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# Multipurpose Internet Mail Extensions (MIME) Part Four: Registration Procedures draft-freed-mime-p4-07.txt

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## Abstract

This document specifies IANA registration procedures for MIME external body access types and content-transfer-encodings.

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## **1**. Introduction

Recent Internet protocols have been carefully designed to be easily extensible in certain areas. In particular, MIME [RFC2045] is an open-ended framework and can accommodate additional object types, charsets, and access methods without any changes to the basic protocol. A registration process is needed, however, to ensure that the set of such values is developed in an orderly, well-specified, and public manner.

This document defines registration procedures which use the Internet Assigned Numbers Authority (IANA) as a central registry for such values.

#### Note

Registration of media types and charsets for use in MIME are now specified in separate documents and are no longer addressed here.

## **1.1** Conventions Used In This Document

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

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## 2. External Body Access Types

[RFC2046] defines the message/external-body media type, whereby a MIME entity can act as pointer to the actual body data in lieu of including the data directly in the entity body. Each message/ external-body reference specifies an access type, which determines the mechanism used to retrieve the actual body data. <u>RFC 2046</u> defines an initial set of access types, but allows for the registration of additional access types to accommodate new retrieval mechanisms.

# 2.1 Registration Requirements

New access type specifications MUST conform to a number of requirements as described below.

## 2.1.1 Naming Requirements

Each access type MUST have a unique name. This name appears in the access-type parameter in the message/external-body content-type header field, and MUST conform to MIME content type parameter syntax.

## 2.1.2 Mechanism Specification Requirements

All of the protocols, transports, and procedures used by a given access type MUST be described, either in the specification of the access type itself or in some other publicly available specification, in sufficient detail for the access type to be implemented by any competent implementor. Use of secret and/or proprietary methods in access types is expressly prohibited. The restrictions imposed by [RFC2026] on the standardization of patented algorithms must be respected as well.

## 2.1.3 Publication Requirements

All access types MUST be described by an RFC. The RFC may be informational rather than standards-track, although standard-track review and approval are encouraged for all access types.

#### 2.1.4 Security Requirements

Any known security issues that arise from the use of the access type MUST be completely and fully described. It is not required that the access type be secure or that it be free from risks, but that the known risks be identified. Publication of a new access type does not require an exhaustive security review, and the security considerations section is subject to continuing evaluation. Additional security considerations SHOULD be addressed by publishing

revised versions of the access type specification.

#### **2.2** Registration Procedure

Registration of a new access type starts with the the publication of the specification as an internet-draft.

#### 2.2.1 Present the Access Type to the Community

Send a proposed access type specification to the "ietf-types@iana.org" mailing list for a two week review period. This mailing list has been established for the purpose of reviewing proposed access and media types. Proposed access types are not formally registered and must not be used.

The intent of the public posting is to solicit comments and feedback on the access type specification and a review of any security considerations.

#### 2.2.2 Access Type Reviewer

When the two week period has passed, the access type reviewer, who is appointed by the IETF Applications Area Director(s), either forwards the request to iana@iana.org, or rejects it because of significant objections raised on the list.

Decisions made by the reviewer must be posted to the ietf-types mailing list within 14 days. Decisions made by the reviewer may be appealed to the IESG as specified in [<u>RFC2026</u>].

### 2.2.3 IANA Registration

Provided that the access type has either passed review or has been successfully appealed to the IESG, the IANA will register the access type and make the registration available to the community. The specification of the access type must also be published as an RFC.

#### 2.3 Location of Registered Access Type List

Access type registrations are listed by the IANA on the web page:

http://www.iana.org/assignments/access-types

#### 2.4 IANA Procedures for Registering Access Types

The identity of the access type reviewer is communicated to the IANA by the IESG. The IANA then only acts in response to access type

definitions that either are approved by the access type reviewer and forwarded by the reviewer to the IANA for registration, or in response to a communication from the IESG that an access type definition appeal has overturned the access type reviewer's ruling.

#### 3. Transfer Encodings

Transfer encodings are tranformations applied to MIME media types after conversion to the media type's canonical form. Transfer encodings are used for several purposes:

- o Many transports, especially message transports, can only handle data consisting of relatively short lines of text. There can also be severe restrictions on what characters can be used in these lines of text -- some transports are restricted to a small subset of US-ASCII and others cannot handle certain character sequences. Transfer encodings are used to transform binary data into textual form that can survive such transports. Examples of this sort of transfer encoding include the base64 and quoted-printable transfer encodings defined in [<u>RFC2045</u>].
- Image, audio, video, and even application entities are sometimes quite large. Compression algorithms are often quite effective in reducing the size of large entities. Transfer encodings can be used to apply general-purpose non-lossy compression algorithms to MIME entities.
- o Transport encodings can be defined as a means of representing existing encoding formats in a MIME context.

IMPORTANT: The standardization of a large numbers of different transfer encodings is seen as a significant barrier to widespread interoperability and is expressely discouraged. Nevertheless, the following procedure has been defined to provide a means of defining additional transfer encodings, should standardization actually be justified.

## 3.1 Transfer Encoding Requirements

Transfer encoding specifications MUST conform to a number of requirements as described below.

### <u>3.1.1</u> Naming Requirements

Each transfer encoding MUST have a unique name. This name appears in the Content-Transfer-Encoding header field and MUST conform to the syntax of that field.

### <u>**3.1.2</u>** Algorithm Specification Requirements</u>

All of the algorithms used in a transfer encoding (e.g., conversion to printable form, compression) MUST be described in their entirety in the transfer encoding specification. Use of secret and/or

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proprietary algorithms in standardized transfer encodings is expressly prohibited. The restrictions imposed by [<u>RFC2026</u>] on the standardization of patented algorithms MUST be respected as well.

#### <u>3.1.3</u> Input Domain Requirements

All transfer encodings MUST be applicable to an arbitrary sequence of octets of any length. Dependence on particular input forms is not allowed.

It should be noted that the 7bit and 8bit encodings do not conform to this requirement. Aside from the undesireability of having specialized encodings, the intent here is to forbid the addition of additional encodings similar to or redundant with 7bit and 8bit.

### <u>**3.1.4</u>** Output Range Requirements</u>

There is no requirement that a particular tranfer encoding produce a particular form of encoded output. However, the output format for each transfer encoding MUST be fully and completely documented. In particular, each specification MUST clearly state whether the output format always lies within the confines of 7bit data, 8bit data, or is simply pure binary data.

### 3.1.5 Data Integrity and Generality Requirements

All transfer encodings MUST be fully invertible on any platform; it MUST be possible for anyone to recover the original data by performing the corresponding decoding operation. Note that this requirement effectively excludes all forms of lossy compression as well as all forms of encryption from use as a transfer encoding.

#### 3.1.6 New Functionality Requirements

All transfer encodings MUST provide some sort of new functionality. Some degree of functionality overlap with previously defined transfer encodings is acceptable, but any new transfer encoding MUST also offer something no other transfer encoding provides.

#### 3.1.7 Security Requirements

To the greatest extent possible transfer encodings SHOULD NOT contain known security issues. Regardless, any known security issues that arise from the use of the transfer encoding MUST be completely and fully described. If additional security issues come to light after initial publication and registration they SHOULD be addressed by publishing revised versions of the transfer encoding pecification.

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## 3.2 Transfer Encoding Definition Procedure

Definition of a new transfer encoding starts with the the publication of the specification as an internet-draft. The draft MUST define the transfer encoding precisely and completely, and MUST also provide substantial justification for defining and standardizing a new transfer encoding. This specification MUST then be presented to the IESG for consideration. The IESG can

- reject the specification outright as being inappropriate for standardization,
- assign the specification to an existing IETF working group for further work,
- o approve the formation of an IETF working group to work on the specification in accordance with IETF procedures, or,
- o accept the specification as-is for processing as an individual standards track submission.

Transfer encoding specifications on the standards track follow normal IETF rules for standards track documents. A transfer encoding is considered to be defined and available for use once it is on the standards track.

# 3.3 IANA Procedures for Transfer Encoding Registration

There is no need for a special procedure for registering Transfer Encodings with the IANA. All legitimate transfer encoding registrations MUST appear as a standards-track RFC, so it is the IESG's responsibility to notify the IANA when a new transfer encoding has been approved.

## 3.4 Location of Registered Transfer Encodings List

The list of transfer encoding registrations can be found at:

http://www.iana.org/assignments/transfer-encodings

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# **<u>4</u>**. Security Considerations

Security requirements for access types are discussed in <u>Section 2.1.4</u>. Security requirements for transfer encodings are discussed in <u>Section 3.1.7</u>.

## 5. IANA Considerations

The sole purpose of this document is to define IANA registries for access types and transfer encodings. The IANA procedures for these registries are specified in <u>Section 2.4</u> and <u>Section 3.3</u> respectively.

## 6. Acknowledgements

The current authors would like to acknowledge their debt to the late Dr. Jon Postel, whose general model of IANA registration procedures and specific contributions shaped the predecessors of this document. We hope that the current version is one with which he would have agreed but, since it is impossible to verify that agreement, we have regretfully removed his name as a co-author. Internet-Draft

## 7. References

#### 7.1 Normative References

- [RFC2045] Freed, N. and N. Borenstein, "Multipurpose Internet Mail Extensions (MIME) Part One: Format of Internet Message Bodies", <u>RFC 2045</u>, November 1996.
- [RFC2046] Freed, N. and N. Borenstein, "Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types", <u>RFC 2046</u>, November 1996.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, March 1997.
- [RFC3023] Murata, M., St. Laurent, S., and D. Kohn, "XML Media Types", <u>RFC 3023</u>, January 2001.

#### 7.2 Informative References

- [RFC2026] Bradner, S., "The Internet Standards Process -- Revision 3", <u>BCP 9</u>, <u>RFC 2026</u>, October 1996.
- [RFC2048] Freed, N., Klensin, J., and J. Postel, "Multipurpose Internet Mail Extensions (MIME) Part Four: Registration Procedures", <u>BCP 13</u>, <u>RFC 2048</u>, November 1996.
- [RFC2278] Freed, N. and J. Postel, "IANA Charset Registration Procedures", <u>BCP 19</u>, <u>RFC 2278</u>, January 1998.

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## Appendix A. Changes made since RFC 2048

- Media type registration procedures are now described in a separate document.
- o The various URLs and addresses in this document have been changed so they all refer to iana.org rather than isi.edu. Additionally, many of the URLs have been changed to use HTTP; formerly they used FTP.
- o Much of the document has been clarified in the light of operational experience with these procedures.
- o Several of the references in this document have been updated to refer to current versions of the relevant specifications.
- o The option of assigning the task of working on a new transfer encoding to an existing working group has been added to the list of possible actions the IESG can take.
- o Security considerations and IANA considerations sections have been added.
- o Registration of charsets for use in MIME is specified in [RFC2278] and is no longer addressed by this document.

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