<u>draft-costello-idn-amc-ace-z-00.txt</u> Expires 2002-Jan-11

AMC-ACE-Z version 0.2.1

Status of this Memo

This document is an Internet-Draft and is in full conformance with all provisions of <u>Section 10 of RFC2026</u>.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at http://www.ietf.org/ietf/lid-abstracts.txt

The list of Internet-Draft Shadow Directories can be accessed at http://www.ietf.org/shadow.html

Distribution of this document is unlimited. Please send comments to the author at amc@cs.berkeley.edu, or to the idn working group at idn@ops.ietf.org. A non-paginated (and possibly newer) version of this specification may be available at http://www.cs.berkeley.edu/~amc/charset/

Abstract

AMC-ACE-Z is a simple and efficient ASCII-Compatible Encoding (ACE) designed for use with Internationalized Domain Names [IDN] [IDNA]. It transforms a Unicode string [UNICODE] into a string of characters allowed in hostname labels (ASCII letters, digits, and hyphens) and back again. AMC-ACE-Z is an instance of Bootstring that uses particular parameter values appropriate for IDNA and uses an IDNA signature prefix. Bootstring allows a string of basic code points to uniquely represent any string of code points drawn from a larger set. This document specifies Bootstring and the parameter values for AMC-ACE-Z.

Contents

- 1. Introduction
- 2. Terminology
- 3. Bootstring description
 - 3.1 Basic code point segregation

- 3.2 Insertion unsort coding
- 3.3 Generalized variable-length integers
- 3.4 Bias adaptation
- 4. Bootstring parameters
- 5. Parameter values for AMC-ACE-Z
- 6. Bootstring algorithms
 - 6.1 Bias adaptation function
 - 6.2 Decoding procedure
 - 6.3 Encoding procedure
- 7. AMC-ACE-Z example strings
- 8. Security considerations
- 9. References
- A. Author contact information
- B. Mixed-case annotation
- C. Sample implementation

1. Introduction

The IDNA draft [IDNA] describes an architecture for supporting internationalized domain names. Each label of a domain name may begin with a special prefix, in which case the remainder of the label is an ASCII-Compatible Encoding (ACE) of a Unicode string satisfying certain constraints. For the details of the constraints, see [IDNA] and [NAMEPREP]. The prefix has not yet been specified, but see http://www.i-d-n.net/ for prefixes to be used for testing and experimentation.

Bootstring has been designed to have the following features:

- * Completeness: Every extended string (sequence of arbitrary code points) can be represented by a basic string (sequence of basic code points). Restrictions on what strings are allowed, and on length, may be imposed by higher layers.
- * Uniqueness: Every extended string maps to at most one basic string.
- * Reversibility: Any extended string mapped to a basic string can be recovered from that basic string.
- * Efficient encoding: The ratio of extended string length to basic string length is small. This is important in the context of domain names because RFC 1034 [RFC1034] restricts the length of a domain label to 63 characters.
- * Simplicity: The encoding and decoding algorithms are reasonably simple to implement. The goals of efficiency and simplicity are at odds; Bootstring aims at a good balance between them.
- * Readability: Basic code points appearing in the extended string are represented as themselves in the basic string. This

comes for free because it makes the encoding more efficient on average.

In addition, AMC-ACE-Z can support an optional feature described in appendix B "Mixed-case annotation".

AMC-ACE-Z is a working name that should be changed if it is adopted. (The Z merely indicates that it is the twenty-sixth ACE devised by this author. Most were not worth releasing.)

2. Terminology

The key words "must", "shall", "required", "should", "recommended", and "may" in this document are to be interpreted as described in $\frac{RFC}{2119}$ [RFC2119].

As in the Unicode Standard [UNICODE], Unicode code points are denoted by "U+" followed by four to six hexadecimal digits, while a range of code points is denoted by two hexadecimal numbers separated by "..", with no prefixes.

The operators div and mod perform integer division; (x div y) is the quotient of x divided by y, discarding the remainder, and (x mod y) is the remainder, so (x div y) * y + (x mod y) == x. Bootstring uses these operators only with nonnegative operands, so the quotient and remainder are always nonnegative.

The ?: operator is a conditional; (x ? y : z) means y if x is true, z if x is false. It is just like "if x then y else z" except that y and z are expressions rather than statements.

The "break" statement jumps out of the innermost loop (as in C).

3. Bootstring description

Bootstring represents an arbitrary sequence of code points (the "extended string") as a sequence of basic code points (the "basic string"). This section describes the representation.

Section 6 "Bootstring algorithms" presents the algorithms as pseudocode. There is also commented C code in appendix C "Sample implementation".

3.1 Basic code point segregation

All basic code points appearing in the extended string are represented literally at the beginning of the basic string, in their original order, followed by a delimiter if (and only if) the number of basic code points is nonzero. The delimiter is a particular basic code point, which never appears in the remainder of the basic string. The decoder can therefore find the end of the literal portion (if there is one) by scanning for the last delimiter.

3.2 Insertion unsort coding

The remainder of the basic string (after the last delimiter if there is one) represents a sequence of nonnegative integral deltas as generalized variable-length integers, described in section 3.3. The meaning of the deltas is best understood in terms of the decoder.

The decoder builds the extended string incrementally. Initially, the extended string is a copy of the literal portion of the basic string (excluding the last delimiter). Each delta causes the decoder to insert a code point into the extended string according to the following procedure. There are two state variables: a code point n, and an index i that ranges from zero (which is the first position of the extended string) to the current length of the extended string (which refers to a potential position beyond the current end). The decoder advances the state monotonically (never returning to an earlier state) by taking steps only upward. Each step increments i, except when i already equals the length of the extended string, in which case a step resets i to zero and increments n. For each delta (in order), the decoder takes delta steps upward, then inserts the value n into the extended string at position i, then increments i (to skip over the code point just inserted). (An implementation should not take each step individually, but should insead use division and remainder calculations to advance by delta steps all at once.)

The encoder's main task is to derive the sequence of deltas that will cause the decoder to construct the desired string. It can do this by repeatedly scanning the extended string for the next code point that the decoder would need to insert, and counting the number of steps the decoder would need to take, mindful of the fact that the decoder will be stepping over only those code points that have already been inserted. Section 6.3 "Encoding procedure" gives a precise algorithm.

3.3 Generalized variable-length integers

In a conventional integer representation the base is the number of distinct symbols for digits, whose values are 0 through base-1. Let digit_0 denote the least significant digit, digit_1 the next least significant, and so on. The value represented is the sum over j of digit_j * w(j), where w(j) = base^j is the weight (scale factor) for position j. For example, in the base 8 integer 437, the digits are 7, 3, and 4, and the weights are 1, 8, and 64, so the value is 7 + 3*8 + 4*64 = 287. This representation has two disadvantages: First, there are multiple encodings of each value (because there can be extra zeros in the most significant positions), which is inconvenient when unique encodings are required. Second, the integer is not self-delimiting, so if multiple integers are concatenated the boundaries between them are lost.

The generalized variable-length representation solves these two problems. The digit values are still 0 through base-1, but now the integer is self-delimiting by means of thresholds t(j), each of which is in the range 0 through base-1. Exactly one digit, the most significant, satisfies $\text{digit_j} < t(j)$. Therefore, if several integers are concatenated, it is easy to separate them, starting with the first if they are little-endian (least significant digit first), or starting with the last if they are big-endian (most significant digit first). As before, the value is the sum over j of $\text{digit_j} * w(j)$, but the weights are different:

$$w(0) = 1$$

 $w(j) = w(j-1) * (base - t(j-1)) for j > 0$

For example, consider the little-endian sequence of base 8 digits 734251... Suppose the thresholds are 2, 3, 5, 5, 5... This implies that the weights are 1, 1*(8-2) = 6, 6*(8-3) = 30, 30*(8-5) = 90, 90*(8-5) = 270, and so on. 7 is not less than 2, and 3 is not less than 3, but 4 is less than 5, so 4 must be the last digit. The value of 734 is 7*1 + 3*6 + 4*30 = 145. The next integer is 251, with value 2*1 + 5*6 + 1*30 = 62. Decoding this representation is very similar to decoding a conventional integer: Start with a current value of N = 0 and a weight w = 1. Fetch the next digit d and increase N by d * w. If d is less than the current threshold (t) then stop, otherwise increase w by a factor of (base - t), update t for the next position, and repeat.

Encoding this representation is similar to encoding a conventional integer: If N < t then output one digit for N and stop, otherwise output the digit for $t + ((N - t) \mod (base - t))$, then replace N with (N - t) div (base - t), update t for the next position, and repeat.

For any particular set of values of t(j), there is exactly one generalized variable-length representation of each nonnegative integral value.

Bootstring uses little-endian ordering so that the deltas can be separated starting with the first. The t(j) values are defined in terms of the constants base, tmin, and tmax, and a state variable called bias:

```
t(j) = base * (j + 1) - bias,
clamped to the range tmin through tmax
```

(The clamping means that if the formula yields a value less than tmin or greater than tmax, then t(j) = tmin or tmax, respectively.) These t(j) values cause the representation to favor integers within a particular range determined by the bias.

After each delta is encoded or decoded, bias is set for the next delta as follows:

1. Delta is scaled in order to avoid overflow in the next step:

```
let delta = delta div 2
```

But when this is the very first delta, the divisor is not 2, but instead a constant called damp. This compensates for the fact that the second delta is usually much smaller than the first.

2. Delta is increased to compensate for the fact that the next delta will be inserting into a longer string:

```
let delta = delta + (delta div numpoints)
```

numpoints is the total number of code points encoded/decoded so far (including the one corresponding to this delta itself, and including the basic code points).

3. Delta is repeatedly divided until it falls within a threshold, to predict the minimum number of digits needed to represent the next delta:

```
while delta > ((base - tmin) * tmax) div 2
do let delta = delta div (base - tmin)
```

4. The bias is set:

```
let bias =
  (base * the number of divisions performed in step 3) +
  (((base - tmin + 1) * delta) div (delta + skew))
```

The motivation for this procedure is that the current delta provides a hint about the likely size of the next delta, and so t(j) is set to tmax for the more significant digits starting with the one expected to be last, tmin for the less significant digits up through the one expected to be third-last, and somewhere between tmin and tmax for the digit expected to be second-last (balancing the hope of the expected-last digit being unnecessary against the danger of it being insufficient).

4. Bootstring parameters

Given a set of basic code points, one must be chosen as the delimiter. The base is the number of distinguishable basic code points remaining. They must be associated with the values in the range 0 through base-1. In some cases multiple code points must represent the same value; for example, uppercase and lowercase versions of a letter must be equivalent if basic strings are case-insensitive.

The initial value of n should be the minimum non-basic code point that is allowed in extended strings.

The remaining five parameters (tmin, tmax, skew, damp, and the initial value of bias) must satisfy the following constraints:

```
0 <= tmin <= tmax <= base-1
skew >= 1
damp >= 2
initial_bias mod base <= base - tmin</pre>
```

Provided the constraints are satisfied, these five parameters affect efficiency but not correctness. They should be chosen empirically.

If support for mixed-case annotation is desired (see appendix B), make sure that the code points corresponding to 0 through tmax-1 all have both uppercase and lowercase forms.

5. Parameter values for AMC-ACE-Z

AMC-ACE-Z uses the following values for the Bootstring parameters:

In AMC-ACE-Z, code points are Unicode code points [UNICODE], that is, integers in the range 0..10FFFF, but not D800..DFFF, which are reserved for use by UTF-16. The basic code points, along with their values, are:

```
U+002D (-) = delimiter

41..5A (A-Z) = 0 \text{ to } 25, \text{ respectively}

61..7A (a-z) = 0 \text{ to } 25, \text{ respectively}

30..39 (0-9) = 26 \text{ to } 35, \text{ respectively}
```

Using hyphen-minus as the delimiter implies that the ACE can end with a hyphen-minus only if the Unicode string consists entirely of basic code points, but IDNA forbids such strings from being ACE-encoded. And since IDNA prepends a prefix that does not begin with a hyphen-minus, AMC-ACE-Z conforms to the RFC 952 requirement that hostname labels neither begin nor end with a hyphen-minus [RFC952].

A decoder must recognize the letters in both uppercase and lowercase forms (including mixtures of both forms). An encoder should output only uppercase forms or only lowercase forms, unless it uses

```
mixed-case annotation (see appendix B).
```

Presumably most users will not manually copy ACEs by writing or typing them (as opposed to letting computers do it via cut & paste), but those that do will need to be alert to the potential visual ambiguity between the following sets of characters:

G 6 I 1 1 O 0 S 5 U V Z 2

Such ambiguities are usually resolved by context, but in an ACE there is no context apparent to humans.

6. Bootstring algorithms

6.1 Bias adaptation function

```
function adapt(delta,numpoints,firsttime):
  let delta = delta div (firsttime ? damp : 2)
  let delta = delta + (delta div numpoints)
  let k = 0
  while delta > ((base - tmin) * tmax) div 2
  do let delta = delta div (base - tmin) and let k = k + base
  return k + (((base - tmin + 1) * delta) div (delta + skew))
```

6.2 Decoding procedure

```
let n = initial n
let i = 0
let bias = initial_bias
let output = an empty string indexed from 0
search the input for the last delimiter (do not consume the input)
if one is found that is not at the very beginning then consume all
  preceeding code points, copy them to output, consume the delimiter
while the input is not exhausted do begin
  let oldi = i
  let w = 1
  for k = base to infinity in steps of base do begin
    consume a code point, fail on end-of-input or invalid code point
    let digit = the code point's value
    let i = i + digit * w, fail on overflow
    let t = k \le bias ? tmin : k - bias > tmax ? tmax : k - bias
    if digit < t then break
    let w = w * (base - t), fail on overflow
  let bias = adapt(i - oldi, length(output) + 1, oldi == 0)
  let n = n + i \text{ div (length(output)} + 1), fail on overflow
```

```
let i = i mod (length(output) + 1)
if n is a basic code point then fail # see Note1 below
insert n into output at position i
increment i
end
```

Note1: The check for whether n is a basic code point can be omitted if initial_n exceeds all basic code points (which is true for AMC-ACE-Z), because n only increases from initial_n.

Because the decoder state can only advance monotonically, and there is only one representation of any delta, there is therefore only one encoded string that can represent a given sequence of integers. The only error conditions are invalid code points, unexpected end-of-input, overflow (attempts to compute values that exceed the maximum value of an integer variable), and basic code points encoded using deltas instead of appearing literally. If the decoder fails on these errors as shown above, then it cannot produce the same output for two distinct inputs, and hence it need not re-encode its output to verify that it matches the input.

The assignment of t, where t is clamped to the range tmin through tmax, does not handle the case where bias < k < bias + tmin, but that is impossible because of the way bias is computed and because of the constraints on the parameters.

If the programming language does not provide overflow detection, the following technique can be used. Suppose A, B, and C are representable nonnegative integers and C is nonzero. Then A + B overflows if and only if B > maxint - A, and A + (B * C) overflows if and only if B > (maxint - A) div C. See appendix C "Sample implementation" for demonstrations of this technique in AMC-ACE-Z.

6.3 Encoding procedure

```
let n = initial_n
let delta = 0
let bias = initial_bias
let h = b = the number of basic code points in the input
copy them to the output in order, followed by a delimiter if b > 0
if the input contains a non-basic code point < n then fail
while h < length(input) do begin
 let m = the minimum non-basic code point >= n in the input # Note2
 let delta = delta + (m - n) * (h + 1), fail on overflow
 let n = m
 for each integer m in the input (in order) do begin
    if m is a basic code point # see Note2 below
    then increment delta, fail on overflow, and continue
    if m < n then increment delta, fail on overflow
    if m == n then begin
      let q = delta
```

```
for k = base to infinity in steps of base do begin
    let t = k <= bias ? tmin : k - bias > tmax ? tmax : k - bias
    if q < t then break
    output the code point for digit t + ((q - t) mod (base - t))
    let q = (q - t) div (base - t)
    end
    output the code point for digit q
    let bias = adapt(delta, h + 1, h == b)
    let delta = 0
    increment h
    end
end
increment delta and n
end</pre>
```

Note2: There are two places in the main loop where the encoder checks whether a code point is basic. If initial_n exceeds all basic code points (which is true for AMC-ACE-Z) then m and n can never be basic code points, and the logic can be simplified.

The checks for overflow are necessary to avoid producing invalid output when the input contains very large values or is very long. Wider integer variables can handle more extreme inputs. For AMC-ACE-Z, 26-bit unsigned integers are sufficient, because in IDNA code points are limited 0..10FFFF and ACEs are limited to 59 characters (excluding the prefix).

The increment of delta at the bottom of the outer loop cannot overflow because delta < length(input) before the increment, and length(input) is already assumed to be representable. The increment of n could overflow, but only if h == length(input), in which case the procedure is finished anyway.

7. AMC-ACE-Z example strings

In the AMC-ACE-Z encodings below, the IDNA signature prefix is not shown. AMC-ACE-Z is abbreviated AMC-Z. Backslashes show where line breaks have been inserted in strings too long for one line.

The first several examples are all translations of the sentence "Why can't they just speak in <language>?" (courtesy of Michael Kaplan's "provincial" page [PROVINCIAL]). Word breaks and punctuation have been removed, as is often done in domain names.

- (A) Arabic (Egyptian):
 u+0644 u+064A u+0647 u+0645 u+0627 u+0628 u+062A u+0643 u+0644
 u+0645 u+0648 u+0634 u+0639 u+0631 u+0628 u+064A u+061F
 AMC-Z: qfbpdaj6bu4bxfqehfvwxn
- (B) Chinese (simplified): u+4ED6 u+4EEC u+4E3A u+4EC0 u+4E48 u+4E0D u+8BF4 u+4E2D u+6587

- (C) Czech: Pro<ccaron>prost<ecaron>nemluv<iacute><ccaron>esky
 U+0050 u+0072 u+006F u+010D u+0070 u+0072 u+006F u+0073 u+0074
 u+011B u+006E u+0065 u+006D u+006C u+0075 u+0076 u+00ED u+010D
 u+0065 u+0073 u+006B u+0079
 - AMC-Z: Proprostnemluvesky-xgb24dma41a
- (D) Hebrew:

u+05DC u+05DE u+05D4 u+05D4 u+05DD u+05E4 u+05E9 u+05D5 u+05D8 u+05DC u+05D0 u+05DE u+05D3 u+05D1 u+05E8 u+05DD u+05D2 u+05D1 u+05E8 u+05D9 u+05EA

AMC-Z: 6cbcagdahymbxekheh6e0a7fei0b

(E) Hindi (Devanagari):

 u+092F
 u+0939
 u+0932
 u+094B
 u+0917
 u+0939
 u+093F
 u+0928
 u+094D

 u+0926
 u+0940
 u+0915
 u+094D
 u+092F
 u+094B
 u+0902
 u+0928
 u+0939

 u+0940
 u+0902
 u+092C
 u+094B
 u+0932
 u+0938
 u+0915
 u+0924
 u+0947

 u+0939
 u+0948
 u+0902

AMC-Z: k0baa7eci9glrd9b2ae1bj0hfcgg6iyaf8o0a1dig0cd

(F) Japanese (kanji and hiragana):

u+306A u+305C u+307F u+3093 u+306A u+65E5 u+672C u+8A9E u+3092 u+8A71 u+3057 u+3066 u+304F u+308C u+306A u+3044 u+306E u+304B AMC-Z: p7jok5ay5dzabd5bym9f0cm5685rrjetr6pdxa

(G) Korean (Hangul syllables):

u+C138 u+ACC4 u+C758 u+BAA8 u+B4E0 u+C0AC u+B78C u+B4E4 u+C774 u+D55C u+AD6D u+C5B4 u+B97C u+C774 u+D574 u+D55C u+B2E4 u+BA74 u+C5BC u+B9C8 u+B098 u+C88B u+C744 u+AE4C

AMC-Z: c89aomsvi5e83db1d2a355cv1e0vak1dwrv93d5xbh15a0dt30a5jps\d879ccm6fea98c

(H) Russian (Cyrillic):

U+043F u+043E u+0447 u+0435 u+043C u+0443 u+0436 u+0435 u+043E u+043D u+043B u+043D u+0435 u+0435 u+043E u+043E u+043E u+044E u+044F u+0442 u+043F u+043E u+0440 u+0443 u+0441 u+0441 u+043A u+0438

AMC-Z: d0abfaaepdrnnbgefbaDotcwatmq2g4l

- (I) Spanish: Porqu<eacute>nopuedensimplementehablarenEspa<ntilde>ol
 U+0050 u+006F u+0072 u+0071 u+0075 u+00E9 u+006E u+006F u+0070
 u+0075 u+0065 u+0064 u+0065 u+006E u+0073 u+0069 u+006D u+0070
 u+006C u+0065 u+006D u+0065 u+006E u+0074 u+0065 u+0068 u+0061
 u+0062 u+006C u+0061 u+0072 u+0065 u+006E U+0045 u+0073 u+0070
 u+0061 u+00F1 u+006F u+006C
 - AMC-Z: PorqunopuedensimplementehablarenEspaol-nkc56a
- (J) Taiwanese:

u+4ED6 u+5011 u+7232 u+4EC0 u+9EBD u+4E0D u+8AAA u+4E2D u+6587 AMC-Z: kgqwctvzc91f659drss3x8bo0yb

(K) Vietnamese:

T<adotbelow>isaoh<odotbelow>kh<ocirc>ngth<ecirchookabove>ch\
<ihookabove>n<oacute>iti<ecircacute>ngVi<ecircdotbelow>t
U+0054 u+1EA1 u+0069 u+0073 u+0061 u+006F u+0068 u+1ECD u+006B
u+0068 u+00F4 u+006E u+0067 u+0074 u+0068 u+1EC3 u+0063 u+0068
u+1EC9 u+006E u+00F3 u+0069 u+0074 u+0069 u+1EBF u+006E u+0067
U+0056 u+0069 u+1EC7 u+0074

AMC-Z: TisaohkhngthchnitingVit-xvbr8268qyxafd2f1b9g

The next several examples are all names of Japanese music artists, song titles, and TV programs, just because the author happens to have them handy (but Japanese is useful for providing examples of single-row text, two-row text, ideographic text, and various mixtures thereof).

- (L) 3<nen>B<gumi><kinpachi><sensei> u+0033 u+5E74 U+0042 u+7D44 u+91D1 u+516B u+5148 u+751F AMC-Z: 3B-2t4c5e180e575a65lsy2b
- (N) Hello-Another-Way-<sorezore><no><basho>
 U+0048 u+0065 u+006C u+006C u+006F u+002D U+0041 u+006E u+006F
 u+0074 u+0068 u+0065 u+0072 u+002D U+0057 u+0061 u+0079 u+002D
 u+305D u+308C u+305E u+308C u+306E u+5834 u+6240
 AMC-Z: Hello-Another-Way--it3qua05auwb3674vfr0b
- (0) <hitotsu><yane><no><shita>2 u+3072 u+3068 u+3064 u+5C4B u+6839 u+306E u+4E0B u+0032 AMC-Z: 2-y7tlzr9756bt3uc0v
- (P) Maji<de>Koi<suru>5<byou><mae>
 U+004D u+0061 u+006A u+0069 u+3067 U+004B u+006F u+0069 u+3059
 u+308B u+0035 u+79D2 u+524D
 AMC-Z: MajiKoi5-q03gue6qz075azm5e
- (Q) <pafii>de<runba> u+30D1 u+30D5 u+30A3 u+30FC u+0064 u+0065 u+30EB u+30F3 u+30D0 AMC-Z: de-pd4avhby1noc0d
- (R) <sono><supiido><de> u+305D u+306E u+30B9 u+30D4 u+30FC u+30C9 u+3067 AMC-Z: f8juau41awczczp

8. Security considerations

Users expect each domain name in DNS to be controlled by a single

authority. If a Unicode string intended for use as a domain label could map to multiple ACE labels, then an internationalized domain name could map to multiple ACE domain names, each controlled by a different authority, some of which could be spoofs that hijack service requests intended for another. Therefore AMC-ACE-Z is designed so that each Unicode string has a unique encoding.

However, there can still be multiple Unicode representations of the "same" text, for various definitions of "same". This problem is addressed to some extent by the Unicode standard under the topic of canonicalization, and this work is leveraged for domain names by "nameprep" [NAMEPREP03].

References

[IDN] Internationalized Domain Names (IETF working group), http://www.i-d-n.net/, idn@ops.ietf.org.

[IDNA] Patrik Faltstrom, Paul Hoffman, "Internationalizing Host Names In Applications (IDNA)", 2001-Jun-16, <u>draft-ietf-idn-idna-02</u>.

[NAMEPREP03] Paul Hoffman, Marc Blanchet, "Preparation of Internationalized Host Names", 2001-Feb-24, draft-ietf-idn-nameprep-03.

[PROVINCIAL] Michael Kaplan, "The 'anyone can be provincial!' page", http://www.trigeminal.com/samples/provincial.html.

[RFC952] K. Harrenstien, M. Stahl, E. Feinler, "DOD Internet Host Table Specification", 1985-Oct, <u>RFC 952</u>.

[RFC1034] P. Mockapetris, "Domain Names - Concepts and Facilities", 1987-Nov, <u>RFC 1034</u>.

[UNICODE] The Unicode Consortium, "The Unicode Standard", http://www.unicode.org/unicode/standard/standard.html.

A. Author contact information

Adam M. Costello <amc@cs.berkeley.edu> University of California, Berkeley http://www.cs.berkeley.edu/~amc/

B. Mixed-case annotation

In order to use AMC-ACE-Z to represent case-insensitive strings, higher layers need to case-fold the strings prior to AMC-ACE-Z encoding. The encoded string can, however, use mixed case as an annotation telling how to convert the original folded string into a mixed-case string for display purposes.

Basic code points are represented literally, and can therefore use

mixed case directly. Each non-basic code point is represented by a delta, which is represented by a sequence of basic code points, the last of which provides the annotation. If it is uppercase, it is a suggestion to map the non-basic code point to uppercase (if possible); if it is lowercase, it is a suggestion to map the non-basic code point to lowercase (if possible).

AMC-ACE-Z encoders and decoders are not required to support these annotations, and higher layers need not use them.

C. Sample implementation

```
/***********************************
/* amc-ace-z.c 0.2.1 (2001-Jul-11-Wed)
/* Adam M. Costello <amc@cs.berkeley.edu> */
/* This is ANSI C code (C89) implementing AMC-ACE-Z version 0.2.x. */
/* Public interface (would normally go in its own .h file): */
#include <limits.h>
enum amc_ace_status {
 amc_ace_success,
 amc_ace_bad_input, /* Input is invalid.
                                                                */
 amc_ace_big_output, /* Output would exceed the space provided.
                    /* Input requires wider integers to process. */
 amc_ace_overflow
};
#if UINT_MAX >= (1 << 26) - 1
typedef unsigned int amc_ace_z_uint;
#else
typedef unsigned long amc_ace_z_uint;
#endif
enum amc_ace_status amc_ace_z_encode(
 amc_ace_z_uint input_length,
 const amc_ace_z_uint input[],
 const unsigned char uppercase_flags[],
 amc_ace_z_uint *output_size,
 char output[] );
   /* amc_ace_z_encode() converts Unicode to AMC-ACE-Z (without
                                                                  */
   /* any signature). The input must be represented as an array
                                                                  */
   /* of Unicode code points (not code units; surrogate pairs
                                                                  */
                                                                  */
   /* are not allowed), and the output will be represented as
   /* null-terminated ASCII. The input_length is the number of
                                                                  */
```

```
/* code points in the input. The output_size is an in/out
                                                                     */
   /* argument: the caller must pass in the maximum number of
                                                                     */
   /* characters that may be output (including the terminating
                                                                     */
                                                                     */
   /* null), and on successful return it will contain the number of
   /* characters actually output (including the terminating null,
                                                                     */
   /* so it will be one more than strlen() would return, which is
                                                                     */
   /* why it is called output_size rather than output_length). The
                                                                     */
   /* uppercase_flags array must hold input_length boolean values,
                                                                     */
   /* where nonzero means the corresponding Unicode character should */
   /* be forced to uppercase after being decoded, and zero means it
                                                                     */
   /* is caseless or should be forced to lowercase. Alternatively,
                                                                     */
                                                                     */
   /* uppercase_flags may be a null pointer, which is equivalent
                                                                     */
   /* to all zeros. The letters a-z and A-Z are always encoded
   /* literally, regardless of the corresponding flags. The return
                                                                     */
   /* value may be any of the amc_ace_status values defined above;
                                                                     */
                                                                     */
   /* if not amc_ace_success, then output_size and output may
                                                                     */
   /* contain garbage.
enum amc_ace_status amc_ace_z_decode(
  const char input[],
  amc_ace_z_uint *output_length,
  amc_ace_z_uint output[],
  unsigned char uppercase_flags[] );
   /* amc_ace_z_decode() converts AMC-ACE-Z (without any signature)
                                                                     */
   /* to Unicode. The input must be represented as null-terminated
                                                                     */
   /* ASCII, and the output will be represented as an array of
   /* Unicode code points. The output length is an in/out argument: */
                                                                     */
   /* the caller must pass in the maximum number of code points
   /* that may be output, and on successful return it will contain
                                                                     */
   /^{\star} the actual number of code points output. The uppercase_flags
                                                                     */
   /* array must have room for at least output_length values, or it
                                                                     */
                                                                     */
   /* may be a null pointer if the case information is not needed.
   /* A nonzero flag indicates that the corresponding Unicode
                                                                     */
   /* character should be forced to uppercase by the caller, while
                                                                     */
   /* zero means it is caseless or should be forced to lowercase.
                                                                     */
   /* The letters a-z and A-Z are output already in the proper case,
                                                                     */
   /* but their flags will be set appropriately so that applying the */
   /* flags would be harmless. The return value may be any of the
                                                                     */
   /* amc_ace_status values defined above; if not amc_ace_success,
                                                                     */
   /* then output_length, output, and uppercase_flags may contain
                                                                     */
   /* garbage. On success, the decoder will never need to write
                                                                     */
   /* an output_length greater than the length of the input (not
                                                                     */
    /* counting the null terminator), because of how the encoding is
                                                                    */
                                                                     */
    /* defined.
/* Implementation (would normally go in its own .c file): */
#include <string.h>
```

```
/*** Bootstring parameters for AMC-ACE-Z ***/
enum \{ \text{ base} = 36, \text{ tmin} = 1, \text{ tmax} = 26, \text{ skew} = 38, \text{ damp} = 700, 
       initial_bias = 72, initial_n = 0xA1, delimiter = 0x2D };
/* encode_digit(d) returns the basic code point whose value */
/* (when used for representing integers) is d, which must be */
/* in the range 0 to base-1. The lowercase form is used. */
static char encode_digit(amc_ace_z_uint d)
 return d + 22 + 75 * (d < 26);
 /* 0..25 map to ASCII a..z */
 /* 26..35 map to ASCII 0..9 */
}
/* decode_digit(cp) returns the numeric value of a basic code point */
/* (for use in representing integers) in the range 0 to base-1, or */
/* base if cp is the delimiter, or base+1 otherwise.
                                                                      */
static amc_ace_z_uint decode_digit(amc_ace_z_uint cp)
  return cp - 48 < 10 ? cp - 22 : cp - 65 < 26 ? cp - 65 :
          cp - 97 < 26 ? cp - 97 : cp == delimiter ? base : base + 1;
}
/*** Useful constants ***/
/* maxint is the maximum value of an amc_ace_z_uint variable: */
static const amc_ace_z_uint maxint = -1;
/* lobase and cutoff are used in the calculation of bias: */
enum { lobase = base - tmin, cutoff = lobase * tmax / 2 };
/*** Main encode function ***/
enum amc_ace_status amc_ace_z_encode(
  amc_ace_z_uint input_length,
 const amc_ace_z_uint input[],
  const unsigned char uppercase_flags[],
 amc_ace_z_uint *output_size,
 char output[] )
{
 amc_ace_z_uint n, delta, h, b, out, max_out, bias, j, m, q, k, t;
 char shift;
 /* Initialize the state: */
 n = initial_n;
  delta = out = 0;
  max_out = *output_size;
  bias = initial bias;
```

```
/* Handle the basic code points, and make sure
/* that all code points < n are basic code points: */</pre>
for (j = 0; j < input_length; ++j) {
  if (decode_digit(input[j]) <= base) {</pre>
    if (max_out - out < 2) return amc_ace_big_output;</pre>
    output[out++] = input[j];
  else if (input[j] < n) return amc_ace_bad_input;</pre>
}
h = b = out;
/* h is the number of code points that have been handled, b is the */
/* number of basic code points, and out is the number of characters */
/* that have been output.
if (b > 0) output[out++] = delimiter;
/* Main encoding loop: */
while (h < input_length) {</pre>
  /* All non-basic code points < n have been
  /* handled already. Find the next larger one: */
  for (m = maxint, j = 0; j < input_length; ++j) {
    /* not needed for AMC-ACE-Z: */
    /* if (decode_digit(input[j]) <= base) continue; */</pre>
    if (input[j] >= n && input[j] < m) m = input[j];</pre>
  }
  /* Increase delta enough to advance the decoder's
  /* <n,i> state to <m,0>, but guard against overflow: */
  if (m - n > (maxint - delta) / (h + 1)) return amc_ace_overflow;
  delta += (m - n) * (h + 1);
  n = m;
  for (j = 0; j < input_length; ++j) {
    /* Not needed for AMC-ACE-Z: */
    #if 0
    if (decode_digit(input[j]) <= base) {</pre>
      if (++delta == 0) return amc_ace_overflow;
      continue;
    }
    #endif
    if (input[j] < n && ++delta == 0) return amc_ace_overflow;</pre>
    if (input[j] == n) {
      /* Represent delta as a generalized variable-length integer: */
```

```
for (q = delta, k = base; ; k += base) {
          if (out >= max_out) return amc_ace_big_output;
          t = k \le bias ? tmin : k - bias >= tmax ? tmax : k - bias;
          if (q < t) break;
          output[out++] = encode_digit(t + (q - t) % (base - t));
          q = (q - t) / (base - t);
        }
        shift = uppercase_flags && uppercase_flags[j] ? 32 : 0;
        /* shift controls the case of the terminal character: */
        output[out++] = encode_digit(q) - shift;
        /* Adapt the bias: */
        delta = h == b ? delta / damp : delta >> 1;
        delta += delta / (h + 1);
        for (bias = 0; delta > cutoff; bias += base) delta /= lobase;
        bias += (lobase + 1) * delta / (delta + skew);
        delta = 0;
       ++h;
      }
    }
   ++delta, ++n;
  /* Append the null terminator: */
  if (out >= max_out) return amc_ace_big_output;
 output[out++] = 0;
 *output_size = out;
 return amc_ace_success;
}
/*** Main decode function ***/
enum amc_ace_status amc_ace_z_decode(
 const char input[],
  amc_ace_z_uint *output_length,
 amc_ace_z_uint output[],
 unsigned char uppercase_flags[] )
{
 amc_ace_z_uint n, out, i, oldi, max_out, bias, w, k, delta, digit, t;
 const char *in, *p;
 /* Initialize the state: */
 n = initial_n;
  out = i = 0;
  max_out = *output_length;
  bias = initial_bias;
```

```
/* Handle the basic code points: Let p point to the last */
/* delimiter, or to the start if there is none, then copy */
/* everything before p to the output.
for (p = in = input; *in; ++in) if (*in == delimiter) p = in;
if (p - input > max_out) return amc_ace_big_output;
for (in = input; in < p; ++in) {
 if (uppercase_flags) uppercase_flags[out] = *in >= 65 && *in <= 90;</pre>
 output[out++] = *in;
}
/* Main decoding loop: Start just after p if any basic code */
/* points were copied; start at the beginning otherwise.
for (in = p > input ? p + 1 : input; *in != 0; ++out) {
 /* in points to the next character to be consumed, and */
 /* out is the number of code points in the output array. */
  /* Decode a generalized variable-length integer into delta,
 /* which gets added to i. The overflow checking is easier
                                                               */
 /* if we increase i as we go, then subtract off its starting */
 /* value at the end to obtain delta.
                                                               */
  for (oldi = i, w = 1, k = base; ; k += base) {
    digit = decode_digit(*in++);
    if (digit >= base) return amc_ace_bad_input;
    if (digit > (maxint - i) / w) return amc_ace_overflow;
    i += digit * w;
    t = k \le bias ? tmin : k - bias >= tmax ? tmax : k - bias;
    if (digit < t) break;</pre>
   if (w > maxint / (base - t)) return amc_ace_overflow;
   w *= (base - t);
  }
  /* Adapt the bias: */
  delta = oldi == 0 ? i / damp : (i - oldi) >> 1;
  delta += delta / (out + 1);
  for (bias = 0; delta > cutoff; bias += base) delta /= lobase;
  bias += (lobase + 1) * delta / (delta + skew);
  /* i was supposed to wrap around from out+1 to 0, */
  /* incrementing n each time, so we'll fix that now: */
  if (i / (out + 1) > maxint - n) return amc_ace_overflow;
  n += i / (out + 1);
  i \% = (out + 1);
 /* Insert n at position i of the output: */
```

```
/* not needed for AMC-ACE-Z: */
   /* if (decode_digit(n) <= base) return amc_ace_invalid_input; */</pre>
   if (out >= max_out) return amc_ace_big_output;
   if (uppercase_flags) {
     memmove(uppercase_flags + i + 1, uppercase_flags + i, out - i);
     /* Case of last character determines uppercase flag: */
     uppercase_flags[i] = in[-1] >= 65 && in[-1] <= 90;
   }
   memmove(output + i + 1, output + i, (out - i) * sizeof *output);
   output[i++] = n;
 }
 *output_length = out;
 return amc_ace_success;
}
/* Wrapper for testing (would normally go in a separate .c file): */
#include <assert.h>
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
/* For testing, we'll just set some compile-time limits rather than */
/* use malloc(), and set a compile-time option rather than using a */
                                                                  */
/* command-line option.
enum {
 unicode_max_length = 256,
 ace_max_size = 256
};
static void usage(char **argv)
 fprintf(stderr,
   "%s -e reads code points and writes an AMC-ACE-Z string.\n"
    "%s -d reads an AMC-ACE-Z string and writes code points.\n"
   "Input and output are plain text in the native character set.\n"
   "Code points are in the form u+hex separated by whitespace.\n"
   "An AMC-ACE-Z string is a newline-terminated sequence of LDH\n"
   "characters (without any signature).\n"
   "The case of the u in u+hex is the force-to-uppercase flag.\n"
    , argv[0], argv[0]);
 exit(EXIT_FAILURE);
}
```

```
static void fail(const char *msg)
 fputs(msg, stderr);
 exit(EXIT_FAILURE);
}
static const char too_big[] =
  "input or output is too large, recompile with larger limits\n";
static const char invalid_input[] = "invalid input\n";
static const char overflow[] = "arithmetic overflow\n";
static const char io_error[] = "I/O error\n";
/* The following string is used to convert LDH
/* characters between ASCII and the native charset: */
static const char ldh_ascii[] =
 "...."
  "....."
  "....."
  "0123456789....."
  ".ABCDEFGHIJKLMNO"
  "PQRSTUVWXYZ...."
  ".abcdefghijklmno"
  "pgrstuvwxyz";
int main(int argc, char **argv)
{
 enum amc_ace_status status;
 int r;
 char *p;
  if (argc != 2) usage(argv);
  if (argv[1][0] != '-') usage(argv);
  if (argv[1][2] != 0) usage(argv);
  if (argv[1][1] == 'e') {
   amc_ace_z_uint input[unicode_max_length];
    unsigned long codept;
    unsigned char uppercase_flags[unicode_max_length];
    char output[ace_max_size], uplus[3];
   unsigned int input_length, output_size, i;
   /* Read the input code points: */
    input_length = 0;
    for (;;) {
     r = scanf("%2s%lx", uplus, &codept);
     if (ferror(stdin)) fail(io_error);
     if (r == EOF || r == 0) break;
```

```
if (r != 2 || uplus[1] != '+' || codept > (amc_ace_z_uint)-1) {
     fail(invalid_input);
    }
   if (input_length == unicode_max_length) fail(too_big);
   if (uplus[0] == 'u') uppercase_flags[input_length] = 0;
   else if (uplus[0] == 'U') uppercase_flags[input_length] = 1;
   else fail(invalid_input);
   input[input_length++] = codept;
  }
 /* Encode: */
  output_size = ace_max_size;
  status = amc_ace_z_encode(input_length, input, uppercase_flags,
                            &output_size, output);
  if (status == amc_ace_bad_input) fail(invalid_input);
 if (status == amc_ace_big_output) fail(too_big);
  if (status == amc_ace_overflow) fail(overflow);
 assert(status == amc_ace_success);
 /* Convert to native charset and output: */
 for (p = output; *p != 0; ++p) {
   i = *p;
   assert(i <= 122 && ldh_ascii[i] != '.');
    *p = ldh_ascii[i];
  r = puts(output);
  if (r == EOF) fail(io_error);
  return EXIT_SUCCESS;
}
if (argv[1][1] == 'd') {
  char input[ace_max_size], *pp;
  amc_ace_z_uint output[unicode_max_length];
  unsigned char uppercase_flags[unicode_max_length];
  unsigned int input_length, output_length, i;
 /* Read the AMC-ACE-Z input string and convert to ASCII: */
 fgets(input, ace_max_size, stdin);
 if (ferror(stdin)) fail(io_error);
  if (feof(stdin)) fail(invalid_input);
  input_length = strlen(input);
  if (input[input_length - 1] != '\n') fail(too_big);
  input[--input_length] = 0;
```

```
for (p = input; *p != 0; ++p) {
      pp = strchr(ldh_ascii, *p);
     if (pp == 0) fail(invalid_input);
     *p = pp - ldh_ascii;
   /* Decode: */
    output_length = unicode_max_length;
    status = amc_ace_z_decode(input, &output_length,
                              output, uppercase_flags);
    if (status == amc_ace_bad_input) fail(invalid_input);
    if (status == amc_ace_big_output) fail(too_big);
    if (status == amc_ace_overflow) fail(overflow);
    assert(status == amc_ace_success);
   /* Output the result: */
   for (i = 0; i < output_length; ++i) {</pre>
      r = printf("%s+%04lX\n",
                 uppercase_flags[i] ? "U" : "u",
                 (unsigned long) output[i] );
     if (r < 0) fail(io_error);</pre>
    return EXIT_SUCCESS;
 }
 usage(argv);
 return EXIT_SUCCESS; /* not reached, but quiets compiler warning */
}
```

INTERNET-DRAFT expires 2002-Jan-11