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Using TLS and ALPN for Privacy Between DNS Stub and Recursive Resolvers  
[draft-hoffman-dprive-dns-tls-alpn-00](#)

## Abstract

DNS queries and responses can contain information that reveals important information about the person who caused the queries, and it would be better if eavesdroppers were unable to see DNS traffic. This document describes how to use TLS for encrypting DNS traffic between a system acting as a DNS stub resolver and a system acting as a DNS recursive resolver. It defines how to transport DNS queries and responses under TLS on port 443 using ALPN.

Discussion of this draft should take place in the DPRIVE WG.

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**1. Introduction**

As described in [[I-D.bortzmeyer-dnsop-dns-privacy](#)], there are many reasons why a user or system making a DNS query would like the query and the response to not be seen by others. The best way to make a query and response private is to use encryption, and TLS is a commonly-deployed protocol that provides encryption to clients and servers. This document describes how to use TLS for encrypting DNS traffic between a system acting as a stub resolver and a system acting as a recursive resolver.

This document defines how to transport DNS [[RFC1035](#)] queries and responses under TLS on port 443 using ALPN [[RFC7301](#)]. Using ALPN for this allows the use of the commonly-allowed port 443 while not confusing any HTTP servers that might be running under TLS.

Because there is currently no expectation of privacy for DNS queries, this document defines the use of opportunistic security as described in [[I-D.dukhovni-opportunistic-security](#)] for adding privacy for DNS traffic between a stub resolver and a recursive resolver.



The protocol described in this document cannot be used by a stub resolver to trust the DNSSEC validation status of responses from a recursive server. Such trust might be described in a different protocol that always uses authenticated TLS, but not the one here.

### **1.1. Other Designs**

There have been many designs proposed for using TLS to protect DNS traffic between a stub resolver and a recursive resolver. Among them are:

- o [[draft-hzhwm-dprive-start-tls-for-dns](#)] describes DNS over TCP begun on port 53 as normal, but there is an in-band signal to change the transport to TLS.
- o [[draft-hoffman-dprive-dns-tls-https](#)] describes how to easily wrap DNS queries in HTTP requests and interpret DNS responses in the HTTP responses; the HTTP here is always run under TLS on port 443.
- o [[draft-hoffman-dprive-dns-tls-newport](#)] describes DNS over TCP is begun on a port specific to the protocol.

(Yet a different design, call DNSCrypt, has a fair amount of deployment. A pointer will be added here for the technical specification of that design if it becomes available.)

### **1.2. Terminology**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#), [BCP 14](#) [[RFC2119](#)].

The roles of agents that make DNS requests, and those that give DNS responses have been loosely named over time. Because this protocol is meant to be used between specific types of agents, they need to be defined here. [[ Note: if these are adequately defined in existing RFCs in ways that the community agrees on, it would be better to simply repeat those definitions. ]]

Stub resolver: A system that sends DNS queries with the intention of using the answers locally.

Authoritative server: A system that responds to DNS queries with information about zones for which it is authoritative.

Recursive resolver: A system that receives DNS queries and either responds to those queries from a local cache or sends queries to



authoritative servers in order to get the answers to the original queries. These systems are also commonly called "recursive servers".

DNS forwarder: A system receives a DNS query from a stub resolver, possibly changes the query, sends the resulting query to a recursive resolver, receives the response from the recursive resolver, possibly changes the response, and sends the resulting response to the stub resolver. [RFC5625] does not give a specific definition for DNS forwarder, but describes in detail what features they need to support. The protocol interfaces for DNS forwarders are exactly the same as those for recursive resolvers (for interactions with DNS stubs) and as those for stub resolvers (for interactions with recursive resolvers).

## **2. Specification of Using HTTPS Between a DNS Stub Resolver and a Recursive Resolver**

A stub resolver MAY attempt to communicate with a recursive resolver using TLS [RFC5246] over port 443.

The protocol in this document runs the DNS protocol directly under TLS on port 443. The way that a server knows that the client is going to run DNS instead of other protocols that run on port 443 is by using ALPN [RFC7301].

If the recursive resolver responds on port 443, both the client and the server MUST use the ALPN [RFC7301] extension to TLS, and MUST use "dns" as the identification sequence in ALPN. After the TLS connection is established, the client and server communicate using the normal DNS protocol defined in [RFC1035] and all the relevant updates.

The MUST-level requirement for ALPN is because a server might host both DNS and secure web services on the same IP address.

### **2.1. Design Rationale**

A recursive resolver SHOULD offer authentication using one or more of the many methods allowed by TLS, and the stub resolver SHOULD authenticate the recursive resolver if it can. However, if the stub resolver cannot authenticate the recursive resolver during TLS setup, the stub resolver SHOULD still complete the handshake in order to achieve encrypted communication.

A typical form of authentication for a recursive resolver would be a PKIX [RFC5280] certificate that has a CommonName (CN) that is the IP address that stub resolvers use to connect to it. Note that there



are many other standardized types of TLS authentication that can be used, such as raw public keys keys [[RFC7250](#)].

The TLS connection is kept up for as long as each party is willing to do so.

## **[2.2.](#) Stub Resolver Policy**

A stub resolver MAY use policy to allow unauthenticated encryption (which can possibly be intercepted by an on-path adversary) or authenticated encryption (which might prevent all DNS resolution if the server does not have correct authentication credentials) when contacting a recursive resolver using this protocol.

It is expected that users will want one of the following policies available to them:

- o The stub resolver MUST achieve authenticated TLS with a recursive server; if that can't be achieved, the stub resolver refuses to send out DNS queries
- o The stub resolver tries to achieve authenticated TLS with a recursive server; if it cannot achieve authenticated TLS, it tries to achieve unauthenticated TLS; if that can't be achieved, the stub resolver refuses to send out DNS queries
- o The stub resolver tries to achieve authenticated TLS with a recursive server; if it cannot achieve authenticated TLS, it tries to achieve unauthenticated TLS; if that can't be achieved, the stub resolver uses normal DNS cleartext on port 53
- o The stub resolver doesn't want to try TLS at all, and uses normal DNS cleartext on port 53

## **[2.3.](#) Privacy Through DNS Forwarders**

A stub resolver cannot tell whether it is sending queries to a recursive resolver or to a DNS forwarder. Therefore, a DNS forwarder that acts as a TLS server for DNS requests SHOULD attempt to use TLS with its upstream resolver(s) to maximize the confidentiality of its stub clients.

## **[2.4.](#) Use by Authoritative Servers**

There is absolutely no expectation that any authoritative server will deploy this protocol. Thus, a DNS recursive resolver that tries to contact an authoritative server on TCP port 443 in hopes of keeping





its communication private is probably wasting its time and delaying getting the actual answer over port 53.

### **3. Privacy Considerations**

This entire document is about improving privacy for DNS requests and responses.

### **4. IANA Considerations**

#### **4.1. ALPN Identification Sequence**

IANA is requested add the following value to the "Application-Layer Protocol Negotiation (ALPN) Protocol IDs" registry. That registry is populated by expert review, and such a review will be requested if this document progresses.

Protocol	Identification Sequence	Reference
DNS	0x64 0x6e 0x73 ("dns")	This document

### **5. Security Considerations**

An adversary who can observe encrypted queries from stub resolvers, and can simultaneously observe the cleartext queries from a recursive resolver to authoritative servers, might be able to associate those two sets of queries and thus ascertain that a particular client asked a particular query. Such observations can be prevented by the recursive resolver already having the answer in its cache. If a recursive resolver has ample room in its cache, it can make the adversary's job harder by refreshing entries in its cache before the TTL on those entries time out, thereby preventing the adversary's ability to associate encrypted queries with cleartext ones.

### **6. Acknowledgements**

Many people have thought about protecting DNS queries and responses, and various discussions with those people resulted in this document.

The following have made significant contributions to this document: Jacob Appelbaum, Carsten Bormann, Tatuya JINMEI, Warren Kumari, and Paul Wouters.

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[[draft-hzhwm-dprive-start-tls-for-dns](#)]

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