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Quick Timestamps For Measuring One-Way Delays

Abstract

The TIMESTAMP frame can be added to Quick packets when one way delay measurements are useful. The timestamp is set to the number of microseconds from the beginning of the node's epoch to the time at which the packet is sent. The draft defines the "enable_timestamp" transport parameter for negotiating the use of this extension frame, and the TIMESTAMP frame.

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1. Introduction

The QUIC Transport Protocol [[QUIC-TRANSPORT](#)] provides a secure, multiplexed connection for transmitting reliable streams of application data. The algorithms for QUIC Loss Detection and Congestion Control [[QUIC-RECOVERY](#)] use measurement of Round Trip Time (RTT) to determine when packets should be retransmitted. RTT measurements are useful, but there are however many cases in which more precise One-Way Delay (1WD) measurements enable more efficient Loss Detection and Congestion Control.

An example would be the Low Extra Delay Background Transport (LEDBAT) [[RFC6817](#)] which uses variations in transmission delay to detect competition for transmission resource. Experience shows that while LEDBAT may be implemented using RTT measurements, it is somewhat inefficient because it will cause unnecessary slowdowns in case of queues or delayed ACKs on the return path. Using 1WD solves these issues. Similar argument can be made for most delay-based algorithms.

We propose to enable one way delay measurements in QUIC by defining a TIMESTAMP frame carrying the time at which a packet is sent. The use of this extension frame is negotiated with a transport parameter, "enable_timestamp". When the extension is negotiated by both parties, this frame can be used in conjunction with other such as ACK to measure one way delays.

1.1. Terms and Definitions

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

2. Specification

The enable_timestamp transport parameter used for negotiating the use of the extension frame is defined in [Section 2.1](#). The timestamp frame format is defined in [Section 2.3](#).

2.1. Negotiation

The use of the timestamp frame extension is negotiated using a transport parameter:

*enable_timestamp (TBD)

The enable timestamp transport parameter is included if the endpoint wants to receive or accepts to send timestamp frames for this connection. This parameter is encoded as a variable integer as specified in section 16 of [[QUIC-TRANSPORT](#)]. It can take one of the following three values:

1. I would like to receive TIMESTAMP frames
2. I am able to generate TIMESTAMP frames
3. I am able to generate TIMESTAMP frames and I would like to receive them

Peers receiving another value SHOULD terminate the connection with a TRANSPORT_PARAMETER error.

A peer that advertises its capability of sending TIMESTAMP frames using option values 2 or 3 MUST NOT send these frames if the other peer does not announce advertise its desire to receive them by sending the enable_timestamp TP with option 1 or 3. This condition is described as "successful sending negotiation" in [Section 2.2](#).

Peers that receive TIMESTAMP frames when they have not advertised their desire to receive them MAY terminate the connection with a PROTOCOL_VIOLATION error.

2.1.1. Zero RTT and Timestamp Option

Implementations MUST NOT remember the value of the `enable_timestamp` parameter and try to use it when attempting 0-RTT on subsequent connections. This rule is in line with the suggestions in section 7.4.2 of [QUIC-TRANSPORT] to adopt conservative defaults and avoid compatibility issues. It is also consistent with the specification to only use `TIMESTAMP` frames in 1RTT packets, see [Section 2.2](#).

2.2. Sending `TIMESTAMP` frames

Following successful sending negotiation, a peer SHOULD add a timestamp frame to 1RTT packets carrying an ACK frame. This specification does not impose a placement of `TIMESTAMP` frames in the packet. They MAY be sent either before or after the ACK frame.

Implementations SHOULD NOT send more than one `TIMESTAMP` frame per packet, but they MAY send more than one in rare circumstances. When multiple `TIMESTAMP` frames are present in a packet, the receiver retains the frame indicating the largest timestamp.

Implementations MUST NOT send the `TIMESTAMP` frame in Initial, 0-RTT or Handshake packets, because there is a risk that the peer will receive such packets before the negotiation completes. This restriction may appear excessive because some Handshake packets are typically sent after the negotiation completes, but restricting `TIMESTAMP` frames to 1RTT packets is simpler and less error prone than allowing the `TIMESTAMP` frame in just a fraction of Handshake packets.

2.3. `TIMESTAMP` frame format

`TIMESTAMP` frames are identified by the frame type:

*`TIMESTAMP` (TBD)

`TIMESTAMP` frames carry a single parameter, the timestamp.

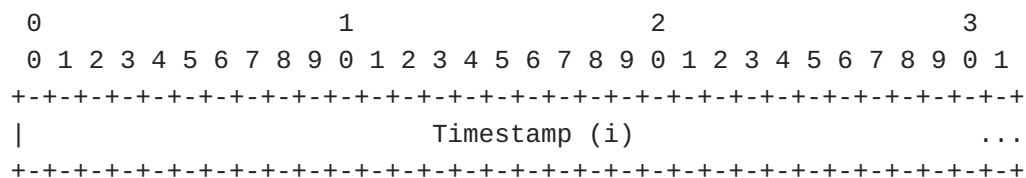


Figure 1: `TIMESTAMP` Frame Format with Timestamp

The timestamp encodes the number of microseconds since the beginning of the epoch, as measured by the peer at the time at which the packet is sent. It is encoded using the exponent selected by the

peer in the `ack_delay_exponent`. The exponent reduced timestamp is encoded as a variable length integer.

TIMESTAMP frames are not ack-eliciting. Their loss does not require retransmission.

For congestion control, TIMESTAMP frames are treated like ACK frames. Section 7 of [[QUIC-RECOVERY](#)] specifies that "packets containing only ACK frames do not count towards bytes in flight and are not congestion controlled". The same applies to packets containing only TIMESTAMP frames, or a combination of ACK frames and TIMESTAMP frames.

2.4. RTT Measurements

RTT measurements are performed as specified in Section 4 of [[QUIC-RECOVERY](#)], without reference to the Timestamp parameter of the Timestamped ACK frames.

2.5. Choice of Epoch

Each peer can choose its epoch as it sees fit, but it **MUST** remain constant for the duration of the connection, and the resulting timestamps **MUST** be positive integers. Plausible values for the epoch could be:

- *the beginning of the connection, i.e., the time at which the first packet for that connection was sent or received.

- *the time at which the first timestamp is sent.

Choosing values close to the beginning of the connection ensures that the timestamps value will be at most equal to the duration of the connection, which limits the amount of bytes required to encode the timestamps.

2.6. One-Way Delay Measurements

An endpoint generates a One Way Delay Sample on receiving a packet containing both a TIMESTAMP frame and an ACK frame that meets the following two conditions:

- *the largest acknowledged packet number is newly acknowledged, and

- *at least one of the newly acknowledged packets was ack-eliciting.

The One Way Delay sample, `latest_1wd`, is generated as the time elapsed since the largest acknowledged packet was sent, corrected for the difference between local time at the sending peer and connection time at the receiving peer, `phase_shift`.

$\text{latest_1wd} = \text{timestamp} - \text{send_time_of_largest_acked} - \text{phase_shift}$

By convention, the `phase_shift` is estimated upon reception of the first RTT sample, `first_rtt`. It is set to:

$\text{phase_shift} = \text{timestamp} - \text{send_time_of_largest_acked} - \text{latest_rtt}/2$

In that formula, we assume that the local time are measured in microseconds since the beginning of the connection. The formula does not depend on the choice of epoch by each peer, but simply of the hypothesis that delays on the data path and the return path are about equal.

We understand that clocks may drift over time, and that simply estimating a phase shift at the beginning of a connection may be too simplistic for long duration connections. Implementations MAY adopt different strategies to reestimate the phase shift at appropriate intervals. Specifying these strategies is beyond the scope of this document.

3. Discussion

This document replaces an earlier proposal to modify the format of the ACK frame by including a timestamp inside the modified frame. The revised proposal encodes the timestamp independently of the ACK frame, which requires slightly more overhead to encode the type of the `TIMESTAMP` frame.

Defining an independent frame allows for more flexibility. This draft defines the combination of `TIMESTAMP` with ACK frames, but they could be combined with other frames as well. For example, adding a `TIMESTAMP` to packets carrying a Path Response could allow measuring one way delays before deciding to migrate to a new path.

3.1. Management of Time

There are two known issues with deducing one way delays from RTT measurements: clock drift and undefined phase difference.

The phase difference problem is easy to understand. We start from a list of measurements associating the send time of packet number `x` (`s[x]`), the receive time of the acknowledgement of packet (`a[x]`), and the timestamp indicating when packet `x` was received by the peer (`p[x]`). The peer's timestamp are expressed in the peer's clock.

Suppose that we model the peer's clock as local time plus phase difference `f`, and that we model the rtt as the sum of two one way delays, up (`u[x]`) and down (`d[x]`). We get:

$$u[x] = p[x] + f - s[x]$$

$$d[x] = a[x] - p[x] - f$$

Just looking at the equation shows that the value of f cannot be determined from the a series of measurement ($s[x]$, $a[x]$, $p[x]$). You can just add constraints that all $u[x]$ and $d[x]$ are positive numbers, which gives a range of plausible values for f : $\max(s[x] - p[x]) < f < \min(a[x] - p[x])$. In case you wonder, you get similar formulations in a multipath scenario. The plausible range may narrow to the min rtt of the shortest path, but no further.

The phase difference uncertainty is not a big issue in practice, because control algorithms are much more interested in the variations of the delays than by their absolute values. Suppose we want to compare one way delays at measurement (x) and (y). We get:

$$u[x] = p[x] + f - s[x]$$

$$u[y] = p[y] + f - s[y]$$

$$u[x] - u[y] = p[x] - p[y] - s[x] + s[y]$$

The phase difference does not affect the measurement of variations in the one way delay.

The clock drift is another matter. All the equations above assume that the local clock and the remote clock have the same frequency. This is an approximation. Clocks drift over time. Instead of just considering a stable phase difference, one should consider the sum of a phase difference and a time-varying drift component. Estimating drift is a complex problem. This was studied in detail in the development of the Network Time Protocol (NTP) [[RFC5905](#)]. In theory, implementations of Quic could copy the algorithms of NTP to build a model of the clocks used by the local node and the peer. That would be very complex.

Fortunately, implementations of Quic do not need to implement something as complex as NTP. Most time based algorithms are only interested in variations of delays over a short horizon. Clock drift happens at a slow pace, maybe 1 millisecond per minute. Time base congestion control algorithms already have to cope with the potential drift of the minimum RTT due to changing network conditions. They do that by periodically restarting the measurement of the minimum RTT after some delay, typically less than a minute. A simple implementation of one way delay measurements could follow the same approach, for example resetting the phase difference every 30 seconds or so.

3.2. Application to QUIC Multipath

Time Stamps are very useful in multipath environments, as mentioned in [Section 5](#) of [\[MULTIPATH-QUIC\]](#).

4. Security Considerations

The Timestamp value in the TIMESTAMP frame is asserted by the sender of the packet. Adversarial peers could chose values of the timestamp designed to exercise side effects in congestion control algorithms or other algorithms relying on the one-way delays. This can be mitigated by running plausibility checks on the received values. For example, each peer can maintain statistics not just on the One Way Delays, but also on the differences between One Way Delays and RTT, and detect outlier values. Peers can also compare the differences between timestamps in packets carrying acknowledgements and the differences between the sending times of corresponding packets, and detect anomalies if the delays between acknowledging packets appears shorter than the delays when sending them.

5. IANA Considerations

This document registers a new value in the QUIC Transport Parameter Registry:

Value: TBD (using value 0x7158 in early deployments)

Parameter Name: enable_timestamp

Specification: Indicates that the connection should use TimeStamped ACK frames

This document also registers a new value in the QUIC Frame Type registry:

Value: TBD (using value 757 in early deployments)

Frame Name: TIMESTAMP

Specification: Timestamp set at the time packet was sent

6. Acknowledgements

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7. References

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