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Criteria for Evaluating AAA Protocols for Network Access

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2. Abstract

This document represents a summary of AAA protocol requirements for network access. In creating this documents, inputs were taken from documents produced by the NASREQ, ROAMOPS, and MOBILEIP working groups, as well as from TIA 45.6. This document summarizes the requirements collected from those sources, separating requirements for authentication, authorization and accounting. Details on the requirements are available in the original documents.

3. Introduction

This document represents a summary of AAA protocol requirements for network access. In creating this documents, inputs were taken from documents produced by the NASREQ, ROAMOPS, and MOBILEIP working groups, as well as from TIA 45.6. This document summarizes the requirements collected from those sources, separating requirements for authentication, authorization and accounting. Details on the requirements are available in the original documents.

3.1. Requirements language

In this document, the key words "MAY", "MUST", "MUST NOT", "optional", "recommended", "SHOULD", and "SHOULD NOT", are to be interpreted as described in [[1](#)].

Please note that the requirements specified in this document are to be used in evaluating AAA protocol submissions. As such, the requirements language refers to capabilities of these protocols; the protocol documents will specify whether these features are required, recommended, or optional. For example, requiring that a protocol support confidentiality is NOT the same thing as requiring that all protocol traffic be encrypted.

A protocol submission is not compliant if it fails to satisfy one or more of the MUST or MUST NOT requirements for the capabilities that it implements. A protocol submission that satisfies all the MUST, MUST

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NOT, SHOULD and SHOULD NOT requirements for its capabilities is said to be "unconditionally compliant"; one that satisfies all the MUST and MUST NOT requirements but not all the SHOULD or SHOULD NOT requirements for its protocols is said to be "conditionally compliant."

4. Requirements Summary

The AAA protocol evaluation criteria for network access are summarized below. For details on the requirements, please consult the documents referenced in the footnotes.

4.1. Authentication Requirements

Authentication Reqs.	NASREQ	ROAMOPS	MOBILE IP	TIA 45.6
PPP Support	M 8	M 1	0 29	M 42
SLIP Support		0 1	0	
Scripting Support		B 1	0	
NAI Support	M 9	M 2	S 30	M 43
CHAP Support	M 10	M 3	0	M 44

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EAP Support	M 10	S 3	O	
PAP Support	M 10	B 3	O	
Scalability		M 3	M	M 44
Bi-directional Auth	M 16		M	
Dynamic Authentication	M 17		S	
Indentification Only without Authentication	M 9			S
End-to-End per-attribute confidentiality	M 26	M 6	S 31	
End-to-End per-attribute integrity		M 6	M 31	
Certificate validation			S 38	S 45

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Trust establishment via brokers			M 31	
Anonymous access by local AAA server			S 36	
Reliable AAA transport mechanism			M 36	M 43
Support Proxy and Non-Proxy Brokers				M 43

Key

- M = MUST
- S = SHOULD
- O = MAY
- N = MUST NOT
- B = SHOULD NOT

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[4.2.](#) Authorization Requirements

Authorization Reqts.	NASREQ	ROAMOPS	MOBILE IP	TIA 45.6
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IPv4 Support	M 11	M 1	M 32	M
IPv6 Support	M 11	1	S 33	
non-IP Support		0 1	0	
RADIUS gateway capability	S 12	M 3	0	M 46
Policy compatibility		M 4	S	
Dynamic address assignment	M 11	M 5	M 34	M
Static address assignment		0 5	M 32	
Precludes layer 2 tunneling	N 11	N 5	0	

Precludes Mobile IP		N 5	N	N
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Non-repudiation		6	S 35	M 43
Fraud prevention and detection		M 6	S	S
Hop-by-hop security (privacy, integrity)		M 6	S 35	M 43
IPSEC compatibility	S 28	0 6	0	M 43
End-to-End per-attribute confidentiality	M 13, 27	M 6	0	M 43
End-to-End per-attribute integrity		M 6	M 31	M 43
Dynamic Authorization	M 18		S	
Support for Access Rules, Restrictions, Filters	M 11, 19		0	

Resource Management	M 20				
Ability to obtain QoS info from AAA			S 36	M 47	
Certificate validation			S 38	M 45	
Trust establishment via brokers			M 31		
Allocation or coordinate IP address assignment			S 37	S 47	
Reliable AAA transport mechanism			M 36	M 43	
Support Proxy and Non-Proxy Brokers				M 43	

Key

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[4.3.](#) Accounting Requirements

Accounting Reqts.	NASREQ	ROAMOPS	MOBILE IP	TIA 45.6
Real-time accounting	M 14	M 7	0 35	M 43
Standard Accounting Record		M 7	M	M
Compact Accounting Record		M 7	0	0
Accounting Record Extensibility	M 15	M 7	0	M
Batch Accounting	S 21			0
Guaranteed Delivery	M 22		M 35	M 43
Accounting Time Stamps	M 23		S	M 43
End-to-End per-attribute integrity	M 24	M 6	M 31	0

End-to-End per-attribute confidentiality	S 24	M 6	M 31	O
Non-repudiation	S 25		M 35	M 43
Trust establishment via brokers			M 31	
Reliable AAA transport mechanism			M 36	M 43
Support Proxy and Non-Proxy Brokers				M 43

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[4.4.](#) Unique Mobile IP requirements

In addition Mobile IP also has the following requirements:

Dynamic Setup of security assoc. between local AAA and external authority			S 36	S 43
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Security Assoc. between attendant and local AAA			M 36	M 43
Local AAA capability to gather (secret) info about a MN			N 36	
AAA protocol extensions for including Mobile IP registration messages			M 38	S 48
Enable key distribution for setting up security assoc between mip agents			M 38	S 49
Firewall traversal capability			M 39	
Allocation of local Home agent			S 40	M 50
Act as a KDC for fast handoffs			S 41	S 51

Perform pre-shared key distribution for IKE			S	S 52
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Key

M = MUST

S = SHOULD
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B = SHOULD NOT

Footnotes

- [1] Section 4.2.1 of [2]
- [2] Section 4.2.2 of [2]. Also see [8].
- [3] Section 4.2.3 of [2]. Also see [14].
- [4] Section 4.2.4 of [2].
- [5] Section 4.2.5 of [2].
- [6] Section 4.2.6 of [2].
- [7] Section 4.3 of [2].
- [8] Section 6 of [3]. Also see [6].
- [9] Section 8.2.2.2 of [3]. Also see [14].
- [10] Section 8.2.2.1 of [3]. Also see [14].
- [11] Section 8.3.2.2 of [3]. Also see [7].
- [12] Section 8.1.1 of [3].
- [13] Section 8.1.4.4 of [3].
- [14] Section 8.4.1.2 of [3].
- [15] Section 8.4.2 of [3].
- [16] Section 8.2.1.1 of [3].
- [17] Section 8.2.1.2 of [3].
- [18] Section 8.3.1.1 of [3].
- [19] Section 8.3.2.1 of [3]. Also see [7].
- [20] Section 8.3.2.3 of [3]. Also see [6], [7].
- [21] Section 8.4.1.3 of [3].
- [22] Section 8.4.1.1 of [3].
- [23] Section 8.4.1.4 of [3].
- [24] Section 8.4.3.1 of [3].
- [25] Section 8.4.3.2 of [3].
- [26] Section 8.2.3.1 of [3].
- [27] Section 8.3.3.1 of [3].
- [28] Section 8.1.4.1 of [3].
- [29] Refer [15]
- [30] Refer [16]
- [31] [Section 6](#) and 3.1 of [5]
- [32] Refer [17]

- [33] Refer [18]
- [34] Section 5.1 of [5]
- [35] Section 3.1 of [5]
- [36] Section 3 of [5]
- [37] Section 4 of [5]

- [38] Section 5 of [5]
- [39] Section 5.2 of [5]
- [40] Section 5.3 of [5]
- [41] Section 5.5 of [5]
- [42] Section 1.1 of [4]
- [43] Section 3.1 of [4]
- [44] Section 3.2 of [4]
- [45] Section 4 of [4]
- [46] Section 3.4 of [4]
- [47] Section 2.2 of [4]
- [48] Section 3.2.1 of [4]
- [49] [Section 3.2.2](#), 3.2.4 and 3.2.5 of [4]
- [50] Section 3.2.2 of [4]
- [51] [Section 3.2.3](#) and 3.2.5 of [4]
- [52] Section 3.3 of [4]

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6. Security Considerations

This document, being a requirements document, does not have any security concerns. The security requirements on protocols to be evaluated using this document are described in the referenced documents.

7. IANA Considerations

This draft does not create any new number spaces for IANA administration.

8. Acknowledgements

Thanks to the members of the Mobile IP, AAA, and NASREQ working groups who have discussed and commented on these requirements.

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