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**Identifying Defunct DAGs in RPL**  
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Abstract

This document specifies a mechanism for an RPL node to identify defunct directed acyclic graphs.

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## **1. Introduction**

RPL [[I-D.ietf-roll-rpl](#)], an IPv6 routing protocol for low power and lossy networks (LLNs), allows the formation of directed acyclic graphs (DAGs) in an LLN. These DAGs are used for routing data traffic within the LLN as well as to reach destinations outside the LLN via the DAG root(s). A DAG can be categorized as "grounded" or "floating" based on whether joining the DAG allows a node to meet an application specific goal or not. A DAG can be categorized as "global" or "local" depending on whether the RPL Instance (identified by the RPLInstanceID), to which the DAG belongs, is globally unique or not. A DAG may be permanent in nature or exist temporarily [[I-D.ietf-roll-rpl](#)] [[I-D.ietf-roll-p2p-rpl](#)]. A DAG is uniquely identified by the combination of its RPLInstanceID, DODAGID and DODAGVersionNumber. A node, running RPL, can join at most one DAG within an RPL Instance.

As described in Section 17.4.2 in [[I-D.ietf-roll-rpl](#)], an RPL node needs to maintain a certain state about each DAG it belongs to. This state includes the tuple (RPLInstanceID, DODAGID, DODAGVersionNumber) to identify the DAG, the node's current Rank as well as the minimum Rank (L) the node has had in this DAG, the set of parents the node has in the DAG and the Trickle timers that govern the sending of DODAG Information Object (DIO) messages by the node for the DAG [[I-D.ietf-roll-trickle](#)]. This state, except the Trickle timers, needs to be maintained for a certain time duration even when the node has no parent left in the DAG. This is done to ensure that the node does not join an earlier version of the DAG and it does not rejoin the DAG version represented by the DODAGVersionNumber value at a rank higher than  $L + \text{DAGMaxRankIncrease}$ , where DAGMaxRankIncrease is a configurable RPL parameter [[I-D.ietf-roll-rpl](#)].

Given the strict memory constraints faced by nodes in an LLN [[RFC5548](#)] [[RFC5673](#)] [[RFC5826](#)] [[RFC5867](#)], it is imperative that RPL protocol has a mechanism that allows a node to identify defunct DAGs and delete the state it maintains for such DAGs. This document specifies such a mechanism.

### **1.1. Defunct DAGs**

An RPL node removes a neighbor from its parent set for a DAG:

- o If the neighbor is no longer reachable, as determined using a mechanism such as Neighbor Unreachability Detection (NUD) [[RFC4861](#)], Bidirectional Forwarding Detection (BFD) [[RFC5881](#)] or L2 triggers [[RFC5184](#)]; or



- o If the neighbor advertises in its DIO an infinite rank in the DAG;  
or
- o If keeping the neighbor as a parent would required the node to increase its rank beyond  $L + \text{DAGMaxRankIncrease}$ ; or
- o If the neighbor advertises in its DIO membership in a different DAG within the same RPL Instance, where a different DAG is recognised by a different DODAGID or a different DODAGVersionNumber.

Even if the conditions listed above exist, an RPL node may fail to remove a neighbor from its parent set because:

- o The node fails to receive the neighbor's DIOs advertising an increased rank or the neighbor's membership in a different DAG;
- o The node may not check, and hence may not detect, the neighbor's unreachability for a long time. For example, the node may not have any data to send to this neighbor and hence may not encounter any event (such as failure to send data to this neighbor) that would trigger a check for the neighbor's reachability.

In such cases, a node would continue to consider itself attached to a DAG even if all its parents in the DAG are unreachable or have moved to different DAGs. Such a DAG can be characterized as being defunct from the node's perspective. If the node maintains state about a large number of defunct DAGs, such state may prevent a considerable portion of the total memory in the node from being available for more useful purposes.

To alleviate the problem described above, this document specifies a mechanism for an RPL node to identify the defunct DAGs and delete the state it maintains for such DAGs. Note that, given the proactive nature of RPL protocol, the lack of data traffic using a DAG can not be considered a reliable indication of the DAG's defunction. Further, the Trickle timer based control of DIO transmissions means the possibility of an indefinite delay in the receipt of a new DIO from a functional DAG parent. Hence, the mechanism specified in this document is based on the use of a multicast DODAG Information Solicitation (DIS) message to solicit DIOs about a DAG suspected of defunction.

## **2. Terminology**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and



"OPTIONAL" in this document are to be interpreted as described in [\[RFC2119\]](#).

Additionally, this document uses terminology from [\[I-D.ietf-roll-terminology\]](#) and [\[I-D.ietf-roll-rpl\]](#). Specifically, the term RPL node refers to an RPL router or an RPL host as defined in [\[I-D.ietf-roll-rpl\]](#).

### 3. The No Inconsistency Flag in the DIS Base Object

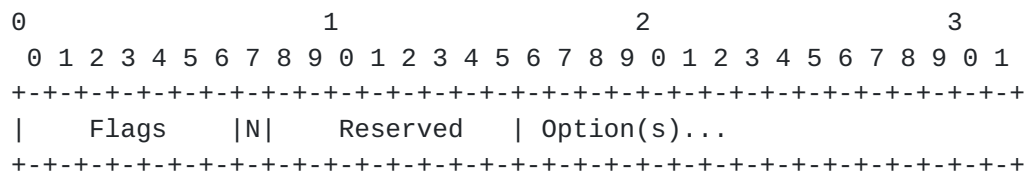


Figure 1: The No Inconsistency Flag in DIS Base Object

An RPL node can use a DODAG Information Solicitation (DIS) message to solicit DODAG Information Object (DIO) messages from its neighbors. A DIS may carry a Solicited Information option that specifies the predicates of the DAG(s) the node is interested in. In the absence of a Solicited Information option, it is assumed that the node generating the DIS is interested in receiving DIOs for all the DAGs. In the following discussion, we use the term "DIS predicates" to refer to both cases. If the DIS does not contain a Solicited Information option, all DAGs will match the DIS predicates; otherwise only those DAGs match the DIS predicates that satisfy the predicates specified in the Solicited Information option contained in the DIS.

A DIS can be multicast to all the in-range neighbors or it can be unicast to a specific neighbor. Unless restricted by a DIS flag, an RPL node must consider the receipt of a multicast DIS as an inconsistency and hence reset its Trickle timers [\[I-D.ietf-roll-trickle\]](#) for the DAGs that match the DIS predicates. The receipt of a unicast DIS causes an RPL node to generate the DIOs for all the DAGs matching the DIS predicates without resetting the Trickle timers.

This document defines a "No Inconsistency" (N) flag inside the DIS base object. The modified DIS base object format is shown in Figure 1. An RPL node, generating a DIS, MUST set this flag if it solicits DIOs for the purpose of identifying the defunct DAGs as specified in this document. On receiving a unicast/multicast DIS with N flag set, an RPL node MUST NOT reset the trickle timers for the DAGs that match the DIS predicates. For each DAG matching the





predicates of a multicast DIS received with N flag set, an RPL node MUST schedule a DIO transmission after a time duration between  $I_{min}/2$  and  $I_{min}$ , where  $I_{min}$  is the minimum Trickle interval size [[I-D.ietf-roll-trickle](#)] associated with the DAG. For each DAG matching the predicates of a unicast DIS received with N flag set, an RPL node MUST immediately generate a DIO.

#### 4. Identifying A Defunct DAG

When an RPL node has not received a DIO from any of its parents in a DAG for more than  $MaxSilence * I_{max}$  seconds, where  $MaxSilence$  is a configurable parameter greater than 1 and  $I_{max}$  is the maximum Trickle interval size [[I-D.ietf-roll-trickle](#)] associated with the DAG:

- o The node MUST generate a multicast DIS message that carries a Solicited Information option and has N flag set. The Solicited Information option MUST have the I and D flags set and the RPLInstanceID/DODAGID fields MUST be set to values identifying the DAG. The V flag inside the Solicited Information option SHOULD NOT be set so as to allow neighbors to send DIOs advertising the latest version of the DAG.
- o After sending the DIS, the node MUST wait for  $I_{min}$  duration, where  $I_{min}$  is the minimum Trickle interval size associated with the DAG, to receive the DIOs generated by its neighbors.
- o At the conclusion of the wait period:
  - \* If the node has received one or more DIOs advertising newer version(s) of the DAG, it MUST join the latest version of the DAG, select a new parent set among the neighbors advertising the latest DAG version and mark the DAG status as functional.
  - \* Otherwise, if the node has not received a DIO advertising the current version of the DAG from a neighbor in the parent set, it MUST remove that neighbor from the parent set. As a result, if the node has no parent left in the DAG, it MUST mark the DAG as defunct and schedule the deletion of the state it has maintained for the DAG after DAGHoldTime duration, a configurable parameter.

An RPL node SHOULD check the functional status of a DAG it belongs to in the manner described above at least once during a CheckDAGStatusTime interval, which is a configurable parameter.



## **5. Security Considerations**

TBA

## **6. IANA Considerations**

TBA

## **7. Acknowledgements**

We gratefully acknowledge Thomas Clausen for motivating this draft.

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