The Key HTTP Response Header Field
draft-fielding-http-key-02

Abstract

The 'Key' header field for HTTP responses allows an origin server to
describe the cache key for a negotiated response: a short algorithm
that can be used upon later requests to determine if the same
response is reusable.

Key has the advantage of avoiding an additional round trip for
validation whenever a new request differs slightly, but not
significantly, from prior requests.

Key also informs user agents of the request characteristics that
might result in different content, which can be useful if the user
agent is not sending Accept* fields in order to reduce the risk of
fingerprinting.

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1. Introduction

In HTTP caching [I-D.ietf-httpbis-p6-cache], the Vary response header field effectively modifies the key used to store and access a response to include information from the request's headers. This allows proactive content negotiation [I-D.ietf-httpbis-p2-semantics] to work with caches.

However, Vary’s operation is coarse-grained; although caches are allowed to normalise the values of headers based upon their semantics, doing so requires the cache to understand those semantics, and is still quite limited.

For example, if a response is cached with the response header field:

   Vary: Accept-Encoding

and and its associated request is:

   Accept-Encoding: gzip

then a subsequent request with the following header is (in a strict reading of HTTP) not a match, resulting in a cache miss:

   Accept-Encoding: identity, gzip

This document defines a new response header field, "Key", that allows servers to describe the cache key in a much more fine-grained manner, leading to improved cache efficiency.

1.1. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

This document uses the Augmented Backus-Naur Form (ABNF) notation of [RFC5234] with the list rule extension defined in [I-D.ietf-httpbis-p1-messaging], Appendix B. It includes by reference the OWS, field-name and quoted-string rules from that document, and the parameter and attribute rules from [I-D.ietf-httpbis-p2-semantics].

2. The "Key" Response Header Field

The "Key" response header field describes the request attributes that the server has used to select the current representation.
As such, its semantics are similar to the "Vary" response header field, but it allows more fine-grained description, using "key modifiers".

Caches can use this information as part of determining whether a stored response can be used to satisfy a given request. When a cache fully implements this mechanism, it MAY ignore the Vary response header field.

Additionally, user agents can use this information to discover if additional request header fields might influence the resulting response.

The Key field-value is a comma-delimited list of selecting header fields (similar to Vary), with zero to many modifiers to each, delimited by semicolons. Whitespace is not allowed in the field-value between each field-name and its parameter set.

\[
\text{Key} = \text{1#field-name *( ";" parameter )}
\]

The following header fields therefore have identical semantics:

\[
\text{Vary: Accept-Encoding, Accept-Language}
\]
\[
\text{Key: Accept-Encoding, Accept-Language}
\]

However, Key's use of modifiers allows:

\[
\text{Key: Accept-Encoding;w="gzip", Accept-Language;b="fr"}
\]

to indicate that the response it occurs in is allowed to be reused for requests that contain the token "gzip" (in any case) in the Accept-Encoding header field and an Accept-Language header field value that starts with "fr" (in any case).

Note that both the field-name and modifier names themselves are case insensitive.

2.1. Header Matching

When used by a cache to determine whether a stored response can be used to satisfy a presented request, each field-name identifies a potential request header, just as with the Vary response header field.

However, each of these can have zero to many "key modifiers" that change how the response selection process (as defined in [I-D.ietf-httpbis-p6-cache], Section 4.3)) works.
In particular, a cache that implements the Key header field MUST NOT use a stored response unless all of the selecting header fields nominated by the Key header field match in both the original request (i.e., that associated with the stored response) and the presented request.

Modifiers operate on a list of zero to many field-values. This list is constructed by:

1. Starting with the field-values of all header fields that have the given field-name.
2. Splitting each field-value on commas, excluding any that occur inside of a quoted-string production.
3. Flattening the list of lists into a single list that represents the individual header field-values.
4. Case-normalising each value in both lists to lowercase.
5. Trimming any OWS from the start and end of the field-values.

For example, given the set of headers:

   Foo: 1
   Bar: z
   Foo: 2, a="b,c"

A modifier for "Foo" would operate on the list of presented values '1', '2', 'a="b,c"'.

Note that step 2 of this algorithm optimistically assumes that double-quotes in a header field value denote the use of the quoted-string as defined by [I-D.ietf-httpbis-p1-messaging]; the cache does not need to "understand" the specific header field.

Once the appropriate header fields from both the original request and the stored request are processed in this manner, the result is two (possibly empty) lists of values for each specified header field.

The key modifiers (as specified in the Key header field) are then applied to the lists in the order they appear in Key (left to right). If any modifier does not return a match (as per its definition), the headers are said not to match. If all of the modifiers return a match, the headers are said to match.

Note that some types of modifiers are said to always match; they can be used to alter the input lists, or to alter the semantics of subsequent matches.

Unrecognised modifiers MUST result in a failure to match.
2.2. Key Modifiers

This document defines the following key modifiers:

2.2.1. "w": Word Match Modifier

The "w" modifier matches if the parameter value (after unquoting) matches (character-for-character) at least one whole member in both lists.

2.2.2. "s": Substring Match Modifier

The "s" modifier matches if the parameter value (after unquoting) is contained as a sequence of characters in at least one member of both lists.

2.2.3. "b": Beginning Substring Match Modifier

The "b" modifier matches if both lists contain at least one member that begins with the same sequence of characters as the parameter value (after unquoting).

2.2.4. "p": Parameter Prefix Match Modifier

The "p" modifier matches if both lists contain at least one member whose sequence of characters up to (but not including) the first semi-colon (";") matches the parameter value, case insensitively, after whitespace is removed.

For example, given the key:

Key: Accept;p="text/html"

then each of the following header fields is a match:

Accept: text/html
Accept: text/HTML; q=0.5
Accept: text/html;q=0.1
Accept: text/html; foo="bar"

but does not match:

Accept: text/plain
Accept: text/plain; type="text/html"
2.2.5. "pr": Parameter Range Modifier

The "pr" modifier matches if both lists contain at least one member with the indicated attribute, and its value falls within a specified numeric range.

This modifier assumes that list members have a "attribute=value" format (following the parameter rule in [I-D.ietf-httpbis-p2-semantics]).

In the modifier, the indicated attribute is conveyed using the characters before the colon character in the parameter value; the range is that afterwards.

Formally, the syntax is:

```plaintext
parameter_range =  attribute ":" "[" [ range_num ] "]" [ range_num ] "]"
range_num = [ "-" ] 1*DIGIT
```

For example, given the key:

Key: Foo;pr=bar[20:30]

the indicated attribute is 'bar', and the range is from 20 to 30 (inclusive). Thus, each of the following headers would match:

- Foo: bar=20
- Foo: BAr=25
- Foo: bar=30, baz=100
- Foo: baz=10, bar=50, bar=10

whilst the following would not:

- Foo: bar=19
- Foo: bar=
- Foo: bar=-30
- Foo: bar= 25
- Foo: thing=100
- Foo: bar

Note that the indicated attribute is always case-insensitive.

The range can be incomplete on either side; for example, given:

Key: Foo;pr=bar[:30]

each of the following headers would match:
Foo: bar=20
Foo: bar=1, baz=wibble
Foo: bar=0
Foo: bar=-500

2.2.6. "c": Case Sensitivity Flag

The "c" modifier always matches, and has the side effect of reverting the case normalisation of the header lists (see #4 in the list above), so that subsequent matches become case sensitive.

2.2.7. "n": Not Flag

The "n" modifier always matches, and has the side effect of modifying the semantics of subsequent modifiers (i.e., the match modifiers to its right, lexically) so that they will be considered to match if they do not, and likewise they will be considered not to match if they do.

For example, given a response with:

```
Key: Foo;w="a";n;w="b"
```

then the presented header:

```
Foo: a, c
```

would match, while

```
Foo: a, b
```

would not (because it contains "b").

2.3. Examples

For example, this response header field:

```
Key: cookie;w="_sess=fhd378";c;w="ID="Roy"", Accept-Encoding;w="gzip"
```

would allow the cache to reuse the response it occurs in if the presented request contains:

- A Cookie header containing both ID="Roy" (in that case) and _sess=fhd378 (evaluated case insensitively), and
- An Accept-Encoding header containing the token "gzip" (evaluated case insensitively).
Less convoluted examples include matching any request with a User-Agent field value containing "MSIE" in any combination of case:

   Key: user-agent;s="MSIE"

And an Accept-Language field value for French:

   Key: accept-language;b="fr"

2.4.  Relationship to Vary

Origin servers SHOULD still send Vary as normal with Key, to accommodate implementations that do not (yet) understand it. For example,

   Vary: Accept-Encoding
   Key: Accept-Encoding;w="gzip"

3.  IANA Considerations

   TBD

4.  Security Considerations

   TBD

5.  Normative References

   [I-D.ietf-httpbis-p1-messaging]
   draft-ietf-httpbis-p1-messaging-21 (work in progress), October 2012.

   [I-D.ietf-httpbis-p2-semantics]
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   [I-D.ietf-httpbis-p6-cache]
   Fielding, R., Nottingham, M., and J. Reschke, "Hypertext Transfer Protocol (HTTP/1.1): Caching",
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