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Q. Wang, Ed.  
Univ. of Sci. and Tech. Beijing  
X. Vilajosana  
Universitat Oberta de Catalunya  
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6top Protocol (6P)  
[draft-ietf-6tisch-6top-protocol-02](#)

## Abstract

This document defines the 6top Protocol (6P), which enables distributed scheduling in 6TiSCH networks. 6P allows neighbor nodes in a 6TiSCH network to add/delete TSCH cells to one another. 6P is part of the 6TiSCH Operation Sublayer (6top), the next higher layer of the IEEE802.15.4 TSCH medium access control layer. The 6top Scheduling Function (SF) decides when to add/delete cells, and triggers 6P Transactions. Several SFs can be defined, each identified by a different 6top Scheduling Function Identifier (SFID). This document lists the requirements for an SF, but leaves the definition of the SF out of scope. Different SFs are expected to be defined in future companion specifications.

## Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

## Status of This Memo

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Table of Contents

- [1. TEMPORARY EDITORIAL NOTES . . . . .](#) [3](#)
- [2. Introduction . . . . .](#) [3](#)
- [3. 6TiSCH Operation Sublayer \(6top\) . . . . .](#) [5](#)
  - [3.1. Hard/Soft Cells . . . . .](#) [5](#)
  - [3.2. Using 6top with the Minimal 6TiSCH Configuration . . . . .](#) [5](#)
- [4. 6top Protocol \(6P\) . . . . .](#) [6](#)
  - [4.1. 6top Transaction . . . . .](#) [6](#)
    - [4.1.1. 2-step 6top Transaction . . . . .](#) [7](#)
    - [4.1.2. 3-step 6top Transaction . . . . .](#) [8](#)
  - [4.2. Message Format . . . . .](#) [9](#)
    - [4.2.1. 6top Information Element . . . . .](#) [9](#)
    - [4.2.2. General Message Format . . . . .](#) [9](#)
    - [4.2.3. 6P Command Identifiers . . . . .](#) [10](#)
    - [4.2.4. 6P Return Codes . . . . .](#) [11](#)
    - [4.2.5. 6P Cell Format . . . . .](#) [11](#)
    - [4.2.6. 6P ADD Request Format . . . . .](#) [12](#)
    - [4.2.7. 6P DELETE Request Format . . . . .](#) [12](#)
    - [4.2.8. 6P STATUS Request Format . . . . .](#) [12](#)
    - [4.2.9. 6P LIST\\_AB Request Format . . . . .](#) [13](#)
    - [4.2.10. 6P LIST\\_BA Request Format . . . . .](#) [14](#)
    - [4.2.11. 6P CLEAR Request Format . . . . .](#) [14](#)
    - [4.2.12. 6P Response Format . . . . .](#) [14](#)
    - [4.2.13. 6P Confirmation Format . . . . .](#) [15](#)
  - [4.3. Protocol Behavior . . . . .](#) [15](#)
    - [4.3.1. Version Checking . . . . .](#) [15](#)
    - [4.3.2. SFID Checking . . . . .](#) [15](#)
    - [4.3.3. Concurrent 6P Transactions . . . . .](#) [16](#)
    - [4.3.4. Timeout . . . . .](#) [16](#)
    - [4.3.5. SeqNum Mismatch . . . . .](#) [16](#)
    - [4.3.6. Clearing the Schedule . . . . .](#) [17](#)
    - [4.3.7. Adding Cells with 2-way Transaction . . . . .](#) [17](#)



- [4.3.8. Aborting a 6P Transaction . . . . .](#) [17](#)
- [4.3.9. Deleting Cells . . . . .](#) [18](#)
- [4.3.10. Listing Cells . . . . .](#) [18](#)
- [4.3.11. Generation Management . . . . .](#) [19](#)
- [4.3.12. Handling error responses . . . . .](#) [20](#)
- [4.4. Security . . . . .](#) [20](#)
- [5. Guidelines for 6top Scheduling Functions \(SF\) . . . . .](#) [20](#)
- [5.1. SF Identifier \(SFID\) . . . . .](#) [21](#)
- [5.2. Requirements for an SF . . . . .](#) [21](#)
- [5.3. Recommended Structure of an SF Specification . . . . .](#) [22](#)
- [6. Implementation Status . . . . .](#) [22](#)
- [7. Security Considerations . . . . .](#) [23](#)
- [8. IANA Consideration . . . . .](#) [23](#)
- [9. References . . . . .](#) [24](#)
- [9.1. Normative References . . . . .](#) [24](#)
- [9.2. Informative References . . . . .](#) [24](#)
- [Appendix A. \[TEMPORARY\] IETF IE . . . . .](#) [25](#)
- [Appendix B. \[TEMPORARY\] IEEE Liaison Considerations . . . . .](#) [25](#)
- [Appendix C. \[TEMPORARY\] Terms for the Terminology Draft . . . . .](#) [26](#)
- [Appendix D. \[TEMPORARY\] Changelog . . . . .](#) [26](#)
- [Authors' Addresses . . . . .](#) [28](#)

**1. TEMPORARY EDITORIAL NOTES**

This document is an Internet Draft, so work-in-progress by nature. It contains the following work-in-progress elements:

- o "TODO" statements are elements which have not yet been written by the authors for some reason (lack of time, ongoing discussions with no clear consensus, etc). The statement does indicate that the text will be written at some time.
- o "TEMPORARY" appendices are there to capture current ongoing discussions or the changelog of the document. These appendices will be removed in the final text.
- o "IANA\_" identifiers are placeholders for numbers assigned by IANA. These placeholders are to be replaced by the actual values they represent after their assignment by IANA.
- o This section will be removed in the final text.

**2. Introduction**

All communication in a 6TiSCH network is orchestrated by a schedule [[RFC7554](#)]. This specification defines the 6top Protocol (6P), part of the 6TiSCH Operation sublayer (6top). 6P allow a node to communicate with a neighbor to add/delete a TSCH cell to one another. 6P hence enables distributed scheduling in a 6TiSCH network.



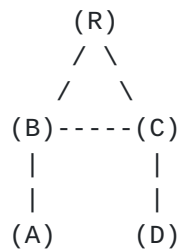


Figure 1: A simple 6TiSCH network.

The example network depicted in Figure 1 is used to describe the interactions between nodes. We consider the canonical case where node "A" issues 6P requests to node "B". We keep this example throughout this document. Throughout the discussions, node A will always represent the node that issues a 6P request; node B the node that receives this request.

We consider node A in Figure 1 monitoring the communication cells it has in its schedule to node B.

- o If node A determines that the number of link-layer frames it is sending to B per unit of time is larger than the capacity offered by the TSCH cells it has scheduled to B, it triggers a 6P Transaction with node B to add one or more cells to B's TSCH schedule.
- o If the traffic is lower than the capacity, node A triggers a 6P Transaction with node B to delete one or more cells in the TSCH schedule of both nodes.
- o Node A MAY also monitor statistics to determine whether collisions are happening on a particular cell to node B. If this feature is enabled, node A communicates with node B to add a new cell and delete the cell which suffered from collisions. This conceptually results in "relocating" the cell which suffered from collisions to a different slotOffset/channelOffset location in the TSCH schedule. The mechanism to handle cell relocation is out of the scope of this document and might be handled by the scheduling function (see below).

This results in distributed schedule management in a 6TiSCH network.

The 6top Scheduling Function (SF) defines when to add/delete a cell to a neighbor. The SF functions as a (required) add-on to 6P. Different applications require different SFs, so the SF is left out of scope of this document. Different SFs are expected to be defined in future companion specifications. A node MAY implement multiple SFs and run them at the same time. The SFID field contained in all 6P messages allows a node to switch between SFs on a per-transaction basis.



[Section 3](#) describes the 6TiSCH Operation Sublayer (6top). [Section 4](#) defines the 6top Protocol (6P). [Section 5](#) provides guidelines on how to design an SF.

**3. 6TiSCH Operation Sublayer (6top)**

As depicted in Figure 2, the 6TiSCH Operation Sublayer (6top) is the next higher layer to the IEEE802.15.4 TSCH medium access control layer [[IEEE802154-2015](#)].

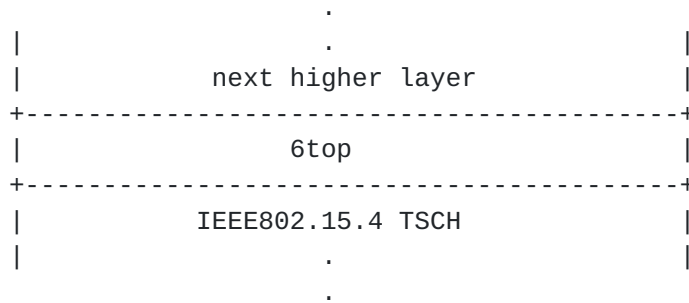


Figure 2: The 6top sublayer in the protocol stack.

The roles of the 6top sublayer are:

- o Implement and terminate the 6top Protocol (6P), which allows neighbor nodes to communicate to add/delete cells to one another.
- o Run one or more 6top Scheduling Functions (SF), which define the algorithm to decide when to add/delete cells.

**3.1. Hard/Soft Cells**

6top qualifies each cell in the schedule as either "hard" or "soft":

- o a Soft Cell can be read, added, deleted or updated by 6top.
- o a Hard Cell is read-only for 6top.

In the context of this specification, all the cells used by 6top are Soft Cells. Hard cells can be used for example when "hard-coding" a scheduling. This is done, for example, in the Minimal 6TiSCH Configuration [[I-D.ietf-6tisch-minimal](#)].

**3.2. Using 6top with the Minimal 6TiSCH Configuration**

6P MAY be used alongside the Minimal 6TiSCH Configuration [[I-D.ietf-6tisch-minimal](#)]. In this case, it is RECOMMENDED to use 2 slotframes, as depicted in Figure 3:





- o Slotframe 0 is used for traffic defined in the Minimal 6TiSCH Configuration. In Figure 3, this slotframe is 5 slots long, but it can be of any length.
- o Slotframe 1 is used by 6top to allocate cells from. In Figure 3, this slotframe is 10 slots long, but it can be of any length.

Slotframe 0 SHOULD be of higher priority than Slotframe 1 to avoid for cells in slotframe 1 to "mask" cells in slotframe 0. 6top MAY support further slotframes; how to use more slotframes is out of the scope for this document.

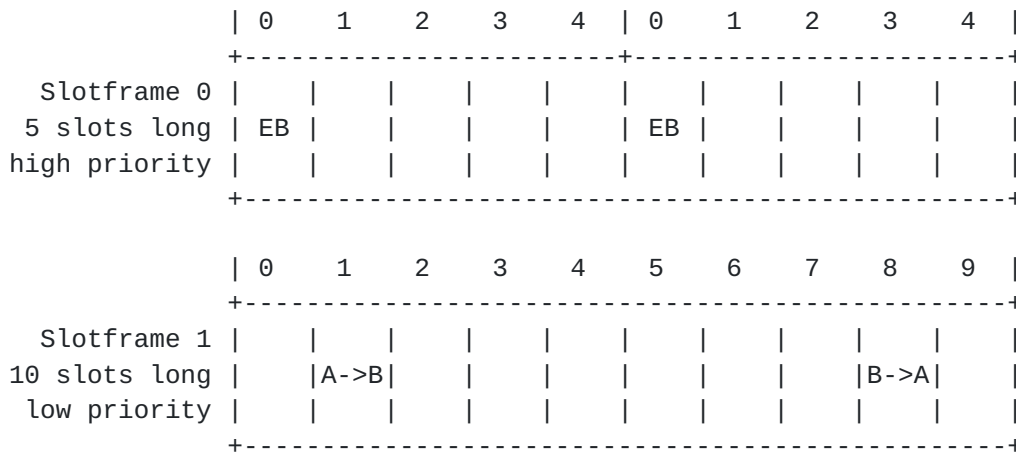


Figure 3: 2-slotframe structure when using 6top alongside the Minimal 6TiSCH Configuration.

#### 4. 6top Protocol (6P)

The 6top Protocol (6P) allows two neighbor nodes to communicate to add/delete cells to their TSCH schedule. Conceptually, two neighbor nodes "negotiate" the location of the cell(s) to add/delete.

##### 4.1. 6top Transaction

We call "6top Transaction" a complete negotiation between two neighbor nodes. A 6P Transaction starts when a node wishes to add/delete one or more cells to one of its neighbors. It ends when the cell(s) have been added/removed from the schedule of both neighbors, or when the 6P Transaction has failed.

A 6P Transaction can consist of 2 or 3 steps. It is the SF which determines whether to use 2-step or 3-step transactions. An SF MAY use both 2-step and 3-step transactions.

Consistency between the schedules of two neighbor nodes is of utmost importance. A loss of consistency (e.g. node A has a transmit cell



to node B, but node B does not have the corresponding reception cell) can cause loss of connectivity. To verify consistency, neighbors nodes increment the "schedule generation" number of their schedule each time they add/remove a cell. Neighbor nodes exchange generation numbers at each 6P Transaction to detect possible inconsistencies. This mechanism is explained in [Section 4.3.11](#).

We reuse the topology in Figure 1 to illustrate 2-step and 3-step transactions.

**4.1.1. 2-step 6top Transaction**

Figure 4 is a sequence diagram to help understand the core principle of 6P (several elements are left out to simplify understanding). We assume the SF running on node A determines 2 extra cells need to be scheduled to node B. In this example, node A proposes the cells to use.

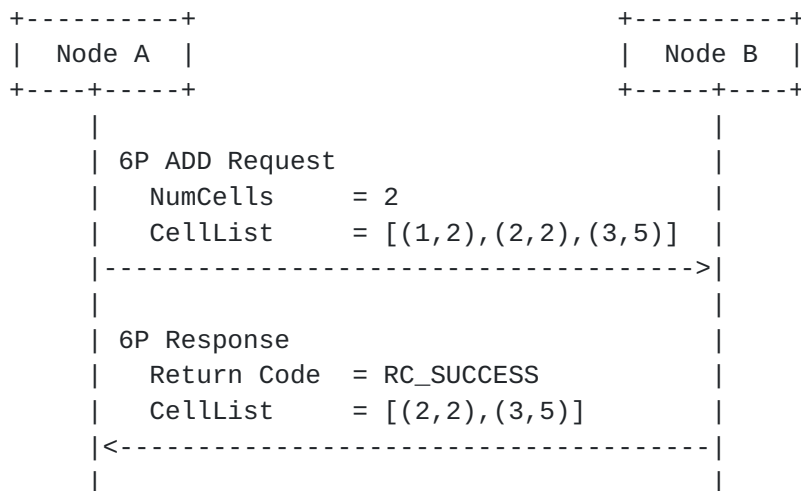


Figure 4: A 2-step 6P Transaction.

In this example, the 2-step transaction occurs as follows:

1. The SF running on node A selects 3 candidate cells.
2. Node A sends a 6P ADD Request to node B, indicating it wishes to add 2 cells (the "NumCells" value), and specifying the list of 3 candidate cells (the "CellList" value). Each cell in the CellList is a (slotOffset,channelOffset) tuple.
3. Node A at the same time sets a timeout timer in order to cancel the transaction in case a response is not received after the timeout. The value of the timeout is out of the scope of this document and MAY be defined by the SF. More details are given in [Section 4.3.8](#).



4. The SF running on node B selects 2 of the 3 cells in the CellList of the 6P ADD Request. Node B sends back a 6P Response to node A, indicating the cells it selected.
5. The result of this 6P Transaction is that 2 cells from A to B have been added to the TSCH schedule of both nodes A and B.

**4.1.2. 3-step 6top Transaction**

Figure 5 is a sequence diagram to help understand the core principle of 6P (several elements are left out to simplify understanding). We assume the SF running on node A determines 2 extra cells need to be scheduled to node B. In this example, node B proposes the cells to use.

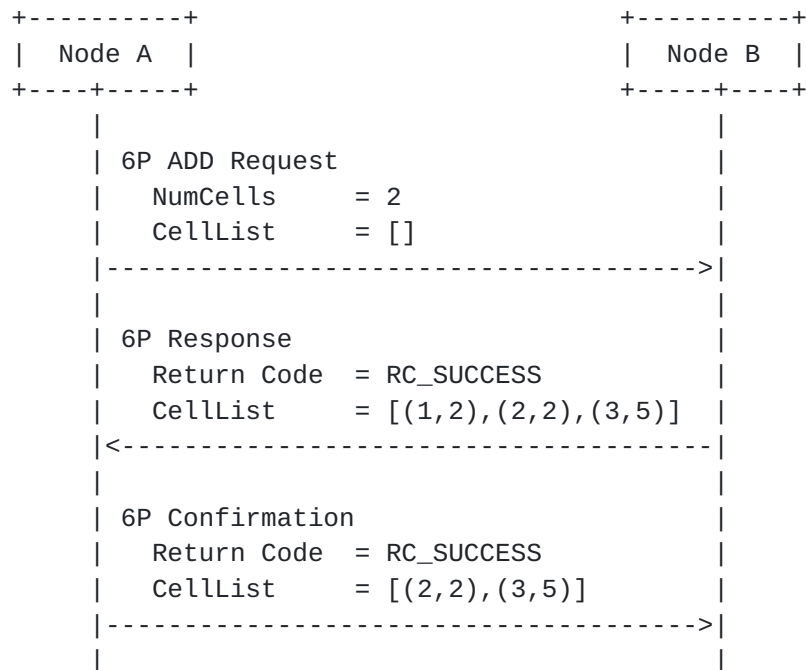


Figure 5: A 3-step 6P Transaction.

In this example, the 3-step transaction occurs as follows:

1. The SF running on node A determines 2 extra cells need to be scheduled to node B, but does not select candidate cells.
2. Node A sends a 6P ADD Request to node B, indicating it wishes to add 2 cells (the "NumCells" value), with an empty "CellList".
3. Node A at the same time sets a timeout timer in order to cancel the transaction in case a response is not received after the timeout. The value of the timeout is out of the scope of this document and MAY be defined by the SF. More details are given in [Section 4.3.8](#).

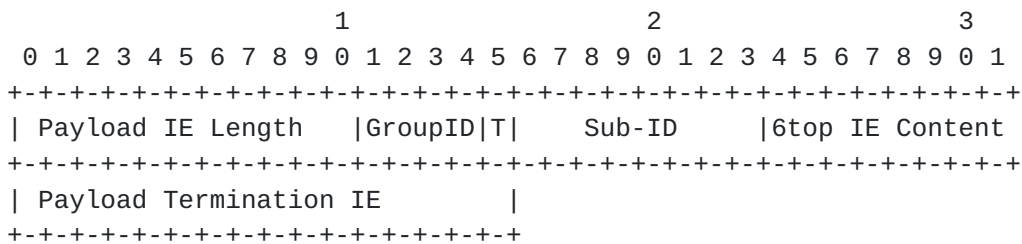


4. The SF running on node B selects 3 candidate cells. Node B sends back a 6P Response to node A, indicating the 3 cells it selected.
5. Node B at the same time sets a timeout timer in order to cancel the transaction in case a confirmation is not received after the timeout. The value of the timeout is out of the scope of this document and MAY be defined by the SF. More details are given in [Section 4.3.8](#).
6. The SF running on node A selects 2 cells. Node A sends back a 6P Confirmation to node B, indicating the cells it selected.
7. The result of this 6P Transaction is that 2 cells from A to B have been added to the TSCH schedule of both nodes A and B.

**4.2. Message Format**

**4.2.1. 6top Information Element**

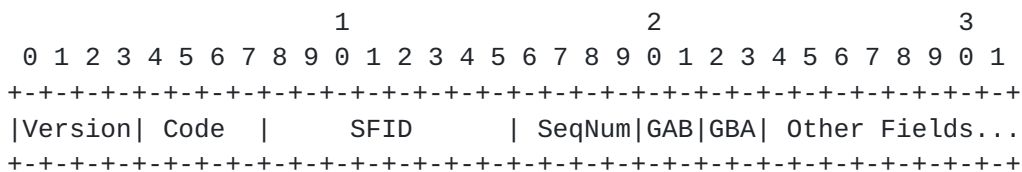
6P messages are carried as payload of IEEE802.15.4 Payload Information Elements (IE) [[IEEE802154-2015](#)]. 6p messages travel over a single hop.



The 6top IE is an IEEE Payload IE with GroupID IANA\_IETF\_IE\_GROUP\_ID. The 6top IE complies with the IE format defined in [[draft-kivinen-ie](#)]. The Sub-ID used by the 6top IE is IANA\_6TOP\_SUBIE\_ID. The length of the 6top IE content is variable. The content of the 6top IE is specified in [Section 4.2](#). The Payload Termination IE is defined by the IEEE802.15.4 standard [[IEEE802154-2015](#)]. TODO: IETF IE specified in [Appendix A](#) for now, but to be specified in a separate draft in the future, possibly/ probably [[draft-kivinen-ie](#)].

**4.2.2. General Message Format**

In all 6P messages, the 6top IE content has the following format:







Version (6P Version): The version of the 6P protocol. Only version IANA\_6TOP\_6P\_VERSION is defined in this document. Future specifications MAY define further versions of the 6P protocol.

Code: Command to carry out or response code. The list of command identifiers and return codes is defined only for version IANA\_6TOP\_6P\_VERSION in this document.

SFID (6top Scheduling Function Identifier): The identifier of the SF to use to handle this message. The SFID is defined in [Section 5.1](#).

SeqNum: An identifier of the packet, used to match the 6P Request, 6P Response and 6P Confirmation of the same 6P Transaction. The value of SeqNum MUST increment by exactly one at each new 6P request issued to the same neighbor.

GAB: Schedule Generation for the cells scheduled from node A to node B. The generation is used to ensure consistency between the schedule of the two neighbors. [Section 4.3.11](#) details how schedule generation is managed.

GBA: Schedule Generation for the cells scheduled from node B to node A.

Other Fields: The list of other fields depends on the value of the code field, as detailed below.

**4.2.3. 6P Command Identifiers**

Figure 6 lists the 6P command identifiers.

Command ID	Value	Description
CMD_ADD	IANA_6TOP_CMD_ADD	add one or more cells
CMD_DELETE	IANA_6TOP_CMD_DELETE	delete one or more cells
CMD_STATUS	IANA_6TOP_CMD_STATUS	status of the schedule
CMD_LIST_AB	IANA_6TOP_CMD_LIST_AB	list the scheduled cells outgoing from A to B
CMD_LIST_BA	IANA_6TOP_CMD_LIST_BA	list the scheduled cells outgoing from B to A
CMD_CLEAR	IANA_6TOP_CMD_CLEAR	clear all cells on both node A and node B
reserved	TODO-0xf	reserved

Figure 6: 6P Command Identifiers



4.2.4. 6P Return Codes

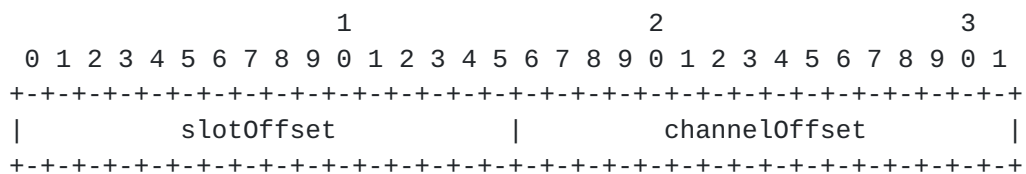
Figure 7 lists the 6P Return Codes and their meaning.

Return Code	Value	Description
RC_SUCCESS	IANA_6TOP_RC_SUCCESS	operation succeeded
RC_ERR_VER	IANA_6TOP_RC_ERR_VER	unsupported 6P version
RC_ERR_SFID	IANA_6TOP_RC_ERR_SFID	unsupported SFID
RC_ERR_GEN	IANA_6TOP_RC_ERR_GEN	schedule generation error
RC_ERR_BUSY	IANA_6TOP_RC_ERR_BUSY	handling previous request
RC_ERR_NORES	IANA_6TOP_RC_ERR_NORES	not enough resources
RC_ERR_RESET	IANA_6TOP_RC_ERR_RESET	abort 6P Transaction
RC_ERR	IANA_6TOP_RC_ERR	generic error
reserved	TODO-0xf	

Figure 7: 6P Return Codes

4.2.5. 6P Cell Format

The 6P Cell is an element which is present in several messages. It is a 4-byte field, its RECOMMENDED format is:

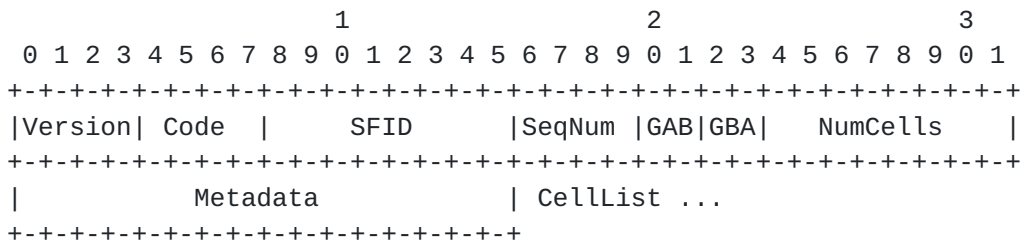


slotOffset: The slot offset of the cell.  
channelOffset: The channel offset of the cell.

The CellList is an opaque set of bytes, sent unmodified to the SF. The SF MAY redefine the format of the CellList field.



4.2.6. 6P ADD Request Format

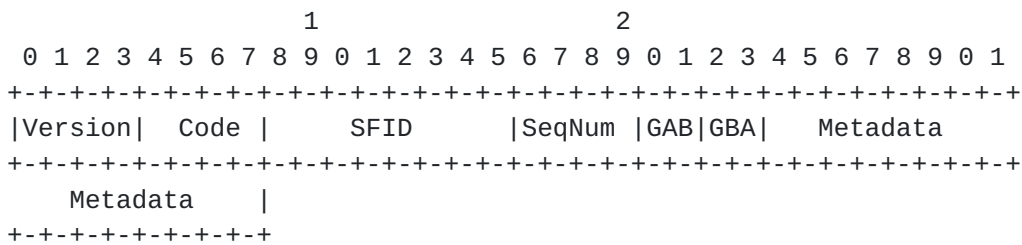


Version: Set to IANA\_6TOP\_6P\_VERSION.  
Code: Set to CMD\_ADD for a 6P ADD Request.  
SFID: Identifier of the SF to be used by the receiver to handle the message.  
SeqNum: Packet identifier to match 6P Request and 6P Response.  
GAB: Schedule Generation for the cells scheduled from node A to node B.  
GBA: Schedule Generation for the cells scheduled from node B to node A.  
NumCells: The number of additional TX cells the sender wants to schedule to the receiver.  
Metadata: Metadata used as extra signaling to the SF. The contents of the Metadata field is an opaque set of bytes, and passed unmodified to the SF. The meaning of this field depends on the SF, and is hence out of scope of this document. One example use can be to specify which slotframe to schedule the cells to.  
CellList: A list of 0, 1 or multiple 6P Cells. The CellList is an opaque set of bytes, sent unmodified to the SF. The RECOMMENDED format of each 6P Cell is defined in [Section 4.2.5](#). The SF MAY redefine the format of the CellList field.

4.2.7. 6P DELETE Request Format

The 6P DELETE Request has the exact same format as the 6P ADD Request, except for the code which is set to CMD\_DELETE.

4.2.8. 6P STATUS Request Format



Version: Set to IANA\_6TOP\_6P\_VERSION.  
Code: Set to CMD\_STATUS for a 6P STATUS Request.



SFID: Identifier of the SF to be used by the receiver to handle the message.

SeqNum: Packet identifier to match request and response.

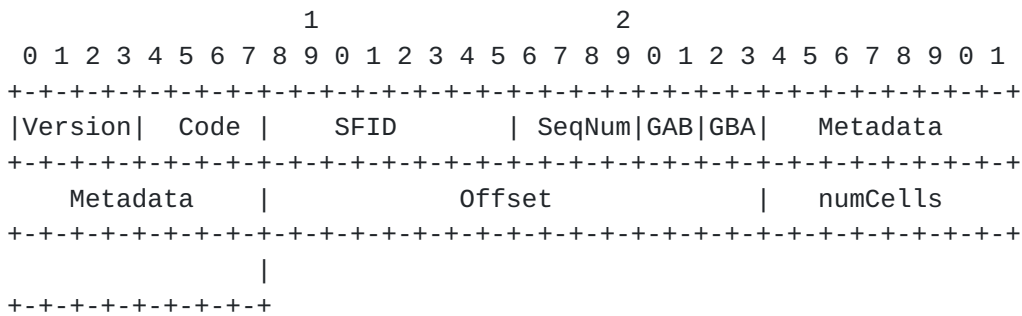
GAB: Schedule Generation for the cells scheduled from node A to node B.

GBA: Schedule Generation for the cells scheduled from node B to node A.

Metadata: Metadata used as extra signaling to the SF. The contents of the Metadata field is an opaque set of bytes, and passed unmodified to the SF. The meaning of this field depends on the SF, and is hence out of scope of this document. One example use can be to specify which slotframe to read the cells from.

**4.2.9. 6P LIST\_AB Request Format**

The command lists the cells scheduled from node A to node B.



Version: Set to IANA\_6TOP\_6P\_VERSION.

Code: Set to CMD\_LIST\_AB for a 6P LIST\_AB Request.

SFID: Identifier of the SF to be used by the receiver to handle the message.

SeqNum: Packet identifier to match request and response.

GAB: Schedule Generation for the cells scheduled from node A to node B.

GBA: Schedule Generation for the cells scheduled from node B to node A.

Metadata: Metadata used as extra signaling to the SF. One example use can be to specify which slotframe to schedule the cells to. The contents of the Metadata field is an opaque set of bytes, and passed unmodified to the SF. The meaning of this field depends on the SF, and is hence out of scope of this document.

Offset: The Offset of the first scheduled cell that is requested. The mechanism assumes cells are ordered according to some rule. The ordering rule is defined by the SF.

numCells: The number of requested cells.





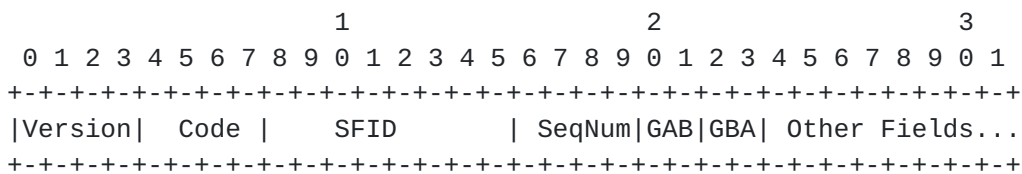
**4.2.10. 6P LIST\_BA Request Format**

The 6P LIST\_BA Request has the exact same format as the 6P LIST\_BA Request, except for the code which is set to CMD\_LIST\_BA. 6P LIST\_BA lists the cells scheduled from node B to node A.

**4.2.11. 6P CLEAR Request Format**

The 6P CLEAR Request has the exact same format as the 6P STATUS Request, except for the code which is set to CMD\_CLEAR.

**4.2.12. 6P Response Format**



- Version: Set to IANA\_6TOP\_6P\_VERSION.
- SFID: Identifier of the SF to be used by the receiver to handle the message. The response MUST contain the same SFID value as the value in the SFID field of the 6P Request is responds to.
- Code: One of the 6P Return Codes listed in [Section 4.2.4](#).
- SeqNum: Packet identifier to match request and response. The response MUST contain the same SeqNum value as the value in the SeqNum field of the 6P Request is responds to.
- GAB: Schedule Generation for the cells scheduled from node A to node B.
- GBA: Schedule Generation for the cells scheduled from node B to node A.
- Other Fields: The contents depends on the Code field in the request, and listed below.

When responding to an ADD, DELETE, LIST\_AB or LIST\_BA command, the "Other Field" contains a list of 0, 1 or multiple 6P Cells. The format of a 6P Cell is defined in [Section 4.2.5](#).

When responding to an STATUS command, the "Other Field" contains

- o The number of cells scheduled from node A to node B, encoded as a 2-octet unsigned integer.
- o The number of cells scheduled from node B to node A, encoded as a 2-octet unsigned integer.

This is shown in Figure 8.



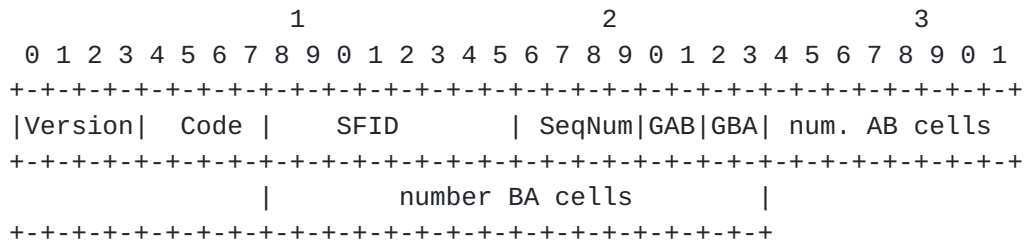


Figure 8

When responding to an CLEAR command, the "Other Field" is empty.

**4.2.13. 6P Confirmation Format**

A 6P Confirmation is only used in a 3-step transaction, as the third step. A 6P Confirmation Message has the exact same format as a 6P Response Message. It is only the fact that it appears as the third step in a 3-step transaction that distinguishes it from a 6P Response. In particular, the same Return Codes are used in both 6P Response and 6P Confirmation messages. The confirmation MUST contain the same SeqNum value as the value in the SeqNum field of the 6P Request and 6P Response of the same transaction.

**4.3. Protocol Behavior**

We use the topology in Figure 1 for illustration. We assume node A negotiates to add/delete cells to node B.

**4.3.1. Version Checking**

All messages contain a Version field. If multiple Versions of the 6P protocol have been defined (in future specifications for Version values different than IANA\_6TOP\_6P\_VERSION), a node MAY implement multiple protocol versions at the same time. When receiving a 6P message with a Version number it does not implement, a node MUST reply with a 6P Response and a return code of RC\_ERR\_VER. The Version field in the 6P Response MUST be the same as the Version field in the corresponding 6P Request.

**4.3.2. SFID Checking**

All messages contain a SFID field. If multiple SFs have been defined, a node MAY support multiple SFs at the same time. When receiving a 6P message with an unsupported SFID, a node MUST reply with a 6P Response and a return code of RC\_ERR\_SFID. The Version field in the 6P Response MUST be the same as the Version field in the corresponding 6P Request. In a 3-step transaction, the Version field



in the 6P Confirmation MUST match that of the 6P Request and 6P Response in the same transaction.

#### **4.3.3. Concurrent 6P Transactions**

Only a single 6P Transaction between two neighbors, in a given direction, can take place at the same time. That is, a node MUST NOT issue a new 6P Request to a given neighbor before having received the 6P Response for a previous request to that neighbor. The only exception to this rule is when the previous 6P Transaction has timed out. If a node receives a 6P Request from a given neighbor before having sent the 6P Response to the previous 6P Request from that neighbor, it MUST send back a 6P Response with a return code of RC\_ERR.

A node MAY support concurrent 6P Transactions from different neighbors. In this case, the cells involved in the ongoing 6P Transaction MUST be locked until the transaction finishes. For example, in Figure 1, node C can have a different ongoing 6P Transaction with nodes B and R. In case a node does not have enough resources to handle concurrent 6P Transactions from different neighbors it MUST reply with a 6P Response with return code RC\_ERR\_NORES. In case the requested cells are locked, it MUST reply to that request with a 6P Response with return code RC\_ERR\_BUSY. The node receiving RC\_ERR\_BUSY or an RC\_ERR\_NORES may implement a retry mechanism, as decided by the SF.

#### **4.3.4. Timeout**

A timeout happens when the node sending the 6P Request has not received the 6P Response. The timeout should be longer than the longest possible time it can take for the 6P Transaction to finish. The value of the timeout hence depends on the number of cells schedule between the neighbor nodes, on the maximum number of link-layer retransmissions, etc. The SF determines the value of the timeout. The value of the timeout is out of scope of this document.

#### **4.3.5. SeqNum Mismatch**

When a node receives a 6P Response with SeqNum value different from the SeqNum value in the 6P Request, it MUST drop the packet and consider the 6P Transaction as having failed. This rule applies as well to a 6P Confirmation with a SeqNum value different from that of the 6P Request or 6P Response of the same transaction.



#### **4.3.6. Clearing the Schedule**

When a 6P CLEAR command is issued from node A to node B, both nodes A and B MUST remove all the cells scheduled between them. That is, node A MUST remove all transmit and receive cells with node B, and node B MUST remove all transmit and receive cells with node A. In a 6P CLEAR command, the generation counters GAB and GBA MUST NOT be checked. That is, their value is "don't care". In particular, even if a schedule generation mismatch is detected, it MUST NOT cause the transaction to abort.

#### **4.3.7. Adding Cells with 2-way Transaction**

We assume the topology in Figure 1 where the SF on node A decides to add NumCell cells to node B.

Node A's SF selects NumCandidate $\geq$ NumCell cells from its schedule as candidate transmit cells to node B. NumCandidate MUST be larger or equal to NumCell. How many cells it selects (NumCandidate) and how that selection is done is specified in the SF and out of scope of this document. Node A sends a 6P ADD Request to node B which contains the value of NumCells and the NumCandidate cells in the CellList.

Upon receiving the request, node B's SF verifies which of the cells in the CellList it can add as receive cells from node A in its own schedule. How that selection is done is specified in the SF and out of scope of this document. That verification can succeed (NumCell cells from the CellList can be used), fail (none of the cells from the CellList can be used) or partially succeed (less than NumCell cells from the CellList can be used). In all cases, node B MUST send a 6P Response with return code set to RC\_SUCCESS, and which specifies the list of cells that were scheduled as receive cells from A. That can contain 0 elements (when the verification failed), NumCell elements (succeeded) or between 0 and NumCell elements (partially succeeded).

Upon receiving the response, node A adds the cells specified in the CellList as transmit (Tx) cells to node B.

#### **4.3.8. Aborting a 6P Transaction**

In case the receiver of a 6top request fails during a 6P Transaction and is unable to complete it, it SHOULD reply to that request with a 6P Response with return code RC\_ERR\_RESET. Upon receiving this 6top reply, the initiator of the 6P Transaction MUST consider the 6P Transaction as failed.





#### [4.3.9.](#) Deleting Cells

The behavior for deleting cells is equivalent to that of adding cells except that:

- o The nodes delete the cells they agree upon rather than adding them.
- o All cells in the CellList MUST be already scheduled between the two nodes.
- o If the CellList in the 6P Request is empty, the SF on the receiving node is free to delete any cell from the sender.
- o The CellList in a 6P Request (2-step transaction) or 6P Response (3-step transaction) MUST either be empty, contain exactly NumCell cells, or more than NumCell cells. The case where the CellList is not empty but contains less than NumCell cells is not supported.

#### [4.3.10.](#) Listing Cells

When a node A issues a LIST\_AB or LIST\_BA command, it specifies:

- o Through the "Offset" field, the offset of the first cell to be present in the returned list. The cell ordering policy is defined by the SF.
- o Through the "numCells" field, the number of cells to be present in the response.

When receiving a LIST\_AB command, node B returns the cells that are scheduled from A to B in its schedule (i.e. receive cells from node A). When receiving a LIST\_BA command, node B returns the cells that are scheduled from B to A in its schedule (i.e. transmit cells to node A). The RECOMMENDED format of each 6P Cell is defined in [Section 4.2.5](#). The SF MAY redefine the format of the CellList field.

Depending on how many cells node B has in its schedule with match the LIST\_AB or LIST\_BA request, the cellList returned in the 6P Response contains between 0 and numCells cells:

- o If node B has more than Offset+numCells cells, the cellList it returns contains exactly numCells cells.
- o If node B has N cells, where Offset<N and N<Offset+numCells cells, the cellList it returns contains exactly N-Offset cells.
- o If node B has less than Offset cells, the cellList it returns is empty.

If node A requests more cells than can fit in the response, node B MUST return code RC\_ERR\_NORES and an empty cell list.



**4.3.11. Generation Management**

For each neighbor, a node maintains 2 two-bit generation numbers. These numbers are variables internal to the node.

- o GTX is the generation number for the transmission cells to the neighbor.
- o GRX is the generation number for the receive cells from the neighbor.

**4.3.11.1. Incrementing GTX and GRX**

GTX and GRX are 2-bit variables. Their possible values are:

Value	Meaning
0b00	Clear or never scheduled
0b01-0b10	Lollipop Counter values
0b11	Reserved

Figure 9: Possible values of the GRX and GTX generation numbers.

GTX and GRX are set to 0 upon initialization, and after a 6P CLEAR command. GTX and GRX are incremented by 1 after each time a cell with that neighbor is added/deleted from the schedul (e.g. after a succesful 6P ADD or 6P DELETE transactions). The value rolls over to 0b01 after 0b10. This results in a lollipop counter with 0x00 the start value and 0b01 and 0b10 the count values.

**4.3.11.2. Setting GAB and GBA fields**

Each 6P message contains a GAB and GBA, used to indicate the current generation counters of the node transmitting the message. The value of the GAB and GBA fields MUST be set according to the following rules:

- o When node A sends a 6P Request of 6P confirmation to node B, node A sets GAB to its GTX and GBA to its GRX.
- o When node B sends a 6P Response to node A, node B sets GAB to its GRX and GBA to its GTX.



#### **4.3.11.3. Detecting and Handling Schedule Generation Inconsistencies**

Upon receiving a 6P message, a node MUST do the following checks:

- o When node B receives a 6P Request of 6P confirmation from node A, it verifies that  $GAB==GRX$  and  $GBA==GTX$ .
- o When node A receives a 6P Response from node B, it verifies that  $GAB==GTX$  and  $GBA==GRX$ .

If any of these comparisons is false, the node has detected a schedule generation inconsistency.

When a schedule generation inconsistency is detected:

- o If the code of the 6P Request is different from `CMD_CLEAR`, the node MUST reply with error code `RC_ERR_GEN`.
- o If the code of the 6P Request is `CMD_CLEAR`, the schedule generation inconsistency MUST be ignored.

It is up to the Scheduling Function to define the action to take when an schedule generation inconsistency is detected. The RECOMMENDED action is to issue a 6P CLEAR command.

#### **4.3.12. Handling error responses**

A return code with a name starting with "RC\_ERR" in Figure 7 indicates an error. When a node receives a 6P Response with such an error, it MUST consider the 6P Transaction failed. In particular, if this was a response to a 6P ADD/DELETE Request, the node MUST NOT add/delete any of the cells involved in this 6P Transaction. Similarly, a node sending a 6P Response with an "RC\_ERR" return code MUST NOT add/delete any cells as part of that 6P Transaction. Defining what to do after an error has occurred is out of scope of this document. The SF defines what to do after an error has occurred.

#### **4.4. Security**

6P messages are secured through link-layer security. When link-layer security is enabled, the 6P messages MUST be secured. This is possible because 6P messages are carried as Payload IE.

#### **5. Guidelines for 6top Scheduling Functions (SF)**



**5.1. SF Identifier (SFID)**

Each SF has an identifier. The identifier is encoded as a 1-byte field. The identifier space is divided in the following ranges.

Range	Meaning
0x00-0xef	managed
0xf0-0xfe	unmanaged
0xff	reserved

Figure 10: SFID range.

SF identifiers in the managed space MUST be managed by IANA.

**5.2. Requirements for an SF**

The specification for an SF

- o MUST specify an identifier for that SF.
- o MUST specify the rule for a node to decide when to add/delete one or more cells to a neighbor.
- o MUST specify the rule for a Transaction source to select cells to add to the CellList field in the 6P ADD Request.
- o MUST specify the rule for a Transaction destination to select cells from CellList to add to its schedule.
- o MUST specify a value for the 6P Timeout, or a rule/equation to calculate it.
- o MUST specify a meaning for the "Metadata" field in the 6P ADD Request.
- o MUST specify the behavior of a node when it boots.
- o MUST specify what to do after an error has occurred (either the node sent a 6P Response with an error code, or received one).
- o MUST specify the list of statistics to gather. An example statistic is the number of transmitted frames to each neighbor. In case the SF requires no statistics to be gathered, the specific of the SF MUST explicitly state so.
- o SHOULD clearly state the application domain the SF is created for.
- o SHOULD contain examples which highlight normal and error scenarios.
- o SHOULD contain a list of current implementations, at least during the I-D state of the document, per [RFC6982].
- o SHOULD contain a performance evaluation of the scheme, possibly through references to external documents.
- o MAY redefine the format of the CellList field.





### **5.3. Recommended Structure of an SF Specification**

The following section structure for a SF document is RECOMMENDED:

- o Introduction
- o Scheduling Function Identifier
- o Rules for Adding/Deleting Cells
- o Rules for CellList
- o 6P Timeout Value
- o Meaning of the Metadata Field
- o Node Behavior at Boot
- o 6P Error Handling
- o Examples
- o Implementation Status
- o Security Considerations
- o IANA Considerations

## **6. Implementation Status**

This section records the status of known implementations of the protocol defined by this specification at the time of posting of this Internet-Draft, and is based on a proposal described in [[RFC6982](#)]. The description of implementations in this section is intended to assist the IETF in its decision processes in progressing drafts to RFCs. Please note that the listing of any individual implementation here does not imply endorsement by the IETF. Furthermore, no effort has been spent to verify the information presented here that was supplied by IETF contributors. This is not intended as, and must not be construed to be, a catalog of available implementations or their features. Readers are advised to note that other implementations may exist.

According to [[RFC6982](#)], "this will allow reviewers and working groups to assign due consideration to documents that have the benefit of running code, which may serve as evidence of valuable experimentation and feedback that have made the implemented protocols more mature. It is up to the individual working groups to use this information as they see fit".

ETSI 6TiSCH/6lo plugtests: 6P was one of the protocols addressed during the ETSI 6TiSCH #3 plugtests organized on 15-17 July 2016 in Berlin, Germany. 15 entities participated in this event, verifying the compliance and interoperability of their implementation of 6P. This event happened under NDA, so neither the name of the entities nor the test results are public. This event is, however, a clear indication of the maturity of 6P, and the interest it generates. More information about the event at <http://www.etsi.org/news-events/events/1077-6tisch-6lo-plugtests>.



ETSI 6TiSCH #2 plugtests: 6P was one of two protocols addressed during the ETSI 6TiSCH #2 plugtests organized on 2-4 February 2016 in Paris, France. 14 entities participated in this event, verifying the compliance and interoperability of their implementation of 6P. This event happened under NDA, so neither the name of the entities nor the test results are public. This event is, however, a clear indication of the maturity of 6P, and the interest it generates. More information about the event at <http://www.etsi.org/news-events/events/1022-6TiSCH-2-plugtests>.

OpenWSN: 6P is implemented in the OpenWSN project [[OpenWSN](#)] under a BSD open-source license. The authors of this document are collaborating with the OpenWSN community to gather feedback about the status and performance of the protocols described in this document. TODO: Results from that discussion will appear in this section in future revision of this specification. More information about this implementation at <http://www.openwsn.org/>.

Wireshark Dissector: A Wireshark dissector for 6P is implemented under a BSD open-source license. It is not yet merged into the main Wireshark build, but can be downloaded at <https://github.com/openwsn-berkeley/dissectors/>.

## 7. Security Considerations

TODO: explicit risks

6P messages are carried inside IEEE802.15.4 Payload Information Elements (IEs). Those Payload IEs are encrypted and authenticated at the link layer through CCM\*. 6P benefits from the same level of security as any other Payload IE. The 6P protocol does not define its own security mechanisms. A key management solution is out of scope for this document. The 6P protocol will benefit for the key management solution used in the network.

## 8. IANA Consideration

TODO: write out this section as soon as the discussion with the IEEE about a possible IETF IE ID has concluded.

- o TODO: IANA\_IETF\_IE\_GROUP\_ID
- o TODO: IANA\_6TOP\_SUBIE\_ID
- o TODO: IANA\_6TOP\_6P\_VERSION
- o TODO: IANA\_6TOP\_CMD\_ADD
- o TODO: IANA\_6TOP\_CMD\_DELETE
- o TODO: IANA\_6TOP\_CMD\_STATUS
- o TODO: IANA\_6TOP\_CMD\_LIST\_OUT
- o TODO: IANA\_6TOP\_CMD\_LIST\_IN
- o TODO: IANA\_6TOP\_CMD\_CLEAR
- o TODO: IANA\_6TOP\_RC\_SUCCESS



- o TODO: IANA\_6TOP\_RC\_ERR\_VER
- o TODO: IANA\_6TOP\_RC\_ERR\_SFID
- o TODO: IANA\_6TOP\_RC\_ERR\_GEN
- o TODO: IANA\_6TOP\_RC\_ERR\_BUSY
- o TODO: IANA\_6TOP\_RC\_ERR\_NORES
- o TODO: IANA\_6TOP\_RC\_ERR\_RESET
- o TODO: IANA\_6TOP\_RC\_ERR

## 9. References

### 9.1. Normative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), DOI 10.17487/RFC2119, March 1997, <<http://www.rfc-editor.org/info/rfc2119>>.

[IEEE802154-2015] IEEE standard for Information Technology, "IEEE Std 802.15.4-2015 - IEEE Standard for Low-Rate Wireless Personal Area Networks (WPANs)", October 2015.

[[draft-kivinen-ie](#)] IETF. [draft-kivinen-802-15-ie](#) (work in progress), "IEEE 802.15.4 Information Element for IETF", April 2016.

### 9.2. Informative References

[RFC7554] Watteyne, T., Ed., Palattella, M., and L. Grieco, "Using IEEE 802.15.4e Time-Slotted Channel Hopping (TSCH) in the Internet of Things (IoT): Problem Statement", [RFC 7554](#), DOI 10.17487/RFC7554, May 2015, <<http://www.rfc-editor.org/info/rfc7554>>.

[RFC6982] Sheffer, Y. and A. Farrel, "Improving Awareness of Running Code: The Implementation Status Section", [RFC 6982](#), DOI 10.17487/RFC6982, July 2013, <<http://www.rfc-editor.org/info/rfc6982>>.

[I-D.ietf-6tisch-minimal] Vilajosana, X. and K. Pister, "Minimal 6TiSCH Configuration", [draft-ietf-6tisch-minimal-16](#) (work in progress), June 2016.



[I-D.ietf-6tisch-terminology]

Palattella, M., Thubert, P., Watteyne, T., and Q. Wang, "Terminology in IPv6 over the TSCH mode of IEEE 802.15.4e", [draft-ietf-6tisch-terminology-07](#) (work in progress), March 2016.

[OpenWSN] Watteyne, T., Vilajosana, X., Kerkez, B., Chraim, F., Weekly, K., Wang, Q., Glaser, S., and K. Pister, "OpenWSN: a Standards-Based Low-Power Wireless Development Environment", Transactions on Emerging Telecommunications Technologies , August 2012.

#### **[Appendix A.](#) [TEMPORARY] IETF IE**

[\[draft-kivinen-ie\]](#) has been published and will probably replace this section. As soon as [\[draft-kivinen-ie\]](#) is adopted, we will remove this section and revise this document if needed.

This section contains a proposal for the specification of an IETF IE. If this proposal is supported by the 6TiSCH WG, the authors of this draft recommend for the specification of the IETF IE to be its own draft, possibly developed in the 6TiSCH WG. The reason for having it a separated document is that the scope of the IETF IE is wider than the 6P protocol defined in this document.

The proposal is to use an IETF IE, a IEEE802.15.4 Payload Information Element with the Group ID set to IANA\_IETF\_IE\_GROUP\_ID. The value of IANA\_IETF\_IE\_GROUP\_ID is defined by the IEEE, communicated to the IETF, and noted by IANA. The format of the IETF IE is exactly the same as the format of an MLME Information Element, as specified in [\[IEEE802154-2015\]](#), Section 5.2.4.5. The difference is that the space of Sub-IDs is managed by the IETF/IANA. The Sub-ID used by 6top commands is IANA\_6TOP\_SUBIE\_ID with value 0x00.

Other options are being discussed between the IETF 6TiSCH WG and the IEEE 6TiSCH IG, and listed in <https://www.ietf.org/mail-archive/web/6tisch/current/msg04469.html>. These options concern the way 6P Messages are transported as IEEE802.15.4 IEs, and do not impact the format of those messages.

#### **[Appendix B.](#) [TEMPORARY] IEEE Liaison Considerations**

This liaison work has resulted in the publication of [\[draft-kivinen-ie\]](#). As soon as [\[draft-kivinen-ie\]](#) is adopted, we will remove this section and revise this document if needed.

If the specification described in this document is supported by the 6TiSCH WG, the authors of this document ask the 6TiSCH WG chairs to





liaise with the IEEE to request a Payload Information Element Group ID to be assigned to the IETF (Group ID IANA\_IETF\_IE\_GROUP\_ID described in [Appendix A](#)).

### [Appendix C](#). [TEMPORARY] Terms for the Terminology Draft

Terms introduced by this document, and which needs to be added to [\[I-D.ietf-6tisch-terminology\]](#):

TODO: add terms?

### [Appendix D](#). [TEMPORARY] Changelog

- o [draft-ietf-6tisch-6top-protocol-02](#)
  - \* Rename COUNT to STATUS
  - \* Split LIST to LIST AB and LIST BA
  - \* Added generation counters and describing generation tracking of the schedule
  - \* Editorial changes (figs, typos, ...)
- o [draft-ietf-6tisch-6top-protocol-01](#)
  - \* Clarifying locking of resources in concurrent transactions
  - \* Clarifying return of RC\_ERR\_BUSY in case of concurrent transactions without enough resources
- o [draft-ietf-6tisch-6top-protocol-00](#)
  - \* Informational to Std track
- o [draft-wang-6tisch-6top-protocol-00](#)
  - \* Editorial overhaul: fixing typos, increasing readability, clarifying figures.
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/47>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/54>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/55>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/49>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/53>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/44>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/48>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/43>



- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/52>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/45>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/51>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/50>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/46>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/41>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/42>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/39>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/40>
- o [draft-wang-6tisch-6top-sublayer-05](#)
  - \* Specifies format of IE
  - \* Adds token in messages to match request and response
- o [draft-wang-6tisch-6top-sublayer-04](#)
  - \* Renames IANA\_6TOP\_IE\_GROUP\_ID to IANA\_IETF\_IE\_GROUP\_ID.
  - \* Renames IANA\_CMD and IANA\_RC to IANA\_6TOP\_CMD and IANA\_6TOP\_RC.
  - \* Proposes IANA\_6TOP\_SUBIE\_ID with value 0x00 for the 6top sub-IE.
- o [draft-wang-6tisch-6top-sublayer-03](#)
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/32/missing-command-list>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/31/missing-command-count>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/30/missing-command-clear>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/37/6top-atomic-transaction-6p-transaction>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/35/separate-opcode-from-rc>
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/36/add-length-field-in-ie>
  - \* [https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/27/differentiate-rc\\_err\\_busy-and](https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/27/differentiate-rc_err_busy-and)
  - \* [https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/29/missing-rc-rc\\_reset](https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/29/missing-rc-rc_reset)
  - \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/28/the-sf-must-specify-the-behavior-of-a-mote>



- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/26/remove-including-their-number>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/34/6of-sf>
- \* <https://bitbucket.org/6tisch/draft-wang-6tisch-6top-protocol/issues/33/add-a-figure-showing-the-negociation>
- o [draft-wang-6tisch-6top-sublayer-02](#)
  - \* introduces the 6P protocol and the notion of 6top Transaction.
  - \* introduces the concept of 6OF and its 6OFID.

#### Authors' Addresses

Qin Wang (editor)  
Univ. of Sci. and Tech. Beijing  
30 Xueyuan Road  
Beijing, Hebei 100083  
China

Phone: +86 (10) 6233 4781  
Email: wangqin@ies.ustb.edu.cn

Xavier Vilajosana  
Universitat Oberta de Catalunya  
156 Rambla Poblenou  
Barcelona, Catalonia 08018  
Spain

Phone: +34 (646) 633 681  
Email: xvilajosana@uoc.edu

