

**Using TLS for Privacy Between DNS Stub and Recursive Resolvers**  
**draft-hoffman-dns-tls-stub-02**

Abstract

DNS queries and responses can contain information that reveals important information about the person who caused the queries, and it would be better if eavesdroppers were unable to see DNS traffic. This document describes how to use TLS for encrypting DNS traffic between a system acting as a DNS stub resolver and a system acting as a DNS recursive resolver. It provides two alternatives that are based on different design goals.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of [BCP 78](#) and [BCP 79](#).

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <http://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on March 3, 2015.

Copyright Notice

Copyright (c) 2014 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to [BCP 78](#) and the IETF Trust's Legal Provisions Relating to IETF Documents (<http://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in [Section 4.e](#) of

the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

<a href="#">1.</a>	<a href="#">Introduction . . . . .</a>	<a href="#">2</a>
<a href="#">1.1.</a>	<a href="#">Two Designs, At Least For Now . . . . .</a>	<a href="#">3</a>
<a href="#">1.2.</a>	<a href="#">Terminology . . . . .</a>	<a href="#">3</a>
<a href="#">2.</a>	<a href="#">Specification of Using TLS Between a Stub Resolver and a Recursive Resolver . . . . .</a>	<a href="#">4</a>
<a href="#">2.1.</a>	<a href="#">Plan A . . . . .</a>	<a href="#">4</a>
<a href="#">2.2.</a>	<a href="#">Plan H . . . . .</a>	<a href="#">4</a>
<a href="#">2.3.</a>	<a href="#">Design Common to Both Plans . . . . .</a>	<a href="#">5</a>
<a href="#">2.4.</a>	<a href="#">Stub Resolver Policy . . . . .</a>	<a href="#">6</a>
<a href="#">2.5.</a>	<a href="#">Privacy Through DNS Forwarders . . . . .</a>	<a href="#">6</a>
<a href="#">2.6.</a>	<a href="#">Use by Authoritative Servers . . . . .</a>	<a href="#">6</a>
<a href="#">3.</a>	<a href="#">Design Rationale . . . . .</a>	<a href="#">7</a>
<a href="#">4.</a>	<a href="#">Privacy Considerations . . . . .</a>	<a href="#">7</a>
<a href="#">5.</a>	<a href="#">IANA Considerations . . . . .</a>	<a href="#">7</a>
<a href="#">5.1.</a>	<a href="#">ALPN Identification Sequence . . . . .</a>	<a href="#">7</a>
<a href="#">5.2.</a>	<a href="#">Well-Known URI . . . . .</a>	<a href="#">7</a>
<a href="#">5.3.</a>	<a href="#">Media Type . . . . .</a>	<a href="#">8</a>
<a href="#">6.</a>	<a href="#">Security Considerations . . . . .</a>	<a href="#">8</a>
<a href="#">7.</a>	<a href="#">Acknowledgements . . . . .</a>	<a href="#">8</a>
<a href="#">8.</a>	<a href="#">References . . . . .</a>	<a href="#">9</a>
<a href="#">8.1.</a>	<a href="#">Normative References . . . . .</a>	<a href="#">9</a>
<a href="#">8.2.</a>	<a href="#">Informative References . . . . .</a>	<a href="#">9</a>
	<a href="#">Author's Address . . . . .</a>	<a href="#">10</a>

## [1.](#) Introduction

As described in [[I-D.bortzmeyer-dnsop-dns-privacy](#)], there are many reasons why a user or system making a DNS query would like the query and the response to not be seen by others. The best way to make a query and response private is to use encryption, and TLS is a commonly-deployed protocol that provides encryption to clients and servers. This document describes how to use TLS for encrypting DNS traffic between a system acting as a stub resolver and a system acting as a recursive resolver.

Because there is currently no expectation of privacy for DNS queries, this document defines the use of opportunistic security as described in [[I-D.dukhovni-opportunistic-security](#)] for adding privacy for DNS traffic between a stub resolver and a recursive resolver.

The protocol described in this document cannot be used by a stub resolver to trust the DNSSEC validation status of responses from a



recursive server. Such trust might be described in a different protocol that always uses authenticated TLS, but not the one here.

### **1.1. Two Designs, At Least For Now**

There are two different designs in this document, called "Plan A" and "Plan H". The author hopes that the IETF community picks just one of the two so that software only need to implement one of the two. The two designs are detailed throughout the document, but introduced here briefly.

"Plan A" runs the DNS protocol directly under TLS on port 443. The way that a server knows that the client is going to run DNS instead of other protocols that run on port 443 is by using ALPN [[RFC7301](#)] (and thus the "A" in "Plan A").

"Plan H" encapsulates DNS messages in regular HTTP (thus the "H" in "Plan H") that is then run over TLS on port 443.

Plan A is simpler to implement than Plan H and should work fine for stub resolvers in operating systems. However, there is a desire for programs running in Javascript in browsers to be able to make DNS requests, particularly to get DNSSEC-protected responses such as for DANE [[RFC6698](#)] queries. Plan A will not work for that use case, but Plan H will.

### **1.2. Terminology**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#), [BCP 14](#) [[RFC2119](#)].

The roles of agents that make DNS requests, and those that give DNS responses have been loosely named over time. Because this protocol is meant to be used between specific types of agents, they need to be defined here. [[ Note: if these are adequately defined in existing RFCs in ways that the community agrees on, it would be better to simply repeat those definitions. ]]

Stub resolver: A system that sends DNS queries with the intention of using the answers locally.

Authoritative server: A system that responds to DNS queries with information about zones for which it is authoritative.

Recursive resolver: A system that receives DNS queries and either responds to those queries from a local cache or sends queries to



authoritative servers in order to get the answers to the original queries. These systems are also commonly called "recursive servers".

DNS forwarder: A system receives a DNS query from a stub resolver, possibly changes the query, sends the resulting query to a recursive resolver, receives the response from the recursive resolver, possibly changes the response, and sends the resulting response to the stub resolver. [RFC5625] does not give a specific definition for DNS forwarder, but describes in detail what features they need to support. The protocol interfaces for DNS forwarders are exactly the same as those for recursive resolvers (for interactions with DNS stubs) and as those for stub resolvers (for interactions with recursive resolvers).

## **2. Specification of Using TLS Between a Stub Resolver and a Recursive Resolver**

A stub resolver MAY attempt to communicate with a recursive resolver using TLS [RFC5246] over port 443.

### **2.1. Plan A**

If the recursive resolver responds on port 443, both the client and the server MUST use the ALPN [RFC7301] extension to TLS, and MUST use "dns" as the identification sequence in ALPN. After the TLS connection is established, the client and server communicate using the normal DNS protocol defined in [RFC1035] and all the relevant updates.

### **2.2. Plan H**

Plan H: An https: URI [RFC3986] is resolved. The URI uses the "/.well-known/" prefix defined in [RFC5785].

The URI is marshaled as follows:

1. The URI scheme MUST be "https:". (To restate the obvious, the URI scheme MUST NOT be "http:" or any other scheme.)
2. The authority MAY be a domain name, but is much more likely to be an IP address.
3. It is unlikely that there will be a port number, meaning that port 443 will be used.
4. The path begins with ".well-known/dns-in-https/".



5. The octets in the DNS request (defined in [RFC1035] and all the relevant updates) are converted to base64url encoding from [RFC4648] and appended to the path.

The URI is resolved using a standard HTTP client, such as the "curl" or "wget" tools or the libraries that support them.

If the HTTP request is successful, the server uses an HTTP 200 response and sends back a single part that is of type application/dns-response. The body of the response is the octets of the DNS response. Note that a DNS request that returns a DNS error is still considered an HTTP request that is successful and should be served with a 200 response.

If the request is not successful, the server might return HTTP responses in the 400 or 500 ranges with empty bodies. Note that HTTP response in the 300 range are also possible, such as if the DNS server has moved.

For example, a request URI would look as follows (with a line break due to publication limits):

[https://8.8.8.8/.well-known/dns-in-https/  
TN4AAAABAAAAAAAAAB2V4YW1wbGUDY29tAAABAAE=](https://8.8.8.8/.well-known/dns-in-https/TN4AAAABAAAAAAAAAB2V4YW1wbGUDY29tAAABAAE=)

This example is based on a request for the A record for example.com. The set of octets in the query is:

0x4CDE000000001000000000000076578616D706C6503636F6D000000100001

### **2.3. Design Common to Both Plans**

A recursive resolver SHOULD offer authentication using one or more of the many methods allowed by TLS, and the stub resolver SHOULD authenticate the recursive resolver if it can. However, if the stub resolver cannot authenticate the recursive resolver during TLS setup, the stub resolver SHOULD still complete the handshake in order to achieve encrypted communication.

A typical form of authentication for a recursive resolver would be a PKIX [RFC5280] certificate that has a CommonName (CN) that is the IP address that stub resolvers use to connect to it. Note that there are many other standardized types of TLS authentication that can be used, such as raw public keys keys [RFC7250].

The TLS connection is kept up for as long as each party is willing to do so.





#### **2.4. Stub Resolver Policy**

A stub resolver MAY use policy to allow unauthenticated encryption (which can possibly be intercepted by an on-path adversary) or authenticated encryption (which might prevent all DNS resolution if the server does not have correct authentication credentials) when contacting a recursive resolver using this protocol.

It is expected that users will want one of the following policies available to them:

- o The stub resolver MUST achieve authenticated TLS with a recursive server; if that can't be achieved, the stub resolver refuses to send out DNS queries
- o The stub resolver tries to achieve authenticated TLS with a recursive server; if it cannot achieve authenticated TLS, it tries to achieve unauthenticated TLS; if that can't be achieved, the stub resolver refuses to send out DNS queries
- o The stub resolver tries to achieve authenticated TLS with a recursive server; if it cannot achieve authenticated TLS, it tries to achieve unauthenticated TLS; if that can't be achieved, the stub resolver uses normal DNS cleartext on port 53
- o The stub resolver doesn't want to try TLS at all, and uses normal DNS cleartext on port 53

#### **2.5. Privacy Through DNS Forwarders**

A stub resolver cannot tell whether it is sending queries to a recursive resolver or to a DNS forwarder. Therefore, a DNS forwarder that acts as a TLS server for DNS requests SHOULD attempt to use TLS with its upstream resolver(s) to maximize the confidentiality of its stub clients.

#### **2.6. Use by Authoritative Servers**

There is absolutely no expectation that any authoritative server will deploy this protocol. Thus, a DNS recursive resolver that tries to contact an authoritative server on TCP port 443 in hopes of keeping its communication private is probably wasting its time and delaying getting the actual answer over port 53.



### **3. Design Rationale**

For Plan A, the MUST-level requirement for ALPN is because a server might host both DNS and secure web services on the same IP address.

For Plan H, using HTTP-under-TLS as a substrate was chosen for many of the reasons given in [[RFC3205](#)]. Plan H follows the restrictions of [RFC 3205](#), including using generic HTTP clients and servers, not adding restrictions on HTTP, and so on. It is expected that this protocol would work just fine (maybe even better) under HTTP/2 [[I-D.ietf-httpbis-http2](#)].

A different design is proposed in [[I-D.hzhwm-start-tls-for-dns](#)]. There, DNS over TCP is begun on port 53 as normal, but there is an in-band signal to change the transport to TLS.

Yet a different design, call DNSCrypt, has a fair amount of deployment. A pointer will be added here for the technical specification of that design if it becomes available.

### **4. Privacy Considerations**

This entire document is about improving privacy for DNS requests and responses.

### **5. IANA Considerations**

#### **5.1. ALPN Identification Sequence**

If Plan A is adopted, IANA is requested add the following value to the "Application-Layer Protocol Negotiation (ALPN) Protocol IDs" registry. That registry is populated by expert review, and such a review will be requested as this document progresses.

Protocol	Identification Sequence	Reference
DNS	0x64 0x6e 0x73 ("dns")	This document

#### **5.2. Well-Known URI**

If Plan H is adopted, IANA is requested add the following value to the "Well-Known URIs" registry. That registry is populated by expert review, and such a review will be requested as this document progresses.

URI suffix:	dns-in-https
Change controller:	IETF
Specification document(s):	This document
Related information:	None



### **5.3. Media Type**

If Plan H is adopted, IANA is requested add the following value to the "Media Types" registry. That registry is populated by expert review, and such a review will be requested as this document progresses.

Type name: application

Subtype name: dns-response

Required parameters: N/A

Optional parameters: N/A

Encoding considerations: N/A

Security considerations: Given in this document

Interoperability considerations: N/A

Published specification: This document

Applications that use this media type: This document

Fragment identifier considerations: N/A

Additional information: None

Person & email address to contact for further information:

Paul Hoffman, paul.hoffman@vpnc.org

Intended usage: COMMON

Restrictions on usage: N/A

Author: Paul Hoffman

Change controller: IESG

Provisional registration? (standards tree only): No

## **6. Security Considerations**

An adversary who can observe encrypted queries from stub resolvers, and can simultaneously observe the cleartext queries from a recursive resolver to authoritative servers, might be able to associate those two sets of queries and thus ascertain that a particular client asked a particular query. Such observations can be prevented by the recursive resolver already having the answer in its cache. If a recursive resolver has ample room in its cache, it can make the adversary's job harder by refreshing entries in its cache before the TTL on those entries time out, thereby preventing the adversary's ability to associate encrypted queries with cleartext ones.

## **7. Acknowledgements**

Many people have thought about protecting DNS queries and responses, and various discussions with those people resulted in this document.

The following have made significant contributions to this document: Jacob Appelbaum, Carsten Bormann, Tatuya JINMEI, and Paul Wouters.



The Plan A proposal in this document would not have been possible without the work done on ALPN and NPN (the predecessor to ALPN).

## 8. References

### 8.1. Normative References

- [I-D.ietf-httpbis-http2]  
Belshe, M., Peon, R., and M. Thomson, "Hypertext Transfer Protocol version 2", [draft-ietf-httpbis-http2-14](#) (work in progress), July 2014.
- [RFC1035] Mockapetris, P., "Domain names - implementation and specification", STD 13, [RFC 1035](#), November 1987.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), March 1997.
- [RFC3986] Berners-Lee, T., Fielding, R., and L. Masinter, "Uniform Resource Identifier (URI): Generic Syntax", STD 66, [RFC 3986](#), January 2005.
- [RFC4648] Josefsson, S., "The Base16, Base32, and Base64 Data Encodings", [RFC 4648](#), October 2006.
- [RFC5246] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.2", [RFC 5246](#), August 2008.
- [RFC5785] Nottingham, M. and E. Hammer-Lahav, "Defining Well-Known Uniform Resource Identifiers (URIs)", [RFC 5785](#), April 2010.
- [RFC7301] Friedl, S., Popov, A., Langley, A., and E. Stephan, "Transport Layer Security (TLS) Application-Layer Protocol Negotiation Extension", [RFC 7301](#), July 2014.

### 8.2. Informative References

- [I-D.bortzmeyer-dnsop-dns-privacy]  
Bortzmeyer, S., "DNS privacy considerations", [draft-bortzmeyer-dnsop-dns-privacy-02](#) (work in progress), April 2014.
- [I-D.dukhovni-opportunistic-security]  
Dukhovni, V., "Opportunistic Security: Some Protection Most of the Time", [draft-dukhovni-opportunistic-security-04](#) (work in progress), August 2014.





- [I-D.hzhwm-start-tls-for-dns]  
Zi, Z., Zhu, L., Heidemann, J., Mankin, A., and D.  
Wessels, "Starting TLS over DNS", [draft-hzhwm-start-tls-for-dns-01](#) (work in progress), July 2014.
- [RFC3205] Moore, K., "On the use of HTTP as a Substrate", [BCP 56](#),  
[RFC 3205](#), February 2002.
- [RFC5280] Cooper, D., Santesson, S., Farrell, S., Boeyen, S.,  
Housley, R., and W. Polk, "Internet X.509 Public Key  
Infrastructure Certificate and Certificate Revocation List  
(CRL) Profile", [RFC 5280](#), May 2008.
- [RFC5625] Bellis, R., "DNS Proxy Implementation Guidelines", [BCP 152](#),  
[RFC 5625](#), August 2009.
- [RFC6698] Hoffman, P. and J. Schlyter, "The DNS-Based Authentication  
of Named Entities (DANE) Transport Layer Security (TLS)  
Protocol: TLSA", [RFC 6698](#), August 2012.
- [RFC7250] Wouters, P., Tschofenig, H., Gilmore, J., Weiler, S., and  
T. Kivinen, "Using Raw Public Keys in Transport Layer  
Security (TLS) and Datagram Transport Layer Security  
(DTLS)", [RFC 7250](#), June 2014.

#### Author's Address

Paul Hoffman  
VPN Consortium

Email: paul.hoffman@vpnc.org

