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OVAL(R) Results Model
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Abstract

This document specifies Version 5.11.1 of the OVAL Results Model which is used to express the results of an evaluation of a set of systems based on a set of OVAL Definitions and the target systems' OVAL System Characteristics.

Status of This Memo

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1. Introduction

The Open Vulnerability and Assessment Language (OVAL) [[OVAL-WEBSITE](#)] is an international, information security community effort to standardize how to assess and report upon the machine state of systems. For over ten years, OVAL has been developed in collaboration with any and all interested parties to promote open and publicly available security content and to standardize the representation of this information across the entire spectrum of security tools and services.

OVAL provides an established framework for making assertions about a system's state by standardizing the three main steps of the assessment process: representing the current machine state; analyzing

the system for the presence of the specified machine state; and representing the results of the assessment which facilitates collaboration and information sharing among the information security community and interoperability among tools.

This draft is the part of the OVAL contribution to the IETF SACM WG that standardizes the representation of the results of an evaluation. It is intended to serve as a starting point for the endpoint posture assessment data modeling needs of SACM specifically Evaluation Results.

1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

2. DirectivesType

The DirectivesType defines what result information has been included, and to what level of detail, in the OVAL Results, for each possible result value defined in the ResultEnumeration.

Property	Type	Count	Description
definition_true	DirectiveType	1	Defines what result information has been included for OVAL Definitions that evaluate to 'true'.
definition_false	DirectiveType	1	Defines what result information has been included for OVAL Definitions that evaluate to 'false'.
definition_unknown	DirectiveType	1	Defines what result

			information has been included for OVAL Definitions that evaluate to 'unknown'.
definition_error	DirectiveType	1	Defines what result information has been included for OVAL Definitions that evaluate to 'error'.
definition_not_evaluated	DirectiveType	1	Defines what result information has been included for OVAL Definitions that evaluate to 'not evaluated'.
definition_not_applicable	DirectiveType	1	Defines what result information has been included for OVAL Definitions that evaluate to 'not applicable'.

Table 1: DirectiveType Construct

3. DefaultDirectiveType

The DefaultDirectiveType defines the result information to include in the OVAL Results for all OVAL Definitions regardless of class as defined in the ClassEnumeration.

Property	Type	Count	Description
include_source_definitions	boolean	0..1	Specifies whether or not the source OVAL Definitions are included in the OVAL Results. When 'true' the source OVAL Definitions MUST be included in the OVAL Results. When 'false' the source OVAL Definitions MUST NOT be included in the OVAL Results. Default Value: 'true'

Table 2: DefaultDirectivesType Construct

4. ClassDirectivesType

The ClassDirectivesType defines the result information to include in the OVAL Results for a specific class of OVAL Definitions as defined in the ClassEnumeration. Please note that this will override the directives in the DefaultDirectivesType for the specified class.

Property	Type	Count	Description
class	oval:ClassEnumeration	1	Specifies the class of OVAL Definitions to which the defined OVAL Results directives will be applied.

Table 3: ClassDirectivesType Construct

5. DirectiveType

The DirectiveType defines what result information, and to what level of detail, is included in OVAL Results.

Property	Type	Count	Description
reported	boolean	1	Specifies whether or not OVAL Definitions, with the specified result, should be included in the OVAL Results. If the reported property is set to 'true', OVAL Definitions that evaluate to the specified result MUST be included in the OVAL Results. If the reported property is set to 'false', OVAL Definitions that evaluate to the specified result MUST NOT be included in the OVAL Results.
content	ContentEnumeration	0..1	Specifies the level of detail that is included in the OVAL Results. Default Value: 'full'

Table 4: DirectiveType Construct

6. ResultsType

The ResultsType contains the evaluation results for all OVAL Definitions on all systems under test.

Property	Type	Count	Description
results	SystemType	1..*	The evaluation results for all OVAL Definitions on each system under test.

Table 5: ResultsType Construct

7. SystemType

The SystemType provides the evaluation results for the OVAL Definitions and OVAL Tests as well the OVAL System Characteristics for an individual system.

Property	Type	Count	Description
definitions	DefinitionType	0..*	The evaluation results of the OVAL Definitions.
tests	TestType	0..*	The evaluation results of the OVAL Tests.
system_characteristics	oval-sc:oval_system_characteristics	1	A copy of the OVAL System Characteristics that were evaluated against the OVAL Definitions to produce the OVAL Results.

Table 6: SystemType Construct

8. DefinitionType

The DefinitionType contains the results of the evaluation of an OVAL Definition.

Property	Type	Count	Description
definition_id	oval:DefinitionIDPattern	1	The unique identifier of

				an OVAL Definition that was used to generate the OVAL Results.
version	unsigned int	1		The version of the globally unique OVAL Definition.
variable_instance	unsigned int	0..1		The unique identifier that differentiates between each unique instance of an OVAL Definition. If an OVAL Definition utilizes an OVAL Variable, a unique instance of each OVAL Definition must be created for each collection of values assigned to the OVAL Variable. Default Value: '1'
class	oval:ClassEnumeration	0..1		The class of the OVAL Definition.
result	ResultEnumeration	1		The result of the evaluation of the OVAL Definition.

message	oval:MessageType	0..*	Any messages that are relayed from a tool at run-time during the evaluation of an OVAL Definition.
criteria	CriteriaType	0..1	Contains the individual results of the logical statements that form the OVAL Definition.

Table 7: DefinitionType Construct

9. CriteriaType

The CriteriaType combines the logical statements that form the OVAL Definition.

Property	Type	Count	Description
operator	oval:OperatorEnumeration	1	The logical operator that is used to combine the individual results of the logical statements defined by the child_criteria property.
negate	boolean	0..1	Specifies whether or not the evaluation result of the

			<p>OVAL Definition, referenced by the definitio n_ref property, should be negated. Default Value: 'false'</p>
result	ResultEnumeration	1	<p>The evaluation result after the operator property and negate property have been applied.</p>
criteria	CriteriaType	1..*	<p>Logical statements that will be combined according to the operator property.</p>
applicability_ckeck	boolean	0..1	<p>A boolean flag that when 'true' indicates that the criteria is being used to determine whether the OVAL Definition applies to a given system. No additional meaning is assumed when 'false'.</p>

Table 8: CriteriaType Construct

10. CriterionType

The CriterionType is a logical statement that references an OVAL Test from an OVAL Definition.

Property	Type	Count	Description
test_ref	oval:TestIDPattern	1	The unique identifier of an OVAL Test contained in the OVAL Definitions used to generate the OVAL Results.
version	unsigned int	1	The version of the globally unique OVAL Test referenced by the test_ref property.
variable_instance	unsigned int	0..1	The unique identifier that differentiates between each unique instance of an OVAL Test. If an OVAL Test utilizes an OVAL Variable, a unique instance of each OVAL Test must be created for each collection of values assigned to

			the OVAL Variable. Default Value: '1'
negate	boolean	0..1	Specifies whether or not the evaluation result of the OVAL Test, referenced by the test_ref property, should be negated. Default Value: 'false'
result	ResultEnumeration	1	The evaluation result of the OVAL Test, referenced by the test_ref property, after the negate property has been applied.
applicability_check	boolean	0..1	A boolean flag that when true indicates that the criterion is being used to determine whether the OVAL Definition applies to a given system. No additional meaning is assumed when 'false'.

Table 9: CriterionType Construct

11. ExtendDefinitionType

The ExtendDefinitionType is a logical statement that references another OVAL Definition.

Property	Type	Count	Description
definition_ref	oval:DefinitionIDPattern	1	The unique identifier of an OVAL Definition used to generate the OVAL Results.
version	unsigned int	1	The version of the globally unique OVAL Definition referenced by the definition_ref property.
variable_instance	unsigned int	0..1	The unique identifier that differentiates between each unique instance of an OVAL Definition. If an OVAL Definition utilizes an OVAL Variable, a unique instance of each OVAL Definition must be created for each collection of values assigned to

			the OVAL Variable. Default Value: '1'
negate	boolean	0..1	Specifies whether or not the evaluation result of the OVAL Definition, referenced by the definition_ref property, should be negated. Default Value: 'false'
result	ResultEnumeration	1	The evaluation result of the OVAL Definition, referenced by the definition_ref property, after the negate property has been applied.
applicability_check	boolean	0..1	A boolean flag that when true indicates that the ExtendDefinition is being used to determine whether the OVAL Definition applies to a given system. No additional meaning is assumed when

			'false'.	
+-----+	+-----+	+-----+	+-----+	+-----+

Table 10: ExtendDefinitionType Construct

12. TestType

The TestType contains the result of an OVAL Test.

Property	Type	Count	Description
test_id	oval:TestIDPattern	1	The unique identifier of an OVAL Test contained in the OVAL Definitions used to generate the OVAL Results.
version	unsigned int	1	The version of the globally unique OVAL Test referenced by the test_id property.
variable_instance	unsigned int	0..1	The unique identifier that differentiates between each unique instance of an OVAL Test. If an OVAL Test utilizes an OVAL Variable, a unique instance of each OVAL Test must be created for each collection of values assigned to the OVAL Variable. Default Value: '1'
check_existence	oval:ExistenceEnumeration	0..1	Specifies how many OVAL Items must exist, on the system, in order for the OVAL Test

				to evaluate to true. Default Value: 'at_least_one_exists'
check	oval:CheckEnumeration	1		Specifies how many of the collected OVAL Items must satisfy the requirements specified by the OVAL State(s) in order for the OVAL Test to evaluate to true.
state_operator	oval:OperatorEnumeration	0..1		Specifies how to logically combine the OVAL States referenced in the OVAL Test. Default Value: 'AND'
result	ResultEnumeration	1		The evaluation result of the OVAL Test referenced by the test_id property.
message	oval:MessageType	0..*		Any messages that are relayed from a tool at run-time during the evaluation of an OVAL Test.
tested_item	TestedItemType	0..*		Specifies a reference to each OVAL Item used in the evaluation of an OVAL Test.
tested_variable	TestedVariableType	0..*		Specifies each OVAL Variable value used in the evaluation of an OVAL Test. This

			includes the OVAL
			Variable values
			used in both OVAL
			Objects and OVAL
			States.

Table 11: TestType Construct

13. TestedItemType

The TestedItemType contains the result of evaluating a collected OVAL Item against the OVAL State(s), if any, as specified by the corresponding OVAL Test.

Property	Type	Count	Description
item_id	oval:ItemIDPattern	1	The unique identifier of an OVAL Item collected during OVAL Item Collection.
result	ResultEnumeration	1	The evaluation result of the OVAL Item against the OVAL State(s), if any, as specified by the corresponding OVAL Test.
message	oval:MessageType	0..*	Any messages that are relayed from a tool at run-time during the evaluation of an OVAL Item against an OVAL State.

Table 12: TestedItemType Construct

14. TestedVariableType

The TestedVariableType specifies the value of an OVAL Variable used during the evaluation of an OVAL Test.

Property	Type	Count	Description
variable_id	oval:VariableIDPattern	1	The unique identifier of an OVAL Variable.
value	Any	1	A value of the OVAL Variable referenced by the variable_id property.

Table 13: TestedVariableType Construct

15. ContentEnumeration

The ContentEnumeration defines the acceptable levels of detail for the result information included in the OVAL Results.

Value	Description
thin	This value indicates that only the minimal amount of information is represented in the OVAL Results. The minimal set of information includes the following. The definition_id property of DefinitionType will be included. The result property of DefinitionType will be included. The criteria property of DefinitionType will not be included. The collected_objects and system_data properties, of the system_characteristics property in SystemType, will not be included.
full	This value indicates that a full detailed result of information is represented in the OVAL Results. The minimal set of information includes the following. The definition_id property of DefinitionType will be included. The result property of DefinitionType will be included. The criteria property of DefinitionType will be included. The collected_objects and system_data properties, of the system_characteristics property in SystemType, will be included. The value 'full' is equivalent to 'thin' with the collected_objects and system_data properties, of the system_characteristics property in SystemType, included.

Table 14: ContentEnumeration Construct

16. ResultEnumeration

The ResultEnumeration defines the acceptable evaluation result values in the OVAL Language.

Value	Description
true	This value indicates that the conditions of the evaluation were satisfied.
false	This value indicates that the conditions of the evaluation were not satisfied.
unknown	This value indicates that it could not be determined if the conditions of the evaluation were satisfied.
error	This value indicates that an error occurred during the evaluation.
not evaluated	This value indicates that a choice was made not to perform the evaluation.
not applicable	This value indicates that the evaluation being performed does not apply to the given platform.

Table 15: ResultEnumeration Construct

17. OVAL Results Model Schema

The XML Schema that implements this OVAL Results Model can be found below.

```
<?xml version="1.0" encoding="utf-8"?>
<xsd:schema
  xmlns:xsd="http://www.w3.org/2001/XMLSchema"
  xmlns:oval="http://oval.mitre.org/XMLSchema/oval-common-5"
  xmlns:oval-sc="http://oval.mitre.org/XMLSchema/oval-system-characteristics-5"
  xmlns:oval-def="http://oval.mitre.org/XMLSchema/oval-definitions-5"
  xmlns:oval-res="http://oval.mitre.org/XMLSchema/oval-results-5"
  xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
  xmlns:sch="http://purl.oclc.org/dsdl/schematron"
  targetNamespace="http://oval.mitre.org/XMLSchema/oval-results-5"
  elementFormDefault="qualified" version="5.11">
  <xsd:import
    namespace="http://oval.mitre.org/XMLSchema/oval-common-5"
```



```

    schemaLocation="oval-common-schema.xsd"/>
<xsd:import
  namespace="http://oval.mitre.org/XMLSchema/
  oval-definitions-5"
  schemaLocation="oval-definitions-schema.xsd"/>
<xsd:import
  namespace="http://oval.mitre.org/XMLSchema/
  oval-system-characteristics-5"
  schemaLocation="oval-system-characteristics-schema.xsd"/>
<xsd:import
  namespace="http://www.w3.org/2000/09/xmlsig#"
  schemaLocation="xmlsig-core-schema.xsd"/>
<xsd:annotation>
  <xsd:documentation>The following is a
    description of the elements, types, and
    attributes that compose the core schema for
    encoding Open Vulnerability and Assessment
    Language (OVAL) Results. Each of the
    elements, types, and attributes that make up
    the Core Results Schema are described in
    detail and should provide the information
    necessary to understand what each object
    represents. This document is intended for
    developers and assumes some familiarity with
    XML. A high level description of the
    interaction between these objects is not
    outlined here.</xsd:documentation>
  <xsd:appinfo>
    <schema>Core Results</schema>
    <version>5.11.1</version>
    <date>4/22/2015 09:00:00 AM</date>
    <terms_of_use>Copyright (C) 2010 United States Government.
      All Rights Reserved.</terms_of_use>
    <sch:ns prefix="oval-res"
      uri="http://oval.mitre.org/XMLSchema/oval-results-5"
      />
  </xsd:appinfo>
</xsd:annotation>
<!-- ===== -->
<!-- ===== -->
<!-- ===== -->
<xsd:element name="oval_results">
  <xsd:annotation>
    <xsd:documentation>The oval_results element
      is the root of an OVAL Results Document.
      Its purpose is to bind together the four
      major sections of a results document -
      generator, directives, oval_definitions,

```



```
    and results - which are the children of
    the root element. It must contain exactly
    one generator section, one directives
    section, and one results
    section.</xsd:documentation>
</xsd:annotation>
<xsd:complexType>
  <xsd:sequence>
    <xsd:element name="generator"
      type="oval:GeneratorType">
      <xsd:annotation>
        <xsd:documentation>The required
          generator section provides
          information about when the results
          document was compiled and under what
          version.</xsd:documentation>
      </xsd:annotation>
    </xsd:element>
    <xsd:element name="directives"
      type="oval-res:DefaultDirectivesType">
      <xsd:annotation>
        <xsd:documentation>The required
          directives section presents flags
          describing what information has been
          included in the results document.
          This element represents the default
          set of directives. These directives
          apply to all classes of definitions
          for which there is not a class
          specific set of
          directives.</xsd:documentation>
      <xsd:appinfo>
        <sch:pattern
          id="oval-res_directives_
            include_oval_definitions">
          <sch:rule
            context="oval-res:oval_results/
              oval-res:directives
              [@include_source_definitions='true' or
              @include_source_definitions='1' or
              not(@include_source_definitions)]">
            <sch:assert
              test="ancestor::oval-res:oval_results
                [oval-def:oval_definitions]"
              > The source OVAL Definition
                document must be included when
                the directives
                include_source_definitions
```



```
        attribute is set to true.
      </sch:assert>
    </sch:rule>
  <sch:rule
    context="oval-res:oval_results/
    oval-res:directives
    [@include_source_definitions='false' or
    @include_source_definitions='0']">
    <sch:assert
      test="ancestor::oval-res:oval_results
      [not(oval-def:oval_definitions)]"
      > The source OVAL Definition
      document must not be included
      when the directives
      include_source_definitions
      attribute is set to false.
    </sch:assert>
  </sch:rule>
</sch:pattern>
</xsd:appinfo>
</xsd:annotation>
</xsd:element>
<xsd:element name="class_directives"
  type="oval-res:ClassDirectivesType"
  minOccurs="0" maxOccurs="5">
  <xsd:annotation>
    <xsd:documentation>The optional
    class_directives section presents
    flags describing what information
    has been included in the results
    document for a specific OVAL
    Definition class. The directives for
    a particular class override the
    default directives. Using OVAL
    Results class_directives, an OVAL
    Results document dealing with
    vulnerabilities might by default
    include only minimal information and
    then include full details for all
    vulnerability definitions that
    evaluated to
    true.</xsd:documentation>
  </xsd:annotation>
</xsd:element>
<xsd:element
  ref="oval-def:oval_definitions"
  minOccurs="0" maxOccurs="1">
  <xsd:annotation>
```



```
<xsd:documentation>The
  oval_definitions section is optional
  and dependent on the
  include_source_definitions attribute
  of the directives element. Its
  purpose is to provide an exact copy
  of the definitions evaluated for the
  results
  document.</xsd:documentation>
</xsd:annotation>
</xsd:element>
<xsd:element name="results"
  type="oval-res:ResultsType">
  <xsd:annotation>
    <xsd:documentation>The required
      results section holds all the
      results of the evaluated
      definitions.</xsd:documentation>
    </xsd:annotation>
  </xsd:element>
  <xsd:element ref="ds:Signature"
    minOccurs="0" maxOccurs="1">
    <xsd:annotation>
      <xsd:documentation>The optional
        Signature element allows an XML
        Signature as defined by the W3C to
        be attached to the document. This
        allows authentication and data
        integrity to be provided to the
        user. Enveloped signatures are
        supported. More information about
        the official W3C Recommendation
        regarding XML digital signatures can
        be found at
        http://www.w3.org/TR/xmlsig-core/.
      </xsd:documentation>
    </xsd:annotation>
  </xsd:element>
</xsd:sequence>
</xsd:complexType>
<xsd:unique name="UniqueDirectiveClass">
  <xsd:annotation>
    <xsd:documentation>The class attribute on
      class_directives must be
      unique.</xsd:documentation>
  </xsd:annotation>
<xsd:selector
  xpath="oval-res:class_directives"/>
```



```

        <xsd:field xpath="@class"/>
    </xsd:unique>
</xsd:element>

<!-- ===== -->
<!-- ===== GENERATOR ===== -->
<!-- ===== -->
<!--
        The GeneratorType is defined by the
        oval-common-schema. Please refer to
        that documentation for a description
        of the complex type.
    -->
<!-- ===== -->
<!-- ===== DIRECTIVES ===== -->
<!-- ===== -->
<xsd:complexType name="DirectivesType">
    <xsd:annotation>
        <xsd:documentation>The DirectivesType
            complex type presents a set of flags that
            describe what information has been
            included in the results document. There
            are six possible results (true, false,
            unknown, error, not evaluated, and not
            applicable) for the evaluation of an OVAL
            Definition. The directives state which of
            these results are being reported in the
            results document.</xsd:documentation>
    </xsd:annotation>
    <xsd:sequence>
        <xsd:element name="definition_true"
            type="oval-res:DirectiveType"/>
        <xsd:element name="definition_false"
            type="oval-res:DirectiveType"/>
        <xsd:element name="definition_unknown"
            type="oval-res:DirectiveType"/>
        <xsd:element name="definition_error"
            type="oval-res:DirectiveType"/>
        <xsd:element name="definition_not_evaluated"
            type="oval-res:DirectiveType"/>
        <xsd:element
            name="definition_not_applicable"
            type="oval-res:DirectiveType"/>
    </xsd:sequence>
</xsd:complexType>
<xsd:complexType name="DefaultDirectivesType">
    <xsd:annotation>
        <xsd:documentation>The DefaultDirectivesType

```



```
    complex type presents the default set of
    flags that describe what information has
    been included in the results document. See
    the definition of the
    oval-res:DirectivesType for more
    information.</xsd:documentation>
<xsd:documentation>The optional
    include_source_definitions attribute
    indicates whether or not the source OVAL
    Definitions document has been included in
    the results document. A value of false
    indicates that the source OVAL Definitions
    has not been included. By default the
    source document is
    included.</xsd:documentation>
</xsd:annotation>
<xsd:complexContent>
  <xsd:extension
    base="oval-res:DirectivesType">
    <xsd:attribute
      name="include_source_definitions"
      type="xsd:boolean" default="true"
      use="optional"/>
  </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<xsd:complexType name="ClassDirectivesType">
  <xsd:annotation>
    <xsd:documentation>The ClassDirectivesType
      complex type presents a set of flags that
      describe what information has been
      included in the results document for a
      specific OVAL Definition class. See the
      definition of the oval-res:DirectivesType
      for more information.</xsd:documentation>
    <xsd:documentation>The required class
      attribute allows a set of directives to be
      specified for each supported OVAL
      Definition class (See the definition of
      the oval:ClassEnumeration for more
      information about the supported classes).
      A set of class specific directives
      overrides the default directives for the
      specified definition class. A given class
      may be specified once.</xsd:documentation>
  </xsd:annotation>
  <xsd:complexContent>
    <xsd:extension
```



```

        base="oval-res:DirectivesType">
        <xsd:attribute name="class"
            type="oval:ClassEnumeration"
            use="required"/>
    </xsd:extension>
</xsd:complexContent>
</xsd:complexType>
<xsd:complexType name="DirectiveType">
    <xsd:annotation>
        <xsd:documentation>An individual directive
            element determines whether or not a
            specific type of result is included in the
            results document. The required reported
            attribute controls this by providing a
            true or false for the specific directive.
            The optional content attribute controls
            how much information about the specific
            result is provided. For example, thin
            content would only be the id of the
            definition and the result, while a full
            content set would be the definition id
            with the result along with results for all
            the individual tests and extended
            definitions. Please refer to the
            oval-res:ContentEnumeration for details
            about the different content
            options.</xsd:documentation>
    </xsd:annotation>
    <xsd:attribute name="reported"
        type="xsd:boolean" use="required"/>
    <xsd:attribute name="content"
        type="oval-res:ContentEnumeration"
        use="optional" default="full"/>
</xsd:complexType>
<!-- ===== -->
<!-- ===== OVAL DEFINITIONS ===== -->
<!-- ===== -->
<!--
    The oval_definitions element is defined by the oval
    definitions schema. Please refer to that
    documentation for a description of the valid elements
    and types.
-->
<!-- ===== -->
<!-- ===== RESULTS ===== -->
<!-- ===== -->
    <xsd:complexType name="ResultsType">
        <xsd:annotation>

```



```
<xsd:documentation>The ResultsType complex
  type is a container for one or more system
  elements. Each system element defines the
  results associated with an individual
  system. Please refer to the description of
  SystemType for more information about an
  individual system
  element.</xsd:documentation>
</xsd:annotation>
<xsd:sequence>
  <xsd:element name="system"
    type="oval-res:SystemType" minOccurs="1"
    maxOccurs="unbounded">
    <xsd:key name="definitionInstanceKey">
      <xsd:annotation>
        <xsd:documentation>Enforce uniqueness
          in the combination of OVAL id,
          version, and variable_instance in
          order to differentiate the
          individual definition
          elements.</xsd:documentation>
        </xsd:annotation>
        <xsd:selector
          xpath="oval-res:definitions/oval-res:definition"/>
        <xsd:field xpath="@definition_id"/>
        <xsd:field xpath="@version"/>
        <xsd:field xpath="@variable_instance"/>
      </xsd:key>
      <xsd:key name="testVersionKey">
        <xsd:annotation>
          <xsd:documentation>Enforce uniqueness
            in the combination of the individual
            test ids, version, and the
            variable_instance of the
            test.</xsd:documentation>
          </xsd:annotation>
          <xsd:selector
            xpath="oval-res:tests/oval-res:test"/>
          <xsd:field xpath="@test_id"/>
          <xsd:field xpath="@version"/>
          <xsd:field xpath="@variable_instance"/>
        </xsd:key>
      <xsd:keyref
        name="definitionInstanceKeyRef"
        refer="oval-res:definitionInstanceKey">
        <xsd:annotation>
          <xsd:documentation>Requires each
            definition reference (used by
```



```
    or /oval-res:oval_results/
    oval-res:directives/*[(@reported='true' or
    @reported='1') and not(@content)] or
    /oval-res:oval_results/
    oval-res:class_directives/*
    [@reported='true' or @reported='1']/
    @content='full' or /oval-res:oval_results/
    oval-res:class_directives/*
    [(@reported='true' or @reported='1') and
    not(@content)]"
    > The tests element should not be
    included unless full results are to
    be provided (see directives)
  </sch:assert>
</sch:rule>
<sch:rule
  context="oval-res:system
  [not(oval-res:tests)]">
  <!-- Confirm that nothing anywhere
  expects full results -->
  <sch:assert
    test="not(oval-res:oval_results/
    oval-res:directives/*
    [@reported='true' or @reported='1']/
    @content='full') and
    not(/oval-res:oval_results/
    oval-res:directives/*
    [(@reported='true' or @reported='1') and
    not(@content)]) and
    not(/oval-res:oval_results/
    oval-res:class_directives/*
    [@reported='true' or
    @reported='1']/@content='full') and
    not(/oval-res:oval_results/
    oval-res:class_directives/*
    [(@reported='true' or
    @reported='1') and
    not(@content)])"
    > The tests element should be
    included when full results are
    specified (see directives)
  </sch:assert>
</sch:rule>
</sch:pattern>
</xsd:appinfo>
</xsd:annotation>
<xsd:sequence>
  <xsd:element name="definitions"
```



```

    type="oval-res:DefinitionsType"
    minOccurs="0" maxOccurs="1"/>
<xsd:element name="tests"
  type="oval-res:TestsType" minOccurs="0"
  maxOccurs="1"/>
<xsd:element
  ref="oval-sc:oval_system_characteristics">
  <xsd:annotation>
    <xsd:appinfo>
      <sch:pattern id="oval-res_mask_rule">
        <sch:rule
          context="/oval-res:oval_results/
            oval-res:results/oval-res:system/
            oval-sc:oval_system_characteristics/
            oval-sc:system_data/*/*|
            /oval-res:oval_results/oval-res:results/
            oval-res:system/
            oval-sc:oval_system_characteristics/
            oval-sc:system_data/*/*/*">
          <sch:assert
            test="not(@mask) or
              @mask='false' or
              @mask='0' or.=''"
            >item <sch:value-of
              select="../@id"/> - a value
              for the <sch:value-of
              select="name()"/> entity
              should only be supplied if the
              mask attribute is
              'false'.</sch:assert>
          </sch:rule>
        </sch:pattern>
      </xsd:appinfo>
    </xsd:annotation>
  </xsd:element>
</xsd:sequence>
</xsd:complexType>
<xsd:complexType name="DefinitionsType">
  <xsd:annotation>
    <xsd:documentation>The DefinitionsType
      complex type is a container for one or
      more definition elements. Each definition
      element holds the result of the evaluation
      of an OVAL Definition. Please refer to the
      description of DefinitionType for more
      information about an individual definition
      element.</xsd:documentation>
  </xsd:annotation>

```



```
<xsd:sequence>
  <xsd:element name="definition"
    type="oval-res:DefinitionType"
    minOccurs="1" maxOccurs="unbounded"/>
</xsd:sequence>
</xsd:complexType>
<xsd:complexType name="DefinitionType">
  <xsd:annotation>
    <xsd:documentation>The DefinitionType
      complex type holds the result of the
      evaluation of an OVAL Definition. The
      message element holds an error message or
      some other string that the analysis engine
      wishes to pass along. In addition, the
      optional criteria element provides the
      results of the individual pieces of the
      criteria. Please refer to the description
      of the CriteriaType for more
      information.</xsd:documentation>
    <xsd:documentation>The required
      definition_id attribute is the OVAL id of
      the definition.</xsd:documentation>
    <xsd:documentation>The required version
      attribute is the specific version of the
      OVAL Definition used during
      analysis.</xsd:documentation>
    <xsd:documentation>The optional
      variable_instance attribute is a unique id
      that differentiates each unique instance
      of a definition. Capabilities that use
      OVAL may reference the same definition
      multiple times and provide different
      variable values each time the definition
      is referenced. This will result in
      multiple instances of a definition being
      included in the OVAL Results document
      (definitions that do not use variables can
      only have one unique instance). The
      inclusion of this unique instance
      identifier allows the OVAL Results
      document to associate the correct objects
      and items for each combination of supplied
      values.</xsd:documentation>
    <xsd:documentation>The optional class
      attribute ...</xsd:documentation>
    <xsd:documentation>The required result
      attribute holds the result of the
      evaluation. Please refer to the
```



```
description of the ResultEnumeration for
details about the different result
values.</xsd:documentation>
<xsd:appinfo>
  <sch:pattern id="oval-res_directives">
    <!-- Check definition_true
         reported='true' and content='full' -->
    <sch:rule
      context="oval-res:definition
[@result='true' and oval-res:criteria]">
    <!-- Check that the global directives say to
         report this and that there are no class
         directives for this class (to override
         the global directive), or that the class
         directive for this class says to report
         this. -->
    <sch:assert
      test="((/oval-res:oval_results/
oval-res:directives/
oval-res:definition_true/
@reported='true' or
/oval-res:oval_results/
oval-res:directives/
oval-res:definition_true/
@reported='1') and
not(oval-res:oval_results/
oval-res:class_directives
[@class = ./@class])) or
(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_true/
@reported='true' or
/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_true/
@reported='1')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of TRUE
    should not be included (see
    directives) </sch:assert>
  <sch:assert
    test="((/oval-res:oval_results/
oval-res:directives/
oval-res:definition_true/
@content='full') and
```



```
not(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class])) or
(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_true/
@content='full')">
<sch:value-of
  select="@definition_id"/> -
definitions with a result of TRUE
should contain THIN content (see
directives) </sch:assert>
</sch:rule>

<!-- Check definition_true
reported='true' and content='thin' -->
<sch:rule
context="oval-res:definition[@result='true'
and not(oval-res:criteria)]">
<sch:assert
  test="((/oval-res:oval_results/
oval-res:directives/
oval-res:definition_true/
@reported='true' or
/oval-res:oval_results/
oval-res:directives/
oval-res:definition_true/
@reported='1') and not
(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class])) or
(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_true/
@reported='true' or
/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_true/@reported='1')">
<sch:value-of
  select="@definition_id"/> -
definitions with a result of TRUE
should not be included (see
directives) </sch:assert>
<sch:assert
  test="((/oval-res:oval_results/
```



```

    oval-res:directives/
    oval-res:definition_true/@content='thin')
    and not(/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class])) or
    (/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class]/
    oval-res:definition_true/
    @content='thin')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of TRUE
    should contain FULL content (see
    directives) </sch:assert>
</sch:rule>

<!-- Check definition_false reported='true'
and content='full' -->
<sch:rule
  context="oval-res:definition[@result='false'
and oval-res:criteria]">
  <sch:assert
    test="((/oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_false/
    @reported='true' or
    /oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_false/
    @reported='1') and
    not(/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class]/
    oval-res:definition_false/
    @reported='true' or
    /oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class]/
    oval-res:definition_false/@reported='1')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of FALSE
    should not be included (see
    directives) </sch:assert>
</sch:assert>

```



```
test="(/oval-res:oval_results/
oval-res:directives/
oval-res:definition_false/@content='full')
and not(/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]))
or (/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_false/@content='full')">
<sch:value-of
  select="@definition_id"/> -
definitions with a result of FALSE
should contain THIN content (see
directives) </sch:assert>
</sch:rule>

<!-- Check definition_false reported='true'
and content='thin' -->
<sch:rule
context="oval-res:definition[@result='false' and
not(oval-res:criteria)]">
<sch:assert
  test="(/oval-res:oval_results/
oval-res:directives/oval-res:definition_false/
@reported='true' or /oval-res:oval_results/
oval-res:directives/oval-res:definition_false/
@reported='1') and not(/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]))
or (/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_false/@reported='true' or
/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_false/@reported='1')">
<sch:value-of
  select="@definition_id"/> -
definitions with a result of FALSE
should not be included (see
directives) </sch:assert>
<sch:assert
  test="(/oval-res:oval_results/
oval-res:directives/oval-res:definition_false/
@content='thin') and not(/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]))
or (/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_false/@content='thin')">
<sch:value-of
  select="@definition_id"/> -
```



```
        definitions with a result of FALSE
        should contain FULL content (see
        directives) </sch:assert>
</sch:rule>

<!-- Check definition_unknown reported='true'
and content='full' -->
<sch:rule
  context="oval-res:definition[@result='unknown'
and oval-res:criteria]">
  <sch:assert
    test="(/oval-res:oval_results/
oval-res:directives/
oval-res:definition_unknown/
@reported='true' or /oval-res:oval_results/
oval-res:directives/
oval-res:definition_unknown/@reported='1')
and not(/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]))
or (/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_unknown/@reported='true'
or /oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_unknown/@reported='1')">
  <sch:value-of
    select="@definition_id"/> -
    definitions with a result of UNKNOWN
    should not be included (see
    directives) </sch:assert>
  <sch:assert
    test="(/oval-res:oval_results/
oval-res:directives/oval-res:definition_unknown/
@content='full') and not(oval-res:oval_results/
oval-res:class_directives[@class = ./@class]))
or (/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_unknown/@content='full')">
  <sch:value-of
    select="@definition_id"/> -
    definitions with a result of UNKNOWN
    should contain THIN content (see
    directives) </sch:assert>
</sch:rule>

<!-- Check definition_unknown reported='true'
and content='thin' -->
```



```
<sch:rule
  context="oval-res:definition[@result='unknown'
  and not(oval-res:criteria)]">
  <sch:assert
    test="(/oval-res:oval_results/
    oval-res:directives/oval-res:definition_unknown/
    @reported='true' or /oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_unknown/@reported='1')
    and not(/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_unknown/@reported='true' or
    /oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_unknown/@reported='1')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of UNKNOWN
    should not be included (see
    directives) </sch:assert>
  <sch:assert
    test="(/oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_unknown/@content='thin')
    and not(/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class]/oval-res:definition_unknown/
    @content='thin')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of UNKNOWN
    should contain FULL content (see
    directives) </sch:assert>
</sch:rule>

<!-- Check definition_error reported='true'
and content='full' -->
<sch:rule
  context="oval-res:definition[@result='error'
  and oval-res:criteria]">
  <sch:assert
    test="(/oval-res:oval_results/
    oval-res:directives/oval-res:definition_error/
    @reported='true' or /oval-res:oval_results/
```



```

    oval-res:directives/oval-res:definition_error/
    @reported='1') and not(/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_error/@reported='true' or
    /oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_error/@reported='1')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of ERROR
    should not be included (see
    directives) </sch:assert>
  <sch:assert
    test="( (/oval-res:oval_results/
    oval-res:directives/oval-res:definition_error/
    @content='full') and not(oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_error/@content='full')">
    <sch:value-of
      select="@definition_id"/> -
    definitions with a result of ERROR
    should contain THIN content (see
    directives) </sch:assert>
</sch:rule>

<!-- Check definition_error reported='true' and
    content='thin' -->
<sch:rule
  context="oval-res:definition[@result='error'
  and not(oval-res:criteria)]">
  <sch:assert
    test="( (/oval-res:oval_results/
    oval-res:directives/oval-res:definition_error/
    @reported='true' or /oval-res:oval_results/
    oval-res:directives/oval-res:definition_error/
    @reported='1') and not(/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_unknown/
    @reported='true' or /oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_unknown/@reported='1')">
    <sch:value-of

```



```

        select="@definition_id"/> -
        definitions with a result of ERROR
        should not be included (see
        directives) </sch:assert>
<sch:assert
  test="(/oval-res:oval_results/
  oval-res:directives/oval-res:definition_error/
  @content='thin') and not(/oval-res:oval_results/
  oval-res:class_directives[@class = ./@class]))
  or (/oval-res:oval_results/
  oval-res:class_directives[@class = ./@class]/
  oval-res:definition_error/@content='thin')">
  <sch:value-of
    select="@definition_id"/> -
    definitions with a result of ERROR
    should contain FULL content (see
    directives) </sch:assert>
</sch:rule>

<!-- Check definition_not_evaluated
  reported='true' and content='full' -->
<sch:rule
  context="oval-res:definition[@result='not evaluated'
  and oval-res:criteria]">
  <sch:assert
    test="(/oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_not_evaluated/
    @reported='true' or /oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_not_evaluated/@reported='1')
    and not(/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_not_evaluated/
    @reported='true' or /oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]/
    oval-res:definition_not_evaluated/
    @reported='1')">
    <sch:value-of
      select="@definition_id"/> -
      definitions with a result of NOT
      EVALUATED should not be included
      (see directives) </sch:assert>
  <sch:assert
    test="(/oval-res:oval_results/
    oval-res:directives/

```



```

    oval-res:definition_not_evaluated/
    @content='full') and not
    (/oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives[@class =
    ./@class]/oval-res:definition_not_evaluated/
    @content='full')">
    <sch:value-of
        select="@definition_id"/> -
    definitions with a result of NOT
    EVALUATED should contain THIN
    content (see directives)
</sch:assert>
</sch:rule>

<!-- Check definition_not_evaluated
    reported='true' and content='thin' -->
<sch:rule
    context="oval-res:definition
    [@result='not evaluated' and
    not(oval-res:criteria)]">
    <sch:assert
        test="((/oval-res:oval_results/
        oval-res:directives/
        oval-res:definition_not_evaluated/
        @reported='true' or /oval-res:oval_results/
        oval-res:directives/
        oval-res:definition_not_evaluated/
        @reported='1') and not(/oval-res:oval_results/
        oval-res:class_directives[@class = ./@class]))
        or (/oval-res:oval_results/
        oval-res:class_directives[@class =
        ./@class]/oval-res:definition_not_evaluated/
        @reported='true' or /oval-res:oval_results/
        oval-res:class_directives[@class = ./@class]/
        oval-res:definition_not_evaluated/
        @reported='1')">
        <sch:value-of
            select="@definition_id"/> -
        definitions with a result of NOT
        EVALUATED should not be included
        (see directives) </sch:assert>
    <sch:assert
        test="((/oval-res:oval_results/
        oval-res:directives/
        oval-res:definition_not_evaluated/
        @content='thin') and not

```



```
(/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]))
or (/oval-res:oval_results/
oval-res:class_directives[@class = ./@class]/
oval-res:definition_not_evaluated/
@content='thin')">
<sch:value-of
  select="@definition_id"/> -
definitions with a result of NOT
EVALUATED should contain FULL
content (see directives)
</sch:assert>
</sch:rule>

<!-- Check definition_not_applicable
reported='true' and content='full' -->
<sch:rule
context="oval-res:definition
[@result='not applicable' and
oval-res:criteria]">
<sch:assert
  test="((/oval-res:oval_results/
oval-res:directives/
oval-res:definition_not_applicable/
@reported='true' or /oval-res:oval_results/
oval-res:directives/
oval-res:definition_not_applicable/
@reported='1') and not
(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class])) or
(/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_not_applicable/
@reported='true' or
/oval-res:oval_results/
oval-res:class_directives
[@class = ./@class]/
oval-res:definition_not_applicable/
@reported='1')">
<sch:value-of
  select="@definition_id"/> -
definitions with a result of NOT
APPLICABLE should not be included
(see directives) </sch:assert>
<sch:assert
  test="((/oval-res:oval_results/
```



```

    oval-res:directives/
    oval-res:definition_not_applicable/
    @content='full') and not
    (oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]))
    or (/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class]/
    oval-res:definition_not_applicable/
    @content='full')">
    <sch:value-of
      select="@definition_id"/> -
      definitions with a result of NOT
      APPLICABLE should contain THIN
      content (see directives)
    </sch:assert>
  </sch:rule>

```

```

<!-- Check definition_not_applicable
    reported='true' and content='thin' -->
<sch:rule
  context="oval-res:definition
  [@result='not applicable' and
  not(oval-res:criteria)]">
  <sch:assert
    test="(/oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_not_applicable/
    @reported='true' or /oval-res:oval_results/
    oval-res:directives/
    oval-res:definition_not_applicable/
    @reported='1') and not
    (/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class])) or
    (/oval-res:oval_results/
    oval-res:class_directives
    [@class = ./@class]/
    oval-res:definition_not_applicable/
    @reported='true' or /oval-res:oval_results/
    oval-res:class_directives[@class = ./@class]
    /oval-res:definition_not_applicable/
    @reported='1')">
    <sch:value-of
      select="@definition_id"/> -
      definitions with a result of NOT
      APPLICABLE should not be included
      (see directives) </sch:assert>

```



```

    <sch:assert
      test="((/oval-res:oval_results/
        oval-res:directives/
        oval-res:definition_not_applicable/
        @content='thin') and not
        (oval-res:oval_results/
        oval-res:class_directives
        [@class = ./@class])) or
        (/oval-res:oval_results/
        oval-res:class_directives
        [@class = ./@class]/
        oval-res:definition_not_applicable/
        @content='thin'))">
      <sch:value-of
        select="@definition_id"/> -
      definitions with a result of NOT
      APPLICABLE should contain FULL
      content (see directives)
    </sch:assert>
  </sch:rule>
</sch:pattern>
</xsd:appinfo>
</xsd:annotation>
<xsd:sequence>
  <xsd:element name="message"
    type="oval:MessageType" minOccurs="0"
    maxOccurs="unbounded"/>
  <xsd:element name="criteria"
    type="oval-res:CriteriaType" minOccurs="0"
    maxOccurs="1"/>
</xsd:sequence>
<xsd:attribute name="definition_id"
  type="oval:DefinitionIDPattern"
  use="required"/>
<xsd:attribute name="version"
  type="xsd:nonNegativeInteger" use="required"/>
<xsd:attribute name="variable_instance"
  type="xsd:nonNegativeInteger" use="optional"
  default="1"/>
<xsd:attribute name="class"
  type="oval:ClassEnumeration" use="optional"/>
<xsd:attribute name="result"
  type="oval-res:ResultEnumeration"
  use="required"/>
</xsd:complexType>
<xsd:complexType name="CriteriaType">
  <xsd:annotation>
    <xsd:documentation>The CriteriaType complex

```


type describes the high level container for all the tests and represents the meat of the definition. Each criteria can contain other criteria elements in a recursive structure allowing complex logical trees to be constructed. Each referenced test is represented by a criterion element. Please refer to the description of the CriterionType for more information about an individual criterion element. The optional extend_definition element allows existing definitions to be included in the criteria. Refer to the description of the ExtendDefinitionType for more information.</xsd:documentation>

<xsd:documentation>The required operator attribute provides the logical operator that binds the different statements inside a criteria together. The optional negate attribute signifies that the result of an extended definition should be negated during analysis. For example, consider a definition that evaluates TRUE if a certain software is installed. By negating the definition, it now evaluates to TRUE if the software is NOT installed. The required result attribute holds the result of the evaluation of the criteria. Note that this would be after any negation operation has been applied. Please refer to the description of the ResultEnumeration for details about the different result values.</xsd:documentation>

<xsd:documentation>The optional applicability_check attribute provides a Boolean flag that when true indicates that the criteria is being used to determine whether the OVAL Definition applies to a given system.</xsd:documentation>

</xsd:annotation>

<xsd:choice minOccurs="1" maxOccurs="unbounded">

<xsd:element name="criteria" type="oval-res:CriteriaType"/>

<xsd:element name="criterion" type="oval-res:CriterionType"/>

<xsd:element name="extend_definition"


```
        type="oval-res:ExtendDefinitionType"/>
</xsd:choice>
<xsd:attribute name="applicability_check"
  type="xsd:boolean" use="optional"/>
<xsd:attribute name="operator"
  type="oval:OperatorEnumeration"
  use="required"/>
<xsd:attribute name="negate"
  type="xsd:boolean" use="optional"
  default="false"/>
<xsd:attribute name="result"
  type="oval-res:ResultEnumeration"
  use="required"/>
</xsd:complexType>
<xsd:complexType name="CriterionType">
  <xsd:annotation>
    <xsd:documentation>The CriterionType complex
      type identifies a specific test that is
      included in the definition's
      criteria.</xsd:documentation>
    <xsd:documentation>The optional
      applicability_check attribute provides a
      Boolean flag that when true indicates that
      the criterion is being used to determine
      whether the OVAL Definition applies to a
      given system.</xsd:documentation>
    <xsd:documentation>The required test_ref
      attribute is the actual id of the included
      test.</xsd:documentation>
    <xsd:documentation>The required version
      attribute is the specific version of the
      OVAL Test used during
      analysis.</xsd:documentation>
    <xsd:documentation>The optional
      variable_instance attribute differentiates
      between unique instances of a test. This
      can happen when a test includes a variable
      reference and different variable values
      are used by different
      definitions.</xsd:documentation>
    <xsd:documentation>The optional negate
      attribute signifies that the result of an
      individual test should be negated during
      analysis. For example, consider a test
      that evaluates to TRUE if a specific patch
      is installed. By negating this test, it
      now evaluates to TRUE if the patch is NOT
      installed.</xsd:documentation>
```



```
<xsd:documentation>The required result
  attribute holds the result of the
  evaluation. Please refer to the
  description of the ResultEnumeration for
  details about the different result
  values.</xsd:documentation>
</xsd:annotation>
<xsd:attribute name="applicability_check"
  type="xsd:boolean" use="optional"/>
<xsd:attribute name="test_ref"
  type="oval:TestIDPattern" use="required"/>
<xsd:attribute name="version"
  type="xsd:nonNegativeInteger" use="required"/>
<xsd:attribute name="variable_instance"
  type="xsd:nonNegativeInteger" use="optional"
  default="1"/>
<xsd:attribute name="negate"
  type="xsd:boolean" use="optional"
  default="false"/>
<xsd:attribute name="result"
  type="oval-res:ResultEnumeration"
  use="required"/>
</xsd:complexType>
<xsd:complexType name="ExtendDefinitionType">
  <xsd:annotation>
    <xsd:documentation>The ExtendDefinitionType
      complex type identifies a specific
      definition that has been extended by the
      criteria.</xsd:documentation>
    <xsd:documentation>The optional
      applicability_check attribute provides a
      Boolean flag that when true indicates that
      the extend_definition is being used to
      determine whether the OVAL Definition
      applies to a given
      system.</xsd:documentation>
    <xsd:documentation>The required
      definition_ref attribute is the actual id
      of the extended
      definition.</xsd:documentation>
    <xsd:documentation>The required version
      attribute is the specific version of the
      OVAL Definition used during
      analysis.</xsd:documentation>
    <xsd:documentation>The optional
      variable_instance attribute is a unique id
      that differentiates each unique instance
      of a definition. Capabilities that use
```


OVAL may reference the same definition multiple times and provide different variable values each time the definition is referenced. This will result in multiple instances of a definition being included in the OVAL Results document (definitions that do not use variables can only have one unique instance). The inclusion of this unique instance identifier allows the OVAL Results document to associate the correct objects and items for each combination of supplied values.

```
</xsd:documentation>
<xsd:documentation>The optional negate
  attribute signifies that the result of an
  extended definition should be negated
  during analysis. For example, consider a
  definition that evaluates TRUE if certain
  software is installed. By negating the
  definition, it now evaluates to TRUE if
  the software is NOT
  installed.</xsd:documentation>
<xsd:documentation>The required result
  attribute holds the result of the
  evaluation. Please refer to the
  description of the ResultEnumeration for
  details about the different result
  values.</xsd:documentation>
</xsd:annotation>
<xsd:attribute name="applicability_check"
  type="xsd:boolean" use="optional"/>
<xsd:attribute name="definition_ref"
  type="oval:DefinitionIDPattern"
  use="required"/>
<xsd:attribute name="version"
  type="xsd:nonNegativeInteger" use="required"/>
<xsd:attribute name="variable_instance"
  type="xsd:nonNegativeInteger" use="optional"
  default="1"/>
<xsd:attribute name="negate"
  type="xsd:boolean" use="optional"
  default="false"/>
<xsd:attribute name="result"
  type="oval-res:ResultEnumeration"
  use="required"/>
</xsd:complexType>
<xsd:complexType name="TestsType">
  <xsd:annotation>
```



```
<xsd:documentation>The TestsType complex
  type is a container for one or more test
  elements. Each test element holds the
  result of the evaluation of an OVAL Test.
  Please refer to the description of
  TestType for more information about an
  individual test
  element.</xsd:documentation>
</xsd:annotation>
<xsd:sequence>
  <xsd:element name="test"
    type="oval-res:TestType" minOccurs="1"
    maxOccurs="unbounded"/>
</xsd:sequence>
</xsd:complexType>
<xsd:complexType name="TestType">
  <xsd:annotation>
    <xsd:documentation>The TestType complex type
      provides a reference to every item that
      matched the object section of the original
      test as well as providing an overall test
      result based on those items. The optional
      message element holds an error message or
      some other string that the analysis engine
      wishes to pass along. The optional
      tested_variable elements hold the value of
      each variable used by the test during
      evaluation. This includes the values used
      in both OVAL Objects and OVAL States. If a
      variable represents a collection of
      values, then multiple tested_variable
      elements would exist with the same
      variable_id attribute. Please refer to the
      description of oval-res:TestedVariableType
      for more information.</xsd:documentation>
    <xsd:documentation>The required test_id
      attribute identifies the test and must
      conform to the format specified by the
      oval:TestIDPattern simple
      type.</xsd:documentation>
    <xsd:documentation>The required version
      attribute is the specific version of the
      OVAL Test used during
      analysis.</xsd:documentation>
    <xsd:documentation>The optional
      variable_instance attribute differentiates
      between unique instances of a test. This
      can happen when a test includes a variable
```


reference and different values for that variable are used by different definitions.</xsd:documentation>

<xsd:documentation>The check_existence, check, and state_operator attributes reflect the values that were specified on the test as it was evaluated. These evaluation control attributes are copied into the OVAL Results file to enable post processing of results documents. More information on each of these attributes is provided with the definition of the oval-def:TestType.</xsd:documentation>

<xsd:documentation>The required result attribute holds the result of the evaluation after all referenced items have been examined and the evaluation control attributes have been applied. Please refer to the description of the oval-res:ResultEnumeration for details about the different result values. In general, the overall result of an OVAL Test is determined by combining the results of each matching item based first on the check_existence attribute, then the check attribute, and finally the state_operator attribute.</xsd:documentation>

<xsd:documentation>The following section provides a more detailed description of how the result for an OVAL Test is determined when using an OVAL System Characteristics document. An OVAL System Characteristics document can contain an optional collected_objects section. When the collected_objects section is present the following rules specify how the overall result for an OVAL Test is determined: When an oval-sc:collected_objects/oval-sc:object with an id that matches the OVAL Object id that is referenced by the OVAL Test is not found, the result for the OVAL Test must be "unknown". When the flag attribute of the corresponding oval-sc:collected_objects/oval-sc:object is "error", the result of the OVAL Test must be "error". When the flag attribute

of the corresponding oval-sc:collected_objects/oval-sc:object is "not collected", the result of the OVAL Test must be "unknown". When the flag attribute of the corresponding oval-sc:collected_objects/oval-sc:object is "not applicable", the result of the OVAL Test must be "not applicable". When the flag attribute of the corresponding oval-sc:collected_objects/oval-sc:object is "does not exist", the result of the OVAL Test is determined by examining the check_existence attribute's value and if the check_existence attribute is "none_exist" or "any_exist" the OVAL Test should evaluate to "true", for all other values of the check_existence attribute the OVAL Test should evaluate to "false". The check and state_operator attributes do not need to be considered in this condition. When the flag attribute of the corresponding oval-sc:collected_objects/oval-sc:object is "complete", the result of the OVAL Test is determined by first evaluating the check_existence attribute specified by the OVAL Test and then evaluating the check and state_operator attributes. The check attribute only needs to be considered if the result of evaluating the check_existence attribute is "true". When the flag attribute of the corresponding oval-sc:collected_objects/oval-sc:object is "incomplete", the result of the OVAL Test must be "unknown" with the following exceptions: 1) When the check_existence attribute of the OVAL Test is set to "none_exist" and the collected object has 1 or more item references with a status of "exists", a result of "false" must be reported; 2) When the check_existence attribute of the OVAL Test is set to "only_one_exists", the collected object has more than 1 item reference with a status of "exists", a result of "false" must be reported; 3) If after evaluating the check_existence attribute a non "true" result has not been determined, the check

attribute must be considered as follows:
 3a) If the check attribute evaluation results in "false", then the OVAL Test result must be "false"; 3b) If the check attribute is set to "at_least_one_satisfies" and its evaluation results in "true", the OVAL Test result must be "true". When the collected_objects section is not present in the OVAL System Characteristics document, the evaluation engine must search the system characteristics for all Items that match the OVAL Object referenced by the OVAL Test. The set of matching OVAL Items is then evaluated first based on the check_existence attribute, then the check attribute, and finally the state_operator attribute.</xsd:documentation>

```
<xsd:appinfo>
  <sch:pattern id="oval-res_testids">
    <sch:rule context="oval-res:test">
      <sch:assert
        test="@test_id = ../../oval-res:definitions//
        oval-res:criterion/@test_ref"
        ><sch:value-of select="@test_id"/>
        - the specified test is not used in
        any definition's
        criteria</sch:assert>
      </sch:rule>
    </sch:pattern>
  </xsd:appinfo>
</xsd:annotation>
<xsd:sequence>
  <xsd:element name="message"
    type="oval:MessageType" minOccurs="0"
    maxOccurs="unbounded"/>
  <xsd:element name="tested_item"
    type="oval-res:TestedItemType"
    minOccurs="0" maxOccurs="unbounded"/>
  <xsd:element name="tested_variable"
    type="oval-res:TestedVariableType"
    minOccurs="0" maxOccurs="unbounded"/>
</xsd:sequence>
<xsd:attribute name="test_id"
  type="oval:TestIDPattern" use="required"/>
<xsd:attribute name="version"
  type="xsd:nonNegativeInteger" use="required"/>
```



```
<xsd:attribute name="variable_instance"
  type="xsd:nonNegativeInteger" use="optional"
  default="1"/>
<xsd:attribute name="check_existence"
  type="oval:ExistenceEnumeration"
  use="optional" default="at_least_one_exists"/>
<xsd:attribute name="check"
  type="oval:CheckEnumeration" use="required"/>
<xsd:attribute name="state_operator"
  type="oval:OperatorEnumeration"
  use="optional" default="AND"/>
<xsd:attribute name="result"
  type="oval-res:ResultEnumeration"
  use="required"/>
</xsd:complexType>
<xsd:complexType name="TestedItemType">
  <xsd:annotation>
    <xsd:documentation>The TestedItemType
      complex type holds a reference to a system
      characteristic item that matched the
      object specified in a test. Details of the
      item can be found in the
      oval_system_characteristics section of the
      OVAL Results document by using the
      required item_id. The optional message
      element holds an error message or some
      other message that the analysis engine
      wishes to pass along. The required result
      attribute holds the result of the
      evaluation of the individual item as it
      relates to the state specified by the
      test. If the test did not include a state
      reference then the result attribute will
      be set to 'not evaluated'. Please refer to
      the description of the ResultEnumeration
      for details about the different result
      values.</xsd:documentation>
    </xsd:annotation>
    <xsd:sequence>
      <xsd:element name="message"
        type="oval:MessageType" minOccurs="0"
        maxOccurs="unbounded"/>
    </xsd:sequence>
    <xsd:attribute name="item_id"
      type="oval:ItemIDPattern" use="required"/>
    <xsd:attribute name="result"
      type="oval-res:ResultEnumeration"
      use="required"/>
  </xsd:complexType>
```



```

</xsd:complexType>
<xsd:complexType name="TestedVariableType">
  <xsd:annotation>
    <xsd:documentation>The TestedVariableType
      complex type holds the value of a variable
      used during the evaluation of a test. Of
      special importance are the values of any
      external variables used since these values
      are not captured in either the definition
      or system characteristic documents. If a
      variable is represented by a collection of
      values, then multiple elements of
      TestedVariableType, each with the same
      variable_id attribute, would exist. The
      required variable_id attribute is the
      unique id of the variable that was
      used.</xsd:documentation>
  </xsd:annotation>
  <xsd:simpleContent>
    <xsd:extension base="xsd:anySimpleType">
      <xsd:attribute name="variable_id"
        type="oval:VariableIDPattern"
        use="required"/>
    </xsd:extension>
  </xsd:simpleContent>
</xsd:complexType>
<!-- ===== -->
<!-- ===== SIGNATURE ===== -->
<!-- ===== -->
<!--
      The signature element is defined by the xmldsig
      schema. Please refer to that documentation for
      a description of the valid elements and types.
      More information about the official W3C
      Recommendation regarding XML digital signatures
      can be found at http://www.w3.org/TR/xmldsig-core/.
-->
<!-- ===== -->
<!-- ===== ENUMERATIONS ===== -->
<!-- ===== -->
<xsd:simpleType name="ContentEnumeration">
  <xsd:annotation>
    <xsd:documentation>The ContentEnumeration
      defines the valid values for the
      directives controlling the amount of
      expected depth found in the results
      document. Each directive specified at the
      top of an OVAL Results document defines

```



```
    how much information should be included in
    the document for each of the different
    result types. The amount of content that
    is expected with each value is defined by
    Schematron statements embedded throughout
    the OVAL Results Schema. Currently, the
    enumeration defines two values: thin and
    full. Please refer to the documentation of
    each individual value of this enumeration
    for more information about what each
    means.</xsd:documentation>
</xsd:annotation>
<xsd:restriction base="xsd:string">
  <xsd:enumeration value="thin">
    <xsd:annotation>
      <xsd:documentation>A value of 'thin'
        means only the minimal amount of
        information will be provided. This is
        the id associated with an evaluated
        OVAL Definition and the result of the
        evaluation. The criteria child element
        of a definition should not be present
        when providing thin results. In
        addition, system characteristic
        information for the objects used by
        the given definition should not be
        presented.</xsd:documentation>
    </xsd:annotation>
  </xsd:enumeration>
  <xsd:enumeration value="full">
    <xsd:annotation>
      <xsd:documentation>A value of 'full'
        means that very detailed information
        will be provided allowing in-depth
        reports to be generated from the
        results. In addition to the results of
        the evaluated definition, the results
        of all extended definitions and tests
        included in the criteria as well as
        the actual information collected off
        the system must be
        presented.</xsd:documentation>
    </xsd:annotation>
  </xsd:enumeration>
</xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="ResultEnumeration">
  <xsd:annotation>
```



```
<xsd:documentation>The ResultEnumeration
  defines the acceptable result values for
  the DefinitionType, CriteriaType,
  CriterionType, ExtendDefinitionType,
  TestType, and TestedItemType
  constructs.</xsd:documentation>
</xsd:annotation>
<xsd:restriction base="xsd:string">
  <xsd:enumeration value="true">
    <xsd:annotation>
      <xsd:documentation>When evaluating a
        definition or test, a result value of
        'true' means that the characteristics
        being evaluated match the information
        represented in the system
        characteristic document. When
        evaluating a tested_item, and a state
        exists, a result value of 'true'
        indicates that the item matches the
        state.</xsd:documentation>
    </xsd:annotation>
  </xsd:enumeration>
  <xsd:enumeration value="false">
    <xsd:annotation>
      <xsd:documentation>When evaluating a
        definition or test, a result value of
        'false' means that the characteristics
        being evaluated do not match the
        information represented in the system
        characteristic document. When
        evaluating a tested_item, and a state
        exists, a result value of 'false'
        indicates that the item does not match
        the state.</xsd:documentation>
    </xsd:annotation>
  </xsd:enumeration>
  <xsd:enumeration value="unknown">
    <xsd:annotation>
      <xsd:documentation>When evaluating a
        definition or test, a result value of
        'unknown' means that the
        characteristics being evaluated cannot
        be found in the system characteristic
        document (or the characteristics can
        be found but collected object flag is
        'not collected'). For example, assume
        that a definition tests a file, but
        data pertaining to that file cannot be
```


found and is not recorded in the System Characteristics document. The lack of an item (in the system_data section) for this file in the System Characteristics document means that no attempt was made to collect information about the file. In this situation, there is no way of knowing what the result would be if the file was collected. Note that finding a collected_object element in the system characteristic document is not the same as finding a matching element of the system. When evaluating an OVAL Test, the lack of a matching object on a system (for example, file not found) does not cause a result of unknown since an test considers both the state of an item and its existence. In this case the test result would be based on the existence check specified by the check_existence attribute on the test. When evaluating a tested_item, and a state exists, a result value of 'unknown' indicates that it could not be determined whether or not the item and state match. For example, if a registry_object with a hive equal to HKEY_LOCAL_MACHINE, a key with the xsi:nil attribute set to 'true', and a name with the xsi:nil attribute set to 'true' was collected and compared against a registry_state with key entity equal to 'SOFTWARE', the tested_item result would be 'unknown' because an assertion of whether or not the item matches the state could not be determined since the key entity of the item was not collected.</xsd:documentation>

</xsd:annotation>

</xsd:enumeration>

<xsd:enumeration value="error">

<xsd:annotation>

<xsd:documentation>When evaluating a definition or test, a result value of 'error' means that the characteristics being evaluated exist in the system

characteristic document but there was an error either collecting information or in performing analysis. For example, if there was an error returned by an api when trying to determine if an object exists on a system. Another example would be: xsi:nil might be set on an object entity, but then the entity is compared to a state entity with a value, thus producing an error. When evaluating a tested_item, and a state exists, a result value of 'error' indicates that there was either an error collecting the item or there was an error analyzing the item against the state. For example, a tested_item will receive a result value of 'error' if an attempt is made to compare a state entity against an item entity that has a status of 'error'.</xsd:documentation>

```
</xsd:annotation>
```

```
</xsd:enumeration>
```

```
<xsd:enumeration value="not evaluated">
```

```
<xsd:annotation>
```

```
<xsd:documentation>When evaluating a definition or test, a result value of 'not evaluated' means that a choice was made not to evaluate the given definition or test. The actual result is not known since if evaluation had occurred the result could have been either true or false. When evaluating a tested_item, a result value of 'not evaluated' indicates that a state was not specified and is equivalent to an existence check.</xsd:documentation>
```

```
</xsd:annotation>
```

```
</xsd:enumeration>
```

```
<xsd:enumeration value="not applicable">
```

```
<xsd:annotation>
```

```
<xsd:documentation>When evaluating a definition or test, a result value of 'not applicable' means that the definition or test being evaluated is not valid on the given platform. For example, trying to collect Linux RPM
```



```
        information on a Windows system is not
        possible and so a result of not
        applicable is used. Another example
        would be in trying to collect RPM
        information on a linux system that
        does not have the RPM packaging system
        installed.</xsd:documentation>
    </xsd:annotation>
</xsd:enumeration>
</xsd:restriction>
</xsd:simpleType>
</xsd:schema>
```

18. Intellectual Property Considerations

Copyright (C) 2010 United States Government. All Rights Reserved.

DHS, on behalf of the United States, owns the registered OVAL trademarks, identifying the OVAL STANDARDS SUITE and any component part, as that suite has been provided to the IETF Trust. A "(R)" will be used in conjunction with the first use of any OVAL trademark in any document or publication in recognition of DHS's trademark ownership.

19. Acknowledgements

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20. IANA Considerations

This memo includes no request to IANA.

21. Security Considerations

While OVAL is just a set of data models and does not directly introduce security concerns, it does provide a mechanism by which to represent endpoint posture assessment information. This information could be extremely valuable to an attacker allowing them to learn about very sensitive information including, but, not limited to: security policies, systems on the network, criticality of systems,

software and hardware inventory, patch levels, user accounts and much more. To address this concern, all endpoint posture assessment information should be protected while in transit and at rest. Furthermore, it should only be shared with parties that are authorized to receive it.

Another possible security concern is due to the fact that content expressed as OVAL has the ability to impact how a security tool operates. For example, content may instruct a tool to collect certain information off a system or may be used to drive follow-up actions like remediation. As a result, it is important for security tools to ensure that they are obtaining OVAL content from a trusted source, that it has not been modified in transit, and that proper validation is performed in order to ensure it does not contain malicious data.

22. Change Log

22.1. -00 to -01

There are no textual changes associated with this revision. This revision simply reflects a resubmission of the document so that it remains in active status.

23. References

23.1. Normative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), DOI 10.17487/RFC2119, March 1997, <<http://www.rfc-editor.org/info/rfc2119>>.

23.2. Informative References

[OVAL-WEBSITE]
The MITRE Corporation, "The Open Vulnerability and Assessment Language", 2015, <<http://ovalproject.github.io/>>.

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