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An Update to 6LoWPAN ND draft-ietf-6lo-rfc6775-update-02

Abstract

This specification updates 6LoWPAN Neighbor Discovery (RFC 6775), to clarify the role of the protocol as a registration technique, simplify the registration operation in 6LoWPAN routers, and provide enhancements to the registration capabilities, in particular for the registration to a Backbone Router for proxy ND operations.

Status of This Memo

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1. Introduction

RFC 6775, the "Neighbor Discovery Optimization for IPv6 over Low-Power Wireless Personal Area Networks (6LoWPANs)" [RFC6775] introduced a proactive registration mechanism to IPv6 Neighbor Discovery (ND) services that is well suited to nodes belonging to a Low Power Lossy Network (LLN).

The scope of this draft is an IPv6 LLN, which can be a simple star or a more complex mesh topology. The LLN may be anchored at an IPv6 Backbone Router (6BBR) [I-D.ietf-6lo-backbone-router]. The 6BBRs interconnect the LLNs over a Backbone Link and emulate that the LLN nodes are present on the Backbone using proxy-ND operations.

This specification modifies and extends the behaviour and protocol elements of ${\tt RFC~6775}$ [${\tt RFC6775}$] to enable additional capabilities, in particular the registration to a 6BBR for proxy ND operations.

2. Considerations On Registration Rejection

The purpose of the Address Registration Option (ARO) RFC 6775 [RFC6775] and of the Extended ARO (EARO) that is introduced in this document is to facilitate duplicate address detection (DAD) for hosts and pre-populate Neighbor Cache Entries (NCE) [RFC4861] in the routers to reduce the need for sending multicast neighbor solicitations and also to be able to support IPv6 Backbone Routers.

In some cases the address registration can fail or be useless for reasons other than a duplicate address. Examples are the router having run out of space, a registration bearing a stale sequence number (e.g. denoting a movement of the host after this registration was placed), a host misbehaving and attempting to register an invalid address such as the unspecified address [RFC4291], or the host using an address which is not topologically correct on that link. In such cases the host will receive an error to help diagnose the issue and may retry, possibly with a different address, and possibly registering to a different 6LR, depending on the returned error.

However, the ability to return errors to address registrations MUST NOT be used to restrict the ability of hosts to form and use addresses as recommended in "Host Address Availability Recommendations" [RFC7934]. In particular, this is needed for enhanced privacy, which implies that each host will register a multiplicity of address as part mechanisms like "Privacy Extensions for Stateless Address Autoconfiguration (SLAAC) in IPv6" [RFC4941]. This implies that a 6LR or 6LBR which is intended to support N hosts MUST have space to register at least on the order of 10N IPv6 addresses.

3. Terminology

The key words "MUST", "MUST NOT", "REOUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

Readers are expected to be familiar with all the terms and concepts that are discussed in

"Neighbor Discovery for IP version 6" [RFC4861],

"IPv6 Stateless Address Autoconfiguration" [RFC4862],

"IPv6 over Low-Power Wireless Personal Area Networks (6LoWPANs): Overview, Assumptions, Problem Statement, and Goals" [RFC4919],

"Neighbor Discovery Optimization for Low-power and Lossy Networks" [RFC6775] and

"Multi-link Subnet Support in IPv6" [I-D.ietf-ipv6-multilink-subnets].

Additionally, this document uses terminology from

"Terms Used in Routing for Low-Power and Lossy Networks" [RFC7102] and

the "6TiSCH Terminology" [I-D.ietf-6tisch-terminology],

as well as this additional terminology:

- Backbone This is an IPv6 transit link that interconnects 2 or more Backbone Routers. It is expected to be deployed as a high speed backbone in order to federate a potentially large set of LLNS. Also referred to as a LLN backbone or Backbone network.
- Backbone Router An IPv6 router that federates the LLN using a Backbone link as a backbone. A 6BBR acts as a 6LoWPAN Border Routers (6LBR) and an Energy Aware Default Router (NEAR).
- Extended LLN This is the aggregation of multiple LLNs as defined in RFC 4919 [RFC4919], interconnected by a Backbone Link via Backbone Routers, and forming a single IPv6 MultiLink Subnet.
- Registration The process during which a wireless Node registers its address(es) with the Border Router so the 6BBR can proxy ND for it over the backbone.

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Binding The state in the 6BBR that associates an IP address with a MAC address, a port and some other information about the node that owns the IP address.

Registered Node The node for which the registration is performed, which owns the fields in the EARO option.

Registering Node The node that performs the registration to the 6BBR, either for one of its own addresses, in which case it is Registered Node and indicates its own MAC Address as Source Link Layer Address (SLLA) in the NS(EARO), or on behalf of a Registered Node that is reachable over a LLN mesh. latter case, if the Registered Node is reachable from the 6BBR over a Mesh-Under mesh, the Registering Node indicates the MAC Address of the Registered Node as SLLA in the NS(EARO). Otherwise, it is expected that the Registered Device is reachable over a Route-Over mesh from the Registering Node, in which case the SLLA in the NS(ARO) is that of the Registering Node, which causes it to attract the packets from the 6BBR to the Registered Node and route them over the LLN.

Registered Address The address owned by the Registered Node node that is being registered.

4. Updating RFC 7400

RFC 7400 [RFC7400] introduces the 6LoWPAN Capability Indication Option (6CIO) to indicate a node's capabilities to its peers. This specification extends the format defined in RFC 7400 to signal the support for EARO, as well as the capability to act as a 6LR, 6LBR and 6BBR.

With RFC 7400 [RFC7400], the 6CIO is typically sent Router Solicitation (RS) messages. When used to signal the capabilities above per this specification, the 6CIO is typically present Router Advertisement (RA) messages but can also be present in RS, Neighbor Solicitation (NS) and Neighbor Advertisement (NA) messages.

<u>5</u>. Updating <u>RFC 6775</u>

This specification extends the Address Registration Option (ARO) defined in RFC 6775 [RFC6775]; in particular a "T" flag is added that must be set is NS messages when this specification is used, and echo'ed in NA messages to confirm that the protocol effectively supported. Support for this specification can thus be inferred from the presence of the Extended ARO ("T" flag set) in ND messages.

A Registering Node that supports this specification will favor registering to a 6LR that indicates support for this specification over that of <u>RFC 6775</u> [<u>RFC6775</u>].

5.1. Transaction ID

The specification expects that the Registered Node can provide a sequence number called Transaction ID (TID) that is incremented with each re-registration. The TID essentially obeys the same rules as the Path Sequence field in the Transit Information Option (TIO) found in RPL's Destination Advertisement Object (DAO). This way, the LLN node can use the same counter for ND and RPL, and a 6LBR acting as RPL root may easily maintain the registration on behalf of a RPL node deep inside the mesh by simply using the RPL TIO Path Sequence as TID for EARO.

When a Registered Node is registered to multiple BBRs in parallel, it is expected that the same TID is used, to enable the 6BBRs to correlate the registrations as being a single one, and differentiate that situation from a movement.

If the TIDs are different, the resolution inherited from RPL sorts out the most recent registration and other ones are removed. The operation for computing and comparing the Path Sequence is detailed in section 7 of RFC 6550 [RFC6550] and applies to the TID in the exact same fashion.

5.2. Owner Unique ID

The Owner Unique ID (OUID) enables to differentiate a real duplicate address registration from a double registration or a movement. An ND message from the 6BBR over the backbone that is proxied on behalf of a Registered Node must carry the most recent EARO option seen for that node. A NS/NA with an EARO and a NS/NA without a EARO thus represent different nodes and if they relate to a same target then they reflect an address duplication. The Owner Unique ID can be as simple as a EUI-64 burn-in address, if duplicate EUI-64 addresses are avoided.

Alternatively, the unique ID can be a cryptographic string that can can be used to prove the ownership of the registration as discussed in "Address Protected Neighbor Discovery for Low-power and Lossy Networks" [I-D.ietf-6lo-ap-nd].

In any fashion, it is recommended that the node stores the unique Id or the keys used to generate that ID in persistent memory. Otherwise, it will be prevented to re-register after a reboot that

would cause a loss of memory until the Backbone Router times out the registration.

5.3. Extended Address Registration Option

This specification extends the ARO option that is used for the process of address registration. The new ARO is referred to as Extended ARO (EARO), and its semantics are modified as follows:

The address that is being registered with a Neighbor Solicitation (NS) with an EARO is now the Target Address, as opposed to the Source Address as specified in RFC 6775 [RFC6775]. This change enables a 6LBR to use an address of his as source to the proxy-registration of an address that belongs to a LLN Node to a 6BBR. This also limits the use of an address as source address before it is registered and the associated Duplicate Address Detection (DAD) is complete.

The Unique ID in the EARO option does no more have to be a MAC address. A new TLV format is introduced and a IANA registry is created for the type (TBD). This enables in particular the use of a Provable Temporary UID (PT-UID) as opposed to burn-in MAC address, the PT-UID providing a trusted anchor by the 6LR and 6LBR to protect the state associated to the node.

The specification introduces a Transaction ID (TID) field in the EARO. The TID MUST be provided by a node that supports this specification and a new T flag MUST be set to indicate so. The T bit can be used to determine whether the peer supports this specification.

5.4. Registering the Target Address

This specification changes the behaviour of the 6LN and the 6LR so that the Registered Address is found in the Target Address field of the NS and NA messages as opposed to the Source Address.

The reason for this change is to enable proxy-registrations on behalf of other nodes in Route-Over meshes, for instance to enable that a RPL root registers addresses on behalf LLN nodes that are deeper in a 6TiSCH mesh, as discussed in Appendix B.4. In that case, the Registering Node MUST indicate its own address as source of the ND message and its MAC address in the Source Link-Layer Address Option (SLLAO), since it still expects to get the packets and route them down the mesh. But the Registered Address belongs to another node, the Registered Node, and that address is indicated in the Target Address field of the NS message.

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With this convention, a TLLA option indicates the link-layer address of the 6LN that owns the address, whereas the SLLA Option in a NS message indicates that of the Registering Node, which can be the owner device, or a proxy.

Since the Registering Node is the one that has reachability with the 6LR, and is the one expecting packets for the 6LN, it makes sense to maintain compatibility with RFC 6775 [RFC6775], and it is REQUIRED that an SLLA Option is always placed in a registration NS(EARO) message.

5.5. Link-local Addresses and Registration

Considering that LLN nodes are often not wired and may move, there is no guarantee that a link-local address stays unique between a potentially variable and unbounded set of neighboring nodes. Compared to RFC 6775 [RFC6775], this specification only requires that a link-local address is unique from the perspective of the peering nodes. This simplifies the Duplicate Address Detection (DAD) for link-local addresses, and there is no DAR/DAC exchange between the 6LR and a 6LBR for link-local addresses.

Additionally, RFC 6775 [RFC6775] requires that a 6LoWPAN Node (6LN) uses an address being registered as the source of the registration message. This generates complexities in the 6LR to be able to cope with a potential duplication, in particular for global addresses. To simplify this, a 6LN and a 6LR that conform this specification always use link-local addresses as source and destination addresses for the registration NS/NA exchange. As a result, the registration is globally faster, and some of the complexity is removed.

In more details:

An exchange between two nodes using link-local addresses implies that they are reachable over one hop and that at least one of the 2 nodes acts as a 6LR. A node MUST register a link-local address to a 6LR in order to obtain reachability from that 6LR beyond the current exchange, and in particular to use the link-local address as source address to register other addresses, e.g. global addresses.

If there is no collision with an address previously registered to this 6LR by another 6LN, then, from the standpoint of this 6LR, this link-local address is unique and the registration is acceptable. Conversely, it may possibly happen that two different 6LRs expose a same link-local address but different link-layer addresses. In that case, a 6LN may only interact with one of the 6LR so as to avoid confusion in the 6LN neighbor cache.

The DAD process between the 6LR and a 6LoWPAN Border Router (6LBR), which is based on a Duplicate Address Request (DAR) / Duplicate Address Confirmation (DAC) exchange as described in RFC 6775 [RFC6775], does not need to take place for link-local addresses.

It is desired that a 6LR does not need to modify its state associated to the Source Address of an NS(EARO) message. For that reason, when possible, it is RECOMMENDED to use an address that is already registered with a 6LR

When registering to a 6LR that conforms this specification, a node MUST use a link-local address as the source address of the registration, whatever the type of IPv6 address that is being registered. That link-local Address MUST be either already registrered, or the address that is being registered.

When a Registering Node does not have an already-registered address, it MUST register a link-local address, using it as both the Source and the Target Address of an NS(EARO) message. In that case, it is RECOMMENDED to use a link-local address that is (expected to be) globally unique, e.g. derived from a burn-in MAC address. An EARO option in the response NA indicates that the 6LR supports this specification.

Since there is no DAR/DAC exchange for link-local addresses, the 6LR may answer immediately to the registration of a link-local address, based solely on its existing state and the Source Link-Layer Option that MUST be placed in the NS(EARO) message as required in RFC 6775 [RFC6775].

A node needs to register its IPv6 Global Unicast IPv6 Addresses (GUA) to a 6LR in order to obtain a global reachability for these addresses via that 6LR. As opposed to a node that complies to RFC 6775 [RFC6775], a Registering Node registering a GUA does not use that GUA as Source Address for the registration to a 6LR that conforms this specification. The DAR/DAC exchange MUST take place for non-linklocal addresses as prescribed by RFC 6775 [RFC6775].

6. Updated ND Options

This specification does not introduce new options, but it modifies existing ones and updates the associated behaviours as follow:

6.1. New 6LoWPAN capability Bits in the Capability Indication Option

This specification defines a number of capability bits in the CIO that was introduced by RFC 7400 [RFC7400].

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Support for this specification is indicated by setting the "E" flag in a CIO option. Routers that are capable of acting as 6LR, 6LBR and 6BBR SHOULD set the L, B andP flags, respectively.

Those flags are not mutually exclusive and if a router is capable of multiple roles, it SHOULD set all the related flags.

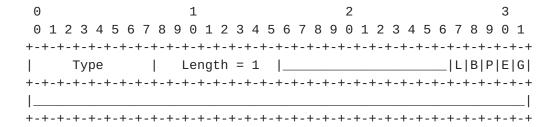


Figure 1: New capability Bits L, B, P, E in the CIO

Option Fields

Type: 36

L: Node is a 6LR, it can take registrations.

B: Node is a 6LBR.

P: Node is a 6BBR, proxying for nodes on this link.

E: This specification is supported and applied.

6.2. The Enhanced Address Registration Option (EARO)

The Enhanced Address Registration Option (EARO) is intended to be used as a replacement to the ARO option within Neighbor Discovery NS and NA messages between a LLN node and its 6LoWPAN Router (6LR), as well as in Duplicate Address Request (DAR) and the Duplicate Address Confirmation (DAC) messages between 6LRs and 6LBRs in LLNs meshes such as 6TiSCH networks.

An NS message with an EARO option is a registration if and only if it also carries an SLLAO option. The AERO option also used in NS and NA messages between Backbone Routers over the backbone link to sort out the distributed registration state, and in that case, it does not carry the SLLAO option and is not confused with a registration.

The EARO extends the ARO and is recognized by the "T" flag set.

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When using the EARO option, the address being registered is found in the Target Address field of the NS and NA messages. This differs from 6LoWPAN ND RFC 6775 [RFC6775] which specifies that the address being registered is the source of the NS.

The format of the EARO option is as follows:

```
0
       1
0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1 2 3 4 5 6 7 8 9 0 1
| Length = 2 | Status
                 | Reserved
Registration Lifetime
 Reserved |T|
       TID
          Owner Unique ID (EUI-64 or equivalent)
```

Figure 2: EARO

Option Fields

Type: 33

Length: 8-bit unsigned integer.

Status: 8-bit unsigned integer. Indicates the status of a registration in the NA response. MUST be set to 0 in NS messages. See Table 1 below.

Reserved: This field is unused. It MUST be initialized to zero by the sender and MUST be ignored by the receiver.

T: One bit flag. Set if the next octet is a used as a TID.

TID: 1-byte integer; a transaction id that is maintained by the node and incremented with each transaction. it is recommended that the node maintains the TID in a persistent storage.

Registration Lifetime: 16-bit integer; expressed in minutes. 0 means that the registration has ended and the state should be removed.

Owner Unique Identifier (OUI): A globally unique identifier for the node associated. This can be the EUI-64 derived IID of an interface, or some provable ID obtained cryptographically.

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Value	Description
02	See RFC 6775 [RFC6775]. Note that a Status of 1 "Duplicate Address" applies to the Registered Address. If the Source Address conflicts with an existing registration, "Duplicate Source Address" should be used instead
3 	Moved: The registration fails because it is not the freshest. This status indicates that the registration is rejected because another more recent registration was done, as indicated by a same OUI and a more recent TID. One possible cause is a stale registration that has progressed slowly in the network and was passed by a more recent one. It could also indicate a OUI collision.
4	Removed: The binding state was removed. This may be placed in an asynchronous NS(ARO) message, or as the rejection of a proxy registration to a Backbone Router
5 	Proof requested: The registering node is challenged for owning the registered address or for being an acceptable proxy for the registration. This status is expected in asynchronous messages from a registrar (6LR, 6LBR, 6BBR) to indicate that the registration state is removed, for instance due to time out of a lifetime, or a movement. It is used for instance by a 6BBR in a NA(ARO) message to indicate that the ownership of the proxy state on the backbone was transfered to another 6BBR, which is indicative of a movement of the device. The receiver of the NA is the device that has performed a registration that is now stale and it should clean up its state.
 6 	Duplicate Source Address: The address used as source of the NS(ARO) conflicts with an existing registration.
 7 	Invalid Source Address: The address used as source of the NS(ARO) is not usable on this link, e.g. it is not topologically correct
8 	Invalid Registered Address: The address being registered is not usable on this link, e.g. it is not topologically correct

Table 1: EARO Status

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7. Backward Compatibility

7.1. Discovering the capabilities of an ND peer

7.1.1. Using the E Flag in the CIO

If the CIO is used in an ND message, then the "E" Flag MUST be set by the sending node if supports this specification.

It is RECOMMENDED that a router that supports this specification indicates so with a CIO option, but this might not be practical if the link-layer MTU is too small.

If the registering node receives a CIO in a RA, then the setting of the E" Flag indicates whether or not this specification is supported.

7.1.2. Using the T Flag in the EARO

One alternate way for a 6LN to discover the router's capabilities to first register a Link Local address, placing the same address in the Source and Target Address fields of the NS message, and setting the "T" Flag. The node may for instance register an address that is based on EUI-64. For such address, DAD is not required and using the SLLAO option in the NS is actually more amenable with existing ND specifications such as the "Optimistic Duplicate Address Detection (DAD) for IPv6" [RFC4429]. Once that first registration is complete, the node knows from the setting of the "T" Flag in the response whether the router supports this specification. If this is verified, the node may register other addresses that it owns, or proxy-register addresses on behalf some another node, indicating those addresses being registered in the Target Address field of the NS messages, while using one of its own, already registered, addresses as source.

A node that supports this specification MUST always use an EARO as a replacement to an ARO in its registration to a router. This is harmless since the "T" flag and TID field are reserved in RFC 6775 [RFC6775] are ignored by a legacy router. A router that supports this specification answers to an ARO with an ARO and to an EARO with an EARO.

This specification changes the behavior of the peers in a registration flows. To enable backward compatibility, a node that registers to a router that is not known to support this specification MUST behave as prescribed by RFC 6775 [RFC6775]. Once the router is known to support this specification, the node MUST obey this specification.

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7.2. Legacy 6LoWPAN Node

A legacy 6LN will use the registered address as source and will not use an EARO option. In order to be backward compatible, an updated 6LR needs to accept that registration if it is valid per the "Cryptographically Generated Addresses (CGA)" [RFC3972] specification, and manage the binding cache accordingly.

The main difference with RFC 3972 [RFC3972] is that DAR/DAC exchange for DAD may be avoided for link-local addresses. Additionally, the 6LR SHOULD use an EARO in the reply, and may use any of the status codes defined in this specification.

7.3. Legacy 6LoWPAN Router

The first registration by a an updated 6LN is for a link-local address, using that link-local address as source. A legacy 6LN will not makes a difference and accept -or reject- that registration as if the 6LN was a legacy node.

An updated 6LN will always use an EARO option in the registration NS message, whereas a legacy 6LN will always areply with an ARO option in the NA message. So from that first registration, the updated 6LN can figure whether the 6LR supports this specification or not.

When facing a legacy 6LR, an updated 6LN may attempt to find an alternate 6LR that is updated. In order to be backward compatible, based on the discovery that a 6LR is legacy, the 6LN needs to fallback to legacy behaviour and source the packet with the registrered address.

The main difference is that the updated 6LN SHOULD use an EARO in the request regardless of the type of 6LN, legacy or updated

7.4. Legacy 6LoWPAN Border Router

With this specification, the DAR/DAC transports an EARO option as opposed to an ARO option. As described for the NS/NA exchange, devices that support this specification always use an EARO option and all the associated behaviour.

8. Security Considerations

This specification expects that the link layer is sufficiently protected, either by means of physical or IP security for the Backbone Link or MAC sublayer cryptography. In particular, it is expected that the LLN MAC provides secure unicast to/from the

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Backbone Router and secure Broadcast from the Backbone Router in a way that prevents tempering with or replaying the RA messages.

The use of EUI-64 for forming the Interface ID in the link-local address prevents the usage of "SEcure Neighbor Discovery (SEND)" [RFC3971] and CGA [RFC3972], and that of address privacy techniques. This specification RECOMMENDS the use of additional protection against address theft such as provided by "Address Protected Neighbor Discovery for Low-power and Lossy Networks" [I-D.ietf-6lo-ap-nd], which guarantees the ownership of the OUID.

When the ownership of the OUID cannot be assessed, this specification limits the cases where the OUID and the TID are multicasted, and obfuscates them in responses to attempts to take over an address.

The LLN nodes depend on the 6LBR and the 6BBR for their operation. A trust model must be put in place to ensure that the right devices are acting in these roles, so as to avoid threats such as black-holing, or bombing attack whereby an impersonated 6LBR would destroy state in the network by using the "Removed" status code.

9. IANA Considerations

IANA is requested to create a new subregistry for "ARO Flags" under the "Internet Control Message Protocol version 6 (ICMPv6) Parameters". This specification defines 8 positions, bit 0 to bit 7, and assigns bit 7 for the "T" flag in <u>Section 6.2</u>. The policy is "IETF Review" or "IESG Approval" [RFC5226]. The initial content of the registry is as shown in Table 2.

New subregistry for ARO Flags under the "Internet Control Message Protocol version 6 (ICMPv6) Parameters"

+	+	-+	-+
ARO Status	Description	Document	
+	+	-+	-+
06	Unassigned	1	
		1	
7	"T" Flag	RFC This	
+	+	-+	-+

Table 2: new ARO Flags

IANA is requested to make additions to existing registries as follows:

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Address Registration Option Status Values Registry

+	+	·+
ARO Status	Description	Document
3	Moved	RFC This
4	 Removed	RFC This
5	 Proof requested	RFC This
6	Duplicate Source Address	RFC This
 7	 Invalid Source Address	RFC This
8	 Invalid Registered Address	RFC This
T	T	r+

Table 3: New ARO Status values

Subregistry for "6LoWPAN capability Bits" under the "Internet Control Message Protocol version 6 (ICMPv6) Parameters"

+ capability Bit	·	+ Document
11	6LR capable (L bit)	
12	6LBR capable (B bit)	RFC This
13	6BBR capable (P bit)	RFC This
 14 +	 EARO support (E bit) 	

Table 4: New 6LoWPAN capability Bits

10. Acknowledgments

Kudos to Eric Levy-Abegnoli who designed the First Hop Security infrastructure at Cisco.

11. References

11.1. Normative References

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Appendix A. Applicability and Requirements Served

This specification extends 6LoWPAN ND to sequence the registration and serves the requirements expressed Appendix B.1 by enabling the mobility of devices from one LLN to the next based on the

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complementary work in the "IPv6 Backbone Router" [I-D.ietf-6lo-backbone-router] specification.

In the context of the the TimeSlotted Channel Hopping (TSCH) mode of IEEE Std. 802.15.4 [IEEEstd802154], the "6TiSCH architecture" [I-D.ietf-6tisch-architecture] introduces how a 6LoWPAN ND host could connect to the Internet via a RPL mesh Network, but this requires additions to the 6LOWPAN ND protocol to support mobility and reachability in a secured and manageable environment. This specification details the new operations that are required to implement the 6TiSCH architecture and serves the requirements listed in Appendix B.2.

The term LLN is used loosely in this specification to cover multiple types of WLANs and WPANs, including Low-Power Wi-Fi, BLUETOOTH(R) Low Energy, IEEE std 802.11AH and IEEE std 802.15.4 wireless meshes, so as to address the requirements discussed in Appendix B.3

This specification can be used by any wireless node to associate at Layer-3 with a 6BBR and register its IPv6 addresses to obtain routing services including proxy-ND operations over the backbone, effectively providing a solution to the requirements expressed in <u>Appendix B.4</u>.

"Efficiency aware IPv6 Neighbor Discovery Optimizations"

[I-D.chakrabarti-nordmark-6man-efficient-nd] suggests that 6LoWPAN ND [RFC6775] can be extended to other types of links beyond IEEE Std. 802.15.4 for which it was defined. The registration technique is beneficial when the Link-Layer technique used to carry IPv6 multicast packets is not sufficiently efficient in terms of delivery ratio or energy consumption in the end devices, in particular to enable energy-constrained sleeping nodes. The value of such extension is especially apparent in the case of mobile wireless nodes, to reduce the multicast operations that are related to classical ND ([RFC4861], [RFC4862]) and plague the wireless medium. This serves scalability requirements listed in Appendix B.6.

<u>Appendix B</u>. Requirements

This section lists requirements that were discussed at 6lo for an update to 6LoWPAN ND. This specification meets most of them, but those listed in Appendix B.5 which are deferred to a different specification such as $[\underline{I-D.ietf-6lo-ap-nd}]$, and those related to multicast.

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B.1. Requirements Related to Mobility

Due to the unstable nature of LLN links, even in a LLN of immobile nodes a 6LN may change its point of attachment to a 6LR, say 6LR-a, and may not be able to notify 6LR-a. Consequently, 6LR-a may still attract traffic that it cannot deliver any more. When links to a 6LR change state, there is thus a need to identify stale states in a 6LR and restore reachability in a timely fashion.

Reg1.1: Upon a change of point of attachment, connectivity via a new 6LR MUST be restored timely without the need to de-register from the previous 6LR.

Req1.2: For that purpose, the protocol MUST enable to differentiate between multiple registrations from one 6LoWPAN Node and registrations from different 6LoWPAN Nodes claiming the same address.

Reg1.3: Stale states MUST be cleaned up in 6LRs.

Req1.4: A 6LoWPAN Node SHOULD also be capable to register its Address to multiple 6LRs, and this, concurrently.

B.2. Requirements Related to Routing Protocols

The point of attachment of a 6LN may be a 6LR in an LLN mesh. IPv6 routing in a LLN can be based on RPL, which is the routing protocol that was defined at the IETF for this particular purpose. Other routing protocols than RPL are also considered by Standard Defining Organizations (SDO) on the basis of the expected network characteristics. It is required that a 6LoWPAN Node attached via ND to a 6LR would need to participate in the selected routing protocol to obtain reachability via the 6LR.

Next to the 6LBR unicast address registered by ND, other addresses including multicast addresses are needed as well. For example a routing protocol often uses a multicast address to register changes to established paths. ND needs to register such a multicast address to enable routing concurrently with discovery.

Multicast is needed for groups. Groups MAY be formed by device type (e.g. routers, street lamps), location (Geography, RPL sub-tree), or both.

The Bit Index Explicit Replication (BIER) Architecture [I-D.ietf-bier-architecture] proposes an optimized technique to enable multicast in a LLN with a very limited requirement for routing state in the nodes.

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Related requirements are:

Req2.1: The ND registration method SHOULD be extended in such a fashion that the 6LR MAY advertise the Address of a 6LoWPAN Node over the selected routing protocol and obtain reachability to that Address using the selected routing protocol.

Req2.2: Considering RPL, the Address Registration Option that is used in the ND registration SHOULD be extended to carry enough information to generate a DAO message as specified in [RFC6550] section 6.4, in particular the capability to compute a Path Sequence and, as an option, a RPLInstanceID.

Req2.3: Multicast operations SHOULD be supported and optimized, for instance using BIER or MPL. Whether ND is appropriate for the registration to the 6BBR is to be defined, considering the additional burden of supporting the Multicast Listener Discovery Version 2 [RFC3810] (MLDv2) for IPv6.

B.3. Requirements Related to the Variety of Low-Power Link types

6LoWPAN ND [RFC6775] was defined with a focus on IEEE std 802.15.4 and in particular the capability to derive a unique Identifier from a globally unique MAC-64 address. At this point, the 6lo Working Group is extending the 6LoWPAN Header Compression (HC) [RFC6282] technique to other link types ITU-T G.9959 [RFC7428], Master-Slave/Token-Passing [I-D.ietf-6lo-6lobac], DECT Ultra Low Energy [I-D.ietf-6lo-dect-ule], Near Field Communication [I-D.ietf-6lo-nfc], IEEE std 802.11ah [I-D.delcarpio-6lo-wlanah], as well as IEEE1901.2 Narrowband Powerline Communication Networks [I-D.popa-6lo-6loplc-ipv6-over-ieee19012-networks] and BLUETOOTH(R) Low Energy [RFC7668].

Related requirements are:

Req3.1: The support of the registration mechanism SHOULD be extended to more LLN links than IEEE std 802.15.4, matching at least the LLN links for which an "IPv6 over foo" specification exists, as well as Low-Power Wi-Fi.

Req3.2: As part of this extension, a mechanism to compute a unique Identifier should be provided, with the capability to form a Link-Local Address that SHOULD be unique at least within the LLN connected to a 6LBR discovered by ND in each node within the LLN.

Req3.3: The Address Registration Option used in the ND registration SHOULD be extended to carry the relevant forms of unique Identifier.

Req3.4: The Neighbour Discovery should specify the formation of a site-local address that follows the security recommendations from RFC7217].

B.4. Requirements Related to Proxy Operations

Duty-cycled devices may not be able to answer themselves to a lookup from a node that uses classical ND on a backbone and may need a proxy. Additionally, the duty-cycled device may need to rely on the 6LBR to perform registration to the 6BBR.

The ND registration method SHOULD defend the addresses of duty-cycled devices that are sleeping most of the time and not capable to defend their own Addresses.

Related requirements are:

Req4.1: The registration mechanism SHOULD enable a third party to proxy register an Address on behalf of a 6LoWPAN node that may be sleeping or located deeper in an LLN mesh.

Req4.2: The registration mechanism SHOULD be applicable to a dutycycled device regardless of the link type, and enable a 6BBR to operate as a proxy to defend the registered Addresses on its behalf.

Req4.3: The registration mechanism SHOULD enable long sleep durations, in the order of multiple days to a month.

B.5. Requirements Related to Security

In order to guarantee the operations of the 6LoWPAN ND flows, the spoofing of the 6LR, 6LBR and 6BBRs roles should be avoided. Once a node successfully registers an address, 6LoWPAN ND should provide energy-efficient means for the 6LBR to protect that ownership even when the node that registered the address is sleeping.

In particular, the 6LR and the 6LBR then should be able to verify whether a subsequent registration for a given Address comes from the original node.

In a LLN it makes sense to base security on layer-2 security. During bootstrap of the LLN, nodes join the network after authorization by a Joining Assistant (JA) or a Commissioning Tool (CT). After joining nodes communicate with each other via secured links. The keys for the layer-2 security are distributed by the JA/CT. The JA/CT can be part of the LLN or be outside the LLN. In both cases it is needed that packets are routed between JA/CT and the joining node.

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Related requirements are:

- Req5.1: 6LoWPAN ND security mechanisms SHOULD provide a mechanism for the 6LR, 6LBR and 6BBR to authenticate and authorize one another for their respective roles, as well as with the 6LoWPAN Node for the role of 6LR.
- Req5.2: 6LoWPAN ND security mechanisms SHOULD provide a mechanism for the 6LR and the 6LBR to validate new registration of authorized nodes. Joining of unauthorized nodes MUST be impossible.
- Req5.3: 6LoWPAN ND security mechanisms SHOULD lead to small packet sizes. In particular, the NS, NA, DAR and DAC messages for a reregistration flow SHOULD NOT exceed 80 octets so as to fit in a secured IEEE std 802.15.4 [IEEEstd802154] frame.
- Req5.4: Recurrent 6LoWPAN ND security operations MUST NOT be computationally intensive on the LoWPAN Node CPU. When a Key hash calculation is employed, a mechanism lighter than SHA-1 SHOULD be preferred.
- Req5.5: The number of Keys that the 6LoWPAN Node needs to manipulate SHOULD be minimized.
- Req5.6: The 6LoWPAN ND security mechanisms SHOULD enable the variation of CCM [RFC3610] called CCM* for use at both Layer 2 and Layer 3, and SHOULD enable the reuse of security code that has to be present on the device for upper layer security such as TLS.
- Req5.7: Public key and signature sizes SHOULD be minimized while maintaining adequate confidentiality and data origin authentication for multiple types of applications with various degrees of criticality.
- Req5.8: Routing of packets should continue when links pass from the unsecured to the secured state.
- Req5.9: 6LoWPAN ND security mechanisms SHOULD provide a mechanism for the 6LR and the 6LBR to validate whether a new registration for a given address corresponds to the same 6LoWPAN Node that registered it initially, and, if not, determine the rightful owner, and deny or clean-up the registration that is duplicate.

B.6. Requirements Related to Scalability

Use cases from Automatic Meter Reading (AMR, collection tree operations) and Advanced Metering Infrastructure (AMI, bi-directional communication to the meters) indicate the needs for a large number of

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LLN nodes pertaining to a single RPL DODAG (e.g. 5000) and connected to the 6LBR over a large number of LLN hops (e.g. 15).

Related requirements are:

Req6.1: The registration mechanism SHOULD enable a single 6LBR to register multiple thousands of devices.

Req6.2: The timing of the registration operation should allow for a large latency such as found in LLNs with ten and more hops.

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