done which leaves the endpoint open to the vulnerability in question. A network-based security solution can look for attempted exploits of such vulnerabilities and stop them before they reach the unpatched endpoint.

With TLS 1.3, the network-based security solution must act as a MITM at all times, since it must engage during the ClientHello and cannot disengage subsequently.

#### **4.5. Use Case 05 - Rapid Containment of New Vulnerability and Campaigns**

When a new vulnerability is discovered or an attack campaign is launched, it is important to patch the vulnerability or contain the campaign as quickly as possible. Patches however are not always available immediately, and even when they are, most endpoints are in practice not patched immediately, which leaves them open to the attack.

A network-based security solution can look for attempted exploits of such new vulnerabilities or recognize an attack being launched based on security intelligence related to the campaign and stop them before they reach the vulnerable endpoint.

With TLS 1.3, the network-based security solution must act as a MITM at all times, since it must engage during the ClientHello and cannot disengage subsequently.

#### **4.6. Use Case 06 - End-of-Life Endpoint**

Older endpoints (and in some cases even new ones) will not receive any software updates. As new vulnerabilities inevitably are discovered, these endpoints will be vulnerable to exploits.

A network-based security solution can help prevent such exploits. With TLS 1.3, the network-based security solution must act as a MITM at all times, since it must engage during the ClientHello and cannot disengage subsequently.

#### **4.7. Use Case 07 - Compliance**

This use case is similar to the inbound compliance use case described in [Section 3.3](#), except its from the client point of view.

Again, the only way to satisfy the use case scenario around network-based audit is for the middlebox to always become an active MITM.



#### **4.8. Use Case 08 - Crypto Security Audit**

This is a variation of the use case in [Section 3.4](#).

Organizations may have policies around acceptable ciphers and certificates for client sessions, possibly based on the destination. Examples include no use of self-signed certificates, black or white-list Certificate Authority, etc. In TLS 1.2, the Certificate message was sent in clear-text, however in TLS 1.3 the message is encrypted thereby preventing either a network-based audit or policy enforcement around acceptable server certificates.

While the audits and policy enforcements could in theory be done on the clients themselves, not all clients are configured correctly and may not even be directly under configuration control of the organization in question (e.g. due to Bring Your Own Device).

#### **5. IANA considerations**

This document does not include IANA considerations.

#### **6. Security Considerations**

This document describes existing functionality and use case scenarios and as such does not introduce any new security considerations.

#### **7. Acknowledgements**

Eric Rescorla provided several comments on technical accuracy and middlebox security implications.

#### **8. Change Log**

##### **8.1. Version -01**

Updates based on comments from Eric Rescorla.

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