

Service Function Chaining
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Analysis on Forwarding Methods for Service Chaining
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Abstract

Some working groups of the IETF and other Standards Developing Organizations are now discussing use cases of a technology that enables data packets to traverse appropriate service functions located remotely through networks. This is called Service Chaining in this document. (Also, in Network Functions Virtualisation (NFV), a subject that forwarding packets to required service functions in appropriate order is called VNF Forwarding Graph.) This draft does not focus only on SFC method, and thus, use the term "Service Chaining". SFC may be one of approaches to realize Service Chaining. There are several Service Chaining methods to forward data packets to service functions, and the applicable methods will vary depending on the service requirements of individual networks.

This document presents the results of analyzing packet forwarding methods and path selection patterns for achieving Service Chaining. For forwarding data packets to the appropriate service functions, distribution of route information and steering data packets following the route information, are required. Examples of route information are packet identifier and the routing configurations based on the identifier. Also, forwarding functions are required to decide the path according to the route information.

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[1.](#) Introduction

Service Chaining is a technology that enables data packets to traverse the appropriate service functions deployed in networks. This draft assumes that Service Chaining is achieved in the following steps:

- a. A classification function identifies data packets and determines the set of services that will be provided for the packets and in which order.
- b. The path, that the packets will traverse for reaching the required service functions, is established based on the result of step a.
- c. Forwarding functions determine the appropriate destination and forward each packet to the next hop according to the path.
- d. A service function provides services to received packets and return each packet to the forwarding function.
- e. Steps c and d are repeated until each packet has been transferred to all required service functions.
- f. After a packet has been transferred to all required Service Functions, it is forwarded to its original destination.

There are several forwarding methods for Service Chaining, and they

can be classified into certain categories in terms of distribution of information for setting the paths and decision of the paths. The methods used to distribute the information and the patterns used to decide the paths will affect the mechanism of Service Chaining as well as service flexibility.

The applicable methods vary depending on network requirements, and thus, classifying and determining forwarding methods will be important in designing the architecture of Service Function Chaining (SFC). This document provides the results of analyzing forwarding methods for Service Chaining.

OAM, security, and redundancy are outside the scope of this draft.

[2.](#) Definition of Terms

Term "Classification", "Classifier" referred to [[I-D.ietf-sfc-architecture](#)]. Term "Service Function", "Service Node" referred to [[I-D.ietf-sfc-dc-use-cases](#)].

Service Chaining: A technology that lets data packets traverse a series of service functions.

Classification: Locally instantiated policy and customer/network/service profile matching of traffic flows for identification of appropriate outbound forwarding actions.

Classifier (CF): The entity that performs classification.

Service Function (SF): A function that is responsible for specific treatment of received packets. A Service Function can act at various layers of a protocol stack (e.g. at the network layer or other OSI layers). A Service Function can be a virtual element or be embedded in a physical network element. One of multiple Service Functions can be embedded in the same network element. Multiple occurrences of the Service Function can be enabled in the same administrative domain.

One or more Service Functions can be involved in the delivery of added-value services. A non-exhaustive list of Service Functions includes: firewalls. WAN and application acceleration, Deep

Packet Inspection (DPI), LI (Lawful Intercept) module, server load balancers, NAT44 [[RFC3022](#)], NAT64 [[RFC6146](#)], NPTv6 [[RFC6296](#)], HOST_ID injection, HTTP Header Enrichment functions, TCP optimizer, etc.

Service Node (SN): A virtual or physical device that hosts one or more service functions, which can be accessed via the network location associated with it.

Forwarder (FWD): The entity, responsible for forwarding data packets along the service path, which includes delivery of traffic to the connected service functions. FWD handles Forwarding Tables, which is used for forwarding packets.

Control Entity (CE): The entity responsible for managing service topology and indicating forwarding configurations to Forwarders.

Service Chain (SC): A service chain defines an ordered list of service functions that must be applied to user packets selected as

a result of classification. The implied order may not be a linear progression as the architecture allows for nodes that copy to more than one branch.

Service Path (SP): The instantiation of a service chain in the network. Packets follow a service path through the requisite service functions. Service path shows a specific path of traversing SF instance. For example, SC is written as SF#1 -> SF#2 -> SF#3 (This shows an ordered list of SFs), and SP is written as SF#1_1(1_1 means instance 1 of SF1) -> SF#2_1 -> SF#3_1.

Service Chaining Domain (SC Domain): The domain managed by one or a set of CEs.

Service Path Information (SPI): The information used to forward packets to The appropriate SFs based on the selected service. Examples of SPI include routing configurations for Forwarders, transport headers for forwarding packets to required SFs, and service/flow identifiable tags.

[3.](#) Classification of Forwarding Methods and SP Decision Patterns

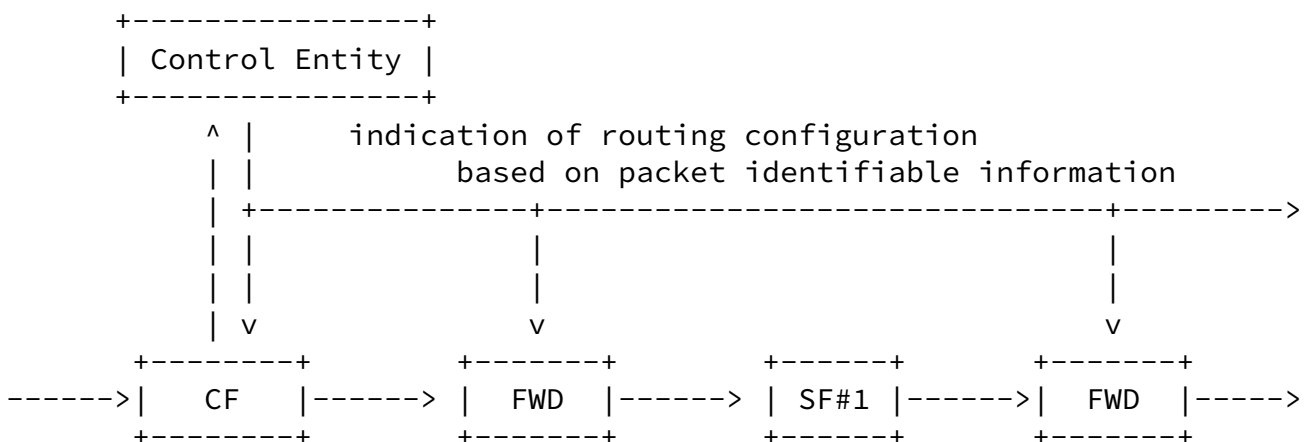
3.1. Forwarding Methods

In Service Chaining, data packets are transferred to service functions, which can be located outside the regular computed path to the original destination. Therefore, a routing mechanism that is different from general L2/L3 switching/routing may be required. The routing mechanism can be classified into three methods in terms of distribution of SPI and packet forwarding.

3.1.1. Method 1: Forwarding Based on Flow Identifiable Information

The mechanism of method 1 is shown in Figure 1. In this method, routing configurations based on flow identifiable information, such as 5-tuple (e.g. dst IP, src IP, dst port, src port, tcp) are indicated to the CF and each FWD. There may be an CE to handle this. The flow identifiable information can be constructed with some fields of L2 or L3 or combination of those. The information can be configured either before packets arrive, or at the time packets arrive at CF and FWD. Each FWD identifies the packets with flow identifiable information and forwards the packets to the SFs according to the configuration. This method does not require changing any fields of the original packet frame.

Distribution model of SPI



```

////////////////////////////////////
*Forwarding Tables*

Locate:      [CF]                [FWD]                [FWD]

Table:  192.168.1.1      192.168.1.1      192.168.1.1
        ->FWD#1          ->SF#1           ->SF#2
        10.0.1.1        10.0.1.1        10.0.1.1
        ->FWD#1          ->FWD#2         ->SF#2
        ...              ...              ...

```

```

////////////////////////////////////
*Condition of Packet*

Locate:      [CF]                [FWD]                [SF#1]                [FWD]

Packet:  +-----+          +-----+          +-----+          +-----+
         | PDU |          | PDU |          | PDU |          | PDU |
         +-----+          +-----+          +-----+          +-----+

```

Figure 1: Forwarding Based on Flow Identifiable Information

3.1.2. Method 2: Forwarding with Stacked Transport Headers

The mechanism of method 2 is shown in Figure 2. In this method, the CF classifies packets and stacks transport headers in which actual network address is included, e.g., MPLS or GRE headers, onto the packets based on the classification. This method does not require any forwarding function for forwarding packets based on the service information. Forwarding functions of underlay networks forward the packets to SFs following the outermost header. The outermost header

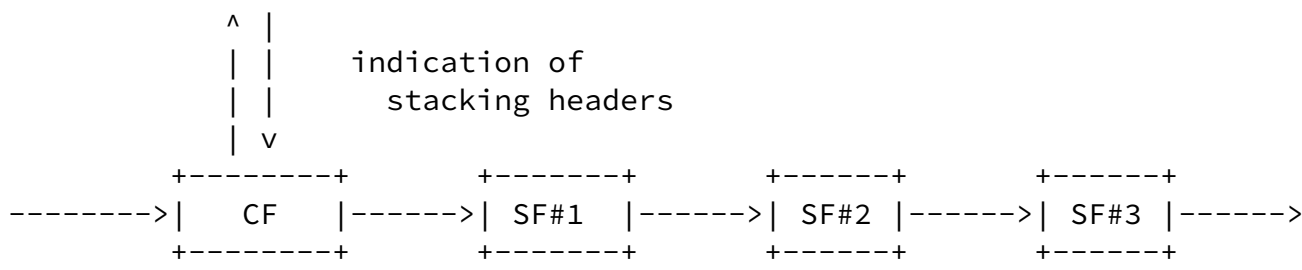
is removed after service process of the SF. The actions are repeated until all headers are removed.

Distribution model of SPI

```

+-----+
| Control Entity |
+-----+

```



////////////////////////////////////
 Forwarding Tables

```

Locate:      [CF]

Table:      192.168.1.1      __/__/__/__/__/__/__/__/__/__/__/__/
           ->Stack #1,2,3    __/  Packets are forwarded to SFs by __/
           10.0.1.1 FWD1    __/  the outermost transport header. __/
           ->Stack #1,3    __/__/__/__/__/__/__/__/__/__/__/
           ...
  
```

////////////////////////////////////
 Condition of Packet

```

Locate:      [CF]           [SF#1]           [SF#2]           [SF#3]

Header:      +-----+
           |To SF#1 |
           +-----+
           |To SF#2 |           +-----+
           +-----+           |To SF#2 |
           |To SF#3 |           +-----+           +-----+
           +-----+           |To SF#3 |           |To SF#3 |
           |           |           +-----+           +-----+
           :                   :                   :                   :
Packet:      +-----+           +-----+           +-----+           +-----+
           |  PDU  |           |  PDU  |           |  PDU  |           |  PDU  |
           +-----+           +-----+           +-----+           +-----+
  
```

Figure 2: Forwarding with Stacked Multiple Transport Headers

3.1.3. Method 3: Forwarding Based on Service Chain Identifiable Tags

The mechanism of this method is shown in Figure 3. In this method, a CF classifies each packet and attaches a tag for identifying the service or flow on the packets based on the classification. The routing configuration based on the tags is sent to each FWD (from some CE) in advance. Each FWD forwards packets to the SFs following the configuration and the tag. After a packet has traversed all SFs, the tag is removed and the packet is transported to the original destination.

points during a packet's delivery. Therefore, to forward packets, the SC needs to be turned into an SP, which indicates specific FWDs

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(or switches, routers) and SFs that the packets will be forwarded to. In the points translating SC to SP, the paths that determine the Service Chaining are classified into two patterns.

[3.2.1.](#) Pattern 1: Static Selection of End to End Service Path

The translation point is only a CF; that is, the SP is statically pre-established as an end-to-end path and a CF inserts packets into the appropriate path based on the result of the classification. Each FWD on the route has a routing table to uniquely determine the next destination of packets, and each FWD statically forwards the received packets to the next destination. FWD requires only a function to receive indications of routing configurations from the CE. Pattern 1 can be achieved in the following ways.

[3.2.1.1.](#) SF Shared Model

Figure 4 shows the mechanism of this way. An SF is shared by multiple SPs. In this way, the FWDs require a function to identify SP for each packet and insert the packets into the next appropriate hop.

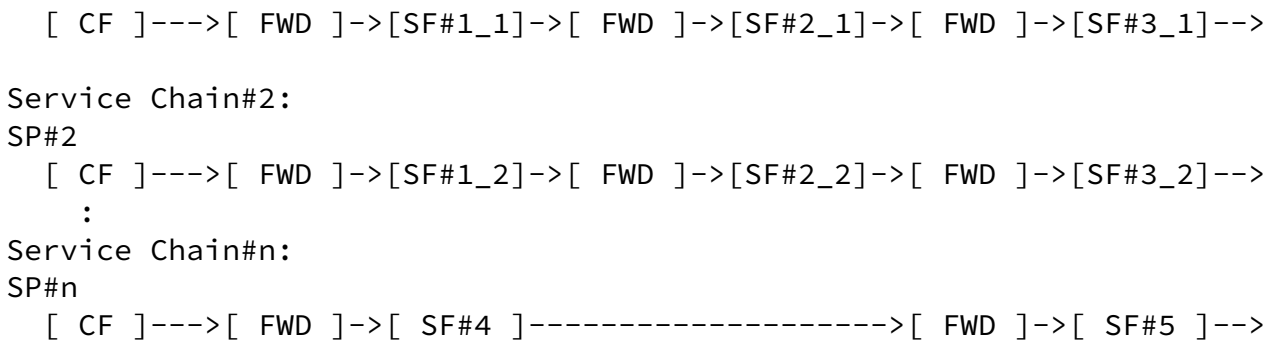


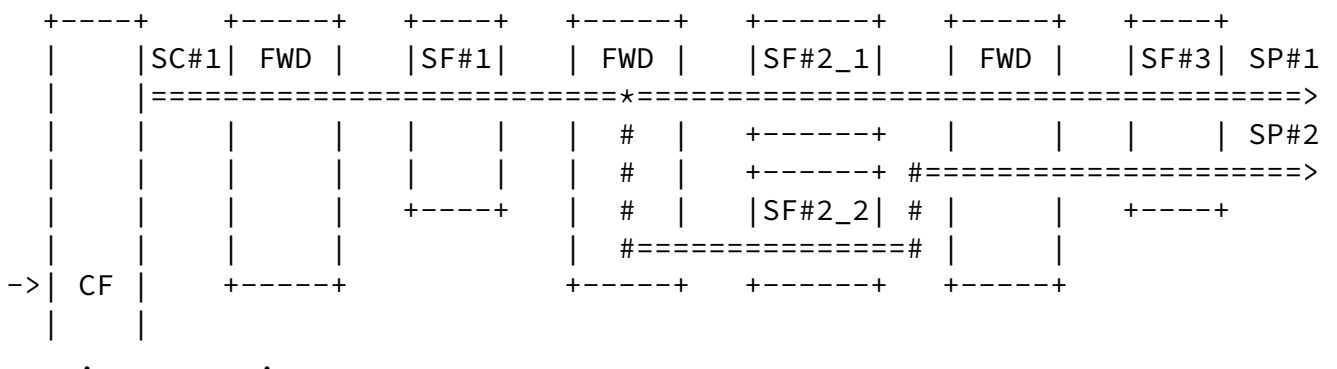
Figure 5: SF Dedicated Model

3.2.2. Pattern 2: Dynamic Selection of Segmented Service Path

The mechanism of this style is shown in Figure 6. The translation points are a CF and some FWDs. The SP is established by a series of multiple paths, which are sectioned by CFs and FWDs. The path, which is sectioned by CFs and FWDs, is referred to as a segmented path in this draft. CFs or FWDs that select the next segmented path may require notification of routing configurations from the CE. Moreover, some FWDs require functions to select the destination of packets from various alternatives and to retrieve the information for

selecting the next path. For example, each FWD obtains metric information or load conditions of servers and selects an optimal segmented path based on the information. The CE may have the selection mechanism and may notify CFs or FWDs of it.

Path Structure



1. Edge-classifiers (Edge CF) that reside near the edge of a service provider's domain and
2. SF sub-domains that reside in data centers.
3. SF Domain Proxies that reside in data centers, linking together the levels of the hierarchy. To the higher level, this is an SF. To the lower level, this is a classifier and FWD.

How packets traverse

```
+-----+      +-----+  +-----+-----+      +-----+
|      |SC#1| FWD |  |SF Domain Proxy #1  |  | FWD |
```


classification will be to assign traffic to service function paths on

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the basis of coarse classification like subscriber tier, tenant or VRF identifier. These classification rules could be relatively static, changing in response to provisioning but not in response to traffic.

In some networks it might be possible to create a rule per residential subscriber, resulting in rule updates when subscribers are assigned IP addresses. However, with judicious allocation of IP blocks, entire classes of subscribers could be classified with IP-prefix rules. Similarly, in a mobile network path selection could be based on APN.

Hence, there are methods of globally managing very large networks by choosing a suitable classification granularity.

Details of Lower Level of Hierarchy

Within each SF sub-domain, there are:

1. An SF domain-proxy to receive incoming data packets on any of the configured service chains and load-balance (if necessary) traffic to classifiers,
2. Classifier(s) to select internal service chain to use, potentially based on stateful flow analysis, DPI, etc.
3. Service components comprised of FWD and SF.

Local Service Chaining orchestration is concerned with providing viable paths to various functions, providing failure recovery, NFV elasticity, etc.

Classification within each sub-domain can be concerned with determining the local service paths for individual transport-layer flows based on ports, DPI and meta-data provided by the higher-level chain.

For any classifier that is transport-layer-stateful, it is most efficient for the same classifier instance to handle traffic in both directions of a bidirectional connection. State tracking may require

that service function paths begin and end at the same node with the flow state, where the same classifier instance can be used for both directions of traffic.

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[4.](#) Consideration of Service Chaining Methods and Architecture Patterns

This chapter presents the results of analyzing the forwarding methods and architecture patterns in chapter 3.

[4.1.](#) Analysis of 3.1. Forwarding Methods

[4.1.1.](#) Analysis of Method 1

This method can achieve Service Chaining without adding any headers to packets, so it may not cause any increase in packet size or be subject to MTU restrictions. Furthermore, this method does not require additional functions within SFs to be applied to any headers because data packets are transported in original format. Therefore, it will be easier to use legacy SFs for network operators.

However, forwarding entries or static configuration for flows at each FWD is required. For example, if there are 10,000 flows to be handled at a CF/FWD, the routing table for each CF/FWD uses 10,000 flow entries at most. Therefore, it might not be feasible for large-scale networks such as carrier networks that handle a SC per user (which means that individual users have their own policies), because some large carriers have over a million users and even more flows. Another concern is the traffic increase in the control plane because route setting is required for each flow. Moreover, it may be hard to use this method if some service functions modify header fields of a packet or frame, for example, NAT/NAPT, in a chain. For example, if a NAT changes the IP address of packets dynamically, the FWDs that follow need to renew their routing tables. The results of the above analysis suggest that this method may be suitable for networks with a limited number of flows.

[4.1.2.](#) Analysis of Method 2

In this method, none of the FWDs require any specific routing tables for Service Chaining, but they require a function to forward packets based on header information, and to remove the outermost header from the received packets. Therefore, the control plane would be simple because the SC controller would not be required to manage the routing configuration of FWDs. Also, there are already several technologies proposed that can be used to achieve this method, such as MPLS.

However, the more the SFs packets traverse, the more headers have to be added to the packet and this in turn means that the packet size increases. But packet sizes are restricted by the minimal available MTU of any link in the network path and exceeding the MTU will require to fragment the original packet before starting to add more headers required to the service chaining. This requires more

complexity in processing due to the fragmentation, adds a new source of errors, as fragments of packets can get lost and so the whole original packet will get discarded, and also will cause an increase in traffic as more packets have to be processed by the network. Moreover, from a hardware point of view, it might be challenging for FWDs or SFs to process packets with variable length headers. In terms of SF equipment, if fragmented packets need to be reassembled at every SF, this would be very wasteful of CPU resources, and some equipment has restricted resources and memory for reassembly. The results of the above analysis indicate that this method would be appropriate when the number of SFs in an SC is small, or most packets are forwarded to a static SP. On the other hand, it may be unsuitable in cases where there are many SFs in a chain.

[4.1.3.](#) Analysis of Method 3

In this method, a tag is defined for each Service Chain. By adopting single fixed-length tags, this method can prevent an increase in the amount of traffic in the data plane, and can provide an upper bound on packet size. (Problems which happen as a result of exceeding MTU are stated in 4.1.2.) This method also enables FWDs to save resources for flow tables and all SPs may be established in advance in most of cases. It enables CFs and FWDs which are located on the SP to change the following SP, because the CFs and FWDs have only to change the tag attached on the packet. Therefore, this method has many advantages in terms of scalability, and it might be appropriate

for use in large-scale networks.

However, this method might require renewal of equipment, or Operating Systems (OSes) installed in hardware, or software, or any other components to realize the method in network which includes SFs, if this tag handling is an entirely new mechanism. Furthermore discussion might be required to deploy such standardized technologies.

[4.2.](#) Analysis of 3.2. Service Paths Selection Patterns

[4.2.1.](#) Analysis of Pattern 1

In this pattern, the mechanism of FWDs would be simpler than the one in pattern 2 because FWDs do not require any functions to select paths or retrieve any information for determination of the next hop. Moreover, it is not necessary to maintain the state of each flow. Therefore, existing protocols for virtualizing networks, such as VxLAN or MPLS, can be used to achieve Service Chaining in this pattern.

However, this pattern will impact the flexibility of the SCs, as adding new SFs to a SC, removing SFs from a SC, or migrating SFs to other locations requires an update or new creation of a path in the Service Path. Furthermore, unified management of FWDs and SFs in an SC domain would be required in setting end-to-end paths. Therefore, the management system of SPs, for example, a CE, for wide-area networks that include several segments may be massive and complex. Figure 9 shows the case in which SPs are established across multiple datacenters in pattern 1. In Figure 9, a CE manages multiple datacenters as a single SC domain for establishing SPs across multiple datacenters.

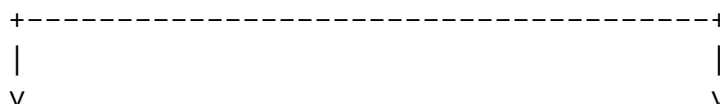
In pattern 4.2.1.2 (SF Dedicated Model), the number of flow entries that FWDs hold can be extremely small, as FWDs hold only static next-hop information. Also, the CF function would be simple, as the CF only determines the gateway of each SP. However, because the SF (instance) is settled for each SP, resource usage would be high if there were many SPs.

However, the (fault) monitoring of the whole SC can get harder as multiple domains are part of the SC. On the other hand each domain can perform its fault management as required (and probably better as it is more specific). This will require an overarching (fault) monitoring where information from multiple SC domains is collected and aggregated to get a full view of the end-to-end service of the SC.

Moreover, in this pattern, some FWDs may require additional mechanisms to select the next segmented path, and the FWDs must maintain the states of each flow because some SFs require a stateful process, and the FWDs need to insert packets into the same SF instances in the same session.

In case that SC information is conveyed to some components via data plane as any encapsulation, a new protocol such as SFC [[I-D.ietf-sfc-architecture](#)] will be required.

Synchronization of
Service Chain info.



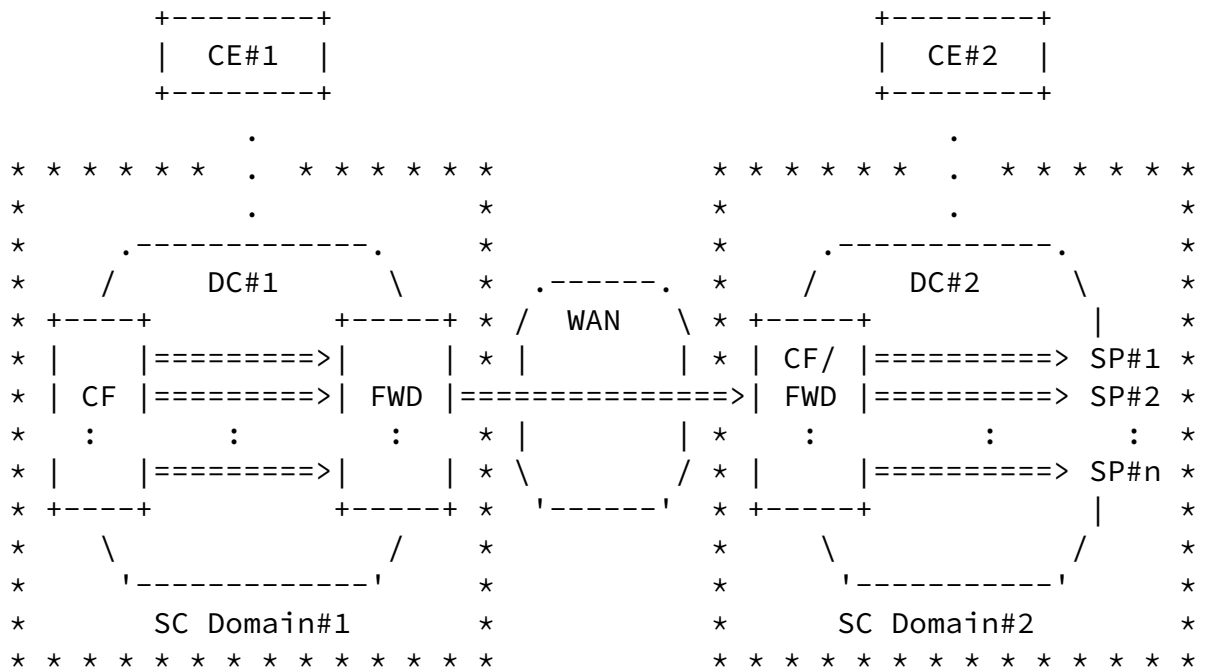


Figure 10: Establishment of SPs Across Multiples DCs in pattern 2

Also, the detail analysis of establishment of "Hierarchical Service Path domains" is shown in the following section.

4.2.2.1. Analysis of Hierarchical Service Path domains

The dynamic selection of SPs pattern allows multiple independent domains of administration. (In the example, two levels were shown, but the pattern could be extended to multiple levels.)

This pattern allows even the largest networks to implement SC from the edges of the network by using coarse-grained classification. Classification choices can be made that are feasible within the constraints of the edge classifiers and FWDs. There is no need to maintain flow state or react to traffic at the top level.

This pattern allows control of sub-domains to be delegated to different owners. Each domain is simpler to comprehend than would be the case by dealing with a single flat network. Furthermore, failures and errors are localized. (See Figure 11.)

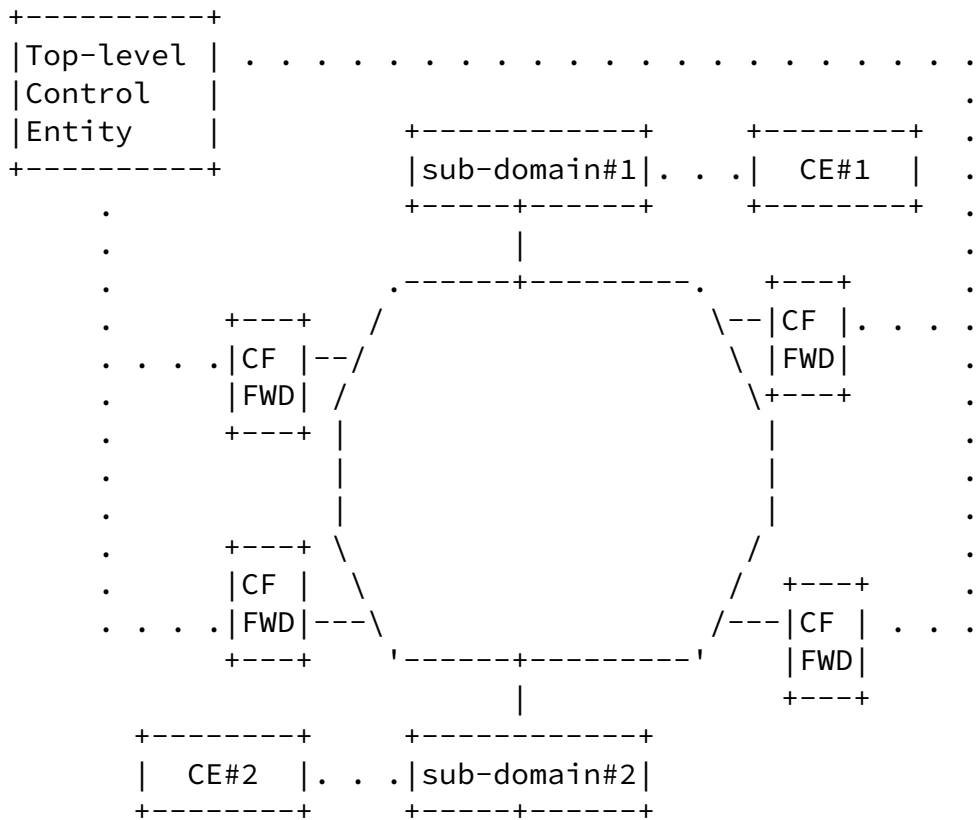


Figure 11: Multiple Control Entities in Hierarchical Service Chaining

This hierarchical model supports management of large networks by adhering to these principles:

1. At higher levels of hierarchy packet classification is coarse, to minimize state and control-plane chatter.
2. At lower levels of hierarchy packet classification can be more granular because classifiers in the lower levels deal with a subset of the entire network: fewer flows, lower bit-rate and a subset of network policy.

However, in this model, a new component that can proxy between the different domains, termed "SF Domain Proxy," will be required. It has some commonality with the legacy SF proxy discussed in [\[I-D.song-sfc-legacy-sf-mapping\]](#).

This model also requires some coordination of path information within the SF Domain Proxy component, since the proxy must map packets back and forth between domains. Solving this probably requires sharing metadata dictionaries among controllers and inventing a scheme that provides a level of indirection by naming path identifiers and metadata values.

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[4.3.](#) Example of selecting Methods and Patterns

In this section, clarifications about the most suitable method and pattern are made for the following example networks based on the results of the above analysis.

[4.3.1.](#) Example A: Datacenter Network

The conditions of network A are as follows:

1. The network is used for several business offices as a single DC.
2. Service Chain varies per office (not per user).
3. The number of SF included in for each Service Chain is few. (e.g. within 5.)
4. SF (instance) cost is not so high.
5. MTU should not be restricted.
6. Service Chains do not fork paths through end-to-end. (As monitoring, or controlling will be harder, some operators may not want to change paths after packets got into a service chain.)

On the basis of conditions 4 and 6, Pattern 1 (SF Dedicated Model) would be selected. In this case, any method would be applicable. (Even if method 2 is selected, only one header that shows the gateway to the specific SC is stacked on packets. This does not restrict the MTU.)

[4.3.2.](#) Example B: Current Mobile Carrier's Network

The conditions of network B are as follows:

1. The network handles millions of users.
2. Service Chain (SF set and order) is predefined and limited.
3. The number of SF, included in for each Service Chain, is few. (e.g. within 5.)

4. The user chooses or the provider can choose for the user a predefined Service Chain to adopt to their traffic.
5. SFs are located in (S)Gi-LAN.(Term referred to [[I-D.ietf-sfc-use-case-mobility](#)])

6. Service Chains do not require to fork paths through end-to-end.

On the basis of conditions 1, 2, and 5, Pattern 1 (SF Shared Model) would be selected because the architecture would be simple.

On the basis of conditions 3 and 4, method 1 (unless the configuration or forwarding table does not increase explosively) or 3 would be applicable.

[4.3.3.](#) Example C: Fixed and Mobile Converged Network

Conditions of the network A is as follows:

1. The network handles millions of users.
2. The user chooses or the provider can choose for the user multiple SFs to adopt to their traffic.
3. Many SFs (e.g. 5 or more,) are included in for each Service Chain.
4. SFs are located in multiple DCs.(e.g. Some delay sensitive SFs, or SFs which should be placed near users' locations are installed in DCs located locally, and added-value SFs are installed in DCs located centrally.)
5. There are some expansive SFs (instance) that should be shared by several SPs.
6. Service Chains may be forked according to the process of SF.

On the basis of conditions 1, 2, 3, 4, and 5, Method 3 would be applicable in terms of scalability. Pattern 2 should be selected based on conditions 1 and 6. Although the operation would be complex, there may be a case in which some carriers set multiple DCs and separate SC domains according to their network or service policy.

The use case and architecture pattern is introduced in [\[I-D.ietf-sfc-dc-use-cases\]](#).

5. Acknowledgements

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6. Contributors

The following people are active contributors to this document and have provided review, content and concepts (listed alphabetically by surname):

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7. IANA Considerations

This memo includes no request to IANA.

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