

Internet Engineering Task Force  
Internet-Draft  
Intended status: Standards Track  
Expires: September 10, 2020

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March 9, 2020

**Delegation Revalidation by DNS Resolvers**  
**draft-huque-dnsop-ns-revalidation-01**

**Abstract**

This document recommends improved DNS [[RFC1034](#)] [[RFC1035](#)] resolver behavior with respect to the processing of Name Server (NS) resource record sets (RRset) during iterative resolution. When following a referral response from an authoritative server to a child zone, DNS resolvers should explicitly query the authoritative NS RRset at the apex of the child zone and cache this in preference to the NS RRset on the parent side of the zone cut. Resolvers should also periodically revalidate the child delegation by re-querying the parent zone at the expiration of the TTL of the parent side NS RRset.

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## [1.](#) Introduction

RFC EDITOR: PLEASE REMOVE THE FOLLOWING PARAGRAPH BEFORE PUBLISHING:  
The source for this draft is maintained in GitHub at:  
<https://github.com/shuque/ns-revalidation>

This document recommends improved DNS resolver behavior with respect to the processing of NS record sets during iterative resolution. The first recommendation is that resolvers, when following a referral response from an authoritative server to a child zone, should explicitly query the authoritative NS RRset at the apex of the child zone and cache this in preference to the NS RRset on the parent side of the zone cut. The second recommendation is to revalidate the delegation by re-querying the parent zone at the expiration of the TTL of the parent side NS RRset.

## [2.](#) Motivation

The delegation NS RRset at the bottom of the parent zone and the apex NS RRset in the child zone are unsynchronized in the DNS protocol. [\[RFC1034\] Section 4.2.2](#) says "The administrators of both zones should insure that the NS and glue RRs which mark both sides of the cut are consistent and remain so.". But for a variety of reasons they could not be. Officially, a child zone's apex NS RRset is authoritative and thus has a higher cache credibility than the parent's delegation NS RRset, which is non-authoritative glue ([\[RFC2181\], Section 5.4.1](#).



Ranking data). Hence the NS RRset "below the zone cut" should immediately replace the parent's delegating NS RRset in cache when an iterative caching DNS resolver crosses a zone boundary. However, this can only happen if (1) the resolver receives the authoritative NS RRset in the Authority section of a response from the child zone, which is not mandatory, or (2) if the resolver explicitly issues an NS RRset query to the child zone as part of its iterative resolution algorithm. In the absence of this, it is possible for an iterative caching resolver to never learn the authoritative NS RRset for a zone, unless a downstream client of the resolver explicitly issues such an NS query, which is not something that normal enduser applications do, and thus cannot be relied upon to occur with any regularity.

Increasingly, there is a trend towards minimizing unnecessary data in DNS responses. Several popular DNS implementations default to such a configuration (see "minimal-responses" in BIND and Unbound). So, they may never include the authoritative NS RRset in the Authority section of their responses.

A common reason that zone owners want to ensure that resolvers place the authoritative NS RRset preferentially in their cache is that the TTLs may differ between the parent and child side of the zone cut. Some DNS Top Level Domains (TLDs) only support long fixed TTLs in their delegation NS sets, and this inhibits a child zone owner's ability to make more rapid changes to their nameserver configuration using a shorter TTL, if resolvers have no systematic mechanism to observe and cache the child NS RRset.

A child zone's delegation still needs to be periodically revalidated at the parent to make sure that the parent zone has not legitimately re-delegated the zone to a different set of nameservers. Otherwise, resolvers that refresh the TTL of a child NS RRset on subsequent queries or due to pre-fetching, may cling to those nameservers long after they have been re-delegated elsewhere. This leads to the second recommendation in this document, "Delegation Revalidation". Essentially, the resolver should record the TTL of the parent's delegating NS RRset, and use it to trigger a revalidation action.

If both parent and child zone are DNSSEC [[RFC4033](#)] [[RFC4034](#)] [[RFC4035](#)] signed with a corresponding secure delegation between them, then expiration of the Delegation Signer (DS) record set will cause revalidation of the current child zone's DNSKEY set. According to [RFC 4035, Section 2.4](#), "The TTL of a DS RRSet SHOULD match the TTL of the delegating NS RRset", so this revalidation should be triggered on the same time scale, and thus responses from the stale child nameservers would no longer be trusted. However, delegation



revalidation is still necessary to locate the current nameserver addresses to which subsequent DNS queries should be directed.

### **3. Upgrading NS RRset Credibility**

- o When a delegation response is received during iteration, a validation query should be sent in parallel with the resolution of the triggering query, to the delegated nameservers for the newly discovered zone cut. Note that validating resolvers today, when following a secure delegation response, already need to dispatch a query to the delegated nameservers for the DNSKEY RRset, so this validation query could be sent in parallel with that DNSKEY query.
- o A validation query consists of a query for the child's apex NS RRset, sent to the newly discovered delegation's nameservers. Normal iterative logic applies to the processing of responses to validation queries, including storing the results in cache, trying the next server on SERVFAIL or timeout, and so on. Positive answers to this validation query will be cached with an authoritative data ranking. Successive queries directed to the same zone will be directed to the nameservers listed in the child's apex, due to the ranking of this answer. If the validation query fails, the parent NS RRset will remain the one with the highest ranking and will be used for successive queries.
- o Some resolvers may choose to delay the response to the triggering query until both the triggering query and the validation query have been answered. In practice, we expect many implementations may answer the triggering query in advance of the validation query for performance reasons. An additional reason is that there are number of nameservers in the field that (incorrectly) fail to answer explicit queries for NS records, and thus the revalidation logic may need to be applied lazily and opportunistically to deal with them.
- o If the resolver chooses to delay the response, and there are no nameserver names in common between the child's apex NS RRset and the parent's delegation NS RRset, then the responses received from forwarding the triggering query to the parent's delegated nameservers should be discarded after validation, and this query should be forwarded again to the child's apex nameservers.

### **4. Delegation Revalidation**

This documents proposes two mechanisms to perform delegation revalidation: an extensive and a simple mechanism. [TODO: in the next revision of this draft, we would prefer to discard the extensive



mechanism description and keep only the simple one, assuming agreement.]

The extensive mechanism:

- o The lowest TTL found in a parent zone's delegating NS RRset should be stored in the cache and used to trigger delegation revalidation as follows: Whenever a cached RRset is being considered for use in a response, the cache should be walked upward toward the root, looking for expired delegations. At the first expired delegation encountered while walking upward toward the root, revalidation should be triggered, putting the processing of dependent queries on hold until validation is complete.
- o To revalidate a delegation, the iterative caching DNS resolver will forward the query that triggered revalidation to the nameservers at the closest enclosing zone cut above the revalidation point. While searching for these nameservers, additional revalidations may occur, perhaps placing a chain of dependent queries on hold, unwinding in downward order as revalidations closer to the root must be complete before revalidations further from the root can begin.
- o If a delegation can be revalidated at the same node, then the old apex NS RRset should be deleted from cache and then the new delegating NS RRset should be stored in cache. The minimum TTL from the new delegating NS RRset should also be stored in cache to facilitate future revalidations. This order of operations ensures that the RRset credibility rules do not prevent the new delegating NS RRset from entering the cache. It is expected that the child's apex NS RRset will rapidly replace the parent's delegating NS RRset as soon as iteration restarts after the revalidation event.
- o If the new delegating NS RRset cannot be found (RCODE=NXDOMAIN) or if there is a new zone cut at some different level of the hierarchy (insertion or deletion of a delegation point above the revalidation point) or if the new RRset shares no nameserver names in common with the old one (indicating some kind of redelegation, which is rare) then the cache should be purged of all names and RRsets at or below the revalidation point. This facilitates redelegation or revocation of a zone by a parent zone administrator, and also conserves cache storage by deleting unreachable data.

The simple mechanism:

- o Cap the time to cache the child NS RRset to the lower of child and parent NS RRset TTL. The normal iterative resolution algorithm





will then cause delegation revalidation to naturally occur at the expiration of the capped child NS TTL, along with dispatching of the validation query to upgrade NS RRset credibility.

## 5. Acknowledgements

Wouter Wijngaards proposed explicitly obtaining authoritative child NS data in [[I-D.wijngaards-dnsexst-resolver-side-mitigation](#)]. This behavior has been implemented in the Unbound DNS resolver via the "harden-referral-path" option. The combination of child NS fetch and revalidating the child delegation was originally proposed in [[I-D.vixie-dnsexst-resimprove](#)], by Vixie, Joffe, and Neves.

## 6. IANA Considerations

This document includes no request to IANA.

## 7. Security Considerations

Upgrading NS RRset Credibility ([Section 3](#)) allows resolvers to cache and utilize the authoritative child apex NS RRset in preference to the non-authoritative parent NS RRset. However, it is important to implement the steps described in Delegation Revalidation ([Section 4](#)) at the expiration of the parent's delegating TTL. Otherwise, the operator of a malicious child zone, originally delegated to, but subsequently delegated away from, can cause resolvers that refresh TTLs on subsequent NS set queries, or that pre-fetch NS queries, to never learn of the redelegated zone. This problem has been seen in the wild [include reference to Ghost Domains paper here].

## 8. References

### 8.1. Normative References

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