ACME Working Group Internet-Draft Intended status: Standards Track Expires: June 17, 2018 R. Barnes Cisco J. Hoffman-Andrews EFF D. McCarney Let's Encrypt J. Kasten University of Michigan December 14, 2017

Automatic Certificate Management Environment (ACME) draft-ietf-acme-acme-09

Abstract

Certificates in PKI using X.509 (PKIX) are used for a number of purposes, the most significant of which is the authentication of domain names. Thus, certificate authorities in the Web PKI are trusted to verify that an applicant for a certificate legitimately represents the domain name(s) in the certificate. Today, this verification is done through a collection of ad hoc mechanisms. This document describes a protocol that a certification authority (CA) and an applicant can use to automate the process of verification and certificate issuance. The protocol also provides facilities for other certificate management functions, such as certificate revocation.

RFC EDITOR: PLEASE REMOVE THE FOLLOWING PARAGRAPH: The source for this draft is maintained in GitHub. Suggested changes should be submitted as pull requests at <u>https://github.com/ietf-wg-acme/acme</u> [1]. Instructions are on that page as well. Editorial changes can be managed in GitHub, but any substantive change should be discussed on the ACME mailing list (acme@ietf.org).

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of <u>BCP 78</u> and <u>BCP 79</u>.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <u>https://datatracker.ietf.org/drafts/current/</u>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any

Barnes, et al.

time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on June 17, 2018.

Copyright Notice

Copyright (c) 2017 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to <u>BCP 78</u> and the IETF Trust's Legal Provisions Relating to IETF Documents (<u>https://trustee.ietf.org/license-info</u>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

$\underline{1}$. Introduction	<u>4</u>
2. Deployment Model and Operator Experience	<u>5</u>
<u>3</u> . Terminology	<u>6</u>
<u>4</u> . Protocol Overview	<u>7</u>
5. Character Encoding	<u>9</u>
<u>6</u> . Message Transport	<u>9</u>
<u>6.1</u> . HTTPS Requests	<u>9</u>
<u>6.2</u> . Request Authentication	<u>10</u>
<u>6.3</u> . Request URL Integrity	<u>11</u>
<u>6.3.1</u> . "url" (URL) JWS Header Parameter	<u>12</u>
<u>6.4</u> . Replay protection	<u>12</u>
<u>6.4.1</u> . Replay-Nonce	<u>12</u>
<u>6.4.2</u> . "nonce" (Nonce) JWS Header Parameter	<u>13</u>
<u>6.5</u> . Rate Limits	<u>13</u>
<u>6.6</u> . Errors	<u>13</u>
<u>6.6.1</u> . Subproblems	<u>15</u>
<u>7</u> . Certificate Management	<u>16</u>
<u>7.1</u> . Resources	<u>17</u>
<u>7.1.1</u> . Directory	
<u>7.1.2</u> . Account Objects	
<u>7.1.3</u> . Order Objects	22
7.1.4. Authorization Objects	
7.2. Getting a Nonce	26
7.3. Account Creation	27
7.3.1. Finding an Account URL Given a Key	
7.3.2. Account Update	

Internet-Draft

<u>7.3.3</u> . Account Information	
<u>7.3.4</u> . Changes of Terms of Service	<u>30</u>
7.3.5. External Account Binding	30
7.3.6. Account Key Roll-over	33
7.3.7. Account Deactivation	
7.4. Applying for Certificate Issuance	
7.4.1. Pre-Authorization	
7.4.2. Downloading the Certificate	
7.5. Identifier Authorization	
<u>7.5.1</u> . Responding to Challenges	
7.5.2. Deactivating an Authorization	
7.6. Certificate Revocation	
<u>8</u> . Identifier Validation Challenges	
<u>8.1</u> . Key Authorizations	
<u>8.2</u> . Retrying Challenges	
<u>8.3</u> . HTTP Challenge	<u>52</u>
<u>8.4</u> . TLS with Server Name Indication (TLS SNI) Challenge	<u>54</u>
<u>8.5</u> . DNS Challenge	<u>57</u>
9. IANA Considerations	<u>58</u>
<u>9.1</u> . MIME Type: application/pem-certificate-chain	58
9.2. Well-Known URI for the HTTP Challenge	
9.3. Replay-Nonce HTTP Header	
9.4. "url" JWS Header Parameter	
<u>9.5</u> . "nonce" JWS Header Parameter	
	60
<u>9.7</u> . New Registries	<u>61</u>
9.7.1. Fields in Account Objects	
9.7.2. Fields in Order Objects	
-	
9.7.3. Fields in Authorization Objects	
<u>9.7.4</u> . Error Types	
<u>9.7.5</u> . Resource Types	<u>64</u>
9.7.6. Fields in the "meta" Object within a Directory Object	
<u>9.7.7</u> . Identifier Types	
<u>9.7.8</u> . Validation Methods	<u>66</u>
<u>10</u> . Security Considerations	<u>67</u>
<u>10.1</u> . Threat Model	<u>68</u>
<u>10.2</u> . Integrity of Authorizations	<u>69</u>
<u>10.3</u> . Denial-of-Service Considerations	<u>71</u>
<u>10.4</u> . Server-Side Request Forgery	<u>72</u>
<u>10.5</u> . CA Policy Considerations	72
<u>11</u> . Operational Considerations	73
<u>11.1</u> . DNS security	73
11.2. Default Virtual Hosts	74
<u>11.3</u> . Token Entropy	<u>75</u>
<u>11.4</u> . Malformed Certificate Chains	<u>75</u>
$\frac{11.4}{12}$. Acknowledgements	<u>75</u>
	<u>76</u>
13.1. Normative References	76

<u>13.2</u> .	Informative References	•	•	•	•	•	•	•		•	•	•	•	•	<u>78</u>
<u>13.3</u> .	URIS														<u>80</u>
Authors'	Addresses		•												<u>80</u>

1. Introduction

Certificates [RFC5280] in the Web PKI are most commonly used to authenticate domain names. Thus, certificate authorities in the Web PKI are trusted to verify that an applicant for a certificate legitimately represents the domain name(s) in the certificate.

Different types of certificates reflect different kinds of CA verification of information about the certificate subject. "Domain Validation" (DV) certificates are by far the most common type. For DV validation, the CA merely verifies that the requester has effective control of the web server and/or DNS server for the domain, but does not explicitly attempt to verify their real-world identity. (This is as opposed to "Organization Validation" (OV) and "Extended Validation" (EV) certificates, where the process is intended to also verify the real-world identity of the requester.)

Existing Web PKI certificate authorities tend to use a set of ad hoc protocols for certificate issuance and identity verification. In the case of DV certificates, a typical user experience is something like:

- o Generate a PKCS#10 [<u>RFC2986</u>] Certificate Signing Request (CSR).
- o Cut-and-paste the CSR into a CA web page.
- o Prove ownership of the domain by one of the following methods:
 - * Put a CA-provided challenge at a specific place on the web server.
 - * Put a CA-provided challenge in a DNS record corresponding to the target domain.
 - * Receive CA-provided challenge at a (hopefully) administratorcontrolled email address corresponding to the domain and then respond to it on the CA's web page.
- o Download the issued certificate and install it on their Web Server.

With the exception of the CSR itself and the certificates that are issued, these are all completely ad hoc procedures and are accomplished by getting the human user to follow interactive naturallanguage instructions from the CA rather than by machine-implemented

[Page 4]

Internet-Draft

published protocols. In many cases, the instructions are difficult to follow and cause significant confusion. Informal usability tests by the authors indicate that webmasters often need 1-3 hours to obtain and install a certificate for a domain. Even in the best case, the lack of published, standardized mechanisms presents an obstacle to the wide deployment of HTTPS and other PKIX-dependent systems because it inhibits mechanization of tasks related to certificate issuance, deployment, and revocation.

This document describes an extensible framework for automating the issuance and domain validation procedure, thereby allowing servers and infrastructural software to obtain certificates without user interaction. Use of this protocol should radically simplify the deployment of HTTPS and the practicality of PKIX authentication for other protocols based on Transport Layer Security (TLS) [<u>RFC5246</u>].

It should be noted that while the focus of this document is on validating domain names for purposes of issuing certificates in the Web PKI, ACME supports extensions for uses with other identifiers in other PKI contexts. For example, as of this writing, there is ongoing work to use ACME for issuance of WebPKI certificates attesting to IP addresses [I-D.ietf-acme-ip] and STIR certificates attesting to telephone numbers [I-D.ietf-acme-telephone].

ACME can also be used to automate some aspects of certificate management even where non-automated processes are still needed. For example, the external account binding feature (see <u>Section 7.3.5</u>) can be used to associate authorizations with an account that were not validated through the ACME authorization process. This allows ACME to address issuance scenarios that cannot yet be fully automated, such as the issuance of Extended Validation certificates.

2. Deployment Model and Operator Experience

The guiding use case for ACME is obtaining certificates for websites (HTTPS [RFC2818]). In this case, the user's web server is intended to speak for one or more domains, and the process of certificate issuance is intended to verify that this web server actually speaks for the domain(s).

DV certificate validation commonly checks claims about properties related to control of a domain name - properties that can be observed by the certificate issuer in an interactive process that can be conducted purely online. That means that under typical circumstances, all steps in the request, verification, and issuance process can be represented and performed by Internet protocols with no out-of-band human intervention.

[Page 5]

Prior to ACME, when deploying an HTTPS server, a server operator typically gets a prompt to generate a self-signed certificate. If the operator were instead deploying an HTTPS server using ACME, the experience would be something like this:

- o The operator's ACME client prompts the operator for the intended domain name(s) that the web server is to stand for.
- o The ACME client presents the operator with a list of CAs from which it could get a certificate. (This list will change over time based on the capabilities of CAs and updates to ACME configuration.) The ACME client might prompt the operator for payment information at this point.
- o The operator selects a CA.
- o In the background, the ACME client contacts the CA and requests that it issue a certificate for the intended domain name(s).
- o The CA verifies that the client controls the requested domain name(s) by having the ACME client perform some action related to the domain name(s).
- o Once the CA is satisfied, it issues the certificate and the ACME client automatically downloads and installs it, potentially notifying the operator via email, SMS, etc.
- The ACME client periodically contacts the CA to get updated certificates, stapled OCSP responses, or whatever else would be required to keep the web server functional and its credentials upto-date.

In this way, it would be nearly as easy to deploy with a CA-issued certificate as with a self-signed certificate. Furthermore, the maintenance of that CA-issued certificate would require minimal manual intervention. Such close integration of ACME with HTTPS servers allows the immediate and automated deployment of certificates as they are issued, sparing the human administrator from much of the time-consuming work described in the previous section.

3. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC 2119</u> [<u>RFC2119</u>].

The two main roles in ACME are "client" and "server". The ACME client uses the protocol to request certificate management actions,

[Page 6]

such as issuance or revocation. An ACME client may run on a web server, mail server, or some other server system which requires valid TLS certificates. Or, it may run on a separate server that does not consume the certificate, but is authorized to respond to a CAprovided challenge. The ACME server runs at a certification authority, and responds to client requests, performing the requested actions if the client is authorized.

An ACME client is represented by an "account key pair". The client uses the private key of this key pair to sign all messages sent to the server. The server uses the public key to verify the authenticity and integrity of messages from the client.

<u>4</u>. Protocol Overview

ACME allows a client to request certificate management actions using a set of JavaScript Object Notation (JSON) messages carried over HTTPS.

Issuance using ACME resembles a traditional CA's issuance process, in which a user creates an account, requests a certificate, and proves control of the domains in that certificate in order for the CA to sign the requested certificate.

The first phase of ACME is for the client to request an account with the ACME server. The client generates an asymmetric key pair and requests a new account, optionally providing contact information, agreeing to terms of service, and/or associating the account with an existing account in another system. The creation request is signed with the generated private key to prove that the client controls it.

Client

Server

Contact Information ToS Agreement Additional Data Signature

<---- Account

Once an account is registered, there are three major steps the client needs to take to get a certificate:

---->

1. Submit an order for a certificate to be issued

2. Prove control of any identifiers requested in the certificate

3. Await issuance and download the issued certificate

[Page 7]

Internet-Draft

The client's order for a certificate describes the desired certificate using a PKCS#10 Certificate Signing Request (CSR) plus a few additional fields that capture semantics that are not supported in the CSR format. If the server is willing to consider issuing such a certificate, it responds with a list of requirements that the client must satisfy before the certificate will be issued.

For example, in most cases, the server will require the client to demonstrate that it controls the identifiers in the requested certificate. Because there are many different ways to validate possession of different types of identifiers, the server will choose from an extensible set of challenges that are appropriate for the identifier being claimed. The client responds with a set of responses that tell the server which challenges the client has completed. The server then validates that the client has completed the challenges.

Once the validation process is complete and the server is satisfied that the client has met its requirements, the server will issue the requested certificate and make it available to the client.

Order Signature

-----> Required Authorizations

Responses Signature

Client

<~~~~~Validation~~~~>

---->

---->

<----- Certificate

To revoke a certificate, the client sends a signed revocation request indicating the certificate to be revoked:

Server

Revocation request Signature

<----- Result

Note that while ACME is defined with enough flexibility to handle different types of identifiers in principle, the primary use case addressed by this document is the case where domain names are used as identifiers. For example, all of the identifier validation challenges described in <u>Section 8</u> below address validation of domain names. The use of ACME for other identifiers will require further

[Page 8]

specification in order to describe how these identifiers are encoded in the protocol and what types of validation challenges the server might require.

5. Character Encoding

All requests and responses sent via HTTP by ACME clients, ACME servers, and validation servers as well as any inputs for digest computations MUST be encoded using the UTF-8 [<u>RFC3629</u>] character set.

<u>6</u>. Message Transport

Communications between an ACME client and an ACME server are done over HTTPS, using JSON Web Signature (JWS) [<u>RFC7515</u>] to provide some additional security properties for messages sent from the client to the server. HTTPS provides server authentication and confidentiality. With some ACME-specific extensions, JWS provides authentication of the client's request payloads, anti-replay protection, and integrity for the HTTPS request URL.

6.1. HTTPS Requests

Each ACME function is accomplished by the client sending a sequence of HTTPS requests to the server, carrying JSON messages [<u>RFC2818</u>][RFC7159]. Use of HTTPS is REQUIRED. Each subsection of <u>Section 7</u> below describes the message formats used by the function and the order in which messages are sent.

In most HTTPS transactions used by ACME, the ACME client is the HTTPS client and the ACME server is the HTTPS server. The ACME server acts as an HTTP and HTTPS client when validating challenges via HTTP.

ACME servers SHOULD follow the recommendations of [<u>RFC7525</u>] when configuring their TLS implementations. ACME servers that support TLS 1.3 MAY allow clients to send early data (0xRTT). This is safe because the ACME protocol itself includes anti-replay protections (see <u>Section 6.4</u>).

ACME clients SHOULD send a User-Agent header in accordance with [<u>RFC7231</u>], including the name and version of the ACME software in addition to the name and version of the underlying HTTP client software.

ACME clients SHOULD send an Accept-Language header in accordance with [<u>RFC7231</u>] to enable localization of error messages.

ACME servers that are intended to be generally accessible need to use Cross-Origin Resource Sharing (CORS) in order to be accessible from

[Page 9]

browser-based clients [<u>W3C.CR-cors-20130129</u>]. Such servers SHOULD set the Access-Control-Allow-Origin header field to the value "*".

Binary fields in the JSON objects used by ACME are encoded using base64url encoding described in <u>[RFC4648] Section 5</u>, according to the profile specified in JSON Web Signature <u>[RFC7515] Section 2</u>. This encoding uses a URL safe character set. Trailing '=' characters MUST be stripped.

<u>6.2</u>. Request Authentication

All ACME requests with a non-empty body MUST encapsulate their payload in a JSON Web Signature (JWS) [<u>RFC7515</u>] object, signed using the account's private key unless otherwise specified. The server MUST verify the JWS before processing the request. Encapsulating request bodies in JWS provides authentication of requests.

JWS objects sent in ACME requests MUST meet the following additional criteria:

- o The JWS MUST NOT have the value "none" in its "alg" field
- o The JWS MUST NOT have a Message Authentication Code (MAC)-based algorithm in its "alg" field
- o The JWS Protected Header MUST include the following fields:
 - * "alg" (Algorithm)
 - * "jwk" (JSON Web Key, only for requests to new-account and revoke-cert resources)
 - * "kid" (Key ID, for all other requests)
 - * "nonce" (defined in <u>Section 6.4</u> below)
 - * "url" (defined in <u>Section 6.3</u> below)

The "jwk" and "kid" fields are mutually exclusive. Servers MUST reject requests that contain both.

For new-account requests, and for revoke-cert requests authenticated by certificate key, there MUST be a "jwk" field.

For all other requests, there MUST be a "kid" field. This field must contain the account URL received by POSTing to the new-account resource.

Note that authentication via signed JWS request bodies implies that GET requests are not authenticated. Servers MUST NOT respond to GET requests for resources that might be considered sensitive. Account resources are the only sensitive resources defined in this specification.

If the client sends a JWS signed with an algorithm that the server does not support, then the server MUST return an error with status code 400 (Bad Request) and type "urn:ietf:params:acme:error:badSignatureAlgorithm". The problem document returned with the error MUST include an "algorithms" field with an array of supported "alg" values.

In the examples below, JWS objects are shown in the JSON or flattened JSON serialization, with the protected header and payload expressed as base64url(content) instead of the actual base64-encoded value, so that the content is readable.

6.3. Request URL Integrity

It is common in deployment for the entity terminating TLS for HTTPS to be different from the entity operating the logical HTTPS server, with a "request routing" layer in the middle. For example, an ACME CA might have a content delivery network terminate TLS connections from clients so that it can inspect client requests for denial-ofservice protection.

These intermediaries can also change values in the request that are not signed in the HTTPS request, e.g., the request URL and headers. ACME uses JWS to provide an integrity mechanism, which protects against an intermediary changing the request URL to another ACME URL.

As noted in <u>Section 6.2</u> above, all ACME request objects carry a "url" header parameter in their protected header. This header parameter encodes the URL to which the client is directing the request. On receiving such an object in an HTTP request, the server MUST compare the "url" header parameter to the request URL. If the two do not match, then the server MUST reject the request as unauthorized.

Except for the directory resource, all ACME resources are addressed with URLs provided to the client by the server. In requests sent to these resources, the client MUST set the "url" header parameter to the exact string provided by the server (rather than performing any re-encoding on the URL). The server SHOULD perform the corresponding string equality check, configuring each resource with the URL string provided to clients and having the resource check that requests have the same string in their "url" header parameter.

6.3.1. "url" (URL) JWS Header Parameter

The "url" header parameter specifies the URL [<u>RFC3986</u>] to which this JWS object is directed. The "url" header parameter MUST be carried in the protected header of the JWS. The value of the "url" header parameter MUST be a string representing the URL.

<u>6.4</u>. Replay protection

In order to protect ACME resources from any possible replay attacks, ACME requests have a mandatory anti-replay mechanism. This mechanism is based on the server maintaining a list of nonces that it has issued to clients, and requiring any signed request from the client to carry such a nonce.

An ACME server provides nonces to clients using the Replay-Nonce header field, as specified in <u>Section 6.4.1</u> below. The server MUST include a Replay-Nonce header field in every successful response to a POST request and SHOULD provide it in error responses as well.

Every JWS sent by an ACME client MUST include, in its protected header, the "nonce" header parameter, with contents as defined in <u>Section 6.4.2</u> below. As part of JWS verification, the ACME server MUST verify that the value of the "nonce" header is a value that the server previously provided in a Replay-Nonce header field. Once a nonce value has appeared in an ACME request, the server MUST consider it invalid, in the same way as a value it had never issued.

When a server rejects a request because its nonce value was unacceptable (or not present), it MUST provide HTTP status code 400 (Bad Request), and indicate the ACME error type "urn:ietf:params:acme:error:badNonce". An error response with the "badNonce" error type MUST include a Replay-Nonce header with a fresh nonce. On receiving such a response, a client SHOULD retry the request using the new nonce.

The precise method used to generate and track nonces is up to the server. For example, the server could generate a random 128-bit value for each response, keep a list of issued nonces, and strike nonces from this list as they are used.

6.4.1. Replay-Nonce

The "Replay-Nonce" header field includes a server-generated value that the server can use to detect unauthorized replay in future client requests. The server MUST generate the value provided in Replay-Nonce in such a way that they are unique to each message, with

high probability. For instance, it is acceptable to generate Replay-Nonces randomly.

The value of the Replay-Nonce field MUST be an octet string encoded according to the base64url encoding described in <u>Section 2 of</u> [RFC7515]. Clients MUST ignore invalid Replay-Nonce values.

```
base64url = [A-Z] / [a-z] / [0-9] / "-" / "_"
```

Replay-Nonce = *base64url

The Replay-Nonce header field SHOULD NOT be included in HTTP request messages.

6.4.2. "nonce" (Nonce) JWS Header Parameter

The "nonce" header parameter provides a unique value that enables the verifier of a JWS to recognize when replay has occurred. The "nonce" header parameter MUST be carried in the protected header of the JWS.

The value of the "nonce" header parameter MUST be an octet string, encoded according to the base64url encoding described in <u>Section 2 of</u> [RFC7515]. If the value of a "nonce" header parameter is not valid according to this encoding, then the verifier MUST reject the JWS as malformed.

6.5. Rate Limits

Creation of resources can be rate limited by ACME servers to ensure fair usage and prevent abuse. Once the rate limit is exceeded, the server MUST respond with an error with the type "urn:ietf:params:acme:error:rateLimited". Additionally, the server SHOULD send a "Retry-After" header indicating when the current request may succeed again. If multiple rate limits are in place, that is the time where all rate limits allow access again for the current request with exactly the same parameters.

In addition to the human-readable "detail" field of the error response, the server MAY send one or multiple link relations in the "Link" header pointing to documentation about the specific rate limit that was hit, using the "help" link relation type.

6.6. Errors

Errors can be reported in ACME both at the HTTP layer and within challenge objects as defined in <u>Section 8</u>. ACME servers can return responses with an HTTP error response code (4XX or 5XX). For example: If the client submits a request using a method not allowed

ACME

in this document, then the server MAY return status code 405 (Method Not Allowed).

When the server responds with an error status, it SHOULD provide additional information using a problem document [RFC7807]. To facilitate automatic response to errors, this document defines the following standard tokens for use in the "type" field (within the "urn:ietf:params:acme:error:" namespace):

+	++ Description
+ badCSR 	++ The CSR is unacceptable (e.g., due to a short key)
 badNonce 	 The client sent an unacceptable anti- replay nonce
 badSignatureAlgorithm 	 The JWS was signed with an algorithm the server does not support
 invalidContact 	A contact URL for an account was
 unsupportedContact 	A contact URL for an account used an unsupported protocol scheme
 externalAccountRequired 	 The request must include a value for the "externalAccountBinding" field
 accountDoesNotExist 	
 malformed	
 rateLimited	The request exceeds a rate limit
 rejectedIdentifier 	The server will not issue for the identifier
 serverInternal 	The server experienced an internal error
 unauthorized 	
 unsupportedIdentifier 	 Identifier is not supported, but may be in future

userActionRequired	Visit the "instance" URL and take
	actions specified there
	The revocation reason provided is not
badRevocationReason	allowed by the server
caa	Certification Authority Authorization
	(CAA) records forbid the CA from
	issuing
dns	There was a problem with a DNS query
 connection 	The server could not connect to validation target
tls	The server received a TLS error during validation
incorrectResponse	Response received didn't match the
	challenge's requirements
+	++

This list is not exhaustive. The server MAY return errors whose "type" field is set to a URI other than those defined above. Servers MUST NOT use the ACME URN [<u>RFC3553</u>] namespace for errors other than the standard types. Clients SHOULD display the "detail" field of all errors.

In the remainder of this document, we use the tokens in the table above to refer to error types, rather than the full URNs. For example, an "error of type 'badCSR'" refers to an error document with "type" value "urn:ietf:params:acme:error:badCSR".

6.6.1. Subproblems

Sometimes a CA may need to return multiple errors in response to a request. Additionally, the CA may need to attribute errors to specific identifiers. For instance, a new-order request may contain multiple identifiers for which the CA cannot issue. In this situation, an ACME problem document MAY contain the "subproblems" field, containing a JSON array of problem documents, each of which MAY contain an "identifier" field. If present, the "identifier" field MUST contain an ACME identifier (Section 9.7.7). The "identifier" field MUST NOT be present at the top level in ACME problem documents. It can only be present in subproblems. Subproblems need not all have the same type, and do not need to match the top level type.

ACME clients may choose to use the "identifier" field of a subproblem as a hint that an operation would succeed if that identifier were omitted. For instance, if an order contains ten DNS identifiers, and the new-order request returns a problem document with two subproblems, referencing two of those identifiers, the ACME client may choose to submit another order containing only the eight identifiers not listed in the problem document.

ACME

```
HTTP/1.1 403 Forbidden
```

```
Content-Type: application/problem+json
```

```
{
```

```
"type": "urn:ietf:params:acme:error:malformed",
    "detail": "Some of the identifiers requested were rejected",
    "subproblems": [
        {
            "type": "urn:ietf:params:acme:error:malformed",
            "detail": "Invalid underscore in DNS name \"_example.com\"",
            "identifier": {
                "type": "dns",
                "value": "_example.com"
            }
        },
        {
            "type": "urn:ietf:params:acme:error:rejectedIdentifier",
            "detail": "This CA will not issue for \"example.net\"",
            "identifier": {
                "type": "dns",
                "value": "example.net"
            }
        }
    ]
}
```

ſ

7. Certificate Management

In this section, we describe the certificate management functions that ACME enables:

o Account Creation

o Ordering a Certificate

- o Identifier Authorization
- o Certificate Issuance
- o Certificate Revocation

ACME

7.1. Resources

ACME is structured as a REST application with the following types of resources:

- Account resources, representing information about an account (Section 7.1.2, Section 7.3)
- Order resources, representing an account's requests to issue certificates (Section 7.1.3)
- Authorization resources, representing an account's authorization to act for an identifier (<u>Section 7.1.4</u>)
- Challenge resources, representing a challenge to prove control of an identifier (<u>Section 7.5</u>, <u>Section 8</u>)
- o Certificate resources, representing issued certificates
 (Section 7.4.2)
- o A "directory" resource (<u>Section 7.1.1</u>)
- o A "newNonce" resource (<u>Section 7.2</u>)
- o A "newAccount" resource (Section 7.3)
- o A "newOrder" resource (Section 7.4)
- o A "revokeCert" resource (Section 7.6)
- o A "keyChange" resource (Section 7.3.6)

The server MUST provide "directory" and "newNonce" resources.

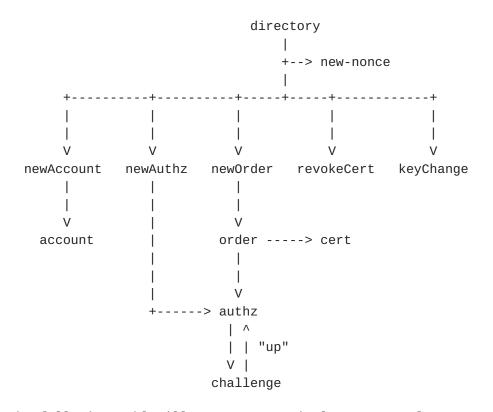
ACME uses different URLs for different management functions. Each function is listed in a directory along with its corresponding URL, so clients only need to be configured with the directory URL. These URLs are connected by a few different link relations [<u>RFC5988</u>].

The "up" link relation is used with challenge resources to indicate the authorization resource to which a challenge belongs. It is also used from certificate resources to indicate a resource from which the client may fetch a chain of CA certificates that could be used to validate the certificate in the original resource.

The "index" link relation is present on all resources other than the directory and indicates the URL of the directory.

Barnes, et al. Expires June 17, 2018 [Page 17]

The following diagram illustrates the relations between resources on an ACME server. For the most part, these relations are expressed by URLs provided as strings in the resources' JSON representations. Lines with labels in quotes indicate HTTP link relations.



The following table illustrates a typical sequence of requests required to establish a new account with the server, prove control of an identifier, issue a certificate, and fetch an updated certificate some time after issuance. The "->" is a mnemonic for a Location header pointing to a created resource.

Barnes, et al. Expires June 17, 2018 [Page 18]

+		+
Action	Request	Response
Get a nonce	HEAD newNonce	204
 Create account	POST newAccount	201 -> account
 Submit an order	POST newOrder	201 -> order
 Fetch challenges	GET authz	200
 Respond to challenge	POST challenge	200
 Finalize order	POST order finalize	200
 Poll for status	GET authz	200
 Check for new cert	GET cert	200
+		+

The remainder of this section provides the details of how these resources are structured and how the ACME protocol makes use of them.

7.1.1. Directory

In order to help clients configure themselves with the right URLs for each ACME operation, ACME servers provide a directory object. This should be the only URL needed to configure clients. It is a JSON object, whose field names are drawn from the following table and whose values are the corresponding URLs.

++ Field	URL in value
newNonce	New nonce
newAccount	New account
newOrder	New order
newAuthz	New authorization
revokeCert	Revoke certificate
keyChange ++	Key change

There is no constraint on the URL of the directory except that it should be different from the other ACME server resources' URLs, and that it should not clash with other services. For instance:

- o a host which functions as both an ACME and a Web server may want to keep the root path "/" for an HTML "front page", and place the ACME directory under the path "/acme".
- o a host which only functions as an ACME server could place the directory under the path "/".

The object MAY additionally contain a field "meta". If present, it MUST be a JSON object; each field in the object is an item of metadata relating to the service provided by the ACME server.

The following metadata items are defined, all of which are OPTIONAL:

termsOfService (optional, string): A URL identifying the current terms of service.

- website (optional, string): An HTTP or HTTPS URL locating a website providing more information about the ACME server.
- caaIdentities (optional, array of string): Each string MUST be a lowercase hostname which the ACME server recognizes as referring to itself for the purposes of CAA record validation as defined in [<u>RFC6844</u>]. This allows clients to determine the correct issuer domain name to use when configuring CAA records.
- externalAccountRequired (optional, boolean): If this field is
 present and set to "true", then the CA requires that all new account requests include an "externalAccountBinding" field
 associating the new account with an external account.

Clients access the directory by sending a GET request to the directory URL.

Barnes, et al. Expires June 17, 2018 [Page 20]

```
HTTP/1.1 200 OK
Content-Type: application/json
{
  "newNonce": "https://example.com/acme/new-nonce",
  "newAccount": "https://example.com/acme/new-account",
  "newOrder": "https://example.com/acme/new-order",
  "newAuthz": "https://example.com/acme/new-authz",
  "revokeCert": "https://example.com/acme/revoke-cert",
  "keyChange": "https://example.com/acme/key-change",
  "meta": {
    "termsOfService": "https://example.com/acme/terms/2017-5-30",
    "website": "https://www.example.com/",
    "caaIdentities": ["example.com"],
    "externalAccountRequired": false
 }
}
```

7.1.2. Account Objects

An ACME account resource represents a set of metadata associated with an account. Account resources have the following structure:

- status (required, string): The status of this account. Possible
 values are: "valid", "deactivated", and "revoked". The value
 "deactivated" should be used to indicate client-initiated
 deactivation whereas "revoked" should be used to indicate serverinitiated deactivation.
- contact (optional, array of string): An array of URLs that the server can use to contact the client for issues related to this account. For example, the server may wish to notify the client about server-initiated revocation or certificate expiration.
- termsOfServiceAgreed (optional, boolean): Including this field in a new-account request, with a value of true, indicates the client's agreement with the terms of service. This field is not updateable by the client.
- orders (required, string): A URL from which a list of orders submitted by this account can be fetched via a GET request, as described in <u>Section 7.1.2.1</u>.

```
ACME
```

```
{
   "status": "valid",
   "contact": [
     "mailto:cert-admin@example.com",
     "mailto:admin@example.com"
  ],
   "termsOfServiceAgreed": true,
   "orders": "https://example.com/acme/acct/1/orders"
}
```

7.1.2.1. Orders List

Each account object includes an "orders" URL from which a list of orders created by the account can be fetched via GET request. The result of the GET request MUST be a JSON object whose "orders" field is an array of URLs, each identifying an order belonging to the account. The server SHOULD include pending orders, and SHOULD NOT include orders that are invalid in the array of URLs. The server MAY return an incomplete list, along with a Link header with a "next" link relation indicating where further entries can be acquired.

```
HTTP/1.1 200 OK
Content-Type: application/json
Link: <https://example.com/acme/acct/1/orders?cursor=2>, rel="next"
{
    "orders": [
    "https://example.com/acme/acct/1/order/1",
    "https://example.com/acme/acct/1/order/2",
    /* 47 more URLs not shown for example brevity */
    "https://example.com/acme/acct/1/order/50"
   ]
}
```

7.1.3. Order Objects

An ACME order object represents a client's request for a certificate and is used to track the progress of that order through to issuance. Thus, the object contains information about the requested certificate, the authorizations that the server requires the client to complete, and any certificates that have resulted from this order.

- status (required, string): The status of this order. Possible values are: "pending", "processing", "valid", and "invalid".
- expires (optional, string): The timestamp after which the server will consider this order invalid, encoded in the format specified

in <u>RFC 3339</u> [<u>RFC3339</u>]. This field is REQUIRED for objects with "pending" or "valid" in the status field.

identifiers (required, array of object): An array of identifier objects that the order pertains to.

type (required, string): The type of identifier.

value (required, string): The identifier itself.

- notBefore (optional, string): The requested value of the notBefore field in the certificate, in the date format defined in [<u>RFC3339</u>].
- notAfter (optional, string): The requested value of the notAfter field in the certificate, in the date format defined in [<u>RFC3339</u>].
- error (optional, object): The error that occurred while processing the order, if any. This field is structured as a problem document [<u>RFC7807</u>].
- authorizations (required, array of string): For pending orders, the authorizations that the client needs to complete before the requested certificate can be issued (see <u>Section 7.5</u>). For final orders (in the "valid" or "invalid" state), the authorizations that were completed. Each entry is a URL from which an authorization can be fetched with a GET request.
- finalize (requred, string): A URL that a CSR must be POSTed to once all of the order's authorizations are satisfied to finalize the order. The result of a successful finalization will be the population of the certificate URL for the order.
- certificate (optional, string): A URL for the certificate that has been issued in response to this order.

Barnes, et al. Expires June 17, 2018 [Page 23]

```
{
  "status": "valid",
  "expires": "2015-03-01T14:09:00Z",
  "identifiers": [
    { "type": "dns", "value": "example.com" },
    { "type": "dns", "value": "www.example.com" }
  ],
  "notBefore": "2016-01-01T00:00:00Z",
  "notAfter": "2016-01-08T00:00:00Z",
  "authorizations": [
    "https://example.com/acme/authz/1234",
    "https://example.com/acme/authz/2345"
 1,
  "finalize": "https://example.com/acme/acct/1/order/1/finalize",
  "certificate": "https://example.com/acme/cert/1234"
}
```

Any identifier of type "dns" in a new-order request MAY have a wildcard domain name as its value. A wildcard domain name consists of a single asterisk character followed by a single full stop character ("_.") followed by a domain name as defined for use in the Subject Alternate Name Extension by RFC 5280 . An authorization returned by the server for a wildcard domain name identifier MUST NOT include the asterisk and full stop ("_[RFC5280].") prefix in the authorization identifier value.

The elements of the "authorizations" and "identifiers" array are immutable once set. The server MUST NOT change the contents either array after they are created. If a client observes a change in the contents of either array, then it SHOULD consider the order invalid.

The "authorizations" array in the challenge SHOULD reflect all authorizations that the CA takes into account in deciding to issue, even if some authorizations were fulfilled in earlier orders or in pre-authorization transactions. For example, if a CA allows multiple orders to be fulfilled based on a single authorization transaction, then it SHOULD reflect that authorization in all of the orders.

<u>7.1.4</u>. Authorization Objects

An ACME authorization object represents a server's authorization for an account to represent an identifier. In addition to the identifier, an authorization includes several metadata fields, such

Internet-Draft

ACME

as the status of the authorization (e.g., "pending", "valid", or "revoked") and which challenges were used to validate possession of the identifier.

The structure of an ACME authorization resource is as follows:

identifier (required, object): The identifier that the account is authorized to represent

type (required, string): The type of identifier.

value (required, string): The identifier itself.

- status (required, string): The status of this authorization.
 Possible values are: "pending", "processing", "valid", "invalid"
 and "revoked".
- expires (optional, string): The timestamp after which the server will consider this authorization invalid, encoded in the format specified in <u>RFC 3339</u> [<u>RFC3339</u>]. This field is REQUIRED for objects with "valid" in the "status" field.
- challenges (required, array of objects): For pending authorizations, the challenges that the client can fulfill in order to prove possession of the identifier. For final authorizations (in the "valid" or "invalid" state), the challenges that were used. Each array entry is an object with parameters required to validate the challenge. A client should attempt to fulfill one of these challenges, and a server should consider any one of the challenges sufficient to make the authorization valid. For final authorizations, it contains the challenges that were successfully completed.

The only type of identifier defined by this specification is a fullyqualified domain name (type: "dns"). If a domain name contains non-ASCII Unicode characters it MUST be encoded using the rules defined in [<u>RFC3492</u>]. Servers MUST verify any identifier values that begin with the ASCII Compatible Encoding prefix "xn-" as defined in [<u>RFC5890</u>] are properly encoded. Wildcard domain names (with "*" as the first label) MUST NOT be included in authorization objects.

<u>Section 8</u> describes a set of challenges for domain name validation.

```
{
  "status": "valid",
  "expires": "2015-03-01T14:09:00Z",
  "identifier": {
    "type": "dns",
    "value": "example.org"
  },
  "challenges": [
    {
      "url": "https://example.com/acme/authz/1234/0",
      "type": "http-01",
      "status": "valid",
      "token": "DGyRejmCefe7v4NfDGDKfA"
      "validated": "2014-12-01T12:05:00Z",
      "keyAuthorization": "SXQe-2XODaDxNR...vb29HhjjLPSggwiE"
    }
  ]
}
```

7.2. Getting a Nonce

Before sending a POST request to the server, an ACME client needs to have a fresh anti-replay nonce to put in the "nonce" header of the JWS. In most cases, the client will have gotten a nonce from a previous request. However, the client might sometimes need to get a new nonce, e.g., on its first request to the server or if an existing nonce is no longer valid.

To get a fresh nonce, the client sends a HEAD request to the newnonce resource on the server. The server's response MUST include a Replay-Nonce header field containing a fresh nonce, and SHOULD have status code 204 (No Content). The server SHOULD also respond to GET requests for this resource, returning an empty body (while still providing a Replay-Nonce header) with a 204 (No Content) status.

```
HEAD /acme/new-nonce HTTP/1.1
Host: example.com
```

HTTP/1.1 204 No Content Replay-Nonce: oFvnlFP1wIhRlYS2jTaXbA Cache-Control: no-store

Proxy caching of responses from the new-nonce resource can cause clients receive the same nonce repeatedly, leading to badNonce errors. The server MUST include a Cache-Control header field with

the "no-store" directive in responses for the new-nonce resource, in order to prevent caching of this resource.

7.3. Account Creation

A client creates a new account with the server by sending a POST request to the server's new-account URL. The body of the request is a stub account object containing the "contact" field and optionally the "termsOfServiceAgreed" field.

```
contact (optional, array of string): Same meaning as the corresponding server field defined in <u>Section 7.1.2</u>
```

```
termsOfServiceAgreed (optional, boolean): Same meaning as the
    corresponding server field defined in <u>Section 7.1.2</u>
```

```
onlyReturnExisting (optional, boolean): If this field is present
with the value "true", then the server MUST NOT create a new
account if one does not already exist. This allows a client to
look up an account URL based on an account key (see
<u>Section 7.3.1</u>).
```

```
POST /acme/new-account HTTP/1.1
Host: example.com
Content-Type: application/jose+json
```

```
{
  "protected": base64url({
    "alg": "ES256",
    "jwk": {...},
    "nonce": "6S8Iq0GY7eL2lsGoTZYifg",
    "url": "https://example.com/acme/new-account"
  }),
  "payload": base64url({
    "termsOfServiceAgreed": true,
    "contact": [
      "mailto:cert-admin@example.com",
      "mailto:admin@example.com"
    ]
  }),
  "signature": "RZPOnYoPs1PhjszF...-nh6X1qtOFPB519I"
}
```

The server MUST ignore any values provided in the "orders" fields in account bodies sent by the client, as well as any other fields that it does not recognize. If new fields are specified in the future, the specification of those fields MUST describe whether they can be provided by the client.

Internet-Draft

In general, the server MUST ignore any fields in the request object that it does not recognize. In particular, it MUST NOT reflect unrecognized fields in the resulting account object. This allows clients to detect when servers do not support an extension field.

The server SHOULD validate that the contact URLs in the "contact" field are valid and supported by the server. If the server validates contact URLs it MUST support the "mailto" scheme. Clients MUST NOT provide a "mailto" URL in the "contact" field that contains "hfields" [<u>RFC6068</u>], or more than one "addr-spec" in the "to" component. If a server encounters a "mailto" contact URL that does not meet these criteria, then it SHOULD reject it as invalid.

If the server rejects a contact URL for using an unsupported scheme it MUST return an error of type "unsupportedContact", with a description describing the error and what types of contact URLs the server considers acceptable. If the server rejects a contact URL for using a supported scheme but an invalid value then the server MUST return an error of type "invalidContact".

If the server wishes to present the client with terms under which the ACME service is to be used, it MUST indicate the URL where such terms can be accessed in the "termsOfService" subfield of the "meta" field in the directory object, and the server MUST reject new-account requests that do not have the "termsOfServiceAgreed" set to "true". Clients SHOULD NOT automatically agree to terms by default. Rather, they SHOULD require some user interaction for agreement to terms.

The server creates an account and stores the public key used to verify the JWS (i.e., the "jwk" element of the JWS header) to authenticate future requests from the account. The server returns this account object in a 201 (Created) response, with the account URL in a Location header field.

```
HTTP/1.1 201 Created
Content-Type: application/json
Replay-Nonce: D8s4D2mLs8Vn-goWuPQeKA
Location: https://example.com/acme/acct/1
Link: <https://example.com/acme/some-directory>;rel="index"
```

```
{
   "status": "valid",
   "contact": [
    "mailto:cert-admin@example.com",
    "mailto:admin@example.com"
  ]
}
```

7.3.1. Finding an Account URL Given a Key

If the server already has an account registered with the provided account key, then it MUST return a response with a 200 (OK) status code and provide the URL of that account in the Location header field. This allows a client that has an account key but not the corresponding account URL to recover the account URL.

If a client wishes to find the URL for an existing account and does not want an account to be created if one does not already exist, then it SHOULD do so by sending a POST request to the new-account URL with a JWS whose payload has an "onlyReturnExisting" field set to "true" ({"onlyReturnExisting": true}). If a client sends such a request and an account does not exist, then the server MUST return an error response with status code 400 (Bad Request) and type "urn:ietf:params:acme:error:accountDoesNotExist".

7.3.2. Account Update

If the client wishes to update this information in the future, it sends a POST request with updated information to the account URL. The server MUST ignore any updates to "order" fields or any other fields it does not recognize. If the server accepts the update, it MUST return a response with a 200 (OK) status code and the resulting account object.

For example, to update the contact information in the above account, the client could send the following request:

```
POST /acme/acct/1 HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
  "protected": base64url({
    "alg": "ES256",
    "kid": "https://example.com/acme/acct/1",
    "nonce": "ax5RnthDqp_Yf4_HZnFLmA",
    "url": "https://example.com/acme/acct/1"
  }),
  "payload": base64url({
    "contact": [
      "mailto:certificates@example.com",
      "mailto:admin@example.com"
   1
 }),
  "signature": "hDXzvcj8T6fbFbmn...rDzXzzvzpRy64N0o"
}
```

7.3.3. Account Information

Servers SHOULD NOT respond to GET requests for account resources as these requests are not authenticated. If a client wishes to query the server for information about its account (e.g., to examine the "contact" or "certificates" fields), then it SHOULD do so by sending a POST request with an empty update. That is, it should send a JWS whose payload is an empty object ({}).

7.3.4. Changes of Terms of Service

As described above, a client can indicate its agreement with the CA's terms of service by setting the "termsOfServiceAgreed" field in its account object to "true".

If the server has changed its terms of service since a client initially agreed, and the server is unwilling to process a request without explicit agreement to the new terms, then it MUST return an error response with status code 403 (Forbidden) and type "urn:ietf:params:acme:error:userActionRequired". This response MUST include a Link header with link relation "terms-of-service" and the latest terms-of-service URL.

The problem document returned with the error MUST also include an "instance" field, indicating a URL that the client should direct a human user to visit in order for instructions on how to agree to the terms.

```
HTTP/1.1 403 Forbidden
Replay-Nonce: IXVHDyxIRGcTE0VSblhPzw
Link: <https://example.com/acme/terms/2017-6-02>;rel="terms-of-service"
Content-Type: application/problem+json
Content-Language: en
```

{
 "type": "urn:ietf:params:acme:error:userActionRequired",
 "detail": "Terms of service have changed",
 "instance": "https://example.com/acme/agreement/?token=W8Ih3PswD-8"
}

7.3.5. External Account Binding

The server MAY require a value for the "externalAccountBinding" field to be present in "newAccount" requests. This can be used to associate an ACME account with an existing account in a non-ACME system, such as a CA customer database.

Internet-Draft

To enable ACME account binding, a CA needs to provide the ACME client with a MAC key and a key identifier, using some mechanism outside of ACME. The key identifier MUST be an ASCII string. The MAC key SHOULD be provided in base64url-encoded form, to maximize compatibility between non-ACME provisioning systems and ACME clients.

The ACME client then computes a binding JWS to indicate the external account holder's approval of the ACME account key. The payload of this JWS is the account key being registered, in JWK form. The protected header of the JWS MUST meet the following criteria:

- o The "alg" field MUST indicate a MAC-based algorithm
- o The "kid" field MUST contain the key identifier provided by the CA
- o The "nonce" field MUST NOT be present
- o The "url" field MUST be set to the same value as the outer JWS

The "signature" field of the JWS will contain the MAC value computed with the MAC key provided by the CA.

Barnes, et al. Expires June 17, 2018 [Page 31]

```
POST /acme/new-account HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
  "protected": base64url({
    "alg": "ES256",
    "jwk": /* account key */,
    "nonce": "K60BWPrMQG9SDxBDS_xtSw",
    "url": "https://example.com/acme/new-account"
  }),
  "payload": base64url({
    "contact": ["mailto:example@anonymous.invalid"],
    "termsOfServiceAgreed": true,
    "externalAccountBinding": {
      "protected": base64url({
        "alg": "HS256",
        "kid": /* key identifier from CA */,
        "url": "https://example.com/acme/new-account"
      }),
      "payload": base64url(/* same as in "jwk" above */),
      "signature": /* MAC using MAC key from CA */
   }
 }),
  "signature": "5TWigIYQfIDfALQv...x9C2mg8JGPx15bI4"
}
```

If a CA requires that new-account requests contain an "externalAccountBinding" field, then it MUST provide the value "true" in the "externalAccountRequired" subfield of the "meta" field in the directory object. If the CA receives a new-account request without an "externalAccountBinding" field, then it should reply with an error of type "externalAccountRequired".

When a CA receives a new-account request containing an "externalAccountBinding" field, it decides whether or not to verify the binding. If the CA does not verify the binding, then it MUST NOT reflect the "externalAccountBinding" field in the resulting account object (if any). To verify the account binding, the CA MUST take the following steps:

- 1. Verify that the value of the field is a well-formed JWS
- 2. Verify that the JWS protected meets the above criteria
- Retrieve the MAC key corresponding to the key identifier in the "kid" field

- 4. Verify that the MAC on the JWS verifies using that MAC key
- Verify that the payload of the JWS represents the same key as was used to verify the outer JWS (i.e., the "jwk" field of the outer JWS)

If all of these checks pass and the CA creates a new account, then the CA may consider the new account associated with the external account corresponding to the MAC key and MUST reflect the value of the "externalAccountBinding" field in the resulting account object. If any of these checks fail, then the CA MUST reject the new-account request.

7.3.6. Account Key Roll-over

A client may wish to change the public key that is associated with an account in order to recover from a key compromise or proactively mitigate the impact of an unnoticed key compromise.

To change the key associated with an account, the client first constructs a key-change object describing the change that it would like the server to make:

account (required, string): The URL for account being modified. The content of this field MUST be the exact string provided in the Location header field in response to the new-account request that created the account.

newKey (required, JWK): The JWK representation of the new key

The client then encapsulates the key-change object in an "inner" JWS, signed with the requested new account key (i.e., the key matching the "newKey" value). This JWS then becomes the payload for the "outer" JWS that is the body of the ACME request.

The outer JWS MUST meet the normal requirements for an ACME JWS (see <u>Section 6.2</u>). The inner JWS MUST meet the normal requirements, with the following differences:

- o The inner JWS MUST have a "jwk" header parameter, containing the public key of the new key pair (i.e., the same value as the "newKey" field).
- o The inner JWS MUST have the same "url" header parameter as the outer JWS.

o The inner JWS is NOT REQUIRED to have a "nonce" header parameter. The server MUST ignore any value provided for the "nonce" header parameter.

This transaction has signatures from both the old and new keys so that the server can verify that the holders of the two keys both agree to the change. The signatures are nested to preserve the property that all signatures on POST messages are signed by exactly one key.

```
POST /acme/key-change HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
```

```
"protected": base64url({
    "alg": "ES256",
    "kid": "https://example.com/acme/acct/1",
    "nonce": "K60BWPrMQG9SDxBDS_xtSw",
    "url": "https://example.com/acme/key-change"
  }),
  "payload": base64url({
    "protected": base64url({
      "alg": "ES256",
      "jwk": /* new key */,
      "url": "https://example.com/acme/key-change"
   }),
    "payload": base64url({
      "account": "https://example.com/acme/acct/1",
      "newKey": /* new key */
   }),
    "signature": "Xe8B94RD30Azj2ea...8BmZIRtcSKPSd8qU"
 }),
  "signature": "5TWigIYOfIDfALOv...x9C2mg8JGPx15bI4"
}
```

On receiving key-change request, the server MUST perform the following steps in addition to the typical JWS validation:

- Validate the POST request belongs to a currently active account, as described in <u>Section 6</u>.
- Check that the payload of the JWS is a well-formed JWS object (the "inner JWS").
- Check that the JWS protected header of the inner JWS has a "jwk" field.

- Check that the inner JWS verifies using the key in its "jwk" field.
- 5. Check that the payload of the inner JWS is a well-formed keychange object (as described above).
- 6. Check that the "url" parameters of the inner and outer JWSs are the same.
- 7. Check that the "account" field of the key-change object contains the URL for the account matching the old key
- 8. Check that the "newKey" field of the key-change object also verifies the inner JWS.
- 9. Check that no account exists whose account key is the same as the key in the "newKey" field.

If all of these checks pass, then the server updates the corresponding account by replacing the old account key with the new public key and returns status code 200 (OK). Otherwise, the server responds with an error status code and a problem document describing the error. If there is an existing account with the new key provided, then the server SHOULD use status code 409 (Conflict) and provide the URL of that account in the Location header field.

Note that changing the account key for an account SHOULD NOT have any other impact on the account. For example, the server MUST NOT invalidate pending orders or authorization transactions based on a change of account key.

7.3.7. Account Deactivation

A client can deactivate an account by posting a signed update to the server with a status field of "deactivated." Clients may wish to do this when the account key is compromised or decommissioned.

Barnes, et al. Expires June 17, 2018 [Page 35]

Internet-Draft

```
POST /acme/acct/1 HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
    "protected": base64url({
        "alg": "ES256",
        "kid": "https://example.com/acme/acct/1",
        "nonce": "ntuJWWSic4WVNSqeUmshgg",
        "url": "https://example.com/acme/acct/1"
    }),
    "payload": base64url({
        "status": "deactivated"
    }),
    "signature": "earzVLd3m5M4xJzR...bVTqn7R08AK0Vf3Y"
}
```

The server MUST verify that the request is signed by the account key. If the server accepts the deactivation request, it replies with a 200 (OK) status code and the current contents of the account object.

Once an account is deactivated, the server MUST NOT accept further requests authorized by that account's key. The server SHOULD cancel any pending operations authorized by the account's key, such as certificate orders. A server may take a variety of actions in response to an account deactivation, e.g., deleting data related to that account or sending mail to the account's contacts. Servers SHOULD NOT revoke certificates issued by the deactivated account, since this could cause operational disruption for servers using these certificates. ACME does not provide a way to reactivate a deactivated account.

7.4. Applying for Certificate Issuance

The client requests certificate issuance by sending a POST request to the server's new-order resource. The body of the POST is a JWS object whose JSON payload is a subset of the order object defined in <u>Section 7.1.3</u>, containing the fields that describe the certificate to be issued:

identifiers (required, array of object): An array of identifier objects that the client wishes to submit an order for.

type (required, string): The type of identifier.

value (required, string): The identifier itself.

ACME

```
notBefore (optional, string): The requested value of the notBefore
  field in the certificate, in the date format defined in [RFC3339]
notAfter (optional, string): The requested value of the notAfter
  field in the certificate, in the date format defined in [RFC3339]
POST /acme/new-order HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
  "protected": base64url({
    "alg": "ES256",
    "kid": "https://example.com/acme/acct/1",
    "nonce": "5XJ1L3lEkMG7tR6pA00clA",
    "url": "https://example.com/acme/new-order"
  }),
  "payload": base64url({
    "identifiers": [{"type:"dns", "value": "example.com"}],
    "notBefore": "2016-01-01T00:00:00Z",
    "notAfter": "2016-01-08T00:00:00Z"
 }),
  "signature": "H6ZXtGjTZyUnPeKn...wEA4TklBdh3e454g"
}
```

The server MUST return an error if it cannot fulfill the request as specified, and MUST NOT issue a certificate with contents other than those requested. If the server requires the request to be modified in a certain way, it should indicate the required changes using an appropriate error type and description.

If the server is willing to issue the requested certificate, it responds with a 201 (Created) response. The body of this response is an order object reflecting the client's request and any authorizations the client must complete before the certificate will be issued.

Barnes, et al. Expires June 17, 2018 [Page 37]

```
HTTP/1.1 201 Created
Replay-Nonce: MYAuvOpaoIiywTezizk5vw
Location: https://example.com/acme/order/asdf
{
  "status": "pending",
  "expires": "2016-01-01T00:00:00Z",
  "notBefore": "2016-01-01T00:00:00Z",
  "notAfter": "2016-01-08T00:00:00Z",
  "identifiers": [
    { "type:"dns", "value":"example.com" },
    { "type:"dns", "value":"www.example.com" }
  1,
  "authorizations": [
    "https://example.com/acme/authz/1234",
   "https://example.com/acme/authz/2345"
  ],
  "finalize": "https://example.com/acme/order/asdf/finalize"
}
```

The order object returned by the server represents a promise that if the client fulfills the server's requirements before the "expires" time, then the server will be willing to finalize the order upon request and issue the requested certificate. In the order object, any authorization referenced in the "authorizations" array whose status is "pending" represents an authorization transaction that the client must complete before the server will issue the certificate (see <u>Section 7.5</u>). If the client fails to complete the required actions before the "expires" time, then the server SHOULD change the status of the order to "invalid" and MAY delete the order resource.

Once the client believes it has fulfilled the server's requirements, it should send a POST request to the order resource's finalize URL. The POST body MUST include a CSR:

csr (required, string): A CSR encoding the parameters for the certificate being requested [RFC2986]. The CSR is sent in the base64url-encoded version of the DER format. (Note: Because this field uses base64url, and does not include headers, it is different from PEM.).

```
POST /acme/order/asdf/finalize HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
    "protected": base64url({
       "alg": "ES256",
       "kid": "https://example.com/acme/acct/1",
       "nonce": "MSF2j2nawWHPxxkE3ZJtKQ",
       "url": "https://example.com/acme/order/asdf/finalize"
    }),
    "payload": base64url({
       "csr": "5jNudRx6Ye4HzKEqT5...FS6aKdZeGsysoCo4H9P",
    }),
    "signature": "u0rUfIIk5RyQ...nw62Ay1cl6AB"
}
```

The CSR encodes the client's requests with regard to the content of the certificate to be issued. The CSR MUST indicate the exact same set of requested identifiers as the initial new-order request, either in the commonName portion of the requested subject name, or in an extensionRequest attribute [RFC2985] requesting a subjectAltName extension.

A request to finalize an order will result in error if the order indicated does not have status "pending", if the CSR and order identifiers differ, or if the account is not authorized for the identifiers indicated in the CSR.

A valid request to finalize an order will return the order to be finalized. The client should begin polling the order by sending a GET request to the order resource to obtain its current state. The status of the order will indicate what action the client should take:

- o "invalid": The certificate will not be issued. Consider this order process abandoned.
- o "pending": The server does not believe that the client has fulfilled the requirements. Check the "authorizations" array for entries that are still pending.
- "processing": The server agrees that the requirements have been fulfilled, and is in the process of generating the certificate. Retry after the time given in the "Retry-After" header field of the response, if any.
- o "valid": The server has issued the certificate and provisioned its URL to the "certificate" field of the order.

Internet-Draft

```
HTTP/1.1 200 Ok
Replay-Nonce: CGf81JWBsq8QyIgPCi9Q9X
Location: https://example.com/acme/order/asdf
{
  "status": "valid",
  "expires": "2016-01-01T00:00:00Z",
  "notBefore": "2016-01-01T00:00:00Z",
  "notAfter": "2016-01-08T00:00:00Z",
  "identifiers": [
    { "type:"dns", "value":"example.com" },
    { "type:"dns", "value":"www.example.com" }
  1,
  "authorizations": [
    "https://example.com/acme/authz/1234",
    "https://example.com/acme/authz/2345"
  1,
  "finalize": "https://example.com/acme/order/asdf/finalize",
  "certificate": "https://example.com/acme/cert/asdf"
}
```

7.4.1. Pre-Authorization

The order process described above presumes that authorization objects are created reactively, in response to a certificate order. Some servers may also wish to enable clients to obtain authorization for an identifier proactively, outside of the context of a specific issuance. For example, a client hosting virtual servers for a collection of names might wish to obtain authorization before any virtual servers are created and only create a certificate when a virtual server starts up.

In some cases, a CA running an ACME server might have a completely external, non-ACME process for authorizing a client to issue certificates for an identifier. In these cases, the CA should provision its ACME server with authorization objects corresponding to these authorizations and reflect them as already valid in any orders submitted by the client.

If a CA wishes to allow pre-authorization within ACME, it can offer a "new authorization" resource in its directory by adding the field "newAuthz" with a URL for the new authorization resource.

```
To request authorization for an identifier, the client sends a POST
request to the new-authorization resource specifying the identifier
for which authorization is being requested.
identifier (required, object): The identifier that the account is
  authorized to represent:
  type (required, string): The type of identifier.
  value (required, string): The identifier itself.
POST /acme/new-authz HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
  "protected": base64url({
    "alg": "ES256",
    "kid": "https://example.com/acme/acct/1",
    "nonce": "uQpSjlRb4vQVCjVYAyyUWg",
    "url": "https://example.com/acme/new-authz"
  }),
  "payload": base64url({
   "identifier": {
      "type": "dns",
      "value": "example.net"
   }
  }),
  "signature": "nuSDISbWG8mMgE7H...QyVUL68yzf3Zawps"
}
Before processing the authorization request, the server SHOULD
```

Before processing the authorization request, the server SHOULD determine whether it is willing to issue certificates for the identifier. For example, the server should check that the identifier is of a supported type. Servers might also check names against a blacklist of known high-value identifiers. If the server is unwilling to issue for the identifier, it SHOULD return a 403 (Forbidden) error, with a problem document describing the reason for the rejection.

If the server is willing to proceed, it builds a pending authorization object from the inputs submitted by the client.

```
o "identifier" the identifier submitted by the client
```

o "status" MUST be "pending" unless the server has out-of-band information about the client's authorization status

o "challenges" as selected by the server's policy for this identifier

The server allocates a new URL for this authorization, and returns a 201 (Created) response, with the authorization URL in the Location header field, and the JSON authorization object in the body. The client then follows the process described in <u>Section 7.5</u> to complete the authorization process.

7.4.2. Downloading the Certificate

To download the issued certificate, the client simply sends a GET request to the certificate URL.

The default format of the certificate is application/pem-certificatechain (see IANA Considerations).

The server MAY provide one or more link relation header fields [RFC5988] with relation "alternate". Each such field SHOULD express an alternative certificate chain starting with the same end-entity certificate. This can be used to express paths to various trust anchors. Clients can fetch these alternates and use their own heuristics to decide which is optimal.

GET /acme/cert/asdf HTTP/1.1
Host: example.com
Accept: application/pkix-cert

HTTP/1.1 200 OK Content-Type: application/pem-certificate-chain Link: <https://example.com/acme/some-directory>;rel="index"

```
-----BEGIN CERTIFICATE-----
[End-entity certificate contents]
-----END CERTIFICATE-----
[Issuer certificate contents]
-----BEGIN CERTIFICATE-----
[Other certificate contents]
-----END CERTIFICATE-----
```

A certificate resource represents a single, immutable certificate. If the client wishes to obtain a renewed certificate, the client initiates a new order process to request one.

Because certificate resources are immutable once issuance is complete, the server MAY enable the caching of the resource by adding

Expires and Cache-Control headers specifying a point in time in the distant future. These headers have no relation to the certificate's period of validity.

The ACME client MAY request other formats by including an Accept header in its request. For example, the client could use the media type "application/pkix-cert" [RFC2585] to request the end-entity certificate in DER format. Server support for alternate formats is OPTIONAL. For formats that can only express a single certificate, the server SHOULD provide one or more "Link: rel="up"" headers pointing to an issuer or issuers so that ACME clients can build a certificate chain as defined in TLS.

<u>7.5</u>. Identifier Authorization

The identifier authorization process establishes the authorization of an account to manage certificates for a given identifier. This process assures the server of two things:

- That the client controls the private key of the account key pair, and
- 2. That the client controls the identifier in question.

This process may be repeated to associate multiple identifiers to a key pair (e.g., to request certificates with multiple identifiers), or to associate multiple accounts with an identifier (e.g., to allow multiple entities to manage certificates).

Authorization resources are created by the server in response to certificate orders or authorization requests submitted by an account key holder; their URLs are provided to the client in the responses to these requests. The authorization object is implicitly tied to the account key used to sign the request.

When a client receives an order from the server it downloads the authorization resources by sending GET requests to the indicated URLs. If the client initiates authorization using a request to the new authorization resource, it will have already received the pending authorization object in the response to that request.

```
GET /acme/authz/1234 HTTP/1.1
Host: example.com
HTTP/1.1 200 OK
Content-Type: application/json
Link: <https://example.com/acme/some-directory>;rel="index"
{
  "status": "pending",
  "expires": "2018-03-03T14:09:00Z",
  "identifier": {
    "type": "dns",
    "value": "example.org"
 },
  "challenges": [
    {
      "type": "http-01",
      "url": "https://example.com/acme/authz/1234/0",
      "token": "DGyRejmCefe7v4NfDGDKfA"
   },
    {
      "type": "tls-sni-02",
      "url": "https://example.com/acme/authz/1234/1",
      "token": "DGyRejmCefe7v4NfDGDKfA"
   },
    {
      "type": "dns-01",
      "url": "https://example.com/acme/authz/1234/2",
      "token": "DGyRejmCefe7v4NfDGDKfA"
   }
  ]
}
```

<u>7.5.1</u>. Responding to Challenges

To prove control of the identifier and receive authorization, the client needs to respond with information to complete the challenges. To do this, the client updates the authorization object received from the server by filling in any required information in the elements of the "challenges" dictionary.

The client sends these updates back to the server in the form of a JSON object with contents as specified by the challenge type, carried in a POST request to the challenge URL (not authorization URL) once it is ready for the server to attempt validation.

ACME

```
For example, if the client were to respond to the "http-01" challenge
in the above authorization, it would send the following request:
POST /acme/authz/1234/0 HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
  "protected": base64url({
    "alg": "ES256",
    "kid": "https://example.com/acme/acct/1",
    "nonce": "Q_s3MWoqT05TrdkM2MTDcw",
    "url": "https://example.com/acme/authz/1234/0"
  }),
  "payload": base64url({
   "keyAuthorization": "IlirfxKKXA...vb29HhjjLPSggwiE"
 }),
  "signature": "9cbg5J01Gf5YLjjz...SpkUfcdPai9uVYYQ"
}
```

The server updates the authorization document by updating its representation of the challenge with the response object provided by the client. The server MUST ignore any fields in the response object that are not specified as response fields for this type of challenge. The server provides a 200 (OK) response with the updated challenge object as its body.

If the client's response is invalid for any reason or does not provide the server with appropriate information to validate the challenge, then the server MUST return an HTTP error. On receiving such an error, the client SHOULD undo any actions that have been taken to fulfill the challenge, e.g., removing files that have been provisioned to a web server.

The server is said to "finalize" the authorization when it has completed one of the validations, by assigning the authorization a status of "valid" or "invalid", corresponding to whether it considers the account authorized for the identifier. If the final state is "valid", then the server MUST include an "expires" field. When finalizing an authorization, the server MAY remove challenges other than the one that was completed, and may modify the "expires" field. The server SHOULD NOT remove challenges with status "invalid".

Usually, the validation process will take some time, so the client will need to poll the authorization resource to see when it is finalized. For challenges where the client can tell when the server has validated the challenge (e.g., by seeing an HTTP or DNS request

```
Internet-Draft
                                  ACME
                                                            December 2017
   from the server), the client SHOULD NOT begin polling until it has
   seen the validation request from the server.
   To check on the status of an authorization, the client sends a GET
   request to the authorization URL, and the server responds with the
   current authorization object. In responding to poll requests while
   the validation is still in progress, the server MUST return a 200
   (OK) response and MAY include a Retry-After header field to suggest a
   polling interval to the client.
   GET /acme/authz/1234 HTTP/1.1
   Host: example.com
  HTTP/1.1 200 OK
   {
     "status": "valid",
     "expires": "2018-09-09T14:09:00Z",
     "identifier": {
       "type": "dns",
       "value": "example.org"
    },
     "challenges": [
       {
         "type": "http-01"
         "url": "https://example.com/acme/authz/1234/0",
         "status": "valid",
         "validated": "2014-12-01T12:05:00Z",
         "token": "IlirfxKKXAsHtmzK29Pj8A",
         "keyAuthorization": "IlirfxKKXA...vb29HhjjLPSggwiE"
```

7.5.2. Deactivating an Authorization

}] }

If a client wishes to relinquish its authorization to issue certificates for an identifier, then it may request that the server deactivates each authorization associated with it by sending POST requests with the static object {"status": "deactivated"} to each authorization URL.

```
POST /acme/authz/1234 HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
    "protected": base64url({
        "alg": "ES256",
        "kid": "https://example.com/acme/acct/1",
        "nonce": "xWCM91GbIyCgue8di6ueWQ",
        "url": "https://example.com/acme/authz/1234"
    }),
    "payload": base64url({
        "status": "deactivated"
    }),
    "signature": "srX9Ji7Le9bjszhu...WTFdtuj0bzMtZcx4"
}
```

The server MUST verify that the request is signed by the account key corresponding to the account that owns the authorization. If the server accepts the deactivation, it should reply with a 200 (OK) status code and the updated contents of the authorization object.

The server MUST NOT treat deactivated authorization objects as sufficient for issuing certificates.

7.6. Certificate Revocation

To request that a certificate be revoked, the client sends a POST request to the ACME server's revoke-cert URL. The body of the POST is a JWS object whose JSON payload contains the certificate to be revoked:

- certificate (required, string): The certificate to be revoked, in the base64url-encoded version of the DER format. (Note: Because this field uses base64url, and does not include headers, it is different from PEM.)
- reason (optional, int): One of the revocation reasonCodes defined in Section 5.3.1 of [RFC5280] to be used when generating OCSP responses and CRLs. If this field is not set the server SHOULD use the unspecified (0) reasonCode value when generating OCSP responses and CRLs. The server MAY disallow a subset of reasonCodes from being used by the user. If a request contains a disallowed reasonCode the server MUST reject it with the error type "urn:ietf:params:acme:error:badRevocationReason". The problem document detail SHOULD indicate which reasonCodes are allowed.

```
POST /acme/revoke-cert HTTP/1.1
Host: example.com
Content-Type: application/jose+json
{
  "protected": base64url({
    "alg": "ES256",
    "jwk": /* account key */,
    "nonce": "JHb54aT_KTXBWQ0zGYkt9A",
    "url": "https://example.com/acme/revoke-cert"
 }),
  "payload": base64url({
    "certificate": "MIIEDTCCAvegAwIBAgIRAP8...",
   "reason": 1
 }),
  "signature": "Q1bURgJoEs1bD1c5...3pYdSMLio57mQNN4"
}
```

Revocation requests are different from other ACME requests in that they can be signed either with an account key pair or the key pair in the certificate. Before revoking a certificate, the server MUST verify that the key used to sign the request is authorized to revoke the certificate. The server MUST consider at least the following accounts authorized for a given certificate:

- o the account that issued the certificate.
- o an account that holds authorizations for all of the identifiers in the certificate.

The server MUST also consider a revocation request valid if it is signed with the private key corresponding to the public key in the certificate.

If the revocation succeeds, the server responds with status code 200 (OK). If the revocation fails, the server returns an error.

Barnes, et al. Expires June 17, 2018 [Page 48]

```
HTTP/1.1 200 OK
Replay-Nonce: IXVHDyxIRGcTE0VSblhPzw
Content-Length: 0
--- or ---
HTTP/1.1 403 Forbidden
Replay-Nonce: IXVHDyxIRGcTE0VSblhPzw
Content-Type: application/problem+json
Content-Language: en
{
    "type": "urn:ietf:params:acme:error:unauthorized",
    "detail": "No authorization provided for name example.net"
}
```

8. Identifier Validation Challenges

There are few types of identifiers in the world for which there is a standardized mechanism to prove possession of a given identifier. In all practical cases, CAs rely on a variety of means to test whether an entity applying for a certificate with a given identifier actually controls that identifier.

Challenges provide the server with assurance that an account holder is also the entity that controls an identifier. For each type of challenge, it must be the case that in order for an entity to successfully complete the challenge the entity must both:

- Hold the private key of the account key pair used to respond to the challenge
- o Control the identifier in question

<u>Section 10</u> documents how the challenges defined in this document meet these requirements. New challenges will need to document how they do.

ACME uses an extensible challenge/response framework for identifier validation. The server presents a set of challenges in the authorization object it sends to a client (as objects in the "challenges" array), and the client responds by sending a response object in a POST request to a challenge URL.

This section describes an initial set of challenge types. The definition of a challenge type includes:

1. Content of challenge objects

- 2. Content of response objects
- 3. How the server uses the challenge and response to verify control of an identifier

Challenge objects all contain the following basic fields:

- type (required, string): The type of challenge encoded in the object.
- url (required, string): The URL to which a response can be posted.
- status (required, string): The status of this challenge. Possible values are: "pending", "valid", and "invalid".
- validated (optional, string): The time at which the server validated this challenge, encoded in the format specified in <u>RFC 3339</u> [<u>RFC3339</u>]. This field is REQUIRED if the "status" field is "valid".
- errors (optional, array of object): Errors that occurred while the server was validating the challenge, if any, structured as problem documents [RFC7807]. The server MUST NOT modify the array except by appending entries onto the end. The server can limit the size of this object by limiting the number of times it will try to validate a challenge.

All additional fields are specified by the challenge type. If the server sets a challenge's "status" to "invalid", it SHOULD also include the "errors" field to help the client diagnose why the challenge failed.

Different challenges allow the server to obtain proof of different aspects of control over an identifier. In some challenges, like HTTP, TLS SNI, and DNS, the client directly proves its ability to do certain things related to the identifier. The choice of which challenges to offer to a client under which circumstances is a matter of server policy.

The identifier validation challenges described in this section all relate to validation of domain names. If ACME is extended in the future to support other types of identifiers, there will need to be new challenge types, and they will need to specify which types of identifier they apply to.

8.1. Key Authorizations

Several of the challenges in this document make use of a key authorization string. A key authorization is a string that expresses a domain holder's authorization for a specified key to satisfy a specified challenge, by concatenating the token for the challenge with a key fingerprint, separated by a "." character:

key-authz = token || '.' || base64url(JWK_Thumbprint(accountKey))

The "JWK_Thumbprint" step indicates the computation specified in [<u>RFC7638</u>], using the SHA-256 digest [<u>FIPS180-4</u>]. As noted in JWA [<u>RFC7518</u>] any prepended zero octets in the fields of a JWK object MUST be stripped before doing the computation.

As specified in the individual challenges below, the token for a challenge is a string comprised entirely of characters in the URL-safe base64 alphabet. The "||" operator indicates concatenation of strings.

<u>8.2</u>. Retrying Challenges

ACME challenges typically require the client to set up some networkaccessible resource that the server can query in order to validate that the client controls an identifier. In practice it is not uncommon for the server's queries to fail while a resource is being set up, e.g., due to information propagating across a cluster or firewall rules not being in place.

Clients SHOULD NOT respond to challenges until they believe that the server's queries will succeed. If a server's initial validation query fails, the server SHOULD retry the query after some time, in order to account for delay in setting up responses such as DNS records or HTTP resources. The precise retry schedule is up to the server, but server operators should keep in mind the operational scenarios that the schedule is trying to accommodate. Given that retries are intended to address things like propagation delays in HTTP or DNS provisioning, there should not usually be any reason to retry more often than every 5 or 10 seconds. While the server is still trying, the status of the challenge remains "pending"; it is only marked "invalid" once the server has given up.

The server MUST provide information about its retry state to the client via the "errors" field in the challenge and the Retry-After HTTP header field in response to requests to the challenge resource. The server MUST add an entry to the "errors" field in the challenge after each failed validation query. The server SHOULD set the Retry-After header field to a time after the server's next validation

query, since the status of the challenge will not change until that time.

Clients can explicitly request a retry by re-sending their response to a challenge in a new POST request (with a new nonce, etc.). This allows clients to request a retry when the state has changed (e.g., after firewall rules have been updated). Servers SHOULD retry a request immediately on receiving such a POST request. In order to avoid denial-of-service attacks via client-initiated retries, servers SHOULD rate-limit such requests.

8.3. HTTP Challenge

With HTTP validation, the client in an ACME transaction proves its control over a domain name by proving that it can provision HTTP resources on a server accessible under that domain name. The ACME server challenges the client to provision a file at a specific path, with a specific string as its content.

As a domain may resolve to multiple IPv4 and IPv6 addresses, the server will connect to at least one of the hosts found in the DNS A and AAAA records, at its discretion. Because many web servers allocate a default HTTPS virtual host to a particular low-privilege tenant user in a subtle and non-intuitive manner, the challenge must be completed over HTTP, not HTTPS.

```
type (required, string): The string "http-01"
```

token (required, string): A random value that uniquely identifies the challenge. This value MUST have at least 128 bits of entropy. It MUST NOT contain any characters outside the base64url alphabet, and MUST NOT include base64 padding characters ("=").

```
GET /acme/authz/1234/0 HTTP/1.1
Host: example.com
HTTP/1.1 200 OK
{
    "type": "http-01",
    "url": "https://example.com/acme/authz/0",
    "status": "pending",
    "token": "LoqXcYV8q50NbJQxbmR7SCTNo3tiAXDfowyjxAjEuX0"
}
```

A client responds to this challenge by constructing a key authorization from the "token" value provided in the challenge and the client's account key. The client then provisions the key

ACME

authorization as a resource on the HTTP server for the domain in question.

The path at which the resource is provisioned is comprised of the fixed prefix "/.well-known/acme-challenge/", followed by the "token" value in the challenge. The value of the resource MUST be the ASCII representation of the key authorization.

GET /.well-known/acme-challenge/LoqXcYV8q50NbJQxbmR7SCTNo3tiAXDfowyjxAjEuX0 Host: example.org

HTTP/1.1 200 OK LoqXcYV8q50NbJQxbmR7SCTNo3tiAXDfowyjxAjEuX0.9jg46WB3rR_AHD-EBXdN7cBkH1WOu0tA3M9fm21mqTI

The client's response to the validation request indicates its agreement to this challenge by sending the server the key authorization covering the challenge's token and the client's account key.

keyAuthorization (required, string): The key authorization for this challenge. This value MUST match the token from the challenge and the client's account key.

```
POST /acme/authz/1234/0
Host: example.com
Content-Type: application/jose+json
```

```
{
    "protected": base64url({
        "alg": "ES256",
        "kid": "https://example.com/acme/acct/1",
        "nonce": "JHb54aT_KTXBWQ0zGYkt9A",
        "url": "https://example.com/acme/authz/1234/0"
    }),
    "payload": base64url({
        "keyAuthorization": "evaGxfADs...62jcerQ"
    }),
    "signature": "Q1bURgJoEslbD1c5...3pYdSMLio57mQNN4"
}
```

On receiving a response, the server MUST verify that the key authorization in the response matches the "token" value in the challenge and the client's account key. If they do not match, then the server MUST return an HTTP error in response to the POST request in which the client sent the challenge.

Given a challenge/response pair, the server verifies the client's control of the domain by verifying that the resource was provisioned as expected.

- 1. Construct a URL by populating the URL template [<u>RFC6570</u>]
 "http://{domain}/.well-known/acme-challenge/{token}", where:
 - * the domain field is set to the domain name being verified; and
 - * the token field is set to the token in the challenge.
- 2. Verify that the resulting URL is well-formed.
- 3. Dereference the URL using an HTTP GET request. This request MUST be sent to TCP port 80 on the HTTP server.
- Verify that the body of the response is well-formed key authorization. The server SHOULD ignore whitespace characters at the end of the body.
- 5. Verify that key authorization provided by the HTTP server matches the key authorization provided by the client in its response to the challenge.

The server SHOULD follow redirects when dereferencing the URL.

If all of the above verifications succeed, then the validation is successful. If the request fails, or the body does not pass these checks, then it has failed.

8.4. TLS with Server Name Indication (TLS SNI) Challenge

The TLS with Server Name Indication (TLS SNI) validation method proves control over a domain name by requiring the client to configure a TLS server referenced by the DNS A and AAAA resource records for the domain name to respond to specific connection attempts utilizing the Server Name Indication extension [RFC6066]. The server verifies the client's challenge by accessing the TLS server and verifying a particular certificate is presented.

type (required, string): The string "tls-sni-02"

token (required, string): A random value that uniquely identifies the challenge. This value MUST have at least 128 bits of entropy. It MUST NOT contain any characters outside the base64url alphabet, including padding characters ("=").

```
GET /acme/authz/1234/1 HTTP/1.1
Host: example.com
HTTP/1.1 200 OK
{
    "type": "tls-sni-02",
    "url": "https://example.com/acme/authz/1234/1",
    "status": "pending",
    "token": "evaGxfADs6pSRb2LAv9IZf17Dt3juxGJ-PCt92wr-oA"
}
```

A client responds to this challenge by constructing a self-signed certificate which the client MUST provision at the domain name concerned in order to pass the challenge.

The certificate may be constructed arbitrarily, except that each certificate MUST have exactly two subjectAlternativeNames, SAN A and SAN B. Both MUST be dNSNames [<u>RFC5280</u>].

SAN A MUST be constructed as follows: compute the SHA-256 digest [FIPS180-4] of the challenge token and encode it in lowercase hexadecimal form. The dNSName is "x.y.token.acme.invalid", where x is the first half of the hexadecimal representation and y is the second half.

SAN B MUST be constructed as follows: compute the SHA-256 digest of the key authorization and encode it in lowercase hexadecimal form. The dNSName is "x.y.ka.acme.invalid" where x is the first half of the hexadecimal representation and y is the second half.

The client MUST ensure that the certificate is served to TLS connections specifying a Server Name Indication (SNI) value of SAN A.

The response to the TLS-SNI challenge simply acknowledges that the client is ready to fulfill this challenge.

```
keyAuthorization (required, string): The key authorization for this
challenge. This value MUST match the token from the challenge and
the client's account key.
```

Barnes, et al. Expires June 17, 2018 [Page 55]

```
POST /acme/authz/1234/1
Host: example.com
Content-Type: application/jose+json
{
    "protected": base64url({
        "alg": "ES256",
        "kid": "https://example.com/acme/acct/1",
        "nonce": "JHb54aT_KTXBWQ0zGYkt9A",
        "url": "https://example.com/acme/authz/1234/1"
    }),
    "payload": base64url({
        "keyAuthorization": "evaGxfADs...62jcerQ"
    }),
    "signature": "Q1bURgJoEslbD1c5...3pYdSMLio57mQNN4"
}
```

On receiving a response, the server MUST verify that the key authorization in the response matches the "token" value in the challenge and the client's account key. If they do not match, then the server MUST return an HTTP error in response to the POST request in which the client sent the challenge.

Given a challenge/response pair, the ACME server verifies the client's control of the domain by verifying that the TLS server was configured appropriately, using these steps:

- 1. Compute SAN A and SAN B in the same way as the client.
- 2. Open a TLS connection to the domain name being validated, presenting SAN A in the SNI field. This connection MUST be sent to TCP port 443 on the TLS server. In the ClientHello initiating the TLS handshake, the server MUST include a server_name extension (i.e., SNI) containing SAN A. The server SHOULD ensure that it does not reveal SAN B in any way when making the TLS connection, such that the presentation of SAN B in the returned certificate proves association with the client.
- Verify that the certificate contains a subjectAltName extension containing dNSName entries of SAN A and SAN B and no other entries. The comparison MUST be insensitive to case and ordering of names.

If all of the above verifications succeed, then the validation is successful. Otherwise, the validation fails.

ACME

<u>8.5</u>. DNS Challenge

When the identifier being validated is a domain name, the client can prove control of that domain by provisioning a TXT resource record containing a designated value for a specific validation domain name.

```
type (required, string): The string "dns-01"
```

token (required, string): A random value that uniquely identifies the challenge. This value MUST have at least 128 bits of entropy. It MUST NOT contain any characters outside the base64url alphabet, including padding characters ("=").

```
GET /acme/authz/1234/2 HTTP/1.1
Host: example.com
HTTP/1.1 200 OK
{
    "type": "dns-01",
    "url": "https://example.com/acme/authz/1234/2",
    "status": "pending",
    "token": "evaGxfADs6pSRb2LAv9IZf17Dt3juxGJ-PCt92wr-oA"
}
```

A client responds to this challenge by constructing a key authorization from the "token" value provided in the challenge and the client's account key. The client then computes the SHA-256 digest [FIPS180-4] of the key authorization.

The record provisioned to the DNS contains the base64url encoding of this digest. The client constructs the validation domain name by prepending the label "_acme-challenge" to the domain name being validated, then provisions a TXT record with the digest value under that name. For example, if the domain name being validated is "example.org", then the client would provision the following DNS record:

```
_acme-challenge.example.org. 300 IN TXT "gfj9Xq...Rg85nM"
```

```
The response to the DNS challenge provides the computed key
authorization to acknowledge that the client is ready to fulfill this
challenge.
```

keyAuthorization (required, string): The key authorization for this challenge. This value MUST match the token from the challenge and the client's account key.

```
POST /acme/authz/1234/2
Host: example.com
Content-Type: application/jose+json
{
    "protected": base64url({
        "alg": "ES256",
        "kid": "https://example.com/acme/acct/1",
        "nonce": "JHb54aT_KTXBWQ0zGYkt9A",
        "url": "https://example.com/acme/authz/1234/2"
    }),
    "payload": base64url({
        "keyAuthorization": "evaGxfADs...62jcerQ"
    }),
    "signature": "Q1bURgJoEslbD1c5...3pYdSMLio57mQNN4"
}
```

On receiving a response, the server MUST verify that the key authorization in the response matches the "token" value in the challenge and the client's account key. If they do not match, then the server MUST return an HTTP error in response to the POST request in which the client sent the challenge.

To validate a DNS challenge, the server performs the following steps:

- 1. Compute the SHA-256 digest [FIPS180-4] of the key authorization
- 2. Query for TXT records for the validation domain name
- Verify that the contents of one of the TXT records match the digest value

If all of the above verifications succeed, then the validation is successful. If no DNS record is found, or DNS record and response payload do not pass these checks, then the validation fails.

9. IANA Considerations

<u>9.1</u>. MIME Type: application/pem-certificate-chain

The "Media Types" registry should be updated with the following additional value:

MIME media type name: application

MIME subtype name: pem-certificate-chain

Required parameters: None

Optional parameters: None

Encoding considerations: None

Security considerations: Carries a cryptographic certificate and its associated certificate chain

Interoperability considerations: None

Published specification: <u>draft-ietf-acme-acme</u> [[RFC EDITOR: Please replace <u>draft-ietf-acme-acme</u> above with the RFC number assigned to this]]

Applications which use this media type: Any MIME-compliant transport

Additional information:

File contains one or more certificates encoded with the PEM textual encoding, according to <u>RFC 7468</u> [<u>RFC7468</u>]. In order to provide easy interoperation with TLS, the first certificate MUST be an end-entity certificate. Each following certificate SHOULD directly certify one preceding it. Because certificate validation requires that trust anchors be distributed independently, a certificate that specifies a trust anchor MAY be omitted from the chain, provided that supported peers are known to possess any omitted certificates.

9.2. Well-Known URI for the HTTP Challenge

The "Well-Known URIs" registry should be updated with the following additional value (using the template from [<u>RFC5785</u>]):

URI suffix: acme-challenge

Change controller: IETF

Specification document(s): This document, Section <u>Section 8.3</u>

Related information: N/A

9.3. Replay-Nonce HTTP Header

The "Message Headers" registry should be updated with the following additional value:

Barnes, et al. Expires June 17, 2018 [Page 59]

+---+
| Header Field Name | Protocol | Status | Reference |
+---+
| Replay-Nonce | http | standard | Section 6.4.1 |
+---++

9.4. "url" JWS Header Parameter

The "JSON Web Signature and Encryption Header Parameters" registry should be updated with the following additional value:

- o Header Parameter Name: "url"
- o Header Parameter Description: URL
- o Header Parameter Usage Location(s): JWE, JWS
- o Change Controller: IESG
- o Specification Document(s): Section 6.3.1 of RFC XXXX

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

9.5. "nonce" JWS Header Parameter

The "JSON Web Signature and Encryption Header Parameters" registry should be updated with the following additional value:

- o Header Parameter Name: "nonce"
- o Header Parameter Description: Nonce
- o Header Parameter Usage Location(s): JWE, JWS
- o Change Controller: IESG
- o Specification Document(s): Section 6.4.2 of RFC XXXX

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

9.6. URN Sub-namespace for ACME (urn:ietf:params:acme)

The "IETF URN Sub-namespace for Registered Protocol Parameter Identifiers" registry should be updated with the following additional value, following the template in [<u>RFC3553</u>]:

Barnes, et al. Expires June 17, 2018 [Page 60]

Registry name: acme

Specification: RFC XXXX

Repository: URL-TBD

Index value: No transformation needed.

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document, and replace URL-TBD with the URL assigned by IANA for registries of ACME parameters.]]

<u>9.7</u>. New Registries

This document requests that IANA create the following new registries:

- 1. ACME Account Object Fields (Section 9.7.1)
- 2. ACME Order Object Fields (Section 9.7.2)
- 3. ACME Error Types (Section 9.7.4)
- 4. ACME Resource Types (<u>Section 9.7.5</u>)
- 5. ACME Directory Metadata Fields (Section 9.7.6)
- 6. ACME Identifier Types (Section 9.7.7)
- 7. ACME Validation Methods (Section 9.7.8)

All of these registries are under a heading of "Automated Certificate Management Environment (ACME) Protocol" and are administered under a Specification Required policy [<u>RFC8126</u>].

<u>9.7.1</u>. Fields in Account Objects

This registry lists field names that are defined for use in ACME account objects. Fields marked as "configurable" may be included in a new-account request.

Template:

- o Field name: The string to be used as a field name in the JSON object
- o Field type: The type of value to be provided, e.g., string, boolean, array of string

Barnes, et al. Expires June 17, 2018 [Page 61]

- o Client configurable: Boolean indicating whether the server should accept values provided by the client
- o Reference: Where this field is defined

Initial contents: The fields and descriptions defined in <u>Section 7.1.2</u>.

+ Field Name	Field Type	 Configurable	
status	string	false	RFC XXXX
 contact 	array of string	 true 	RFC XXXX
 externalAccountBinding 	object	 true	RFC XXXX
 termsOfServiceAgreed	boolean	true	RFC XXXX
orders +	array of string	 false 	RFC XXXX

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

<u>9.7.2</u>. Fields in Order Objects

This registry lists field names that are defined for use in ACME order objects. Fields marked as "configurable" may be included in a new-order request.

Template:

- o Field name: The string to be used as a field name in the JSON object
- o Field type: The type of value to be provided, e.g., string, boolean, array of string
- o Client configurable: Boolean indicating whether the server should accept values provided by the client
- o Reference: Where this field is defined

Initial contents: The fields and descriptions defined in <u>Section 7.1.3</u>.

+	+4	4	++
Field Name	Field Type	Configurable	Reference
+ status	string	false	RFC XXXX
 expires	string	false	RFC XXXX
 identifiers 	array of object	true	RFC XXXX
notBefore	string	true	RFC XXXX
notAfter	string	true	RFC XXXX
authorizations	array of string	false	RFC XXXX
finalize 	string	false	RFC XXXX
certificate +	string	false	RFC XXXX

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

9.7.3. Fields in Authorization Objects

This registry lists field names that are defined for use in ACME authorization objects. Fields marked as "configurable" may be included in a new-authorization request.

Template:

- o Field name: The string to be used as a field name in the JSON object
- o Field type: The type of value to be provided, e.g., string, boolean, array of string
- o Client configurable: Boolean indicating whether the server should accept values provided by the client
- o Reference: Where this field is defined

Initial contents: The fields and descriptions defined in <u>Section 7.1.4</u>.

++		+	++
Field Name	Field Type	Configurable	
identifier		true	RFC XXXX
status	string	false	RFC XXXX
expires	string	false	RFC XXXX
	array of object		RFC XXXX
++			+

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

<u>9.7.4</u>. Error Types

This registry lists values that are used within URN values that are provided in the "type" field of problem documents in ACME.

Template:

- o Type: The label to be included in the URN for this error, following "urn:ietf:params:acme:error:"
- o Description: A human-readable description of the error
- o Reference: Where the error is defined

Initial contents: The types and descriptions in the table in <u>Section 6.6</u> above, with the Reference field set to point to this specification.

9.7.5. Resource Types

This registry lists the types of resources that ACME servers may list in their directory objects.

Template:

- o Field name: The value to be used as a field name in the directory object
- o Resource type: The type of resource labeled by the field
- o Reference: Where the resource type is defined

Initial contents:

++		+
Field Name	Resource Type	Reference
newNonce	New nonce	RFC XXXX
newAccount	New account	 RFC XXXX
newOrder	New order	 RFC XXXX
newAuthz	New authorization	 RFC XXXX
revokeCert	Revoke certificate	 RFC XXXX
	Key change	 RFC XXXX
meta	Metadata object	 RFC XXXX

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

9.7.6. Fields in the "meta" Object within a Directory Object

This registry lists field names that are defined for use in the JSON object included in the "meta" field of an ACME directory object.

Template:

- o Field name: The string to be used as a field name in the JSON object
- o Field type: The type of value to be provided, e.g., string, boolean, array of string
- o Reference: Where this field is defined

Initial contents: The fields and descriptions defined in <u>Section 7.1.2</u>.

Barnes, et al. Expires June 17, 2018 [Page 65]

	 Field Type	Reference
termsOfService	string	RFC XXXX
website	string	RFC XXXX
caaIdentities	array of string	RFC XXXX
externalAccountRequired		RFC XXXX

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

<u>9.7.7</u>. Identifier Types

This registry lists the types of identifiers that can be present in ACME authorization objects.

Template:

- o Label: The value to be put in the "type" field of the identifier object
- o Reference: Where the identifier type is defined

Initial contents:

+ •		+ -			+
I	Label	l	Refe	erence	Ι
+ •		+ -			+
I	dns	I	RFC	XXXX	Ι
+ -		+ -			+

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

<u>9.7.8</u>. Validation Methods

This registry lists identifiers for the ways that CAs can validate control of identifiers. Each method's entry must specify whether it corresponds to an ACME challenge type. The "Identifier Type" field must be contained in the Label column of the ACME Identifier Types registry.

Template:

ACME

- o Label: The identifier for this validation method
- o Identifier Type: The type of identifier that this method applies to
- o ACME: "Y" if the validation method corresponds to an ACME challenge type; "N" otherwise.
- o Reference: Where the validation method is defined

Initial Contents

When evaluating a request for an assignment in this registry, the designated expert should ensure that the method being registered has a clear, interoperable definition and does not overlap with existing validation methods. That is, it should not be possible for a client and server to follow take the same set of actions to fulfill two different validation mechanisms.

Validation methods do not have to be compatible with ACME in order to be registered. For example, a CA might wish to register a validation method in order to support its use with the ACME extensions to CAA [<u>I-D.ietf-acme-caa</u>].

[[RFC EDITOR: Please replace XXXX above with the RFC number assigned to this document]]

<u>10</u>. Security Considerations

ACME is a protocol for managing certificates that attest to identifier/key bindings. Thus the foremost security goal of ACME is to ensure the integrity of this process, i.e., to ensure that the bindings attested by certificates are correct and that only authorized entities can manage certificates. ACME identifies clients by their account keys, so this overall goal breaks down into two more precise goals:

- 1. Only an entity that controls an identifier can get an authorization for that identifier
- Once authorized, an account key's authorizations cannot be improperly used by another account

In this section, we discuss the threat model that underlies ACME and the ways that ACME achieves these security goals within that threat model. We also discuss the denial-of-service risks that ACME servers face, and a few other miscellaneous considerations.

<u>**10.1</u>**. Threat Model</u>

As a service on the Internet, ACME broadly exists within the Internet threat model [<u>RFC3552</u>]. In analyzing ACME, it is useful to think of an ACME server interacting with other Internet hosts along two "channels":

- o An ACME channel, over which the ACME HTTPS requests are exchanged
- A validation channel, over which the ACME server performs additional requests to validate a client's control of an identifier

++	
ACME ACME	Channel
Client	+
++	I
	V
	++
	ACME
	Server
	++
++	
Validation <	+
Server Validati	ion Channel
++	

In practice, the risks to these channels are not entirely separate, but they are different in most cases. Each channel, for example, uses a different communications pattern: the ACME channel will comprise inbound HTTPS connections to the ACME server and the validation channel outbound HTTP or DNS requests.

Broadly speaking, ACME aims to be secure against active and passive attackers on any individual channel. Some vulnerabilities arise (noted below) when an attacker can exploit both the ACME channel and one of the others.

On the ACME channel, in addition to network layer attackers, we also need to account for man-in-the-middle (MitM) attacks at the application layer, and for abusive use of the protocol itself. Protection against application layer MitM addresses potential attackers such as Content Distribution Networks (CDNs) and middleboxes with a TLS MitM function. Preventing abusive use of ACME means ensuring that an attacker with access to the validation channel can't obtain illegitimate authorization by acting as an ACME client (legitimately, in terms of the protocol).

10.2. Integrity of Authorizations

ACME allows anyone to request challenges for an identifier by registering an account key and sending a new-order request using that account key. The integrity of the authorization process thus depends on the identifier validation challenges to ensure that the challenge can only be completed by someone who both (1) holds the private key of the account key pair, and (2) controls the identifier in question.

Validation responses need to be bound to an account key pair in order to avoid situations where an ACME MitM can switch out a legitimate domain holder's account key for one of his choosing, e.g.:

- o Legitimate domain holder registers account key pair A
- o MitM registers account key pair B
- Legitimate domain holder sends a new-order request signed using account key A
- MitM suppresses the legitimate request but sends the same request signed using account key B
- o ACME server issues challenges and MitM forwards them to the legitimate domain holder
- o Legitimate domain holder provisions the validation response
- ACME server performs validation query and sees the response provisioned by the legitimate domain holder
- Because the challenges were issued in response to a message signed account key B, the ACME server grants authorization to account key B (the MitM) instead of account key A (the legitimate domain holder)

All of the challenges above have a binding between the account private key and the validation query made by the server, via the key

Internet-Draft

ACME

authorization. The key authorization reflects the account public key, is provided to the server in the validation response over the validation channel and signed afterwards by the corresponding private key in the challenge response over the ACME channel.

The association of challenges to identifiers is typically done by requiring the client to perform some action that only someone who effectively controls the identifier can perform. For the challenges in this document, the actions are:

- o HTTP: Provision files under .well-known on a web server for the domain
- o TLS SNI: Configure a TLS server for the domain

o DNS: Provision DNS resource records for the domain

There are several ways that these assumptions can be violated, both by misconfiguration and by attacks. For example, on a web server that allows non-administrative users to write to .well-known, any user can claim to own the web server's hostname by responding to an HTTP challenge, and likewise for TLS configuration and TLS SNI. Similarly, if a server that can be used for ACME validation is compromised by a malicious actor, then that malicious actor can use that access to obtain certificates via ACME.

The use of hosting providers is a particular risk for ACME validation. If the owner of the domain has outsourced operation of DNS or web services to a hosting provider, there is nothing that can be done against tampering by the hosting provider. As far as the outside world is concerned, the zone or website provided by the hosting provider is the real thing.

More limited forms of delegation can also lead to an unintended party gaining the ability to successfully complete a validation transaction. For example, suppose an ACME server follows HTTP redirects in HTTP validation and a website operator provisions a catch-all redirect rule that redirects requests for unknown resources to a different domain. Then the target of the redirect could use that to get a certificate through HTTP validation since the validation path will not be known to the primary server.

The DNS is a common point of vulnerability for all of these challenges. An entity that can provision false DNS records for a domain can attack the DNS challenge directly and can provision false A/AAAA records to direct the ACME server to send its TLS SNI or HTTP validation query to a remote server of the attacker's choosing. There are a few different mitigations that ACME servers can apply:

- o Always querying the DNS using a DNSSEC-validating resolver (enhancing security for zones that are DNSSEC-enabled)
- Querying the DNS from multiple vantage points to address local attackers
- o Applying mitigations against DNS off-path attackers, e.g., adding entropy to requests [<u>I-D.vixie-dnsext-dns0x20</u>] or only using TCP

Given these considerations, the ACME validation process makes it impossible for any attacker on the ACME channel or a passive attacker on the validation channel to hijack the authorization process to authorize a key of the attacker's choice.

An attacker that can only see the ACME channel would need to convince the validation server to provide a response that would authorize the attacker's account key, but this is prevented by binding the validation response to the account key used to request challenges. A passive attacker on the validation channel can observe the correct validation response and even replay it, but that response can only be used with the account key for which it was generated.

An active attacker on the validation channel can subvert the ACME process, by performing normal ACME transactions and providing a validation response for his own account key. The risks due to hosting providers noted above are a particular case.

It is RECOMMENDED that the server perform DNS queries and make HTTP and TLS connections from various network perspectives, in order to make MitM attacks harder.

<u>10.3</u>. Denial-of-Service Considerations

As a protocol run over HTTPS, standard considerations for TCP-based and HTTP-based DoS mitigation also apply to ACME.

At the application layer, ACME requires the server to perform a few potentially expensive operations. Identifier validation transactions require the ACME server to make outbound connections to potentially attacker-controlled servers, and certificate issuance can require interactions with cryptographic hardware.

In addition, an attacker can also cause the ACME server to send validation requests to a domain of its choosing by submitting authorization requests for the victim domain.

All of these attacks can be mitigated by the application of appropriate rate limits. Issues closer to the front end, like POST

body validation, can be addressed using HTTP request limiting. For validation and certificate requests, there are other identifiers on which rate limits can be keyed. For example, the server might limit the rate at which any individual account key can issue certificates or the rate at which validation can be requested within a given subtree of the DNS. And in order to prevent attackers from circumventing these limits simply by minting new accounts, servers would need to limit the rate at which accounts can be registered.

<u>10.4</u>. Server-Side Request Forgery

Server-Side Request Forgery (SSRF) attacks can arise when an attacker can cause a server to perform HTTP requests to an attacker-chosen URL. In the ACME HTTP challenge validation process, the ACME server performs an HTTP GET request to a URL in which the attacker can choose the domain. This request is made before the server has verified that the client controls the domain, so any client can cause a query to any domain.

Some server implementations include information from the validation server's response (in order to facilitate debugging). Such implementations enable an attacker to extract this information from any web server that is accessible to the ACME server, even if it is not accessible to the ACME client.

It might seem that the risk of SSRF through this channel is limited by the fact that the attacker can only control the domain of the URL, not the path. However, if the attacker first sets the domain to one they control, then they can send the server an HTTP redirect (e.g., a 302 response) which will cause the server to query an arbitrary URL.

In order to further limit the SSRF risk, ACME server operators should ensure that validation queries can only be sent to servers on the public Internet, and not, say, web services within the server operator's internal network. Since the attacker could make requests to these public servers himself, he can't gain anything extra through an SSRF attack on ACME aside from a layer of anonymization.

10.5. CA Policy Considerations

The controls on issuance enabled by ACME are focused on validating that a certificate applicant controls the identifier he claims. Before issuing a certificate, however, there are many other checks that a CA might need to perform, for example:

- o Has the client agreed to a subscriber agreement?
- o Is the claimed identifier syntactically valid?

- o For domain names:
 - * If the leftmost label is a '*', then have the appropriate checks been applied?
 - * Is the name on the Public Suffix List?
 - * Is the name a high-value name?
 - * Is the name a known phishing domain?
- o Is the key in the CSR sufficiently strong?
- o Is the CSR signed with an acceptable algorithm?
- o Has issuance been authorized or forbidden by a Certificate Authority Authorization (CAA) record? [<u>RFC6844</u>]

CAs that use ACME to automate issuance will need to ensure that their servers perform all necessary checks before issuing.

CAs using ACME to allow clients to agree to terms of service should keep in mind that ACME clients can automate this agreement, possibly not involving a human user.

<u>11</u>. Operational Considerations

There are certain factors that arise in operational reality that operators of ACME-based CAs will need to keep in mind when configuring their services. For example:

<u>11.1</u>. DNS security

As noted above, DNS forgery attacks against the ACME server can result in the server making incorrect decisions about domain control and thus mis-issuing certificates. Servers SHOULD perform DNS queries over TCP, which provides better resistance to some forgery attacks than DNS over UDP.

An ACME-based CA will often need to make DNS queries, e.g., to validate control of DNS names. Because the security of such validations ultimately depends on the authenticity of DNS data, every possible precaution should be taken to secure DNS queries done by the CA. It is therefore RECOMMENDED that ACME-based CAs make all DNS queries via DNSSEC-validating stub or recursive resolvers. This provides additional protection to domains which choose to make use of DNSSEC.

An ACME-based CA must use only a resolver if it trusts the resolver and every component of the network route by which it is accessed. It is therefore RECOMMENDED that ACME-based CAs operate their own DNSSEC-validating resolvers within their trusted network and use these resolvers both for both CAA record lookups and all record lookups in furtherance of a challenge scheme (A, AAAA, TXT, etc.).

<u>11.2</u>. Default Virtual Hosts

In many cases, TLS-based services are deployed on hosted platforms that use the Server Name Indication (SNI) TLS extension to distinguish between different hosted services or "virtual hosts". When a client initiates a TLS connection with an SNI value indicating a provisioned host, the hosting platform routes the connection to that host.

When a connection comes in with an unknown SNI value, one might expect the hosting platform to terminate the TLS connection. However, some hosting platforms will choose a virtual host to be the "default", and route connections with unknown SNI values to that host.

In such cases, the owner of the default virtual host can complete a TLS-based challenge (e.g., "tls-sni-02") for any domain with an A record that points to the hosting platform. This could result in mis-issuance in cases where there are multiple hosts with different owners resident on the hosting platform.

A CA that accepts TLS-based proof of domain control should attempt to check whether a domain is hosted on a domain with a default virtual host before allowing an authorization request for this host to use a TLS-based challenge. Typically, systems with default virtual hosts do not allow the holder of the default virtual host to control what certificates are presented on a request-by-request basis. Rather, the default virtual host can configure which certificate is presented in TLS on a fairly static basis, so that the certificate presented should be stable over small intervals.

A CA can detect such a bounded default vhost by initiating TLS connections to the host with random SNI values within the namespace used for the TLS-based challenge (the "acme.invalid" namespace for "tls-sni-02"). If it receives the same certificate on two different connections, then it is very likely that the server is in a default virtual host configuration. Conversely, if the TLS server returns an unrecognized_name alert, then this is an indication that the server is not in a default virtual host configuration.

<u>11.3</u>. Token Entropy

The http-01, tls-sni-02 and dns-01 validation methods mandate the usage of a random token value to uniquely identify the challenge. The value of the token is required to contain at least 128 bits of entropy for the following security properties. First, the ACME client should not be able to influence the ACME server's choice of token as this may allow an attacker to reuse a domain owner's previous challenge responses for a new validation request. Secondly, the entropy requirement prevents ACME clients from implementing a "naive" validation server that automatically replies to challenges without participating in the creation of the initial authorization request.

<u>11.4</u>. Malformed Certificate Chains

ACME provides certificate chains in the widely-used format known colloquially as PEM (though it may diverge from the actual Privacy Enhanced Mail specifications [RFC1421], as noted in [RFC7468]). Some current software will allow the configuration of a private key and a certificate in one PEM file, by concatenating the textual encodings of the two objects. In the context of ACME, such software might be vulnerable to "key replacement" attacks. A malicious ACME server could cause a client to use a private key of its choosing by including the key in the PEM file returned in response to a query for a certificate URL.

When processing an file of type "application/pem-certificate-chain", a client SHOULD verify that the file contains only encoded certificates. If anything other than a certificate is found (i.e., if the string "---BEGIN" is ever followed by anything other than "CERTIFICATE"), then the client MUST reject the file as invalid.

12. Acknowledgements

In addition to the editors listed on the front page, this document has benefited from contributions from a broad set of contributors, all the way back to its inception.

- o Peter Eckersley, EFF
- o Eric Rescorla, Mozilla
- o Seth Schoen, EFF
- o Alex Halderman, University of Michigan
- o Martin Thomson, Mozilla

ACME

o Jakub Warmuz, University of Oxford

This document draws on many concepts established by Eric Rescorla's "Automated Certificate Issuance Protocol" draft. Martin Thomson provided helpful guidance in the use of HTTP.

13. References

<u>13.1</u>. Normative References

[FIPS180-4]

Department of Commerce, National., "NIST FIPS 180-4, Secure Hash Standard", March 2012, <<u>http://csrc.nist.gov/publications/fips/fips180-4/</u> <u>fips-180-4.pdf</u>>.

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", <u>BCP 14</u>, <u>RFC 2119</u>, DOI 10.17487/RFC2119, March 1997, <<u>https://www.rfc-editor.org/info/rfc2119</u>>.
- [RFC2585] Housley, R. and P. Hoffman, "Internet X.509 Public Key Infrastructure Operational Protocols: FTP and HTTP", <u>RFC 2585</u>, DOI 10.17487/RFC2585, May 1999, <<u>https://www.rfc-editor.org/info/rfc2585</u>>.
- [RFC2818] Rescorla, E., "HTTP Over TLS", <u>RFC 2818</u>, DOI 10.17487/RFC2818, May 2000, <<u>https://www.rfc-editor.org/info/rfc2818</u>>.
- [RFC2985] Nystrom, M. and B. Kaliski, "PKCS #9: Selected Object Classes and Attribute Types Version 2.0", <u>RFC 2985</u>, DOI 10.17487/RFC2985, November 2000, <<u>https://www.rfc-editor.org/info/rfc2985</u>>.
- [RFC2986] Nystrom, M. and B. Kaliski, "PKCS #10: Certification Request Syntax Specification Version 1.7", <u>RFC 2986</u>, DOI 10.17487/RFC2986, November 2000, <<u>https://www.rfc-editor.org/info/rfc2986</u>>.
- [RFC3339] Klyne, G. and C. Newman, "Date and Time on the Internet: Timestamps", <u>RFC 3339</u>, DOI 10.17487/RFC3339, July 2002, <<u>https://www.rfc-editor.org/info/rfc3339</u>>.
- [RFC3492] Costello, A., "Punycode: A Bootstring encoding of Unicode for Internationalized Domain Names in Applications (IDNA)", <u>RFC 3492</u>, DOI 10.17487/RFC3492, March 2003, <<u>https://www.rfc-editor.org/info/rfc3492</u>>.

- [RFC3629] Yergeau, F., "UTF-8, a transformation format of ISO 10646", STD 63, <u>RFC 3629</u>, DOI 10.17487/RFC3629, November 2003, <<u>https://www.rfc-editor.org/info/rfc3629</u>>.
- [RFC3986] Berners-Lee, T., Fielding, R., and L. Masinter, "Uniform Resource Identifier (URI): Generic Syntax", STD 66, <u>RFC 3986</u>, DOI 10.17487/RFC3986, January 2005, <https://www.rfc-editor.org/info/rfc3986>.
- [RFC4648] Josefsson, S., "The Base16, Base32, and Base64 Data Encodings", <u>RFC 4648</u>, DOI 10.17487/RFC4648, October 2006, <<u>https://www.rfc-editor.org/info/rfc4648</u>>.
- [RFC5246] Dierks, T. and E. Rescorla, "The Transport Layer Security (TLS) Protocol Version 1.2", <u>RFC 5246</u>, DOI 10.17487/RFC5246, August 2008, <<u>https://www.rfc-editor.org/info/rfc5246</u>>.
- [RFC5280] Cooper, D., Santesson, S., Farrell, S., Boeyen, S., Housley, R., and W. Polk, "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", <u>RFC 5280</u>, DOI 10.17487/RFC5280, May 2008, <<u>https://www.rfc-editor.org/info/rfc5280</u>>.
- [RFC5890] Klensin, J., "Internationalized Domain Names for Applications (IDNA): Definitions and Document Framework", <u>RFC 5890</u>, DOI 10.17487/RFC5890, August 2010, <https://www.rfc-editor.org/info/rfc5890>.
- [RFC5988] Nottingham, M., "Web Linking", <u>RFC 5988</u>, DOI 10.17487/RFC5988, October 2010, <<u>https://www.rfc-editor.org/info/rfc5988</u>>.
- [RFC6066] Eastlake 3rd, D., "Transport Layer Security (TLS) Extensions: Extension Definitions", <u>RFC 6066</u>, DOI 10.17487/RFC6066, January 2011, <<u>https://www.rfc-editor.org/info/rfc6066</u>>.
- [RFC6068] Duerst, M., Masinter, L., and J. Zawinski, "The 'mailto' URI Scheme", <u>RFC 6068</u>, DOI 10.17487/RFC6068, October 2010, <<u>https://www.rfc-editor.org/info/rfc6068</u>>.
- [RFC6570] Gregorio, J., Fielding, R., Hadley, M., Nottingham, M., and D. Orchard, "URI Template", <u>RFC 6570</u>, DOI 10.17487/RFC6570, March 2012, <<u>https://www.rfc-editor.org/info/rfc6570</u>>.

- [RFC6844] Hallam-Baker, P. and R. Stradling, "DNS Certification Authority Authorization (CAA) Resource Record", <u>RFC 6844</u>, DOI 10.17487/RFC6844, January 2013, <<u>https://www.rfc-editor.org/info/rfc6844</u>>.
- [RFC7159] Bray, T., Ed., "The JavaScript Object Notation (JSON) Data Interchange Format", <u>RFC 7159</u>, DOI 10.17487/RFC7159, March 2014, <<u>https://www.rfc-editor.org/info/rfc7159</u>>.
- [RFC7231] Fielding, R., Ed. and J. Reschke, Ed., "Hypertext Transfer Protocol (HTTP/1.1): Semantics and Content", <u>RFC 7231</u>, DOI 10.17487/RFC7231, June 2014, <<u>https://www.rfc-editor.org/info/rfc7231</u>>.
- [RFC7468] Josefsson, S. and S. Leonard, "Textual Encodings of PKIX, PKCS, and CMS Structures", <u>RFC 7468</u>, DOI 10.17487/RFC7468, April 2015, <<u>https://www.rfc-editor.org/info/rfc7468</u>>.
- [RFC7515] Jones, M., Bradley, J., and N. Sakimura, "JSON Web Signature (JWS)", <u>RFC 7515</u>, DOI 10.17487/RFC7515, May 2015, <<u>https://www.rfc-editor.org/info/rfc7515</u>>.
- [RFC7518] Jones, M., "JSON Web Algorithms (JWA)", <u>RFC 7518</u>, DOI 10.17487/RFC7518, May 2015, <<u>https://www.rfc-editor.org/info/rfc7518</u>>.
- [RFC7638] Jones, M. and N. Sakimura, "JSON Web Key (JWK) Thumbprint", <u>RFC 7638</u>, DOI 10.17487/RFC7638, September 2015, <<u>https://www.rfc-editor.org/info/rfc7638</u>>.
- [RFC7807] Nottingham, M. and E. Wilde, "Problem Details for HTTP APIs", <u>RFC 7807</u>, DOI 10.17487/RFC7807, March 2016, <<u>https://www.rfc-editor.org/info/rfc7807</u>>.
- [RFC8126] Cotton, M., Leiba, B., and T. Narten, "Guidelines for Writing an IANA Considerations Section in RFCs", <u>BCP 26</u>, <u>RFC 8126</u>, DOI 10.17487/RFC8126, June 2017, <<u>https://www.rfc-editor.org/info/rfc8126</u>>.

<u>13.2</u>. Informative References

[I-D.ietf-acme-caa]

Landau, H., "CAA Record Extensions for Account URI and ACME Method Binding", <u>draft-ietf-acme-caa-03</u> (work in progress), August 2017.

- [I-D.ietf-acme-ip] Shoemaker, R., "ACME IP Identifier Validation Extension", draft-ietf-acme-ip-01 (work in progress), September 2017. [I-D.ietf-acme-telephone] Peterson, J. and R. Barnes, "ACME Identifiers and Challenges for Telephone Numbers", draft-ietf-acmetelephone-01 (work in progress), October 2017. [I-D.vixie-dnsext-dns0x20] Vixie, P. and D. Dagon, "Use of Bit 0x20 in DNS Labels to Improve Transaction Identity", draft-vixie-dnsextdns0x20-00 (work in progress), March 2008. [RFC1421] Linn, J., "Privacy Enhancement for Internet Electronic
- [RFC1421] Linn, J., "Privacy Enhancement for Internet Electronic Mail: Part I: Message Encryption and Authentication Procedures", <u>RFC 1421</u>, DOI 10.17487/RFC1421, February 1993, <<u>https://www.rfc-editor.org/info/rfc1421</u>>.
- [RFC3552] Rescorla, E. and B. Korver, "Guidelines for Writing RFC Text on Security Considerations", <u>BCP 72</u>, <u>RFC 3552</u>, DOI 10.17487/RFC3552, July 2003, <<u>https://www.rfc-editor.org/info/rfc3552</u>>.
- [RFC3553] Mealling, M., Masinter, L., Hardie, T., and G. Klyne, "An IETF URN Sub-namespace for Registered Protocol Parameters", <u>BCP 73</u>, <u>RFC 3553</u>, DOI 10.17487/RFC3553, June 2003, <<u>https://www.rfc-editor.org/info/rfc3553</u>>.
- [RFC5785] Nottingham, M. and E. Hammer-Lahav, "Defining Well-Known Uniform Resource Identifiers (URIs)", <u>RFC 5785</u>, DOI 10.17487/RFC5785, April 2010, <<u>https://www.rfc-editor.org/info/rfc5785</u>>.
- [RFC7525] Sheffer, Y., Holz, R., and P. Saint-Andre, "Recommendations for Secure Use of Transport Layer Security (TLS) and Datagram Transport Layer Security (DTLS)", <u>BCP 195</u>, <u>RFC 7525</u>, DOI 10.17487/RFC7525, May 2015, <<u>https://www.rfc-editor.org/info/rfc7525</u>>.

[W3C.CR-cors-20130129]

Kesteren, A., "Cross-Origin Resource Sharing", World Wide Web Consortium CR CR-cors-20130129, January 2013, <<u>http://www.w3.org/TR/2013/CR-cors-20130129</u>>.

<u>13.3</u>. URIs

[1] <u>https://github.com/ietf-wg-acme/acme</u>

Authors' Addresses

Richard Barnes Cisco

Email: rlb@ipv.sx

Jacob Hoffman-Andrews EFF

Email: jsha@eff.org

Daniel McCarney Let's Encrypt

Email: cpu@letsencrypt.org

James Kasten University of Michigan

Email: jdkasten@umich.edu

Barnes, et al. Expires June 17, 2018 [Page 80]