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http2 window size setting
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Abstract

This document proposed the minimum value setting mechanism for HTTP2.0 Window and Window_update, and a Window_update frame sending mechanism, used to solve the gap caused by the inconsistency of the minimum value.

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[1.](#) Introduction

The following content is from [RFC 7540](#)[[RFC7540](#)]

Both endpoints can adjust the initial window size for new streams by including a value for SETTINGS_INITIAL_WINDOW_SIZE in the SETTINGS frame that forms part of the connection preface. The connection flow-control window can only be changed using WINDOW_UPDATE frames.

SETTINGS_INITIAL_WINDOW_SIZE (0x4): Indicates the sender's initial window size (in octets) for stream-level flow control. The initial value is $2^{16}-1$ (65,535) octets. Only DATA frames are subject to flow control.

HTTP/2 defines only the format and semantics of WINDOW_UPDATE frames, and does not specify how the receiver decides when to send frames, what values to send, or how the sender chooses to send packets. And [RFC 7540](#) just Specifies the maximum value of Window and the Window Size Increment, But there is no obvious rule about minimum values. The implementation can choose any algorithm that meets the requirements, this makes the difference.

In the current network, there is no standard minimum setting, which leads to the inconsistency of message processing between communication parties, which may led to the situation that the message will be disdetermined as an attack by the recipient, actually frequent window_UPDATE frames can result in a denial of service. In draft [draft-ietf-httpbis-02 section 10.5](#) also give some Denial-of-Service considerantions.

2. Terminology

The readers should be familiar with the terms defined in.

In addition, this document makes use of the following terms:

Window_update: The WINDOW_UPDATE frame (type=0x8) is used to implement flow control;

SETTING The SETTING frame (type=0x4) is used to transmitting configuration informations which will affect the communication process of the data stream.

3. Setting minimum window size

The parameter of Setting frame in [RFC 7540](#) does not have the function of Setting the minimum window size. This chapter proposes to add this new parameter for Setting. The SETTINGS frame (type=0x4) conveys configuration parameters that affect how endpoints communicate, such as preferences and constraints on peer behavior. The SETTINGS frame is also used to acknowledge the receipt of those parameters.

3.1. Setting new parameter

The following new parameter is defined.

SETTINGS_MINIMUM_WINDOW_SIZE(0x7): Indicates the minimum window size set by the sender. Allows the sender to inform the remote endpoint of the minimum window size. For example, when set to 128 Bytes, the minimum window size is 128 Bytes.

If the sender sends the last Data frame and the Window decreases to less than the minimum Window, it will stop sending Data frame until it receives window_UPDATE frame to increase the Window, and the modified Window value is greater than the minimum set Window, then it can start sending Data frame again. Note that this is more detail than [RFC7540](#) discribed, where Data frames can be sent as long as the Window value is greater than zero.

3.2. new parameter setup process

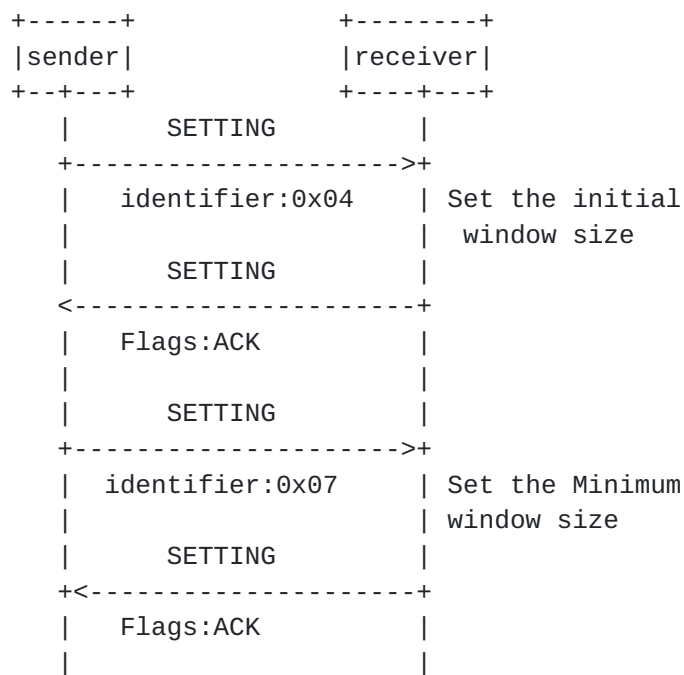


Figure 1: the process of setting window size

First, set the initial window size with the identifier `SETTINGS_INITIAL_WINDOW_SIZE` (0x4), values above the maximum flow-control window size of $2^{31}-1$ MUST be treated as a connection error of type `FLOW_CONTROL_ERROR`. An ACK is received to indicate that the setup is complete.

Then, set the minimum window size with the identifier `SETTINGS_MINIMUM_WINDOW_SIZE` (0x7), ACK is received to indicate that the minimum window size setup is complete. A `FLOW_CONTROL_ERROR` error is thrown when the following `SETTINGS_MINIMUM_WINDOW_SIZE` set in the Setting frame is below the negotiated initial minimum value.

4. Setting minimum window update size

The `WINDOW_UPDATE` frame (type=0x8) is used to implement flow control. The payload of a `WINDOW_UPDATE` frame is one reserved bit plus an unsigned 31-bit integer indicating the number of octets that the sender can transmit in addition to the existing flow-control window. the unsigned 31-bit integer is knew as Window Size Increment and the Size range is $(1, 2^{31}-1)$, that means the default minimum is 1. So this could lead to a problem, frequent sending of `WINDOW_UPDATE` frames with small value of Window Size Increment (such as 1 byte) will result in the consumption of computing and network resources, and in some cases can even trigger a denial of service attack.

We propose to add new parameter of SETTING frame for Implementation that set a minimum update window value, It's actually the Window Size Increment.

4.1. Setting new parameter

The following new parameter is defined.

SETTINGS_MINIMUM_WINDOW_UPDATE(0x8):Indicates that the sender has set the minimum window_UPDATE update size. For example, when set to 128 Bytes, the minimum window update size is 128 Bytes.

If the buffering data processed by receiver at one time is less than the minimum window update value, it needs to accumulate to the minimum value before sending Window_update once to update the traffic window.

4.2. new parameter setup process

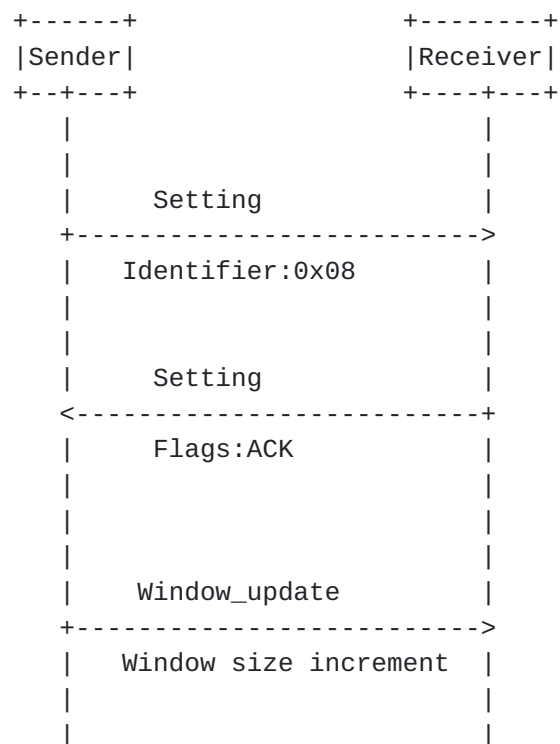


Figure 2: the process of setting window_update size

First, set the minimum window_update size with the identifier SETTINGS_MINIMUM_WINDOW_UPDATE(0x8), An ACK is received to indicate that the setup is complete. Minimum window_update policy can only be enabled if SETTINGS_MINIMUM_WINDOW_UPDATE is set.

Then, only when the cumulative amount of processing is greater than the value of SETTINGS_MINIMUM_WINDOW_UPDATE, can an window_update frame be sent which will inform the peer to increase the window value. When the following Window Size Increment value in a Window_update frame is less than the set negociative initial minimum, a FRAME_SIZE_ERROR error is thrown.

5. Security Considerations

It solves the attack problem caused by the failure to set the minimum value of window and window update frame, such as CVE-2019-9511, and avoids the link congestion caused by small incremental update.

6. IANA Considerations

This document does not require any action from IANA.

7. Acknowledgement

TBD

8. Informative References

[RFC7540] Belshe, M., Peon, R., and M. Thomson, Ed., "Hypertext Transfer Protocol Version 2 (HTTP/2)", [RFC 7540](#), DOI 10.17487/RFC7540, May 2015, <<https://www.rfc-editor.org/info/rfc7540>>.

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