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EDITOR NOTES: The above text is the consensus from the IESG meeting regarding this document. Note that the <RFC-no> must be updated. The text below has been kept for completeness.

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<Claise, Aitken, Ben-Dvora> Expires Jan 8 2013

[Page 1]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

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Internet-Draft <Export of App. Info. in IPFIX > July 2012

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Abstract

This document specifies an Cisco Systems extension to the IPFIX information model specified in [[RFC5102](http://tools.ietf.org/html/rfc5102)] to export application information.

Conventions used in this document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](http://tools.ietf.org/html/rfc2119) [[RFC2119](http://tools.ietf.org/html/rfc2119)].

Internet-Draft <Export of App. Info. in IPFIX > July 2012

Table of Contents

1.	Overview.....	5
1.1.	IPFIX Documents Overview.....	5
2.	Introduction.....	6
2.1.	Application Information Use Cases.....	8
3.	Terminology.....	8
3.1.	New Terminology.....	9
4.	applicationId Information Element Specification.....	9
4.1.	Existing Classification Engine IDs.....	10
4.2.	Selector ID Length per Classification IDs.....	14
4.3.	Application Name Options Template Record.....	15
4.4.	Resolving IANA L4 Port Discrepancies.....	16
5.	Grouping the Applications with the Attributes.....	16
5.1.	Options Template Record for the Attribute Values... 	18
6.	Application Id Examples.....	18
6.1.	Example 1: Layer 2 Protocol.....	19
6.2.	Example 2: Standardized IANA Layer 3 Protocol.....	20
6.3.	Example 3: Proprietary Layer 3 Protocol.....	21
6.4.	Example 4: Standardized IANA Layer 4 Port.....	22
6.5.	Example 5: Layer 7 Application.....	23
6.6.	Example 6: Layer 7 Application with Private Enterprise Number (PEN).....	24
6.7.	Example: port Obfuscation.....	26
6.8.	Example: Application Name Mapping Options Template.	27
6.9.	Example: Attributes Values Options Template Record.	28
7.	IANA Considerations.....	29
7.1.	New Information Elements.....	29
7.1.1.	applicationDescription.....	30
7.1.2.	applicationId.....	30

7.1.3.	applicationName.....	30
7.1.4.	classificationEngineId.....	30
7.1.5.	applicationCategoryName.....	33
7.1.6.	applicationSubCategoryName.....	33
7.1.7.	applicationGroupName.....	34
7.1.8.	p2pTechnology.....	34
7.1.9.	tunnelTechnology.....	34
7.1.10.	encryptedTechnology.....	34
7.2.	Classification Engine Ids Registry.....	35
8.	Security Considerations.....	35
9.	References.....	36
9.1.	Normative References.....	36
9.2.	Informative References.....	36
10.	Acknowledgement.....	38
11.	Authors' Addresses.....	39

Internet-Draft <Export of App. Info. in IPFIX > July 2012

Appendix A.	Additions to XML Specification of IPFIX Information Elements (non normative).....	39
Appendix B.	Port Collisions Tables (non normative).....	45
Appendix C.	Application Registry Example (non normative).....	49

List of Figures and Tables

Figure 1:	applicationId Information Element	9
Table 1:	Existing Classification Engine IDs	13
Table 2:	Selector ID default length per Classification Engine ID	14
Table 3:	Application Id Static Attributes	18
Table 4:	Different Protocols on UDP and TCP	47
Table 5:	Different Protocols on SCTP and TCP	49

[1.](#) Overview

[1.1.](#) IPFIX Documents Overview

The IPFIX Protocol [[RFC5101](#)] provides network administrators with access to IP Flow information.

The architecture for the export of measured IP Flow information out of an IPFIX Exporting Process to a Collecting Process is defined in the IPFIX Architecture [[RFC5470](#)], per the requirements defined in [RFC 3917](#) [[RFC3917](#)].

The IPFIX Architecture [[RFC5470](#)] specifies how IPFIX Data Records and Templates are carried via a congestion-aware transport protocol from IPFIX Exporting Processes to IPFIX Collecting Processes.

IPFIX has a formal description of IPFIX Information Elements, their name, type and additional semantic information, as specified in the IPFIX information model [[RFC5102](#)].

In order to gain a level of confidence in the IPFIX implementation, probe the conformity and robustness, and allow interoperability, the Guidelines for IPFIX Testing

Internet-Draft <Export of App. Info. in IPFIX > July 2012

[RFC5471] presents a list of tests for implementers of compliant Exporting Processes and Collecting Processes.

The Bidirectional Flow Export [[RFC5103](#)] specifies a method for exporting bidirectional flow (biflow) information using the IP Flow Information Export (IPFIX) protocol, representing each Biflow using a single Flow Record.

The "Reducing Redundancy in IP Flow Information Export (IPFIX) and Packet Sampling (PSAMP) Reports" [[RFC5473](#)] specifies a bandwidth saving method for exporting Flow or packet information, by separating information common to several Flow Records from information specific to an individual Flow Record: common Flow information is exported only once.

[2](#). Introduction

Today service providers and network administrators are looking for visibility into the packet content rather than just the packet header. Some network devices Metering

Processes inspect the packet content and identify the applications that are utilizing the network traffic. Applications in this context are defined as networking protocols used by networking processes that exchange packets between them (such as web applications, peer to peer applications, file transfer, e-mail applications, etc.). Applications can be further characterized by other criteria, some of which are application specific. Examples include: web application to a specific domain, per user specific traffic, a video application with a specific codec, etc...

The application identification is based on several different methods or even a combination of methods:

1. L2 (Layer 2) protocols (such as ARP (Address Resolution Protocol), PPP (Point-to-Point Protocol), LLDP (Link Layer Discovery Protocol))
2. IP protocols (such as ICMP (Internet Control Message Protocol), IGMP (Internet Group Management Protocol), GRE (Generic Routing Encapsulation))
3. TCP or UDP ports (such as HTTP, Telnet, FTP)
4. Application layer header (of the application to be identified)
5. Packet data content
6. Packets and traffic behavior

Internet-Draft <Export of App. Info. in IPFIX > July 2012

The exact application identification methods are part of the Metering Process internals that aim to provide an accurate identification and minimize false identification. This task requires a sophisticated Metering Process since the protocols do not behave in a standard manner.

1. Applications use port obfuscation where the application runs on different port than the IANA assigned one. For example an HTTP server might run a TCP port 23 (assigned to telnet in [IANA-PORTS])
2. IANA port registries do not accurately reflect how certain ports are "commonly" used today. Some ports are reserved, but the application either never became prevalent or is not in use today.

3. The application behavior and identification logic become more and more complex

For that reason, such Metering Processes usually detect applications based on multiple mechanisms in parallel. Detection based only on port matching might wrongly identify the application. If the Metering Process is capable of detecting applications more accurately, it is considered to be stronger and more accurate.

Similarly, a reporting mechanism that uses L4 port based applications only, such as L4:<known port>, would have similar issues. The reporting system should be capable of reporting the applications classified using all types of mechanisms. In particular applications that do not have any IANA port definition. While a mechanism to export application information should be defined, the L4 port being used must be exported using the destination port (destinationTransportPort at [\[IANA-IPFIX\]](#)) in the corresponding IPFIX record.

This document specifies the Cisco Systems application information encoding (as described in [section 4.](#)) to export the application information with the IPFIX protocol [\[RFC5101\]](#).

Applications could be identified at different OSI layers, from layer 2 to layer 7. For example: Link Layer

Distribution Protocol (LLDP) [\[LLDP\]](#) can be identified in layer 2, ICMP can be identified in layer 3 [\[IANA-PROTO\]](#), HTTP can be identified in layer 4 [\[IANA-PORTS\]](#), and Webex can be identified in layer 7.

While an ideal solution would be an IANA registry for applications above (or inside the payload of) the well-known ports [\[IANA-PORTS\]](#), this solution is not always possible. Indeed, the specifications for some applications embedded in the payload are not available. Some reverse engineering as well as a ubiquitous language for application identification, would be required conditions to

be able to manage an IANA registry for these types of applications. Clearly, these are blocking factors.

This document specifies the Cisco Systems application information encoding. However, the layer 7 application registry values are out of scope of this document.

[2.1.](#) Application Information Use Cases

There are several use cases for application information:

1. Application Visibility

This is one of the main cases for using the application information. Network administrators are using application visibility to understand the main network consumers, network trends and user behavior.

2. Security Functions

Application knowledge is sometimes used in security functions in order to provide comprehensive functions such as Application based firewall, URL filtering, parental control, intrusion detection, etc.

All of the above use cases require exporting application information to provide the network function itself or to log the network function operation.

[3.](#) Terminology

IPFIX-specific terminology used in this document is defined in [Section 2](#) of the IPFIX protocol specification [[RFC5101](#)].

As in [[RFC5101](#)], these IPFIX-specific terms have the first letter of a word capitalized when used in this document.

[3.1.](#) New Terminology

Application Id

A unique identifier for an application.

When an application is detected, the most granular application is encoded in the Application Id.

4. applicationId Information Element Specification

This document specifies the applicationId Information Element, which is a single field composed of two parts:

1. 8 bits of Classification Engine ID. The Classification Engine can be considered as a specific registry for application assignments.
2. m bits of Selector ID. The Selector ID length varies depending on the Classification Engine ID.

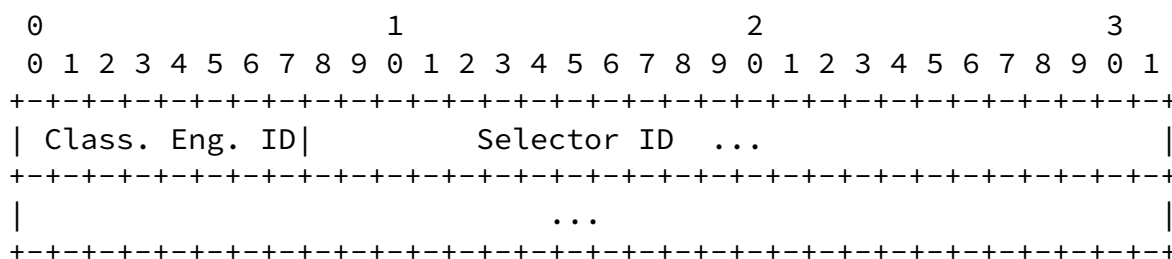


Figure 1: applicationId Information Element

Classification Engine ID

A unique identifier for the engine which determined the Selector ID. Thus the Classification Engine ID defines the context for the Selector ID.

A unique identifier of the application for a specific Classification Engine ID. Note that the Selector ID length varies depending on the Classification Engine ID.

The Selector ID term is similar in concepts with the selectorId Information Element, specified in the PSAMP Protocol [[RFC5476](#)][RFC5477].

[4.1](#). Existing Classification Engine IDs

The following Classification Engine IDs have been allocated:

Name	Value	Description
	0	Invalid.
IANA-L3	1	The Assigned Internet Protocol Number (layer 3 (L3)) is exported in the Selector ID. See [IANA-PROTO].
PANA-L3	2	Proprietary layer 3 definition. An enterprise can export its own layer 3 protocol numbers. The Selector ID has a global significance for all devices from the same enterprise.
IANA-L4	3	The IANA layer 4 (L4) well-known port number is exported in the Selector ID. See [IANA-PORTS]. Note: as an IPFIX flow is unidirectional, it contains the destination port in a flow from the client to the server.
PANA-L4	4	Proprietary layer 4 definition. An enterprise can export its own layer 4 port numbers. The Selector ID has global significance for devices from the

same enterprise. Example: IPFIX had the port 4739 pre-assigned in the IETF draft for years. While waiting for the RFC and its associated IANA registration, the Selector ID 4739 was used with this PANA-L4.

	5	Reserved.
USER-Defined	6	The Selector ID represents applications defined by the user (using CLI, GUI, etc.) based on the methods described in section 2 . The Selector ID has a local significance per device.
	7	Reserved.
	8	Reserved.
	9	Reserved.
	10	Reserved.
	11	Reserved.
PANA-L2	12	Proprietary layer 2 (L2) definition. An enterprise can export its own layer 2 identifiers. The Selector ID represents the enterprise's unique global layer 2 applications. The Selector ID has a global significance for all devices from the same enterprise. Examples include Cisco Subnetwork Access Protocol (SNAP).
PANA-L7	13	Proprietary layer 7 definition. The Selector ID represents the enterprise's unique global ID for the layer 7 applications. The Selector ID has a global significance for all devices from the same enterprise. This Classification Engine Id is used

Internet-Draft <Export of App. Info. in IPFIX > July 2012

when the application registry is owned by the Exporter manufacturer (referred to as the "enterprise" in this document).

14 Reserved.

15 Reserved.

16 Reserved.

17 Reserved.

ETHERTYPE 18 The Selector ID represents the well-known Ethertype. See [[ETHERTYPE](#)]. Note that the Ethertype is usually expressed in hexadecimal. However, the corresponding decimal value is used in this Selector ID.

LLC 19 The Selector ID represents the well-known IEEE 802.2 Link Layer Control (LLC) Destination Service Access Point (DSAP). See [[LLC](#)]. Note that LLC DSAP is usually expressed in hexadecimal. However, the corresponding decimal value is used in this Selector ID.

PANA-L7-PEN 20 Proprietary layer 7 definition, including a Private Enterprise Number (PEN) [[PEN](#)] to identify that the application registry being used is not owned by the Exporter manufacturer (referred to as the "enterprise" in this document, and identified by the PEN), or to identify the original enterprise in the case of a mediator or 3rd party device. The

Selector ID represents the enterprise unique global ID for the layer 7 applications. The Selector ID has a global significance for all devices from

Internet-Draft <Export of App. Info. in IPFIX > July 2012

the same enterprise.

21 to
255 Available (255 is the maximum
Engine ID)

Table 1: Existing Classification Engine IDs

"PANA = Proprietary Assigned Number Authority". In other words, an enterprise specific version of IANA for internal IDs.

The PANA-L7 Classification Engine ID SHOULD be used when the application registry is owned by the Exporter manufacturer, referred to as the "enterprise" in this document, and identified by the PEN. Even if the application registry is owned by the Exporter manufacturer, the PANA-L7-PEN MAY be used, specifying the manufacturer.

The mechanism for the Collector to know about Exporter PEN is out of scope of this document. Possible tracks are: SNMP polling, an Options Template export, hardcoded value, etc.

An Exporter may classify the application according to another vendor's application registry. E.g., an IPFIX Mediator [[RFC6183](#)] may need to re-export applications received from different Exporters using different PANA-L7 application registries. For example, X's IPFIX Mediator aggregates traffic from some Exporters which report enterprise Y applications and other Exporters which report enterprise Z applications. Or, X's device implements enterprise Y's application classifications. In these cases, the PANA-L7-PEN Classification Engine MUST be used, which allows the original enterprise ID to be reported. The ID of the enterprise which defined the

application ID is identified by the enterprise's PEN. An example is displayed in [section 6.6](#).

Note that the the PANA-L7 Classification Engine ID is also used for resolving IANA L4 port Discrepancies (see [Section 4.4](#))

The list in table 1 is maintained by IANA thanks to the registry within the classificationEngineId Information Element. See the "IANA Considerations" section. The

Internet-Draft <Export of App. Info. in IPFIX > July 2012

Classification Engine Id is part of the Application Id encoding, so the classificationEngineId Information Element is currently not required by the specifications in this document. However, this Information Element was created for completeness, as it was anticipated that this Information Element will be required in the future.

[4.2](#). Selector ID Length per Classification IDs

As the Selector Id part of the Application Id is variable based on the Classification Engine ID value, the applicationId SHOULD be encoded in a variable-length Information Element [[RFC5101](#)] for the IPFIX export.

The following table displays the Selector ID default length for the different Classification Engine IDs.

Classification Engine ID Name	Selector ID default length (in bytes)
IANA-L3	1
PANA-L3	1
IANA-L4	2
PANA-L4	2
USER-Defined	3
PANA-L2	5

PANA-L7	3
ETHERTYPE	2
LLC	1
PANA-L7-PEN	3 (*)

Table 2: Selector ID default length
per Classification Engine ID

(*) There is an extra 4 bytes for the PEN. However, the PEN is not considered part of the Selector ID.

Internet-Draft <Export of App. Info. in IPFIX > July 2012

If a legacy protocol such as NetFlow version 9 [[RFC3954](#)] is used, and this protocol doesn't support variable length Information Elements, then either multiple Template Records (one per applicationId length), or a single Template Record corresponding to the maximum sized applicationId MUST be used.

Application Ids MAY be encoded in a smaller number of bytes, following the same rules as for the IPFIX Reduced Size Encoding [[RFC5101](#)].

Application Ids MAY be encoded with a larger length. For example, a normal IANA L3 protocol encoding would take 2 bytes since the Selector ID represents the protocol field from the IP header encoded in one byte. However, an IANA L3 protocol encoding may be encoded with 3 bytes. In this case, the Selector ID value MUST always be encoded in the least significant bits as shown in Figure 2.

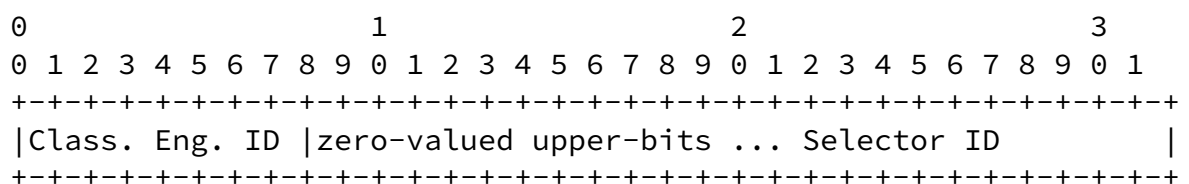


Figure 2: Selector ID encoding

[4.3.](#) Application Name Options Template Record

For Classification Engines which specify locally unique Application Ids (which means unique per engine and per router), an Options Template Record (see [[RFC5101](#)]) MUST be used to export the correspondence between the Application Id, the Application Name, and the Application Description.

For Classification Engines which specify globally unique Application Ids, an Options Template Record MAY be used to export the correspondence between the Application Id, the Application Name and the Application Description, unless the mapping is hardcoded in the Collector, or known out of band (for example, by polling a MIB).

An example Options Template is shown in [section 6.8](#).

Enterprises may assign company-wide Application Id values for the PANA-L7 Classification Engine. In this case, a possible optimization for the Collector is to keep the mappings between the Application Ids and the Application Names per enterprise, as opposed to per Exporter.

[4.4.](#) Resolving IANA L4 Port Discrepancies

Even though the IANA L4 ports usually point to the same protocols for both UDP, TCP or other transport types, there are some exceptions, as mentioned in the [Appendix B](#).

Instead of imposing the transport protocol (UDP/TCP/SCTP/etc.) in the scope of the "Application Name Options Template Record" ([section 6.8](#).) for all applications (on top of having the transport protocol as key-field in the Flow Record definition), the convention is that the L4 application is always TCP related. So, whenever the Collector has a conflict in looking up IANA, it would choose the TCP choice. As a result, the UDP L4 applications from Table 3 and the SCTP L4 applications from

Table 4 are assigned in the PANA_L7 Application Id range, i.e. under Classification Engine ID 13.

Currently, there are no discrepancies between the well known ports for TCP and DCCP.

5. Grouping the Applications with the Attributes

Due to the high number of different Application Ids, Application Ids MAY be categorized into groups. This offers the benefits of easier reporting and action, such as QoS policies. Indeed, most applications with the same characteristics should be treated the same way; for example, all video traffic.

Attributes are statically assigned per Application Id and are independent of the traffic. The attributes are listed below:

Name	Description
Category	An attribute that provides a first level categorization for each Application Id. Examples include:
<Claise, Aitken, Ben-Dvora>	Expires Jan 8 2013

[Page 16]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

	browsing, email, file-sharing, gaming, instant messaging, voice-and-video, etc... The category attribute is encoded by the ApplicationCategoryName Information Element.
Sub-Category	An attribute that provides a second level categorization for each Application Id. Examples include: backup-systems, client-server, database, routing-protocol, etc... The sub-category attribute is encoded by the ApplicationSubCategoryName Information Element.
Application-	An attribute that groups multiple

Group	Application Ids that belong to the same networking application. For example, the ftp-group contain the ftp-data (port 20), ftp (port 20), ni-ftp (port 47), sftp (port 115), bftp (port 152), ftp-agent(port 574), ftps-data (port 989). The application-group attribute is encoded by the ApplicationGroupName Information Element.
P2P-Technology	Specifies if the Application Id is based on peer-to-peer technology. The P2P-technology attribute is encoded by the p2pTechnology Information Element.
Tunnel-Technology	Specifies if the Application Id is used as a tunnel technology. The tunnel-technology attribute is encoded by the tunnelTechnology Information Element.
Encrypted	Specifies if the Application Id is an encrypted networking protocol. The encrypted attribute is encoded by the encryptedTechnology Information Element.

Table 3: Application Id Static Attributes

Every application is assigned to one ApplicationCategoryName, one ApplicationSubCategoryName, one ApplicationGroupName, has one p2pTechnology, one tunnelTechnology, and one encryptedTechnology. These new Information Elements are specified in the IANA Consideration [Section 7.1](#). 7.1.

Maintaining the attribute values in IANA seems impossible to realize. Therefore the attribute values per application

are enterprise specific.

[5.1](#). Options Template Record for the Attribute Values

An Options Template Record (see [[RFC5101](#)]) SHOULD be used to export the correspondence between each Application Id and its related Attribute values. An alternative way for the Collecting Process to learn the correspondence is to populate these mappings out of band, for example, by loading a CSV file containing the correspondence table.

The Attributes Option Template contains the ApplicationId as a scope field, followed by the ApplicationCategoryName, the ApplicationSubCategoryName, the ApplicationGroupName, the p2pTechnology, the tunnelTechnology, and the encryptedTechnology Information Elements.

A list of attributes may conveniently be exported using a subTemplateList per [[RFC6313](#)].

An example is given in [section 6.9](#).

[6](#). Application Id Examples

The following examples are created solely for the purpose of illustrating how the extensions proposed in this document are encoded.

[6.1](#). Example 1: Layer 2 Protocol

The list of Classification Engine IDs in Table 1 shows that the layer 2 Classification Engine IDs are 12 (PANA-L2), 18, (Ethertype) and 19 (LLC).

From the Ethertype list, LLDP [[LLDP](#)] has the Selector ID

represented by the Application Id by loading the registry out of band.

[6.2](#). Example 2: Standardized IANA Layer 3 Protocol

From the list of Classification Engine IDs in Table 1, the IANA layer 3 Classification Engine ID (IANA-L3) is 1.

From the list of IANA layer 3 protocols (see [[IANA-PROTO](#)]), ICMP has the value 1:

Decimal	Keyword	Protocol	Reference
1	ICMP	Internet Control Message	[RFC792]

So in the case of the standardized IANA layer 3 protocol ICMP, the Classification Engine ID is 1, and the Selector ID has the value of 1.

Therefore the Application Id is encoded as:

```

      0                               1
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5
  +--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+
  |          1          |          1          |
  +--+--+--+--+--+--+--+--+--+--+--+--+--+--+--+
```

So the Application Id has the value of 257. The format '1..1' is used for simplicity in the examples below.

The Exporting Process creates a Template Record with a few Information Elements: amongst other things, the Application Id. For example:

- sourceIPv4Address (key field)
- destinationIPv4Address (key field)
- ipDiffServCodePoint (key field)
- applicationId (key field)
- octetTotalCount (non key field)

For example, a Flow Record corresponding to the above Template Record may contain:

```
{ sourceIPv4Address=192.0.2.1,
  destinationIPv4Address=192.0.2.2,
  ipDiffServCodePoint=0,
  applicationId='1..1',
  octetTotalCount=123456 }
```

The Collector has all the required information to determine that the application is ICMP, because the Application Id uses a global and well know registry, ie the IANA L3 protocol number.

[6.3](#). Example 3: Proprietary Layer 3 Protocol

Assume that a enterprise has specified a new layer 3 protocol called "foo".

From the list of Classification Engine IDs in Table 1, the proprietary layer 3 Classification Engine ID (PANA-L3) is 2.

A global registry within the enterprise specifies that the "foo" protocol has the value 90:

Protocol	Protocol Id
foo	90

So, in the case of the layer 3 protocol foo specified by this enterprise, the Classification Engine ID is 2, and the Selector ID has the value of 90.

Therefore the Application Id is encoded as:

```

      0                               1
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
|           2           |           90           |
+---+---+---+---+---+---+---+---+---+---+---+---+---+---+
```

So the Application Id has the value of 602. The format '2..90' is used for simplicity in the examples below.

The Exporting Process creates a Template Record with a few Information Elements: amongst other things, the Application Id. For example:

- sourceIPv4Address (key field)
- destinationIPv4Address (key field)
- ipDiffServCodePoint (key field)
- applicationId (key field)
- octetTotalCount (non key field)

For example, a Flow Record corresponding to the above Template Record may contain:

```
{ sourceIPv4Address=192.0.2.1,
  destinationIPv4Address=192.0.2.2,
  ipDiffServCodePoint=0,
  applicationId='2..90',
  octetTotalCount=123456 }
```

Along with this Flow Record, a new Options Template Record would be exported, as shown in [Section 6.8](#).

[6.4](#). Example 4: Standardized IANA Layer 4 Port

From the list of Classification Engine IDs in Table 1, the IANA layer 4 Classification Engine ID (PANA-L3) is 3.

From the list of IANA layer 4 ports (see [[IANA-PORTS](#)]), SNMP has the value 161:

Keyword	Decimal	Description
snmp	161/tcp	SNMP
snmp	161/udp	SNMP

So in the case of the standardized IANA layer 4 SNMP port, the Classification Engine ID is 3, and the Selector ID has the value of 161.

Therefore the Application Id is encoded as:

```

      0                               1
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3
  +---+---+---+---+---+---+---+---+---+---+---+---+
  |           3           |           161           |
  +---+---+---+---+---+---+---+---+---+---+---+---+
```

Internet-Draft <Export of App. Info. in IPFIX > July 2012

So the Application Id has the value of 196769. The format '3..161' is used for simplicity in the examples below.

The Exporting Process creates a Template Record with a few Information Elements: amongst other things, the Application Id. For example:

- sourceIPv4Address (key field)
- destinationIPv4Address (key field)
- protocol (key field)
- ipDiffServCodePoint (key field)
- applicationId (key field)
- octetTotalCount (non key field)

For example, a Flow Record corresponding to the above Template Record may contain:

```
{ sourceIPv4Address=192.0.2.1,
  destinationIPv4Address=192.0.2.2,
  protocol=17, ipDiffServCodePoint=0,
  applicationId='3..161',
  octetTotalCount=123456 }
```

The Collector has all the required information to determine that the application is SNMP, because the Application Id uses a global and well know registry, ie the IANA L4 protocol number.

[6.5](#). Example 5: Layer 7 Application

In this example, the Metering Process has observed some Webex traffic.

From the list of Classification Engine IDs in Table 1, the layer 7 unique Classification Engine ID (PANA-L7) is 13.

Suppose that the Metering Process returns the ID 10000 for Webex traffic.

So, in the case of this Webex application, the

6.6. Example 6: Layer 7 Application with Private Enterprise Number (PEN)

In this example, the layer 7 Webex traffic from Example 5 above have been classified by enterprise X. The exported records have been received by enterprise Y's mediation device, which wishes to forward them to a top level Collector.

Internet-Draft <Export of App. Info. in IPFIX > July 2012

In order for the top level Collector to know that the records were classified by enterprise X, the enterprise Y mediation device must report the records using the PANA-L7-PEN Classification Engine ID with enterprise X's Private Enterprise Number.

The PANA-L7-PEN Classification Engine ID is 20, and enterprise X's Selector ID for Webex traffic has the value of 10000.

Therefore the Application Id is encoded as:

[illegible]

The format '20..X..10000' is used for simplicity in the examples below.

The Exporting Process creates a Template Record with a few Information Elements: amongst other things, the Application Id. For example:

- sourceIPv4Address (key field)
- destinationIPv4Address (key field)
- ipDiffServCodePoint (key field)
- applicationId (key field)
- octetTotalCount (non key field)

For example, a Flow Record corresponding to the above Template Record may contain:

```
{ sourceIPv4Address=192.0.2.1,
  destinationIPv4Address=192.0.2.2,
  ipDiffServCodePoint=0,
  applicationId='20..X..10000',
  octetTotalCount=123456 }
```

The 10000 value is globally unique for enterprise X, so that the Collector can determine which application is represented by the Application Id by loading the registry out of band.

Internet-Draft <Export of App. Info. in IPFIX > July 2012

Along with this Flow Record, a new Options Template Record would be exported, as shown in [Section 6.8](#).

[6.7](#). Example: port Obfuscation

For example, an HTTP server might run on a TCP port 23 (assigned to telnet in [\[IANA-PORTS\]](#)). If the Metering Process is capable of detecting HTTP in the same case, the Application Id representation must contain HTTP. However, if the reporting application wants to determine whether the default HTTP port 80 or 8080 was used, the destination port (destinationTransportPort at [\[IANA-IPFIX\]](#)) must also be exported in the corresponding IPFIX record.

In the case of a standardized IANA layer 4 port, the Classification Engine ID (PANA-L4) is 3, and the Selector ID has the value of 80 for HTTP (see [\[IANA-PORTS\]](#)). Therefore the Application Id is encoded as:

```

      0              1              2
    0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3
  +-+-+-+-+-+-+-+-+
  |           3           |           80           |
  +-+-+-+-+-+-+-+-+
```

The Exporting Process creates a Template Record with a few

Information Elements: amongst other things, the Application Id. For example:

- sourceIPv4Address (key field)
- destinationIPv4Address (key field)
- protocol (key field)
- destinationTransportPort (key field)
- applicationId (key field)
- octetTotalCount (non key field)

For example, a Flow Record corresponding to the above Template Record may contain:

```
{ sourceIPv4Address=192.0.2.1,
  destinationIPv4Address=192.0.2.2,
  protocol=17,
  destinationTransportPort=23,
  applicationId='3..80',
  octetTotalCount=123456 }
```

Internet-Draft <Export of App. Info. in IPFIX > July 2012

The Collector has all the required information to determine that the application is HTTP, but runs on port 23.

[6.8](#). Example: Application Name Mapping Options Template

Along with the Flow Records shown in the above examples, a new Options Template Record should be exported to express the Application Name and Application Description associated with each Application Id.

The Options Template Record contains the following Information Elements:

1. Scope = applicationId.

From [RFC 5101](#): "The scope, which is only available in the Options Template Set, gives the context of the reported Information Elements in the Data Records."

2. applicationName.

3. applicationDescription.

The Options Data Record associated with the examples above would contain, for example:

```
{ scope=applicationId='12..90',  
  applicationName="foo",  
  applicationDescription="The foo protocol",  
  
  scope=applicationId='13..10000',  
  applicationName="webex",  
  applicationDescription="Webex application" }  
  
  scope=applicationId='20..X..10000',  
  applicationName="webex",  
  applicationDescription="Webex application" }
```

When combined with the example Flow Records above, these Options Template Records tell the Collector:

1. A flow of 123456 bytes exists from sourceIPv4Address 192.0.2.1 to destinationIPv4address 192.0.2.2 with an

applicationId of '12..90', which maps to the "foo" application.

2. A flow of 123456 bytes exists from sourceIPv4Address 192.0.2.1 to destinationIPv4address 192.0.2.2 with an Application Id of '13..10000', which maps to the "Webex" application.

3. A flow of 123456 bytes exists from sourceIPv4Address 192.0.2.1 to destinationIPv4address 192.0.2.2 with an Application Id of '20..PEN..10000', which maps to the "Webex" application, according to the application registry from the enterprise X.

[6.9](#). Example: Attributes Values Options Template Record

Along with the Flow Records shown in the above examples, a new Options Template Record is exported to express the values of the different attributes related to the Application Ids.

The Options Template Record would contain the following Information Elements:

1. Scope = applicationId.

From [RFC 5101](#): "The scope, which is only available in the Options Template Set, gives the context of the reported Information Elements in the Data Records."

2. applicationCategoryName.
3. applicationSubCategoryName.
4. applicationGroupName
5. p2pTechnology
6. tunnelTechnology
7. encryptedTechnology

The Options Data Record associated with the examples above would contain, for example:

```
{ scope=applicationId='2..90',  
  applicationCategoryName="foo-category",  
  applicationSubCategoryName="foo-subcategory",  
  applicationGroupName="foo-group",  
  p2pTechnology=NO  
  tunnelTechnology=YES  
  encryptedTechnology=NO
```

When combined with the example Flow Records above, these Options Template Records tell the Collector:

A flow of 123456 bytes exists from sourceIPv4Address 192.0.2.1 to destinationIPv4address 192.0.2.2 with a DSCP value of 0 and an applicationId of '12..90', which maps to the "foo" application. This application can be characterized by the relevant attributes values.

[7.](#) IANA Considerations

[7.1.](#) New Information Elements

This document specifies 10 new IPFIX Information Elements: the applicationDescription, applicationId, applicationName, classificationEngineId, applicationCategoryName, applicationSubCategoryName, applicationGroupName, p2pTechnology, tunnelTechnology, and encryptedTechnology.

New Information Elements to be added to the IPFIX Information Element registry at [[IANA-IPFIX](#)] are listed below.

EDITOR'S NOTE: [RFC5102](#), which explains the IANA considerations for assigning new Information Elements mentions. "The value of these identifiers is in the range of 1-32767. Within this range, Information Element identifier values in the sub-range of 1-127 are compatible with field types used by NetFlow version 9 [[RFC3954](#)]." This is the reason why some Information Elements have already an assigned ElementId in the range 1-127, instead of <TBD>. These Information Elements should anyway follow the IANA Considerations from [RFC5102](#), i.e. " New assignments for IPFIX Information Elements will be administered by IANA through Expert Review review". The reviewer is Nevil Brownlee.

EDITOR'S NOTE: the XML specification in [Appendix A](#) must be updated with the elementID values allocated below.

RFC-EDITOR/IANA-EDITOR: some entries are already present in IPFIX-IANA. However, those must be updated with the current content.

[7.1.1.](#) applicationDescription

Name: applicationDescription

Description:

Specifies the description of an application.

Abstract Data Type: string

Data Type Semantics:

ElementId: 94

Status: current

[7.1.2.](#) applicationId

Name: applicationId

Description:

Specifies an Application Id.

Abstract Data Type: octetArray

Data Type Semantics: identifier

Reference: See [section 4.](#) of [EDITORS NOTE: this document]
for the applicationId Information Element Specification.

ElementId: 95

Status: current

[7.1.3.](#) applicationName

Name: applicationName

Description:

Specifies the name of an application.

Abstract Data Type: string

Data Type Semantics:

ElementId: 96

Status: current

[7.1.4.](#) classificationEngineId

Name: classificationEngineId

Description:

A unique identifier for the engine which determined the Selector ID. Thus the Classification Engine ID defines the context for the Selector ID. The Classification

Engine can be considered as a specific registry for application assignments.

Initial values for this field are listed below. Further values may be assigned by IANA in the Classification Engine Ids registry.

- 0 Invalid.
- 1 IANA-L3: The Assigned Internet Protocol Number (layer 3 (L3)) is exported in the Selector ID. See <http://www.iana.org/assignments/protocol-numbers>.
- 2 PANA-L3: Proprietary layer 3 definition. An enterprise can export its own layer 3 protocol numbers. The Selector ID has a global significance for all devices from the same enterprise.
- 3 IANA-L4: The IANA layer 4 (L4) well-known port number is exported in the Selector ID. See [IANA-PORTS]. Note: as an IPFIX flow is unidirectional, it contains the destination port in a flow from the client to the server.
- 4 PANA-L4: Proprietary layer 4 definition. An enterprise can export its own layer 4 port numbers. The Selector ID has global significance for devices from the same enterprise. Example: IPFIX had the port 4739 pre-assigned in the IETF draft for years. While waiting for the RFC and its associated IANA registration, the Selector ID 4739 was used with this PANA-L4.
- 5 Reserved
- 6 USER-Defined: The Selector ID represents applications defined by the user (using CLI, GUI, etc.) based on the methods described in [section 2](#). The Selector ID has a local significance per device.
- 7 Reserved
- 8 Reserved

- 9 Reserved
- 10 Reserved
- 11 Reserved
- 12 PANA-L2: Proprietary layer 2 (L2) definition. An enterprise can export its own layer 2 identifiers. The Selector ID represents the enterprise's unique global layer 2 applications. The Selector ID has a global significance for all devices from the same enterprise. Examples include Cisco Subnetwork Access Protocol (SNAP).
- 13 PANA-L7: Proprietary layer 7 definition. The Selector ID represents the enterprise's unique global ID for the layer 7 applications. The Selector ID has a global significance for all devices from the same enterprise. This Classification Engine Id is used when the application registry is owned by the Exporter manufacturer (referred to as the "enterprise" in this document).
- 14 Reserved
- 15 Reserved
- 16 Reserved
- 17 Reserved
- 18 ETHERTYPE: The Selector ID represents the well-known Ethertype. See [[ETHERTYPE](#)]. Note that the Ethertype is usually expressed in hexadecimal. However, the corresponding decimal value is used in this Selector ID.
- 19 LLC: The Selector ID represents the well-known IEEE 802.2 Link Layer Control (LLC) Destination Service Access Point (DSAP). See [[LLC](#)]. Note that LLC DSAP is usually expressed in hexadecimal. However, the corresponding decimal value is used in this Selector ID.

Internet-Draft <Export of App. Info. in IPFIX > July 2012

20 PANA-L7-PEN: Proprietary layer 7 definition, including a Private Enterprise Number (PEN) [[PEN](#)] to identify that the application registry being used is not owned by the Exporter manufacturer (referred to as the "enterprise" in this document, and identified by the PEN), or to identify the original enterprise in the case of a mediator or 3rd party device. The Selector ID represents the enterprise unique global ID for the layer 7 applications. The Selector ID has a global significance for all devices from the same enterprise.

Some values (5, 7, 8, 9, 10, 11, 14, 15, 16, and 17), are reserved to be compliant with existing implementations already using the classificationEngineId.

Abstract Data Type: unsigned8
Data Type Semantics: identifier
ElementId: 101
Status: current

[7.1.5.](#) applicationCategoryName

Name: applicationCategoryName
Description:
An attribute that provides a first level categorization for each Application Id.
Abstract Data Type: string
Data Type Semantics:
ElementId: <to be assigned>
Status: current

[7.1.6.](#) applicationSubCategoryName

Name: applicationSubCategoryName
Description:
An attribute that provides a second level categorization for each Application Id.
Abstract Data Type: string

Data Type Semantics:
ElementId: <to be assigned>
Status: current

Internet-Draft <Export of App. Info. in IPFIX > July 2012

[7.1.7.](#) applicationGroupName

Name: applicationGroupName
Description:
An attribute that groups multiple Application Ids that belong to the same networking application.
Abstract Data Type: string
Data Type Semantics:
ElementId: <to be assigned>
Status: current

[7.1.8.](#) p2pTechnology

Name: p2pTechnology
Description:
Specifies if the Application Id is based on peer-to-peer technology. Possible values are: { "yes", "y", 1 }, { "no", "n", 2 } and { "unassigned" , "u", 0 }.
Abstract Data Type: string
Data Type Semantics:
ElementId: 288
Status: current

[7.1.9.](#) tunnelTechnology

Name: tunnelTechnology
Description:
Specifies if the Application Id is used as a tunnel technology.
Possible values are: { "yes", "y", 1 }, { "no", "n", 2 } and { "unassigned" , "u", 0 }.
Abstract Data Type: string
Data Type Semantics:
ElementId: 289

Status: current

[7.1.10.](#) encryptedTechnology

Name: encryptedTechnology

Description:

Specifies if the Application Id is an encrypted networking

<Claise, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 34]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

protocol. Possible values are: { "yes", "y", 1 },
{ "no", "n", 2 } and { "unassigned" , "u", 0 }.

Abstract Data Type: string

Data Type Semantics:

ElementId: 290

Status: current

[7.2.](#) Classification Engine Ids Registry

The Information Element #101, named classificationEngineId, carries information about the context for the Selector ID, and can be considered as a specific registry for application assignments. For ensuring extensibility of this information, IANA has created a new registry for Classification Engine Ids and filled it with the initial list from the description Information Element #101, classificationEngineId.

New assignments for Classification Engine Ids will be administered by IANA through Expert Review [[RFC5226](#)], i.e., review by one of a group of experts designated by an IETF Area Director. The group of experts must double check the new definitions with already defined Classification Engine Ids for completeness, accuracy, and redundancy. The specification of Classification Engine Ids MUST be published using a well-established and persistent publication medium.

RFC-EDITOR: this should be assigned similarly to mplsTopLabelType subregistry at

<http://www.iana.org/assignments/ipfix/ipfix.xml>

[8.](#) Security Considerations

The same security considerations as for the IPFIX Protocol [[RFC5101](#)] apply.

As mentioned in [Section 2.1.](#) , the application knowledge is useful in security based applications. Security applications may impose supplementary requirements on the export of application information, and these need to be examined on a case by case basis.

Internet-Draft <Export of App. Info. in IPFIX > July 2012

[9.](#) References

[9.1.](#) Normative References

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[IANA-PROTO] <http://www.iana.org/assignments/protocol-numbers>

[LLC] <http://standards.ieee.org/develop/regauth/llc/public.html>.

[PEN] <http://www.iana.org/assignments/enterprise-numbers>

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<Claise, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 36]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

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[IANA-IPFIX]
<http://www.iana.org/assignments/ipfix/ipfix.xml>

<Claise, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 37]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

[CISCO-APPLICATION-REGISTRY]
http://www.cisco.com/go/application_registry

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Internet-Draft <Export of App. Info. in IPFIX > July 2012

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[Appendix A](#). Additions to XML Specification of IPFIX Information Elements (non normative)

This [appendix A](#) contains additions to the machine-readable description of the IPFIX information model coded in XML in [Appendix A](#) and [Appendix B in \[RFC5102\]](#). Note that this appendix is of informational nature, while the text in [Section 7](#). (generated from this appendix) is normative.

The following field definitions are appended to the IPFIX information model in [Appendix A of \[RFC5102\]](#).

```
<field name="applicationDescription"
      dataType="string"
      group="application"
      elementId="94" applicability="all"
status="current">
  <description>
    <paragraph>
```

```
        Specifies the description of an application.
    </paragraph>
</description>
</field>
```

```
<field name="applicationId"
      dataType="octetArray"
      group="application"
      dataTypeSemantics="identifier"
      elementId="95" applicability="all"
status="current">
  <description>
    <paragraph>
      Specifies an Application Id.
    </paragraph>
  </description>
  <reference>
    <paragraph>
      See section 4. of [EDITORS NOTE: this document]
      for the applicationId Information Element
      Specification.
    </paragraph>
  </reference>
</field>
```

```
<field name="applicationName"
      dataType="string"
      group="application"
      elementId="96" applicability="all"
status="current">
  <description>
    <paragraph>
      Specifies the name of an application.
    </paragraph>
  </description>
</field>
```

```
<field name="classificationEngineId"
      dataType="unsigned8"
```

```
      group="application"
      dataTypeSemantics="identifier"
      elementId="101" applicability="all"
```

```
status="current">
  <description>
    <paragraph>
      0 Invalid.
```

- 1 IANA-L3: The Assigned Internet Protocol Number (layer 3 (L3)) is exported in the Selector ID. See <http://www.iana.org/assignments/protocol-numbers>.
- 2 PANA-L3: Proprietary layer 3 definition. An enterprise can export its own layer 3 protocol numbers. The Selector ID has a global significance for all devices from the same enterprise.
- 3 IANA-L4: The IANA layer 4 (L4) well-known port number is exported in the Selector ID. See [[IANA-PORTS](#)]. Note: as an IPFIX flow is unidirectional, it contains the destination port in a flow from the client to the server.
- 4 PANA-L4: Proprietary layer 4 definition. An enterprise can export its own layer 4 port numbers. The Selector ID has global significance for devices from the same enterprise. Example: IPFIX had the port 4739 pre-assigned in the IETF draft for years. While waiting for the RFC and its associated IANA registration, the Selector ID 4739 was used with this PANA-L4.
- 5 Reserved
- 6 USER-Defined: The Selector ID represents applications defined by the user (using CLI, GUI, etc.) based on the methods described in [section 2](#). The Selector ID has a local significance per device.

- 8 Reserved
- 9 Reserved
- 10 Reserved
- 11 Reserved
- 12 PANA-L2: Proprietary layer 2 (L2) definition. An enterprise can export its own layer 2 identifiers. The Selector ID represents the enterprise's unique global layer 2 applications. The Selector ID has a global significance for all devices from the same enterprise. Examples include Cisco Subnetwork Access Protocol (SNAP).
- 13 PANA-L7: Proprietary layer 7 definition. The Selector ID represents the enterprise's unique global ID for the layer 7 applications. The Selector ID has a global significance for all devices from the same enterprise. This Classification Engine Id is used when the application registry is owned by the Exporter manufacturer (referred to as the "enterprise" in this document).
- 14 Reserved
- 15 Reserved
- 16 Reserved
- 17 Reserved
- 18 ETHERTYPE: The Selector ID represents the well-known Ethertype. See [[ETHERTYPE](#)]. Note that the Ethertype is usually expressed in

hexadecimal. However, the corresponding decimal value is used in this Selector ID.

- 19 LLC: The Selector ID represents the well-known

IEEE 802.2 Link Layer Control (LLC) Destination Service Access Point (DSAP). See [LLC]. Note that LLC DSAP is usually expressed in hexadecimal. However, the corresponding decimal value is used in this Selector ID.

- 20 PANA-L7-PEN: Proprietary layer 7 definition, including a Private Enterprise Number (PEN) [PEN] to identify that the application registry being used is not owned by the Exporter manufacturer (referred to as the "enterprise" in this document, and identified by the PEN), or to identify the original enterprise in the case of a mediator or 3rd party device. The Selector ID represents the enterprise unique global ID for the layer 7 applications. The Selector ID has a global significance for all devices from the same enterprise.

</paragraph>
</description>
</field>

```
<field name="applicationCategoryName"
      dataType="string"
      group="application"
      elementId="<to be assigned>"
      applicability="all"
      status="current">
  <description>
    <paragraph>
      An attribute that provides a first level
categorization
      for each Application Id.
    </paragraph>
  </description>
</field>
```

```
<field name="applicationSubCategoryName"
```

```
      dataType="string"
      group="application"
```

```

        elementId="<to be assigned>"
        applicability="all"
        status="current">
<description>
    <paragraph>
        An attribute that provides a second level
        categorization for each Application Id.
    </paragraph>
</description>
</field>

<field name="applicationGroupName"
    dataType="string"
    group="application"
    elementId="<to be assigned>"
    applicability="all"
    status="current">
<description>
    <paragraph>
        An attribute that groups multiple Application Ids
        that belong to the same networking application.
    </paragraph>
</description>
</field>

<field name="p2pTechnology"
    dataType="string"
    group="application"
    elementId="288"
    applicability="all"
    status="current">
<description>
    <paragraph>
        Specifies if the Application Id is based on peer-
        to-peer technology. Possible values are:
        { "yes", "y", 1 }, { "no", "n", 2 } and
        { "unassigned" , "u", 0 }.
    </paragraph>
</description>
</field>

<field name="tunnelTechnology"
    dataType="string"
    group="application"
    elementId="289"

```



```
        applicability="all"
        status="current">
<description>
  <paragraph>
    Specifies if the Application Id is used as a
    tunnel technology. Possible values are:
    { "yes", "y", 1 }, { "no", "n", 2 } and
    { "unassigned" , "u", 0 }.
  </paragraph>
</description>
</field>

<field name="encryptedTechnology"
  dataType="string"
  group="application"
  elementId="290"
  applicability="all"
  status="current">
<description>
  <paragraph>
    Specifies if the Application Id is an encrypted
    networking protocol. Possible values are:
    { "yes", "y", 1 }, { "no", "n", 2 } and
    { "unassigned" , "u", 0 }.
  </paragraph>
</description>
</field>
```

[Appendix B.](#) Port Collisions Tables (non normative)

The following table lists the 10 ports that have different protocols assigned for TCP and UDP (at the time of writing this document):

exec	512/tcp	remote process execution; authentication performed using passwords and UNIX login names
comsat/biff	512/udp	used by mail system to notify users of new mail received; currently receives messages only from processes on the same

Internet-Draft <Export of App. Info. in IPFIX > July 2012

		machine
login telnet; authentication	513/tcp	remote login a la automatic performed based on privileged port numbers and distributed data bases which identify
who	513/udp	"authentication domains" maintains data bases showing who's logged in to machines on a local net and the load average of the machine
shell	514/tcp	cmd like exec, but automatic authentication is performed as for login server
syslog	514/udp	
oob-ws-https	664/tcp	DMTF out-of-band secure web services management protocol Jim Davis
<jim.davis@wbemsolutions.com> June 2007		
asf-secure-rmcp	664/udp	ASF Secure Remote Management and Control Protocol
rfile	750/tcp	
kerberos-iv	750/udp	kerberos version iv
submit	773/tcp	
notify	773/udp	

rpasswd	774/tcp
acmaint_dbd	774/udp
entomb	775/tcp

Internet-Draft <Export of App. Info. in IPFIX > July 2012

acmaint_transd	775/udp	
busboy	998/tcp	
puparp	998/udp	
garcon	999/tcp	
applix	999/udp	Applix ac

Table 4: Different Protocols on UDP and TCP

The following table lists the 19 ports that have different protocols assigned for TCP and SCTP (at the time of writing this document):

#	3097/tcp	Reserved
itu-bicc-stc	3097/sctp	ITU-T Q.1902.1/Q.2150.3 Greg Sidebottom <gregside@home.com>
#	5090/tcp	<not assigned>
car	5090/sctp	Candidate AR
#	5091/tcp	<not assigned>
cxtcp	5091/sctp	Context Transfer Protocol RFC 4065 - July 2005
#	6704/tcp	Reserved
frc-hp	6704/sctp	ForCES HP (High Priority) channel [RFC5811]
#	6705/tcp	Reserved

frc-mp	6705/sctp	ForCES MP (Medium Priority) channel [RFC5811]
#	6706/tcp	Reserved

<Claire, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 47]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

frc-lp	6706/sctp	ForCES LP (Low priority) channel [RFC5811]
#	9082/tcp	<not assigned>
lcs-ap	9082/sctp	LCS Application Protocol Kimmo Kymalainen kimmo.kymalainen@etsi.org> 04 June 2010
#	9902/tcp	<not assigned>
enrp-sctp-tls	9902/sctp	enrp/tls server channel [RFC5353]
#	11997/tcp	<not assigned>
#	11998/tcp	<not assigned>
#	11999/tcp	<not assigned>
Wmereceiving	11997/sctp	WorldMailExpress
wmedistribution	11998/sctp	WorldMailExpress
wmereporting	11999/sctp	WorldMailExpress
		Greg Foutz <gregf@adminovation.com> March 2006
#	25471/tcp	<not assigned>
rna	25471/sctp	RNSAP User Adaptation for Iurh Dario S. Tonesi <dario.tonesi@nsn.com> 07 February 2011
#	29118/tcp	Reserved

sgsap	29118/sctp	SGsAP in 3GPP
#	29168/tcp	Reserved
sbcap	29168/sctp	SBcAP in 3GPP
#	29169/tcp	<not assigned>

<Claise, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 48]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

ihusctpassoc	29169/sctp	HNBAP and RUA Common Association John Meredith <John.Meredith@etsi.org> 08 September 2009
#	36412/tcp	<not assigned>
s1-control	36412/sctp	S1-Control Plane (3GPP) Kimmo Kymalainen <kimmo.kymalainen@etsi.org> 01 September 2009
#	36422/tcp	<not assigned>
x2-control	36422/sctp	X2-Control Plane (3GPP) Kimmo Kymalainen <kimmo.kymalainen@etsi.org> 01 September 2009
#	36443/tcp	<not assigned>
m2ap	36443/sctp	M2 Application Part Dario S. Tonesi <dario.tonesi@nsn.com> 07 February 2011
#	36444/tcp	<not assigned>
m3ap	36444/sctp	M3 Application Part Dario S. Tonesi <dario.tonesi@nsn.com>

Table 5: Different Protocols on SCTP and TCP

[Appendix C](#). Application Registry Example (non normative)

A reference to the Cisco Systems assigned numbers for the Application Id and the different attribute assignments can be found at [[CISCO-APPLICATION-REGISTRY](#)].

<Claise, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 49]

Internet-Draft <Export of App. Info. in IPFIX > July 2012

RFC-EDITOR NOTE: at the time of publication, if [[CISCO-APPLICATION-REGISTRY](#)] is not available, this appendix, and the [[CISCO-APPLICATION-REGISTRY](#)] reference must be removed.

<Claise, Aitken, Ben-Dvora>

Expires Jan 8 2013

[Page 50]