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**Parallel NFS (pNFS) Lustre Layout Operations  
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## Abstract

Parallel NFS (pNFS) extends Network File System version 4.1(NFSv4.1) to allow clients to directly access file data on the storage used by the NFSv4.1 server. This ability to bypass the server for data access can increase both performance and parallelism, but requires additional client functionality for data access, some of which is dependent on the class of storage used, a.k.a. the Layout Type. The main pNFS operations and data types in NFSv4 Minor version 1 specify a layout-type-independent layer; layout-type-specific information is conveyed using opaque data structures whose internal structure is further defined by the particular layout type specification. This document specifies the NFSv4.1 Lustre pNFS Layout Type as a companion to the main NFSv4 Minor version 1 specification.

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## [1. Introduction](#)

### [1.1. pNFS Lustre Layout Protocol](#)

Figure 1 shows the overall architecture of a Parallel NFS (pNFS) Protocol ([8]) system:

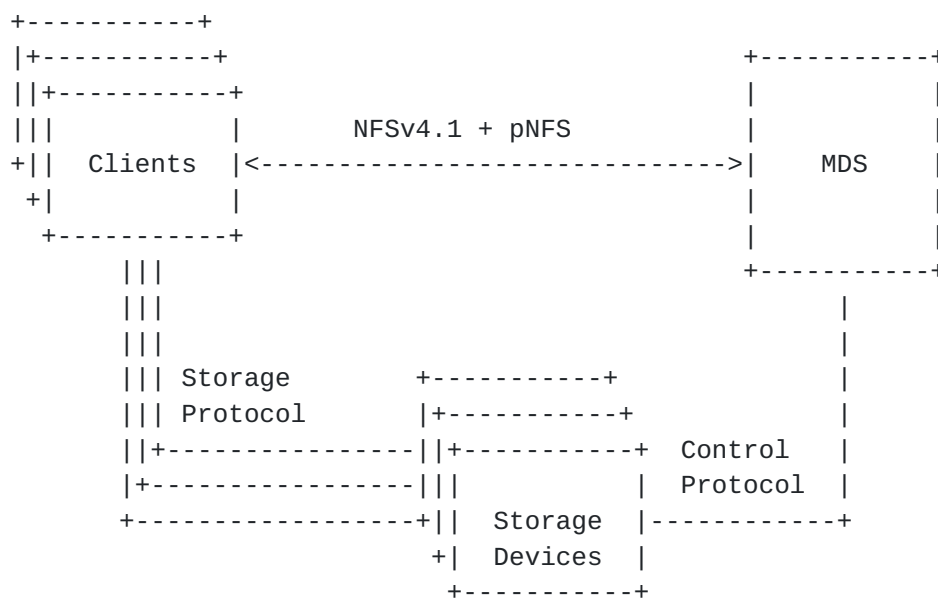


Figure 1 pNFS Architecture

In this document, "storage device" is used as a general term for a data server and/or storage server for all pNFS layouts. The MetaData Server (MDS) is the NFSv4.1 server that provides pNFS layouts to clients and handles operations on file metadata (e.g., names, attributes).

In pNFS, the file server returns typed layout structures that describe where file data is located. There are different layouts for different storage systems and methods of arranging data on storage devices. This document describes the layouts used with Lustre object

storage servers (OSSs) that are accessed according to the Lustre storage protocol ([1]).

The pNFS Lustre layout protocol uses Lustre file system protocols as data storage protocol. Implementation-wise, on both pNFS client and server, the pNFS Lustre layout can live as a shim layer on top of Lustre client and server, as shown in Figure 2.

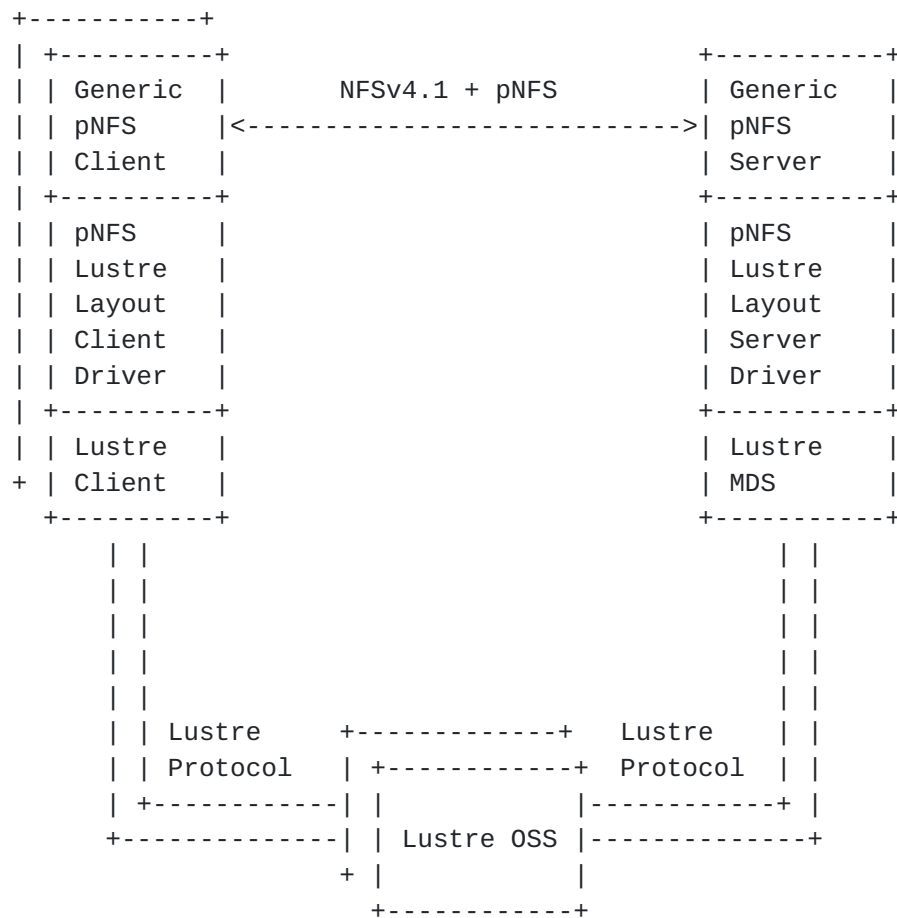


Figure 2 pNFS Lustre client/server Architecture

## 1.2. General Definitions

The following definitions provide an appropriate context for the reader.

Lustre module	Description
OST	Object Storage Targets are SCSI LUNs which store file data objects
OSS	An Object Storage Server implements the Lustre data protocol and serves data
OSC	An Object Storage Client [10] is a client of the Lustre object services
LOV	LOV is the Lustre Object Volume [10]. It interprets stripe information and directs pages to the correct OSCs.
MDT	A Metadata Target is a SCSI LUN that stores file metadata
MDS	A Metadata Server implements the Lustre metadata server control protocol
MDC	A Metadata Client of Lustre protocol services
LDLM	The Lustre Distributed Lock Manager (LDLM) [11] provides a means to ensure that data is updated in a consistent fashion across multiple nodes.
PTLRPC	The Portal RPC subsystem [12] is a reliable messaging service layered on top of LNET. It caters for small messages and also for bulk data transfers.
LNET	LNET is the Lustre Networking sub-system [13]. It hides differences of underlying network

		types and provides common APIs to LNET users.	
	LND	LND is the Lustre Network Driver layer [13]. It	
		implements the interface between the generic	
		LNED layer and the drivers for the specific	
		network types.	
+-----+-----+-----+-----+-----+-----+			

### 1.3. Lustre Protocol Description

Lustre is an object-based file system. It is composed of three components: Metadata servers (MDSs), object storage servers (OSSs), and Lustre clients.

Lustre uses block devices (SCSI LUNs) for file data storage (OST) and metadata storages (MDT) and each block device can be managed by only one Lustre server (OSS). The total data capacity of the Lustre filesystem is the sum of all individual OST capacities. Lustre clients access and concurrently use data through the standard POSIX I/O system calls.

A Lustre MDS provides metadata services. One Lustre MDS manages one metadata target (MDT). Each MDT stores file metadata, such as file names, directory structures, and access permissions. An OSS exposes block devices and serves data. Each OSS manages one or more object storage targets (OSTs), and OSTs store file data "objects".

The Lustre protocol specifies several operations on objects, including OPEN, READ, WRITE, GET ATTRIBUTES, SET ATTRIBUTES, CREATE, and DELETE. However, using the Lustre layout the Lustre client only uses the OPEN, READ, WRITE and GET ATTRIBUTES commands. The other commands are only used by the Lustre server.

A Lustre file object's layout information is defined in the extended attribute (EA) of the inode. Essentially, EA describes the mapping between file object identifier and its corresponding OSTs. This information is also known as striping. A Lustre-based layout for pNFS includes object identifiers, capabilities that allow pNFS clients to READ or WRITE those objects, and various parameters that control how file data is striped across OSTs.

This document specifies the NFSv4.1 layout protocol and operations for Lustre filesystems ([1]).

## **2. Conventions Used in this Document**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC-2119](#) [6].

## **3. XDR Description of the Lustre-Based Layout Protocol**

This document contains the external data representation (XDR [2]) description of the NFSv4.1 objects layout protocol. The XDR description is embedded in this document in a way that makes it simple for the reader to extract into a ready-to-compile form. The reader can feed this document into the following shell script to produce the machine readable XDR description of the NFSv4.1 Lustre layout protocol:

```
#!/bin/sh
grep '^ *///' $* | sed 's?^ */// ??' | sed 's?^ *///$??'
```

That is, if the above script is stored in a file called "extract.sh", and this document is in a file called "spec.txt", then the reader can do:

```
sh extract.sh < spec.txt > pnfs_lustre_prot.x
```

The effect of the script is to remove leading white space from each line, plus a sentinel sequence of "///".

The embedded XDR file header follows. Subsequent XDR descriptions, with the sentinel sequence are embedded throughout the document.

Note that the XDR code contained in this document depends on types from the NFSv4.1 nfs4\_prot.x file ([3]). This includes both nfs types that end with a 4, such as offset4, length4, etc., as well as more generic types such as uint32\_t and uint64\_t.

### **3.1. Code Components Licensing Notice**

The XDR description, marked with lines beginning with the sequence "///", as well as scripts for extracting the XDR description are Code Components as described in [Section 4](#) of "Legal Provisions Relating to IETF Documents" [4]. These Code Components are licensed according to the terms of [Section 4](#) of "Legal Provisions Relating to IETF Documents".

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/// * ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.
/// *
/// * Please reproduce this note if possible.
/// */
///
/// /*
/// * pnfs_lustre_prot.x
/// */
///
```



```
/// %include <nfs4_prot.x>  
///
```

#### **4. Basic Data Type Definitions**

The following sections define basic data types and constants used by the Lustre Layout protocol.

#### [4.1.](#) pnfs\_los\_object\_cred4

```
/// enum pnfs_los_cap_key_sec4 {  
///   PNFS_OSS_CAP_KEY_SEC_NONE = 0,  
///   PNFS_OSS_CAP_KEY_SEC_SSV  = 1  
/// };  
///  
/// typedef uint64_t    pnfs_los_objid4;  
///  
/// struct pnfs_los_object_cred4 {  
///   pnfs_los_objid4      ploc_object_id;  
///   pnfs_los_cap_key_sec4 ploc_cap_key_sec;  
///   opaque               ploc_capability_key<>;  
///   opaque               ploc_capability<>;  
/// };  
///
```

Lustre PTLRPC supports GSS authentication. PTLRPC implements Lustre communications over LNET ([\[1\]](#)). So "pnfs\_los\_object\_cred4" is put inside pnfs\_los\_layout4 so that if the network requires security, credentials can be passed around.

The pnfs\_los\_object\_cred4 structure is used to identify each component comprising the file. The "ploc\_object\_id" identifies the component object, the "ploc\_capability\_key" provide the OSS security credentials needed to access that object. The "ploc\_cap\_key\_sec" value denotes the method used to secure the "ploc\_capability\_key".

To comply with the Lustre security requirements, the capability key SHOULD be transferred securely to prevent eavesdropping. Therefore, a client SHOULD either issue the LAYOUTGET or GETDEVICEINFO operations via RPCSEC\_GSS with the privacy service or previously establish a secret state verifier (SSV) for the sessions via the NFSv4.1 SET\_SSV operation. The pnfs\_los\_cap\_key\_sec4 type is used to identify the method used by the server to secure the capability key.

- o PNFS\_OSS\_CAP\_KEY\_SEC\_NONE denotes that the "ploc\_capability\_key" is not encrypted, in which case the client SHOULD issue the LAYOUTGET or GETDEVICEINFO operations with RPCSEC\_GSS with the

privacy service or the NFSv4.1 transport should be secured by using methods that are external to NFSv4.1 like the use of IPsec ([5]) for transporting the NFSV4.1 protocol.

- o PNFS\_OSS\_CAP\_KEY\_SEC\_SSV denotes that the "ploc\_capability\_key" contents are encrypted using the SSV GSS context and the capability key as inputs to the GSS\_Wrap() function (see GSS-API [7]) with the conf\_req\_flag set to TRUE. The client MUST use the secret SSV key as part of the client's GSS context to decrypt the capability key using the value of the lc\_capability\_key field as the input\_message to the GSS\_unwrap() function. Note that to prevent eavesdropping of the SSV key, the client SHOULD issue SET\_SSV via RPCSEC\_GSS with the privacy service.

The actual method chosen depends on whether the client established a SSV key with the server and whether it issued the operation with the RPCSEC\_GSS privacy method. Naturally, if the client did not establish an SSV key via SET\_SSV, the server MUST use the PNFS\_OSS\_CAP\_KEY\_SEC\_NONE method. Otherwise, if the operation was not issued with the RPCSEC\_GSS privacy method, the server SHOULD secure the "ploc\_capability\_key" with the PNFS\_OSS\_CAP\_KEY\_SEC\_SSV method. The server MAY use the PNFS\_OSS\_CAP\_KEY\_SEC\_SSV method also when the operation was issued with the RPCSEC\_GSS privacy method.

#### **4.2. Data Stripping Algorithms**

Currently only RAID0 is supported but Lustre defines RAID1 as well.

```
/// const LOV_PATTERN_RAID0 = 0x001
///                               /* stripes are used round-robin */
/// const LOV_PATTERN_RAID1 = 0x002
///                               /* stripes are mirrors of each other */
```

### **5. Object Storage Server Addressing and Discovery**

Data operations to an OSS require the client to know the "address" of each OSS's root object. The OSS exposes block devices and serves data. Correspondingly, OSC is client of the services. Each OSS manages one or more OSTs, and OSTs store file data objects. Because these representations are local, GETDEVICEINFO must return information that can be used by the client to select the correct local representation.

### [5.1. pnfs\\_los\\_targetid\\_type4](#)

The following enum specifies the manner in which an OST can be specified. The target can be specified by the network access protocol type used.

```
/// enum pnfs_los_targetid_type4 {  
///   LOS_TARGET_TCP = 1,  
///   LOS_TARGET_IB  = 2  
/// };
```

Where:

- o LOS\_TARGET\_TCP denotes use of the TCP protocol
- o LOS\_TARGET\_IB denotes use of the IB protocol

Only TCP and IB are defined because these are the two most widely used networks in High Performance Computing deployments.

### [5.2. pnfs\\_los\\_deviceaddr4](#)

The specification (according to [9]) for an object device address is as follows:

```
/// struct pnfs_los_deviceaddr4 {  
///   netaddr4          lda_targetid;  
///   opaque            lda_ossname<>;  
/// };
```

#### [5.2.1. OSS Target Identifier](#)

When "lda\_targetid" is specified the opaque field MUST be formatted as the LOS name.

#### [5.2.2. Device Network Address](#)

The network address is given with the netaddr4 type, which specifies a TCP/IP or IB based endpoint (as specified in NFSv4.1 [3]). When given, the client SHOULD use it to probe for the OSS device at the given network address. The client MAY still use other discovery mechanisms to locate the device using the "lda\_targetid". In particular, an external name service (external to data protocol coming from LNET) SHOULD be used when the devices may be attached to the network using multiple connections, and/or multiple storage fabrics (e.g., TCP or IB).

## 6. Lustre-Based Layout

The layout4 type is defined in the NFSv4.1 ([3]) as follows:

```
enum layouttype4 {
    LAYOUT4_NFSV4_1_FILES= 0x1,
    LAYOUT4_OSD2_OBJECTS = 0x2,
    LAYOUT4_BLOCK_VOLUME = 0x3,
    LAYOUT4_OSS_OBJECTS = 0xBD30BD4 /* Tentatively */
};

struct layout_content4 {
    layouttype4    loc_type;
    opaque         loc_body<>;
};

struct layout4 {
    offset4        lo_offset;
    length4        lo_length;
    layoutiomode4   lo_iomode;
    layout_content4 lo_content;
};
```

This document defines structure associated with the layouttype4 value, LAYOUT4\_OSS\_OBJECTS. The NFSv4.1 ([3]) specifies the loc\_body structure as an XDR type "opaque". The opaque layout is uninterpreted by the generic pNFS client layers, but obviously must be interpreted by the Lustre storage layout driver. This section defines the structure of this opaque value, "pnfs\_oss\_layout4".

### 6.1. pnfs\_lov\_mds\_md

There are two kinds of MDS metadata in the Lustre protocol. For pNFS we decided to only support V3 that is in use since Lustre 2.0 release. The other V1 metadata is not considered to be supported in this draft.

These are the key file mapping data structures. "pnfs\_lov\_ost\_data" is per-stripe data structure. "lov\_mds\_md" is per file data structure.

```

/// struct pnfs_lov_ost_data4 { /* per-stripe data structure */
///     uint64_t l_object_id;    /* OST object ID */
///     uint64_t l_object_seq;   /* OST object seq number */
///     uint32_t l_ost_gen;
///     /* generation of this l_ost_idx */
///     uint32_t l_ost_idx;
///     /* OST index in LOV (lov_tgt_desc->tgts) */
/// };
///
/// #define LOV_MAXPOOLNAME 16
///
/// struct pnfs_lov_mds_md { /* LOV EA mds/wire data */
///     uint32_t lmm_pattern;
///     /* LOV_PATTERN_RAID0, LOV_PATTERN_RAID1 */
///     uint64_t lmm_object_id; /* LOV object ID */
///     uint64_t lmm_object_seq; /* LOV object seq number */
///     uint32_t lmm_stripe_size; /* size of stripe in bytes */
///     uint16_t lmm_stripe_count;
///     /* num stripes in use for this object */
///     uint16_t lmm_layout_gen; /* layout generation number */
///     char lmm_pool_name[LOV_MAXPOOLNAME];
///     /* must be 32bit aligned */
///     pnfs_lov_ost_data4 lmm_objects[0]; /*per-stripe data*/
/// };
///

```

The `pnfs_"pnfs_lov_ost_data4"` structure parameterizes the algorithm that maps a file's contents over the component OST's.

The `"pnfs_lov_ost_data4"` is a per stripe data structure that defines the location of the stripe in OST and which OST holds the data.

`"l_object_id"` holds the file data's object ID on the OST.

`"l_object_seq"` holds the object sequence number which is always 0.

`"l_ost_idx"` holds the OST's index in LOV, and `"l_ost_gen"` holds the OST's index generation.

The `"lmm_pattern"` holds the file's stripping pattern. It can be either `LOV_PATTERN_RAID0` or `LOV_PATTERN_RAID1`. `"lmm_object_id"` holds the MDS object ID. `"lmm_object_seq"` holds the LOV object sequence

number.

"lmm\_stripe\_size" holds the stripe size in bytes. A file is striped across multiple OSTs in the same stripe size. The "lmm\_stripe\_count" holds the number of OSTs over which the file is striped.

"llm\_layout\_gen" holds the generation of current layout information. Clients need to obtain layout generation before IO and check layout generation after IO. If layout generation is changed, client needs to redo the operations.

The "lmm\_objects" is an array of "lmm\_stripe\_count" members containing per OST file information. Each element is in form of struct "pnfs\_lov\_ost\_data".

## 6.2. pnfs\_los\_layout4

The following is the opaque data in generic layout.

```
/// struct pnfs_los_layout4 {  
///   pnfs_lov_mds_md          lov_mds_md;  
///   pnfs_los_object_cred4    llo_component;  
/// };  
///
```

The "llo\_component" is of type "pnfs\_los\_object\_cred4", containing credentials that Lustre client needs to use to connect to OSS's.

Note that the layout depends on the file size, which the client learns, by doing GETATTR commands to the pNFS metadata server.

The pNFS client uses the file size to decide if it should return a short read of the file when trying to read beyond the file size.

## 6.3. Data Mapping Schemes

This section describes the different data mapping schemes in detail. The Lustre layout always uses a "dense" layout as described in NFSv4.1 ([3]). This means that the second stripe unit of the file starts at offset 0 of the second component, rather than at offset stripe\_unit bytes. After a full stripe has been written, the next

stripe unit is appended to the first component object in the list without any holes in the component objects. From the MDS point of view, each file is composed of multiple data objects striped on one or more OSTs.

### **6.3.1. Simple Striping**

A file object's layout information is defined in the extended attribute (EA) of the inode. Essentially, EA describes the mapping between file object id and its corresponding OSTs.

For example, if file A has a stripe count of three, then its EA will look like:

```
EA ---> <obj id x, ost p>
        <obj id y, ost q>
        <obj id z, ost r>
        stripe size and stripe width
```

In the above equation `obj_id` is the object identifier of a file fragment on the ost `p`, "stripe size" is the size of each file segment on one OST and "stripe width" is the number of OST's used. So if the "stripe size" is 1MB, and the "stripe width" is 3, then this would mean that: `[0,1M)`, `[4M,5M)`, ... are stored as object `x`, which is on OST `p`; `[1M, 2M)`, `[5M, 6M)`, ... are stored as object `y`, which is on OST `q`; `[2M,3M)`, `[6M, 7M)`, ... are stored as object `z`, which is on OST `r`.

Before reading the file, the pNFS client will query the pNFS MDS and be informed that it should talk to `<ost p, ost q, ost r>` for this operation. This information is structured in so-called LSM, and Lustre client side LOV (logical object volume) is to interpret this information so Lustre client can send requests to OSTs. Here again, the Lustre client communicates with OST through a client module interface known as OSC. Depending on the context, OSC can also be used to refer to an OSS client by itself.

The mapping from the logical offset within a file (`L`) to the component object `C` and object-specific offset `O` is defined by the following equations:



$L$  = logical offset into the file  
 $W$  = stripe width  
 $S$  = stripe size  
 $C = (L - L \% S) \% W$   
 $O = L / W / S + L \% S$

In these equations,  $S$  is the number of bytes in a full stripe or stripe size.  $C$  is an index into the array of components, so it selects a particular OST device.  $C$  count starts from zero.  $O$  is the offset within the OST that corresponds to the file offset. Note that this computation does accommodate the fact that an object includes all the file segments that are located on same OST.

For example, consider an object striped over three devices, <OST0 OST1 OST2>. The stripe size is 1024KB. The stripe width  $W$  is thus 3.

Offset 0KB:

$C = (0 - 0 \% 1) \% 3 = 0$  (OST0)  
 $O = 0 / 3 / 1024 + (0 \% 1024) = 0$

Offset 1024KB:

$C = (1024 - (1024 \% 1024)) \% 3 = 1$  (OST1)  
 $O = 1024 / 3 / 1024 + (1024 \% 1024) = 0$

Offset 9000KB:

$C = (9000 - (9000 \% 1024)) \% 3 = 2$  (OST2)  
 $O = 9000 / 3 / 1024 + (9000 \% 1024) = 810$

Offset 102400KB:

$C = (102400 - (102400 \% 1024)) \% 3 = 1$  (OST0)  
 $O = 102400 / 3 / 1024 + (102400 \% 1024) = 33$

#### **6.4. RAID Algorithms**

This section defines the different redundancy algorithms. Note: The term "RAID" (Redundant Array of Independent Disks) is used in this document to represent an array of component OST's that store data for an individual file. The objects are stored on independent OST-based storage devices. File data is encoded and striped across the array of component OST's using algorithms developed for block-based RAID systems.

#### **6.4.1. PNFS\_OST\_RAID\_0**

PNFS\_OST\_RAID\_0 means there is no parity data, so all bytes in the component objects are data bytes located by the above equations for C and 0.

#### **6.4.2. PNFS\_OST\_RAID\_1**

PNFS\_OST\_RAID\_1 means there is no parity data, but each OST is mirrored to another OST. In this case the component objects are data bytes still located by the above equations for C and 0, defined in [section 6.3.1](#).

### **7. Lustre-Based Creation Layout Hint**

The layouthint4 type is defined in the NFSv4.1 ([3]) as follows:

```
struct layouthint4 {
    layouttype4    loh_type;
    opaque         loh_body<>;
};
```

The "layouthint4" structure is used by the client to pass a hint about the type of layout it would like to be created for a particular file. If the "loh\_type" layout type is LAYOUT4\_OSS\_OBJECTS, then the "loh\_body" opaque value is defined by the "pnfs\_oss\_layouthint4" type.

#### **7.1. pnfs\_los\_layouthint4**

```
    /// union pnfs_lov_stripe_count_hint4 switch (bool lsc_valid) {
    ///     case TRUE:
    ///         uint32_t lsc_stripe_count;
    ///     case FALSE:
    ///         void;
    /// };
    ///
    /// union pnfs_lov_stripe_size_hint4 switch (bool lss_valid) {
    ///     case TRUE:
    ///         uint32_t lss_stripe_size;
    ///     case FALSE:
    ///         void;
```

```
/// };
///
/// union pnfs_lov_stripe_offset_hint4 switch (bool lso_valid) {
///     case TRUE:
///         uint32_t lso_stripe_offset;
///     case FALSE:
///         void;
/// };
///
/// union pnfs_lov_stripe_pattern_hint4 switch (bool lsp_valid) {
///     case TRUE:
///         uint32_t lsp_stripe_pattern;
///     case FALSE:
///         void;
/// };
///
/// union pnfs_lov_pool_hint4 switch (bool lp_valid) {
///     case TRUE:
///         string      lp_pool_name<>;
///     case FALSE:
///         void;
/// };
///
/// enum pnfs_lov_data_tier {
///     LOV_DATA_PRIMARY = 1;
///     LOV_DATA_SECONDARY = 2;
/// };
///
/// union pnfs_lov_data_tiering_hint4 switch (bool lp_valid) {
///     case TRUE:
///         ldt_data_tier;
///     case FALSE:
///         void;
/// };
///
/// struct pnfs_los_layouthint4 {
///     pnfs_lov_stripe_count_hint4    lov_stripe_count_hint;
///     pnfs_lov_stripe_size_hint4     lov_stripe_size_hint;
///     pnfs_lov_stripe_offset_hint4   lov_stripe_offset_hint;
```

```
///  pnfs_lov_stripe_pattern_hint4 lov_stripe_pattern_hint;  
///  pnfs_lov_pool_hint4           lov_pool_hint;  
///  pnfs_lov_data_tiering_hint4   lov_data_tiering_hint;  
/// };  
///
```

"pnfs\_lov\_layouthint4" conveys hints for the desired data map. Hints are indications of the client for preferences of the data stripe type to be used for the file. All parameters are optional so the client can give values for only the parameters it cares about.

"lov\_stripe\_count\_hint", "lov\_stripe\_size\_hint", "lov\_stripe\_offset\_hint" and "lov\_stripe\_pattern\_hint" tells server that client wants to create a file with corresponding stripe count, stripe size, stripe offset and stripe pattern. "lov\_pool\_hint" tells server that client wants to create a file within specific OST pool. "lov\_data\_tiering\_hint" tells server with tiering support, that if client wants to store data in primary (usually fast) storage tier.

The server should make an attempt to honor the hints, but it can ignore any or all of them at its own discretion and without failing the respective CREATE operation.

## 8. IANA Considerations

As described in NFSv4.1 ([8]), new layout type numbers have been assigned by IANA. This document defines the protocol associated with a new layout type number, LAYOUT4\_OSS\_OBJECTS, and it requires to be assigned a new value from IANA.

## 9. References

### 9.1. Normative References

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