N. Elkins Inside Products R. Hamilton Chemical Abstracts Service M. Ackermann BCBS Michigan February 14, 2015

Intended Status: Proposed Standard Expires: August 2015

IPPM Considerations for the IPv6 PDM Destination Option draft-elkins-ippm-pdm-option-03

Table of Contents

<u>1</u> Background	. <u>4</u>									
<u>1.1</u> Terminology	. <u>4</u>									
<u>1.2</u> End User Quality of Service (QoS)										
<u>1.3</u> Need for a Packet Sequence Number	. <u>5</u>									
<u>1.4</u> Rationale for proposed solution	. <u>5</u>									
1.5 PDM Works in Collaboration with Other Headers \ldots \ldots	. <u>5</u>									
<u>2</u> Measurement Information Derived from PDM	. <u>6</u>									
<pre>2.1 Round-Trip Delay</pre>	. <u>6</u>									
2.2 Server Delay	. <u>6</u>									
${\tt \underline{3}}$ Performance and Diagnostic Metrics Destination Option Layout .	· <u>7</u>									
3.1 Destination Options Header	· <u>7</u>									
3.2 Performance and Diagnostic Metrics Destination Option										
$\underline{4}$ Considerations of Timing Representation \ldots \ldots \ldots	. <u>10</u>									
<u>4.1</u> Encoding the Delta-Time Values										
<u>4.2</u> Timer registers are different on different hardware										
<u>4.3</u> Timer Units on Other Systems										
<u>4.4</u> Time Base	. <u>11</u>									
<u>4.5</u> Timer-value scaling										
<u>4.6</u> Limitations with this encoding method	. <u>13</u>									
<u>4.7</u> Lack of precision induced by timer value truncation										
5 PDM Flow - Simple Client Server	. <u>15</u>									
<u>5.1</u> Step 1	. <u>15</u>									
<u>5.2</u> Step 2	. <u>16</u>									
<u>5.3</u> Step 3	. <u>16</u>									
<u>5.4</u> Step 4	. <u>17</u>									
<u>5.5</u> Step 5	. <u>18</u>									
<u>6</u> Other Flows										
<u>6.1</u> PDM Flow - One Way Traffic	. <u>19</u>									
6.2 PDM Flow - Multiple Send Traffic	. <u>20</u>									
<u>6.3</u> PDM Flow - Multiple Send with Errors										
$\underline{7}$ Potential Overhead Considerations										
<u>8</u> Security Considerations	. <u>23</u>									

Elkins Expires August 18, 2015 [Page 1]

<u>9</u> IANA Considerations	•	•	•	·	•	•	•	•	·	•	•	•			<u>23</u>
<u>10</u> References															<u>23</u>
<u>10.1</u> Normative References .															<u>23</u>
<u>10.2</u> Informative References															<u>24</u>
<u>11</u> Acknowledgments															<u>24</u>
Authors' Addresses															<u>24</u>

Abstract

To assess performance problems, measurements based on optional sequence numbers and timing may be embedded in each packet. Such measurements may be interpreted in real-time or after the fact. An implementation of the existing IPv6 Destination Options extension header, the Performance and Diagnostic Metrics (PDM) Destination Options extension header has been proposed in a companion document. This document specifies the field limits, calculations, and usage of the PDM in measurement.

Status of this Memo

This Internet-Draft is submitted to IETF in full conformance with the provisions of <u>BCP 78</u> and <u>BCP 79</u>.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at http://www.ietf.org/lid-abstracts.html

The list of Internet-Draft Shadow Directories can be accessed at http://www.ietf.org/shadow.html

Copyright and License Notice

Copyright (c) 2015 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to <u>BCP 78</u> and the IETF Trust's Legal Provisions Relating to IETF Documents (<u>http://trustee.ietf.org/license-info</u>) in effect on the date of

Expires August 18, 2015

[Page 2]

publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License

1 Background

To assess performance problems, measurements based on optional sequence numbers and timing may be embedded in each packet. Such measurements may be interpreted in real-time or after the fact. An implementation of the existing IPv6 Destination Options extension header, the Performance and Diagnostic Metrics (PDM) Destination Options extension header has been proposed in a companion document. This document specifies the field limits, calculations, and usage of the PDM in measurement.

1.1 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

1.2 End User Quality of Service (QoS)

The difference between timing values in the PDM traveling along with the packet will be used to estimate QoS as experienced by an end user device.

For many applications, the key user performance indicator is response time. When the end user is an individual, he is generally indifferent to what is happening along the network; what he really cares about is how long it takes to get a response back. But this is not just a matter of individuals' personal convenience. In many cases, rapid response is critical to the business being conducted.

When the end user is a device (e.g. with the Internet of Things), what matters is the speed with which requested data can be transferred -- specifically, whether the requested data can be transferred in time to accomplish the desired actions. This can be important when the relevant external conditions are subject to rapid change.

Response time and consistency are not just "nice to have". On many networks, the impact can be financial hardship or endanger human life. In some cities, the emergency police contact system operates over IP, law enforcement uses TCP/IP networks, transactions on our stock exchanges are settled using IP networks. The critical nature of such activities to our daily lives and financial well-being demand a solution.

Expires August 18, 2015

[Page 4]

1.3 Need for a Packet Sequence Number

While performing network diagnostics of an end-to-end connection, it often becomes necessary to find the device along the network path creating problems. Diagnostic data may be collected at multiple places along the path (if possible), or at the source and destination. Then, the diagnostic data corresponding to each packet at different observation points must be matched for proper measurements. A sequence number in each packet provides sufficient basis for the matching process. If need be, the timing fields may be used along with the sequence number to ensure uniqueness.

This method of data collection along the path is of special use to determine where packet loss or packet corruption is happening.

The packet sequence number needs to be unique in the context of the session (5-tuple).

<u>1.4</u> Rationale for proposed solution

The current IPv6 specification does not provide timing nor a similar field in the IPv6 main header or in any extension header. So, we propose the IPv6 Performance and Diagnostic Metrics destination option (PDM) [ELK-PDM].

Advantages include:

- 1. Real measure of actual transactions.
- 2. Independence from transport layer protocols.
- 3. Ability to span organizational boundaries with consistent instrumentation
- 4. No time synchronization needed between session partners

The PDM provides the ability to quickly determine if the (latency) problem is in the network or in the server (application). More intermediate measurements may be needed if the host or network discrimination is not sufficient. At the client, TCP/IP stack time vs. applications time may still need to be broken out by client software.

1.5 PDM Works in Collaboration with Other Headers

The purpose of the PDM is not to supplant all the variables present in all other headers but to provide data which is not available or very difficult to get. The way PDM would be used is by a technician (or tool) looking at a packet capture. Within the packet capture,

they would have available to them the layer 2 header, IP header (v6 or v4), TCP, UCP, ICMP, SCTP or other headers. All information would be looked at together to make sense of the packet flow. The technician or processing tool could analyze, report or ignore the data from PDM, as necessary.

For an example of how PDM can help with TCP retransmit problems, please look at <u>section 8</u>.

2 Measurement Information Derived from PDM

Each packet contains information about the sender and receiver. In IP protocol, the identifying information is called a "5-tuple".

The 5-tuple consists of:

SADDR : IP address of the sender SPORT : Port for sender DADDR : IP address of the destination DPORT : Port for destination PROTC : Protocol for upper layer (ex. TCP, UDP, ICMP, etc.)

The PDM contains the following metrics:

PSNTP : Packet Sequence Number This Packet PSNLR : Packet Sequence Number Last Received DELTALR : Delta Last Received PSNLS : Packet Sequence Number Last Sent DELTALS : Delta Last Sent

This information, combined with the 5-tuple, allows the measurement of the following metrics:

- 1. Round-trip delay
- 2. Server delay

2.1 Round-Trip Delay

Round-trip delay is the end-to-end delay for a packet from a source host to a destination host. This measurement has been defined, and the advantages and disadvantages discussed in "A Round-trip Delay Metric for IPPM" [RFC2681].

2.2 Server Delay

Server delay is the interval between when a packet is received by a device and the first corresponding packet is sent back in response.

Expires August 18, 2015

[Page 6]

This may be "Server Processing Time". It may also be a delay caused by acknowledgements. Server processing time includes the time taken by the combination of the stack and application to return the response. The stack delay may be related to network performance. If this aggregate time is seen as a problem, and there is a need to make a clear distinction between application processing time and stack delay, including that caused by the network, then more client based measurements are needed.

3 Performance and Diagnostic Metrics Destination Option Layout

3.1 Destination Options Header

The IPv6 Destination Options Header is used to carry optional information that need be examined only by a packet's destination node(s). The Destination Options Header is identified by a Next Header value of 60 in the immediately preceding header and is defined in RFC2460 [RFC2460]. The IPv6 Performance and Diagnostic Metrics Destination Option (PDM) is an implementation of the Destination Options Header (Next Header value = 60). The PDM does not require time synchronization.

3.2 Performance and Diagnostic Metrics Destination Option

The IPv6 Performance and Diagnostic Metrics Destination Option (PDM) contains the following fields:

TIMEBASE	1	Base timer unit
SCALEDL	:	Scale for Delta Last Received
SCALEDS	:	Scale for Delta Last Sent
PSNTP	:	Packet Sequence Number This Packet
PSNLR	:	Packet Sequence Number Last Received
DELTALR	:	Delta Last Received
DELTALS	:	Delta Last Sent

The PDM destination option is encoded in type-length-value (TLV) format as follows:

0 2 3 1 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 | Option Type | Option Length |TB |ScaleDL | ScaleDS | PSN This Packet | PSN Last Received Delta Last Received | Delta Last Sent

Expires August 18, 2015

[Page 7]

Option Type

TBD = $0 \times XX$ (TBD) [To be assigned by IANA] [RFC2780]

Option Length

8-bit unsigned integer. Length of the option, in octets, excluding the Option Type and Option Length fields. This field MUST be set to 16.

Time Base

2-bit unsigned integer. It will indicate the lowest granularity possible for this device. That is, for a value of 00 in the Time Base field, a value of 1 in the DELTA fields indicates 1 picosecond.

This field is being included so that a device may choose the granularity which most suits its timer ticks. That is, so that it does not have to do more work than needed to convert values required for the PDM.

The possible values of Time Base are as follows:

- 00 milliseconds 01 - microseconds
- 10 nanoseconds
- 11 picoseconds

Scale Delta Last Received (SCALEDLR)

7-bit signed integer. This is the scaling value for the Delta Last Received (DELTALR) field. The possible values are from -128 to +127. See Section 4 for further discussion on Timing Considerations and formatting of the scaling values.

Scale Delta Last Sent (SCALEDLS)

7-bit signed integer. This is the scaling value for the Delta Last Sent (DELTALS) field. The possible values are from -128 to +127.

Packet Sequence Number This Packet (PSNTP)

16-bit unsigned integer. This field will wrap. It is intended for human use. That is, while to be used while analyzing packet traces.

Initialized at a random number and monotonically incremented for each

Elkins Expires August 18, 2015 [Page 8]

elkins-ippm-pdm-metrics-03 February 14, 2015 INTERNET DRAFT packet on the 5-tuple. The 5-tuple consists of the source and destination IP addresses, the source and destination ports, and the upper layer protocol (ex. TCP, ICMP, etc). The random number initialization is to make it harder to spoof and insert such packets. Operating systems MUST implement a separate packet sequence number counter per 5-tuple. Operating systems MUST NOT implement a single counter for all connections. Packet Sequence Number Last Received (PSNLR) 16-bit unsigned integer. This is the PSN of the packet last received on the 5-tuple. Delta Last Received (DELTALR) A 16-bit unsigned integer field. The value is according to the scale in SCALEDLR. DELTALR = Send time packet 2 - Receive time packet 1 Delta Last Sent (DELTALS) A 16-bit unsigned integer field. The value is according to the scale in SCALEDS. Delta Last Sent = Receive time packet 2 - Send time packet 1 Option Type The two highest-order bits of the Option Type field are encoded to indicate specific processing of the option; for the PDM destination option, these two bits MUST be set to 00. This indicates the following processing requirements: 00 - skip over this option and continue processing the header. **RFC2460** [**RFC2460**] defines other values for the Option Type field. These MUST NOT be used in the PDM. The other values are as follows:

01 - discard the packet.

10 - discard the packet and, regardless of whether or not the packet's Destination Address was a multicast address, send an ICMP Parameter Problem, Code 2, message to the packet's Source Address,

Elkins Expires August 18, 2015 [Page 9]

pointing to the unrecognized Option Type.

11 - discard the packet and, only if the packet's Destination Address was not a multicast address, send an ICMP Parameter Problem, Code 2, message to the packet's Source Address, pointing to the unrecognized Option Type.

In keeping with <u>RFC2460</u> [<u>RFC2460</u>], the third-highest-order bit of the Option Type specifies whether or not the Option Data of that option can change en-route to the packet's final destination.

In the PDM, the value of the third-highest-order bit MUST be 0. The possible values are as follows:

0 - Option Data does not change en-route

1 - Option Data may change en-route

The three high-order bits described above are to be treated as part of the Option Type, not independent of the Option Type. That is, a particular option is identified by a full 8-bit Option Type, not just the low-order 5 bits of an Option Type.

4 Considerations of Timing Representation

4.1 Encoding the Delta-Time Values

This section makes reference to and expands on the document "Encoding of Time Intervals for the TCP Timestamp Option" [TRAM-TCPM].

4.2 Timer registers are different on different hardware

One of the problems with timestamp recording is the variety of hardware that generates the time value to be used. Different CPUs track the time in registers of different sizes, and the mostfrequently-iterated bit could be the first on the left or the first on the right. In order to generate some examples here it is necessary to indicate the type of timer register being used.

Elkins Expires August 18, 2015 [Page 10]

```
INTERNET DRAFT
```

1

As described in the "IBM z/Architecture Principles of Operation" [IBM-POPS], the Time-Of-Day clock in a zSeries CPU is a 104-bit register, where bit 51 is incremented approximately every microsecond:

							T
(1 2	2 3	3 4	1	5	6 0
-	++	+	++	+4	+	+	+++
						*	Ι Ι
-	++	+	++	+4	+	+	+++
/	١					Λ	٨
()					51 = 1 use	c 103

To represent these values concisely a hexadecimal representation will be used, where each digit represents 4 binary bits. Thus:

0000 0000 0000 0001 = 1 timer unit (2**-12 usec, or about 244 psec) 0000 0000 0000 1000 = 1 microsecond 0000 0000 003E 8000 = 1 millisecond 0000 0000 F424 0000 = 1 second 0000 0039 3870 0000 = 1 minute 0000 0D69 3A40 0000 = 1 hour 0001 41DD 7600 0000 = 1 day

Note that only the first 64 bits of the register are commonly represented, as that represents a count of timer units on this hardware. Commonly the first 52 bits are all that are displayed, as that represents a count of microseconds.

4.3 Timer Units on Other Systems

This encoding method works the same with other hardware clock formats. The method uses a microsecond as the basic value and allows for large time differentials.

4.4 Time Base

We propose a base unit for the time. This is a 2-bit integer indicating the lowest granularity possible for this device. That is, for a value of 00 in the Time Base field, a value of 1 in the DELTA fields indicates 1 picosecond.

Expires August 18, 2015 [Page 11]

INTERNET DRAFT

The possible values of Time Base are as follows:

- 00 milliseconds
- 01 microseconds
- 10 nanoseconds
- 11 picoseconds

Time base is not necessarily equivalent to length of one timer tick. That is, on many, if not all, systems, the timer tick value will not be in complete units of nanoseconds, milliseconds, etc. For example, on an IBM zSeries machine, one timer tick (or clock unit) is 2 to the -12th microseconds.

Therefore, some amount of conversion may be needed to approximate Time Base units.

4.5 Timer-value scaling

As discussed in [TRAM-TCPM] we propose storing not an entire timeinterval value, but just the most significant bits of that value, along with a scaling factor to indicate the magnitude of the timeinterval value. In our case, we will use the high-order 16 bits. The scaling value will be the number of bits in the timer register to the right of the 16th significant bit. That is, if the timer register contains this binary value:

> <-16 bits -><-24 bits ->

then, the values stored would be 1110 1000 1101 0100 in binary (E8D4 hexadecimal) for the time value and 24 for the scaling value. Note that the displayed value is the binary equivalent of 1 second expressed in picoseconds.

The below table represents a device which has a TimeBase of picosecond (or 00). The smallest and simplest value to represent is 1 picosecond; the time value stored is 1, and the scaling value is 0. Using values from the table below, we have:

Elkins Expires August 18, 2015 [Page 12]

Delta time	Time value in picoseconds	Encoded value	Scaling decimal
1 picoseco	nd 1	1	0
1 nanoseco	ond 3E8	3E8	0
1 microsec	ond F4240	F424	4
1 millisec	ond 3B9ACA00	3B9A	16
1 second	E8D4A51000	E8D4	24
1 minute	3691D6AFC000	3691	32
1 hour	cca2e51310000	CCA2	36
1 day	132f4579c980000	132F	44
365 days	1b5a660ea44b80000	1B5A	52
223 0.00			2

Sample binary values (high order 16 bits taken)

1 pse	c 1									0001
1 nse	c 3E8							0011	1110	1000
1 use	c F4240					1111	0100	0010	0100	0000
1 mse	3B9ACA00		0011	1011	1001	1010	1100	1010	0000	0000
1 sec	E8D4A51000	1110 1000	1101	0100	1010	0101	0001	0000	0000	0000

4.6 Limitations with this encoding method

If we follow the specification in [TRAM-TCPM], the size of one of these time-interval fields is limited to this 11-bit value and fivebit scale, so that they fit into a 16-bit space. With that limitation, the maximum value that could be stored in 16 bits is:

or an encoded value of 3FF and a scale value of 31. This value corresponds to any time differential between:

<pre> <count is="" of="" scale="" the="" value="" zeroes=""> </count></pre>											
11	1111	1111	1000	0000	0000	0000	0000	0000	0000	0000	(binary)
3	F	F	8	0	Θ	0	Θ	0	0	0	(hexadecimal)

and

This time value, 3FFFFFFFF, converts to 50 days, 21 hours, 40 minutes and 46.511103 seconds. A time differential 1 microsecond

Expires August 18, 2015 [Page 13]

longer won't fit into 16 bits using this encoding method.

4.7 Lack of precision induced by timer value truncation

When the bit values following the first 11 significant bits are truncated, obviously loss of precision in the value. The range of values that will be truncated to the same encoded value is $2^{**}(\text{Scale})-1$ microseconds.

The smallest time differential value that will be truncated is

 $1000 \ 0000 \ 0000 = 2.048 \ \text{msec}$

The value

 $1000 \ 0000 \ 0001 = 2.049 \ msec$

will be truncated to the same encoded value, which is 400 in hex, with a scale value of 1. With the scale value of 1, the value range is calculated as 2**1 - 1, or 1 usec, which you can see is the difference between these minimum and maximum values.

With that in mind, let's look at that table of delta time values again, where the Precision is the range from the smallest value corresponding to this encoded value to the largest:

	Time value in	Encoded		
Delta time	microseconds	value	Scale	Precision
1 microsecond	1	1	Θ	0:00.000000
1 millisecond	38E	38E	Θ	0:00.000000
1 second	F4240	7A1	9	0:00.000511
1 minute	3938700	727	15	0:00.032767
1 hour	D693A400	6B4	21	0:02.097151
1 day	141DD76000	507	26	1:07.108863
Maximum value	3FFFFFFFFF	7FF	31	35:47.483647

So, when measuring the delay between transmission of two packets, or between the reception of two packets, any delay shorter than 50 days 21 hours and change can be stored in this encoded fashion within 16 bits. When you encode, for example, a DTN response time delay of 50 days, 21 hours and 40 minutes, you can be assured of accuracy within 35 minutes.

Expires August 18, 2015 [Page 14]

INTERNET DRAFT

elkins-ippm-pdm-metrics-03 February 14, 2015

5 PDM Flow - Simple Client Server

Following is a sample simple flow for the PDM with one packet sent from Host A and one packet received by Host B. The PDM does not require time synchronization between Host A and Host B. The calculations to derive meaningful metrics for network diagnostics are shown below each packet sent or received.

Each packet, in addition to the PDM contains information on the sender and receiver. As discussed before, a 5-tuple consists of:

SADDR : IP address of the sender SPORT : Port for sender DADDR : IP address of the destination DPORT : Port for destination PROTC : Protocol for upper layer (ex. TCP, UDP, ICMP)

It should be understood that the packet identification information is in each packet. We will not repeat that in each of the following steps.

5.1 Step 1

Packet 1 is sent from Host A to Host B. The time for Host A is set initially to 10:00AM.

The time and packet sequence number are saved by the sender internally. The packet sequence number and delta times are sent in the packet.

Packet 1

+		- +	+		- +
Ι					
	Host	>		Host	
	А			В	
+		-+	+		-+

PDM Contents:

PSNTP	:	Packet Sequence Number This Packet:	25	ō
PSNLR	:	Packet Sequence Number Last Received:	-	
DELTALR	:	Delta Last Received:	-	
SCALEDL	:	Scale of Delta LR:	Θ	
DELTALS	:	Delta Last Sent:	-	
SCALEDS	:	Scale of Delta LS:	0	
TIMEBASE	:	Granularity of Time:	00	(Picoseconds)

Expires August 18, 2015 [Page 15]

Internally, within the sender, Host A, it must keep:

Packet Sequence Number of the last packet sent: 25 Time the last packet was sent: 10:00:00

Note, the initial PSNTP from Host A starts at a random number. In this case, 25. The timestamp is in seconds for the sake of simplicity.

5.2 Step 2

Packet 1 is received at Host B. Its time is set to one hour later than Host A. In this case, 11:00AM

Internally, within the receiver, Host B, it must note:

Packet Sequence Number of the last packet received: 25 Time the last packet was received 1 11:00:03

Note, this timestamp is in Host B time. It has nothing whatsoever to do with Host A time. The Packet Sequence Number of the last packet received will become PSNLR which will be sent out in the packet sent by Host B in the next step. The time last received will be used to calculate the DELTALR value to be sent out in the packet sent by Host B in the next step.

5.3 Step 3

Packet 2 is sent by Host B to Host A. Note, the initial packet sequence number (PSNTP) from Host B starts at a random number. In Before sending the packet, Host B does a calculation this case, 12. of deltas. Since Host B knows when it is sending the packet, and it knows when it received the previous packet, it can do the following calculation:

Sending time (packet 2) - receive time (packet 1)

We will call the result of this calculation: Delta Last Received

That is:

DELTALR = Sending time (packet 2) - receive time (packet 1)

Note, both sending time and receive time are saved internally in Host B. They do not travel in the packet. Only the Delta is in the packet.

Expires August 18, 2015 [Page 16]

Assume that within Host B is the following:

Packet Sequence Number of the last packet received:	25
Time the last packet was received:	11:00:03
Packet Sequence Number of this packet:	12
Time this packet is being sent:	11:00:07

We can now calculate a delta value to be sent out in the packet. DELTALR becomes:

4 seconds = 11:00:07 - 11:00:03

This is the derived metric: Server Delay. The time and scaling factor must be calculated. Then, this value, along with the packet sequence numbers will be sent to Host A as follows:

Packet 2

+		· +	+	- +
	Host	<	Host	
	А		B	
+		+	+	- +

PDM Contents:

PSNTP	1	Packet Sequence Number	This	Packet:	12	
PSNLR	:	Packet Sequence Number	Last	Received:	25	
DELTALR	:	Delta Last Received:		3/	A35	(4 seconds)
SCALEDL	:	Scale of Delta LR:			25	
DELTALS	:	Delta Last Sent:			-	
SCALEDS	:	Scale of Delta LS:			Θ	
TIMEBASE	:	Granularity of Time:			00	(Picoseconds)

The metric left to be calculated is the Round-Trip Delay. This will be calculated by Host A when it receives Packet 2.

5.4 Step 4

Packet 2 is received at Host A. Remember, its time is set to one hour earlier than Host B. Internally, it must note:

Packet Sequence Number of the last packet received: 12 Time the last packet was received 10:00:12 :

Note, this timestamp is in Host A time. It has nothing whatsoever to do with Host B time.

Expires August 18, 2015 [Page 17]

INTERNET DRAFT So, now, Host A can calculate total end-to-end time. That is: End-to-End Time = Time Last Received - Time Last Sent For example, packet 25 was sent by Host A at 10:00:00. Packet 12 was received by Host A at 10:00:12 so: End-to-End time = 10:00:12 - 10:00:00 or 12 (Server and Network RT delay combined) This derived metric we will call DELTALS or Delta Last Sent. We can now also calculate round trip delay. The formula is: Round trip delay = DELTALS - DELTALR Or: Round trip delay = 12 - 4 or 8

Now, the only problem is that at this point all metrics are in Host A only and not exposed in a packet. To do that, we need a third packet.

5.5 Step 5

Packet 3 is sent from Host A to Host B.

+		- +	+		-+
1		1			
	Host	>		Host	
	А			В	
1		1			
+		-+	+		-+

PDM Contents:

PSNTP	:	Packet Sequence Number	This	Packet:	26	
PSNLR	:	Packet Sequence Number	Last	Received:	12	
DELTALR	:	Delta Last Received:			0	
SCALEDL	:	Scale of Delta LR			0	
DELTALS	:	Delta Last Sent:			105e	(12 seconds)
SCALEDL	:	Scale of Delta LR			26	
TIMEBASE	:	Granularity of Time:			00	(Picoseconds)

To calculate Two-Way Delay, any packet capture device may look at these packets and do what is necessary.

Elkins Expires August 18, 2015 [Page 18]

6 Other Flows

What we have discussed so far is a simple flow with one packet sent and one returned. Let's look at how PDM may be useful in other types of flows.

6.1 PDM Flow - One Way Traffic

The flow on a particular session may not be a send-receive paradigm. Let us consider some other situations. In the case of a one-way flow, one might see the following:

Packet	Sender	PSN This Packet	PSN Last Recvd	Delta Last Recvd	Delta Last Sent
=======					
1	Server	1	Θ	Θ	Θ
2	Server	2	Θ	Θ	5
3	Server	3	Θ	Θ	12
4	Server	4	Θ	Θ	20

What does this mean and how is it useful?

In a one-way flow, only the Delta Last Sent will be seen as used. Recall, Delta Last Sent is the difference between the send of one packet from a device and the next. This is a measure of throughput for the sender - according to the sender's point of view. That is, it is a measure of how fast is the application itself (with stack time included) able to send packets.

How might this be useful? If one is having a performance issue at the client and sees that packet 2, for example, is sent after 5 microseconds from the server but takes 3 minutes to arrive at the destination, then one may safely conclude that there are delays in the path other than at the server which may be causing the delivery issue of that packet. Such delays may include the network links, middle-boxes, etc.

Now, true one-way traffic is quite rare. What people often mean by "one-way" traffic is an application such as FTP where a group of packets (for example, a TCP window size worth) is sent, then the sender waits for acknowledgment. This type of flow would actually fall into the "multiple-send" traffic model.

Expires August 18, 2015 [Page 19]

INTERNET DRAFT

6.2 PDM Flow - Multiple Send Traffic

Assume that two packets are sent with each send from the server.

Packet	Sender	PSN This Packet	PSN Last Recvd	Delta Last Recvd	Delta Last Sent
1	Server	1	Θ	Θ	Θ
2	Server	2	Θ	Θ	5
3	Client	1	1	20	Θ
4	Server	3	1	10	15

How might this be used?

Notice that in packet 3, the client has a value of Delta Last received of 20. Recall that Delta Last Received is the Send time of packet 3 - receive time of packet 2. So, what does one know now? In this case, Delta Last Received is the processing time for the Client to send the next packet.

How to interpret this depends on what is actually being sent. Remember, PDM is not being used in isolation, but to supplement the fields found in other headers. Let's take some examples:

1. Client is sending a standalone TCP ACK. One would find this by looking at the payload length in the IPv6 header and the TCP Acknowledgement field in the TCP header. So, in this case, the client is taking 20 units to send back the ACK. This may or may not be interesting.

2. Client is sending data with the packet. Again, one would find this by looking at the payload length in the IPv6 header and the TCP Acknowledgement field in the TCP header. So, in this case, the client is taking 20 units to send back data. This may represent "User Think Time". Again, this may or may not be interesting, in isolation. But, if there is a performance problem receiving data at the server, then taken in conjunction with RTT or other packet timing information, this information may be guite interesting.

Of course, one also needs to look at the PSN Last Received field to make sure of the interpretation of this data. That is, to make sure that the Delta Last Received corresponds to the packet of interest.

The benefits of PDM are that we have such information available in a uniform manner for all applications and all protocols without extensive changes required to applications.

Expires August 18, 2015 [Page 20]

6.3 PDM Flow - Multiple Send with Errors

One might wonder if all of the functions of PDM might be better suited to TCP or a TCP option. Let us take the case of how PDM may help in a case of TCP retransmissions in a way that TCP options or TCP ACK / SEQ would not.

Assume that three packets are sent with each send from the server.

From the server, this is what is seen.

Pkt	Sender	PSN	PSN	Delta	Delta	TCP	Data
		This Pkt	LastRecvd	LastRecvd	LastSent	SEQ	Bytes
===	=======	==========	===========	============	============	======	========
1	Server	1	Θ	Θ	Θ	123	100
2	Server	2	Θ	Θ	5	223	100
3	Server	3	Θ	Θ	5	333	100

The client however, does not get all the packets. From the client, this is what is seen for the packets sent from the server.

Pkt	Sender	PSN	PSN	Delta	Delta	ТСР	Data
		This Pkt	LastRecvd	LastRecvd	LastSent	SEQ	Bytes
===	=======	==========	===========	===========	============		
1	Server	1	Θ	Θ	Θ	123	100
2	Server	3	Θ	Θ	5	333	100

Let's assume that the server now retransmits the packet. (Obviously, a duplicate acknowledgment sequence for fast retransmit or a retransmit timeout would occur. To illustrate the point, these packets are being left out.)

So, then if a TCP retransmission is done, then from the client, this is what is seen for the packets sent from the server.

Pkt	Sender	PSN	PSN	Delta	Delta	TCP	Data
		This Pkt	LastRecvd	LastRecvd	LastSent	SEQ	Bytes
===	=======	==========	===========	============	==========	======	========
1	Server	4	Θ	Θ	30	223	100

The server has resent the old packet 2 with TCP sequence number of 223. The retransmitted packet now has a PSN This Packet value of 4. The Delta Last Sent is 30 - the time between sending the packet with PSN of 3 and this current packet.

Let's say that packet 4 STILL does not make it. Then, after some amount of time (RTO) then the packet with TCP sequence number of 223

Expires August 18, 2015 [Page 21]

INTERNET DRAFT

elkins-ippm-pdm-metrics-03 February 14, 2015

is resent.

From the client, this is what is seen for the packets sent from the server.

Pkt	Sender	PSN	PSN	Delta	Delta	TCP	Data
		This Pkt	LastRecvd	LastRecvd	LastSent	SEQ	Bytes
===	=======	==========	===========	===========	==========	======	==========
1	Server	5	Θ	Θ	60	223	100

If now, this packet makes it, one has a very good idea that packets exist which are being sent from the server as retransmissions and not making it to the client. This is because the PSN of the resent packet from the server is 5 rather than 4. If we had used TCP sequence number alone, we would never have seen this situation. Because the TCP sequence number in all situations is 223.

This situation would be experienced by the user of the application (the human being actually sitting somewhere) as a "hangs" or long delay between packets. On large networks, to diagnose problems such as these where packets are lost somewhere on the network, one has to take multiple traces to find out exactly where.

The first thing is to start with doing a trace at the client and the server. So, we can see if the server sent a particular packet and the client received it. If the client did not receive it, then we start tracking back to trace points at the router right after the server and the router right before the client. Did they get these packets which the server has sent? This is a time consuming activity.

With PDM, we can speed up the diagnostic time because we may be able to use only the trace taken at the client to see what the server is sending.

7 Potential Overhead Considerations

Questions have been posed as to the potential overhead of PDM. First, PDM is entirely optional. That is, a site may choose to implement PDM or not as they wish. If they are happy with the costs of PDM vs. the benefits, then the choice should be theirs.

Below is a table outlining the potential overhead in terms of additional time to deliver the response to the end user.

Expires August 18, 2015 [Page 22]

Dackat

Bytes	RTT	BPM	PDM By	ytes New RT	T Overhead
======== 1000	======================================	 1	16	======================================	16.000 milli
1000	100 milli	10	16	101.600	1.600 milli
1000	10 milli	100	16	10.160	.160 milli
1000	1 milli	1000	16	1.016	.016 milli

Below are some examples of actual RTTs for packets traversing large enterprise networks. The first example is for packets going to multiple business partners.

Packet RTT BPM PDM Bytes New RTT Overhead Bytes _____ 1000 17 milli 58 16 17.360 .360 milli

The second example is for packets at a large enterprise customer within a data center. Notice that the scale is now in microseconds rather than milliseconds.

Packet

Bytes	RTT	BPM	PDM Byte	s New RT	T Overhead
==========	=================	=========	=========	=========	===============
1000	20 micro	50	16	20.320	320 micro

<u>8</u> Security Considerations

TBD.

9 IANA Considerations

Option Type TBD = 0xXX (TBD) [To be assigned by IANA] [<u>RFC2780</u>].

10 References

10.1 Normative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.

Elkins

Expires August 18, 2015

[Page 23]

[RFC2460] Deering, S. and R. Hinden, "Internet Protocol, Version 6 (IPv6) Specification", <u>RFC 2460</u>, December 1998.

[RFC2681] Almes, G., Kalidindi, S., and M. Zekauskas, "A Round-trip Delay Metric for IPPM", <u>RFC 2681</u>, September 1999.

[RFC2780] Bradner, S. and V. Paxson, "IANA Allocation Guidelines For Values In the Internet Protocol and Related Headers", BCP 37, RFC <u>2780</u>, March 2000.

[IBM-POPS] IBM Corporation, "IBM z/Architecture Principles of Operation", SA22-7832, 1990-2012

10.2 Informative References

[ELK-PDM] Elkins, N., "draft-elkins-6man-ipv6-pdm-dest-option-09", Internet Draft, October 2014. [Work in Progress]

[TRAM-TCPM] Trammel, B., "Encoding of Time Intervals for the TCP Timestamp Option-01", Internet Draft, July 2013. [Work in Progress]

11 Acknowledgments

The authors would like to thank Keven Haining, Al Morton, Brian Trammel, David Boyes, and Rick Troth for their comments and assistance.

Authors' Addresses

Nalini Elkins Inside Products, Inc. 36A Upper Circle Carmel Valley, CA 93924 United States Phone: +1 831 659 8360 Email: nalini.elkins@insidethestack.com http://www.insidethestack.com

Robert Hamilton Chemical Abstracts Service A Division of the American Chemical Society 2540 Olentangy River Road Columbus, Ohio 43202 United States Phone: +1 614 447 3600 x2517 Email: rhamilton@cas.org http://www.cas.org

Expires August 18, 2015 [Page 24]

Michael S. Ackermann Blue Cross Blue Shield of Michigan P.O. Box 2888 Detroit, Michigan 48231 United States Phone: +1 310 460 4080 Email: mackermann@bcbsmi.com http://www.bcbsmi.com