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## **Constrained Voucher Artifacts for Bootstrapping Protocols draft-ietf-anima-constrained-voucher-03**

### Abstract

This document defines a strategy to securely assign a pledge to an owner, using an artifact signed, directly or indirectly, by the pledge's manufacturer. This artifact is known as a "voucher".

This document builds upon the work in [RFC8366], encoding the resulting artifact in CBOR. Use with two signature technologies are described.

Additionally, this document explains how constrained vouchers may be transported in the [I-D.ietf-ace-coap-est] protocol.

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## [1.](#) Introduction

Enrollment of new nodes into constrained networks with constrained nodes present unique challenges.

There are bandwidth and code space issues to contend. A solution such as [[I-D.ietf-anima-bootstrapping-keyinfra](#)] may be too large in terms of code space or bandwidth required.

This document defines a constrained version of [[RFC8366](#)]. Rather than serializing the YANG definition in JSON, it is serialized into CBOR ([[RFC7049](#)]).

This document follows a similar, but not identical structure as [[RFC8366](#)]. Some sections are left out entirely. Additional sections have been added concerning:

1. Addition of voucher-request specification as defined in [[I-D.ietf-anima-bootstrapping-keyinfra](#)],
2. Addition to [[I-D.ietf-ace-coap-est](#)] of voucher transport requests over coap.

The CBOR definitions for this constrained voucher format are defined using the mechanism describe in [[I-D.ietf-core-yang-cbor](#)] using the SID mechanism explained in [[I-D.ietf-core-sid](#)]. As the tooling to convert YANG documents into an list of SID keys is still in its infancy, the table of SID values presented here should be considered normative rather than the output of the pyang tool.

Two methods of signing the resulting CBOR object are described in this document:

1. One is CMS [[RFC5652](#)].
2. The other is COSE [[RFC8152](#)] signatures.



## **2. Terminology**

The following terms are defined in [\[RFC8366\]](#), and are used identically as in that document: artifact, imprint, domain, Join Registrar/Coordinator (JRC), Manufacturer Authorized Signing Authority (MASA), pledge, Trust of First Use (TOFU), and Voucher.

## **3. Requirements Language**

In this document, the key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" are to be interpreted as described in [BCP 14](#), [RFC 2119](#) [\[RFC2119\]](#) and indicate requirement levels for compliant STuPiD implementations.

## **4. Survey of Voucher Types**

[\[RFC8366\]](#) provides for vouchers that assert proximity, that authenticate the registrar and that include different amounts of anti-replay protection.

This document does not make any extensions to the types of vouchers.

Time based vouchers are included in this definition, but given that constrained devices are extremely unlikely to know the correct time, their use is very unlikely. Most users of these constrained vouchers will be online and will use live nonces to provide anti-replay protection.

[\[RFC8366\]](#) defined only the voucher artifact, and not the Voucher Request artifact, which was defined in [\[I-D.ietf-anima-bootstrapping-keyinfra\]](#).

This document defines both a constrained voucher and a constrained voucher-request. They are presented in the order voucher-request, followed by voucher response as this is the time order that they occur.

This document defines both CMS-signed voucher requests and responses, and COSE signed voucher requests and responses. The use of CMS signatures implies the use of PKIX format certificates. The pinned-domain-cert present in such a voucher, is the certificate of the Registrar.

The use of COSE signatures permits the use of both PKIX format certificates, and also raw public keys (RPK). When RPKs are used, the voucher produced by the MASA pins the raw public key of the Registrar: the pinned-domain-subject-public-key-info in such a



voucher, is the raw public key of the Registrar. This is described in the YANG definition for the constrained voucher.

## 5. Discovery and URI

This section describes the BRSKI extensions to EST-coaps [[I-D.ietf-ace-coap-est](#)] to transport the voucher between registrar, proxy and pledge over CoAP. The extensions are targeted to low-resource networks with small packets. Saving header space is important and the EST-coaps URI is shorter than the EST URI.

The presence and location of (path to) the management data are discovered by sending a GET request to `"/.well-known/core"` including a resource type (RT) parameter with the value `"ace.est"` [[RFC6690](#)]. Upon success, the return payload will contain the root resource of the EST resources. It is up to the implementation to choose its root resource; throughout this document the example root resource `/est` is used. The example below shows the discovery of the presence and location of voucher resources.

```
REQ: GET /.well-known/core?rt=ace.est
```

```
RES: 2.05 Content
</est>; rt="ace.est"
```

The EST-coaps server URIs differ from the EST URI by replacing the scheme `https` by `coaps` and by specifying shorter resource path names:

```
coaps://www.example.com/est/short-name
```

Figure 5 in [section 3.2.2 of \[RFC7030\]](#) enumerates the operations and corresponding paths which are supported by EST. Table 1 provides the mapping from the BRSKI extension URI path to the EST-coaps URI path.

+-----+-----+	
BRSKI	EST-coaps
+-----+-----+	
/requestvoucher	/rv
/voucher-status	/vs
/enrollstatus	/es
/requestauditlog	/ra
+-----+-----+	

Table 1: BRSKI path to EST-coaps path





/requestvoucher and /enrollstatus are needed between pledge and Registrar.

When discovering the root path for the EST resources, the server MAY return the full resource paths and the used content types. This is useful when multiple content types are specified for EST-coaps server. For example, the following more complete response is possible.

```
REQ: GET /.well-known/core?rt=ace.est*

RES: 2.05 Content
</est>; rt="ace.est"
</est/rv>; rt="ace.est/rv";ct=50 60 TBD2 TBD3 16
</est/vs>; rt="ace.est/vs";ct=50 60
</est/es>; rt="ace.est/es";ct=50 60
</est/ra>; rt="ace.est/ra";ct=TBD2 TBD3 16
```

The first line MUST be returned in response to the GET, The following four lines MAY be returned to show the supported Content-Formats. The return of the content-types allows the client to choose the most appropriate one from multiple content types.

Port numbers, not returned in the example, are assumed to be the default numbers 5683 and 5684 for coap and coaps respectively (sections [12.6](#) and [12.7](#) of [\[RFC7252\]](#). Discoverable port numbers MAY be returned in the <href> of the payload.

ct=16 stands for the Content-Format "application/cose", and ct=TBD2 stands for Content-Format "application/voucher-cms+cbor", and ct=TBD3 stands for Content-Format "application/voucher-cose+cbor".

Content-Formats TBD2 and TBD3 are defined in this document. The return of the content-formats allows the client to choose the most appropriate one from multiple content formats.

The Content-Format ("application/json") 50 MAY be supported. Content-Formats ("application/cbor") 60, TBD2, TBD3, and 16 MUST be supported.

## 6. Artifacts

This section describes the abstract (tree) definition as explained in [\[I-D.ietf-netmod-yang-tree-diagrams\]](#) first. This provides a high-level view of the contents of each artifact.



Then the assigned SID values are presented. These have been assigned using the rules in [[I-D.ietf-core-yang-cbor](#)], with an allocation that was made via the <http://comi.space> service.

## **[6.1.](#) Voucher Request artifact**

### **[6.1.1.](#) Tree Diagram**

The following diagram is largely a duplicate of the contents of [[RFC8366](#)], with the addition of proximity-registrar-subject-public-key-info, proximity-registrar-cert, and prior-signed-voucher-request.

prior-signed-voucher-request is only used between the Registrar and the MASA. proximity-registrar-subject-public-key-info replaces proximity-registrar-cert for the extremely constrained cases.

```
module: ietf-constrained-voucher-request
```

```
  grouping voucher-request-constrained-grouping
```

```
    +-- voucher
```

```
      +-- created-on?
```

```
      |   yang:date-and-time
```

```
      +-- expires-on?
```

```
      |   yang:date-and-time
```

```
      +-- assertion
```

```
      enumeration
```

```
      +-- serial-number
```

```
      string
```

```
      +-- idevid-issuer?
```

```
      binary
```

```
      +-- pinned-domain-cert?
```

```
      binary
```

```
      +-- domain-cert-revocation-checks?
```

```
      boolean
```

```
      +-- nonce?
```

```
      binary
```

```
      +-- last-renewal-date?
```

```
      |   yang:date-and-time
```

```
      +-- proximity-registrar-subject-public-key-info?
```

```
      binary
```

```
      +-- proximity-registrar-cert?
```

```
      binary
```

```
      +-- prior-signed-voucher-request?
```

```
      binary
```

### **[6.1.2.](#) SID values**



Base SID value for voucher request: 1001150.

SID Assigned to

```
-----  
1001167 module ietf-constrained-voucher-request  
1001168 module ietf-restconf  
1001169 module ietf-voucher  
1001170 module ietf-yang-types  
1001171 data /ietf-constrained-voucher-request:voucher  
1001154 data ../ietf-constrained-voucher-request:voucher  
1001155 data ../assertion  
1001156 data ../created-on  
1001157 data ../domain-cert-revocation-checks  
1001158 data ../expires-on  
1001159 data ../idevid-issuer  
1001160 data ../last-renewal-date  
1001161 data ../nonce  
1001162 data ../pinned-domain-cert  
1001165 data ../prior-signed-voucher-request  
1001166 data ../proximity-registrar-cert  
1001163 data ../proximity-registrar-subject-public-key-info  
1001164 data ../serial-number  
1001172 data ../assertion  
1001173 data ../created-on  
1001174 data ../domain-cert-revocation-checks  
1001175 data ../expires-on  
1001176 data ../idevid-issuer  
1001177 data ../last-renewal-date  
1001178 data /ietf-constrained-voucher-request:voucher/nonce  
1001179 data ../pinned-domain-cert  
1001180 data ../prior-signed-voucher-request  
1001181 data ../proximity-registrar-cert  
1001182 data ../proximity-registrar-subject-public-key-info  
1001183 data ../serial-number  
1001150 data ietf-constrained-voucher-request  
1001151 data ietf-restconf  
1001152 data ietf-voucher  
1001153 data ietf-yang-types
```

WARNING, obsolete definitions

### **6.1.3. YANG Module**

In the constrained-voucher-request YANG module, the voucher is "augmented" within the "used" grouping statement such that one continuous set of SID values is generated for the constrained-



voucher-request module name, all voucher attributes, and the constrained-voucher-request attribute. Two attributes of the voucher are "refined" to be optional.

```
<CODE BEGINS> file "ietf-constrained-voucher-request@2018-09-01.yang"
module ietf-constrained-voucher-request {
  yang-version 1.1;

  namespace
    "urn:ietf:params:xml:ns:yang:ietf-constrained-voucher-request";
  prefix "constrained";

  import ietf-restconf {
    prefix rc;
    description
      "This import statement is only present to access
       the yang-data extension defined in RFC 8040.";
    reference "RFC 8040: RESTCONF Protocol";
  }

  import ietf-voucher {
    prefix "v";
  }

  organization
    "IETF ANIMA Working Group";

  contact
    "WG Web:  <http://tools.ietf.org/wg/anima/>
    WG List:  <mailto:anima@ietf.org>
    Author:   Michael Richardson
              <mailto:mcr+ietf@sandelman.ca>
    Author:   Peter van der Stok
              <mailto:consultancy@vanderstok.org>
    Author:   Panos Kampanakis
              <mailto:pkampana@cisco.com>";

  description
    "This module defines the format for a voucher request,
     which is produced by a pledge to request a voucher.
     The voucher-request is sent to the potential owner's
     Registrar, which in turn sends the voucher request to
     the manufacturer or delegate (MASA).

     A voucher is then returned to the pledge, binding the
     pledge to the owner. This is a constrained version of the
     voucher-request present in
     draft-ietf-anima-bootstrap-keyinfra.txt."
```





This version provides a very restricted subset appropriate for very constrained devices.

In particular, it assumes that nonce-ful operation is always required, that expiration dates are rather weak, as no clocks can be assumed, and that the Registrar is identified by a pinned Raw Public Key.

The key words 'MUST', 'MUST NOT', 'REQUIRED', 'SHALL', 'SHALL NOT', 'SHOULD', 'SHOULD NOT', 'RECOMMENDED', 'MAY', and 'OPTIONAL' in the module text are to be interpreted as described in [RFC 2119](#)."

```
revision "2018-09-01" {
  description
    "Initial version";
  reference
    "RFC XXXX: Voucher Profile for Constrained Devices";
}

rc:yang-data voucher-request-constrained-artifact {
  // YANG data template for a voucher.
  uses voucher-request-constrained-grouping;
}

// Grouping defined for future usage
grouping voucher-request-constrained-grouping {
  description
    "Grouping to allow reuse/extensions in future work.";

  uses v:voucher-artifact-grouping {

    refine voucher/created-on {
      mandatory false;
    }

    refine voucher/pinned-domain-cert {
      mandatory false;
    }

    augment "voucher" {
      description "Base the constrained voucher-request upon the
        regular one";

      leaf proximity-registrar-subject-public-key-info {
        type binary;
        description
          "The proximity-registrar-subject-public-key-info replaces
```



the proximit-registrar-cert in constrained uses of the voucher-request.

The proximity-registrar-subject-public-key-info is the Raw Public Key of the Registrar. This field is encoded as specified in [RFC7250, section 3](#).

The ECDSA algorithm MUST be supported.

The EdDSA algorithm as specified in [draft-ietf-tls-rfc4492bis-17](#) SHOULD be supported.

Support for the DSA algorithm is not recommended.

Support for the RSA algorithm is a MAY.";

}

leaf proximity-registrar-cert {

type binary;

description

"An X.509 v3 certificate structure as specified by

[RFC 5280,](#)

[Section 4](#) encoded using the ASN.1 distinguished encoding rules (DER), as specified in ITU-T X.690.

The first certificate in the Registrar TLS server certificate\_list sequence (see [[RFC5246](#)]) presented by the Registrar to the Pledge. This MUST be populated in a Pledge's voucher request if the proximity assertion is populated.";

}

leaf prior-signed-voucher-request {

type binary;

description

"If it is necessary to change a voucher, or re-sign and forward a voucher that was previously provided along a protocol path, then the previously signed voucher SHOULD be included in this field.

For example, a pledge might sign a proximity voucher, which an intermediate registrar then re-signs to make its own proximity assertion. This is a simple mechanism for a chain of trusted parties to change a voucher, while maintaining the prior signature information.

The pledge MUST ignore all prior voucher information when accepting a voucher for imprinting. Other parties MAY examine the prior signed voucher information for the purposes of policy decisions. For example this information could be useful to a MASA to determine that both pledge and registrar



```

    agree on proximity assertions. The MASA SHOULD
    remove all prior-signed-voucher-request information when
    signing a voucher for imprinting so as to minimize the
    final voucher size.";
  }
}
}
}
}
<CODE ENDS>

```

#### 6.1.4. Example voucher request artifact

Below a CBOR serialization of the constrained-voucher-request is shown in diagnostic CBOR notation. The enum value of the assertion field is calculated to be zero by following the algorithm described in [section 9.6.4.2 of \[RFC7950\]](#).

```

{
  1001051: {
    +2 : "2016-10-07T19:31:42Z", / SID= 1001053, created-on /
    +4 : "2016-10-21T19:31:42Z", / SID= 1001055, expires-on /
    +1 : 0, / SID= 1001052, assertion /
    / "verified" /
    +10: "JADA123456789", / SID= 1001061, serial-number /
    +5 : h'01020D0F', / SID= 1001056, idevid-issuer /
    +15: h'01020D0F', / SID=1001066, proximity-registrar-cert/
    +3 : true, / SID= 1001054, domain-cert
    / -revocation-checks/
    +6 : "2017-10-07T19:31:42Z", / SID= 1001057, last-renewal-date /
    +9 : h'01020D0F' / SID= 1001060, pinned-domain
    / -subject-public-key-info /
  }
}

```

#### 6.2. Voucher artifact

The voucher's primary purpose is to securely assign a pledge to an owner. The voucher informs the pledge which entity it should consider to be its owner.

This document defines a voucher that is a CBOR encoded instance of the YANG module defined in [Section 5.3](#) that has been signed with CMS or with COSE.



### 6.2.1. Tree Diagram

The following diagram is largely a duplicate of the contents of [\[RFC8366\]](#), with only the addition of pinned-domain-subject-public-key-info.

```
module: ietf-constrained-voucher
```

```
  grouping voucher-constrained-grouping
```

```
    +-- voucher
```

+-- created-on?	yang:date-and-time
+-- expires-on?	yang:date-and-time
+-- assertion	enumeration
+-- serial-number	string
+-- idevid-issuer?	binary
+-- pinned-domain-cert?	binary
+-- domain-cert-revocation-checks?	boolean
+-- nonce?	binary
+-- last-renewal-date?	yang:date-and-time
+-- pinned-domain-subject-public-key-info?	binary

### 6.2.2. SID values

Base SID value for voucher request: 1001101.

```
  SID Assigned to
```

```
-----
1001115 module ietf-constrained-voucher
1001116 module ietf-restconf
1001117 module ietf-voucher
1001118 module ietf-yang-types
1001119 data /ietf-constrained-voucher:voucher
1001104 data .../ietf-constrained-voucher:voucher
1001105 data .../assertion
1001106 data .../created-on
1001107 data .../domain-cert-revocation-checks
1001108 data .../expires-on
1001109 data .../idevid-issuer
1001110 data .../last-renewal-date
1001111 data .../nonce
1001112 data .../pinned-domain-cert
1001113 data .../pinned-domain-subject-public-key-info
1001114 data .../serial-number
```





### 6.2.3. YANG Module

In the constraine-voucher YANG module, the voucher is "augmented" within the "used" grouping statement such that one continuous set of SID values is generated for the constrained-voucher module name, all voucher attributes, and the constrained-voucher attribute. Two attributes of the voucher are "refined" to be optional.

```
<CODE BEGINS> file "ietf-constrained-voucher@2018-09-01.yang"
module ietf-constrained-voucher {
  yang-version 1.1;

  namespace
    "urn:ietf:params:xml:ns:yang:ietf-constrained-voucher";
  prefix "constrained";

  import ietf-restconf {
    prefix rc;
    description
      "This import statement is only present to access
       the yang-data extension defined in RFC 8040.";
    reference "RFC 8040: RESTCONF Protocol";
  }

  import ietf-voucher {
    prefix "v";
  }

  organization
    "IETF ANIMA Working Group";

  contact
    "WG Web:  <http://tools.ietf.org/wg/anima/>
    WG List:  <mailto:anima@ietf.org>
    Author:   Michael Richardson
              <mailto:mcr+ietf@sandelman.ca>
    Author:   Peter van der Stok
              <mailto:consultancy@vanderstok.org>
    Author:   Panos Kampanakis
              <mailto:pkampana@cisco.com>";

  description
    "This module defines the format for a voucher, which is produced
     by a pledge's manufacturer or delegate (MASA) to securely assign
     one or more pledges to an 'owner', so that the pledges may
     establish a secure connection to the owner's network
     infrastructure.
```

This version provides a very restricted subset appropriate



for very constrained devices.

In particular, it assumes that nonce-ful operation is always required, that expiration dates are rather weak, as no clocks can be assumed, and that the Registrar is identified by a pinned Raw Public Key.

The key words 'MUST', 'MUST NOT', 'REQUIRED', 'SHALL', 'SHALL NOT', 'SHOULD', 'SHOULD NOT', 'RECOMMENDED', 'MAY', and 'OPTIONAL' in the module text are to be interpreted as described in [RFC 2119](#)."

```
revision "2018-09-01" {
  description
    "Initial version";
  reference
    "RFC XXXX: Voucher Profile for Constrained Devices";
}

rc:yang-data voucher-constrained-artifact {
  // YANG data template for a voucher.
  uses voucher-constrained-grouping;
}

// Grouping defined for future usage
grouping voucher-constrained-grouping {
  description
    "Grouping to allow reuse/extensions in future work.";

  uses v:voucher-artifact-grouping {

    refine voucher/created-on {
      mandatory false;
    }

    refine voucher/pinned-domain-cert {
      mandatory false;
    }

    augment "voucher" {
      description "Base the constrained voucher
                  upon the regular one";
      leaf pinned-domain-subject-public-key-info {
        type binary;
        description
          "The pinned-domain-subject-public-key-info replaces the
           pinned-domain-cert in constrained uses of
           the voucher. The pinned-domain-subject-public-key-info
           is the Raw Public Key of the Registrar."
      }
    }
  }
}
```



This field is encoded as specified in [RFC7250, section 3](#).

The ECDSA algorithm MUST be supported.

The EdDSA algorithm as specified in [draft-ietf-tls-rfc4492bis-17](#) SHOULD be supported.

Support for the DSA algorithm is not recommended.

Support for the RSA algorithm is a MAY.";

```

    }
  }
}
}
<CODE ENDS>

```

#### [6.2.4.](#) Example voucher artifacts

Below a the CBOR serialization of the the constrained-voucher and constrained-voucher-request are shown in diagnostic CBOR notation. The enum value of the assertion field is calculated to be zero by following the algorithm described in [section 9.6.4.2 of \[RFC7950\]](#).

```

{
  1001101: {
    +2 : "2016-10-07T19:31:42Z", / SID = 1001103, created-on /
    +4 : "2016-10-21T19:31:42Z", / SID = 1001105, expires-on /
    +1 : 0, / SID = 1001102, assertion /
    / "verified" /
    +12: "JADA123456789", / SID = 1001113, serial-number /
    +5 : h'01020D0F', / SID = 1001106, idevid-issuer /
    +8 : h'01020D0F', / SID = 1001109, pinned-domain-cert/
    +3 : true, / SID = 1001104, domain-cert
    -revocation-checks /
    +6 : "2017-10-07T19:31:42Z", / SID = 1001107, last-renewal-date /
    +11: h'01020D0F' / SID = 1001112, proximity
    -registrar-subject-public-key-info /
  }
}

```

#### [6.3.](#) CMS format voucher and voucher-request artifacts

The IETF evolution of PKCS#7 is CMS [\[RFC5652\]](#). The CMS signed voucher is much like the equivalent voucher defined in [\[RFC8366\]](#).

A different eContentType of TBD1 is used to indicate that the contents are in a different format than in [\[RFC8366\]](#).

The ContentInfo structure contains a payload consisting of the CBOR encoded voucher. The [\[I-D.ietf-core-yang-cbor\]](#) use of delta encoding



creates a canonical ordering for the keys on the wire. This canonical ordering is not important as there is no expectation that the content will be reproduced during the validation process.

Normally the recipient is the pledge and the signer is the MASA.

[I-D.ietf-anima-bootstrapping-keyinfra] supports both signed and unsigned voucher requests from the pledge to the JRC. In this specification, voucher-request artifact is not signed from the pledge to the registrar. From the JRC to the MASA, the voucher-request artifact MUST be signed by the domain owner key which is requesting ownership.

The considerations of [\[RFC5652\] section 5.1](#), concerning validating CMS objects which are really PKCS7 objects (cmsVersion=1) applies.

The CMS structure SHOULD also contain all the certificates leading up to and including the signer's trust anchor certificate known to the recipient. The inclusion of the trust anchor is unusual in many applications, but without it third parties can not accurately audit the transaction.

The CMS structure MAY also contain revocation objects for any intermediate certificate authorities (CAs) between the voucher-issuer and the trust anchor known to the recipient. However, the use of CRLs and other validity mechanisms is discouraged, as the pledge is unlikely to be able to perform online checks, and is unlikely to have a trusted clock source. As described below, the use of short-lived vouchers and/or pledge provided nonce provides a freshness guarantee.

#### **[6.3.1](#). COSE signing**

The COSE-Sign1 structure discussed in [section 4.2 of \[RFC8152\]](#). The CBOR object that carries the body, the signature, and the information about the body and signature is called the COSE\_Sign1 structure. It is used when only one signature is used on the body. The signature algorithm is ECSDA with three curves P-256, P-384, and P-512.

Support for EdDSA is encouraged.

Unlike with the CMS structure, the COSE-Sign1 structure does not provide a standard way for the signing keys to be included in the structure. This will not, in general, be a problem for the Pledge, as the key needed to verify the signature MUST be included at manufacturing time.





A problem arises for the Registrar: to verify the voucher, the Registrar must have access to the MASA's public key. This document does not specify how to transfer the relevant key.

## **7. Design Considerations**

The design considerations for the CBOR encoding of vouchers is much the same as for [[RFC8366](#)].

One key difference is that the names of the leaves in the YANG does not have a material effect on the size of the resulting CBOR, as the SID translation process assigns integers to the names.

## **8. Security Considerations**

### **8.1. Clock Sensitivity**

TBD.

### **8.2. Protect Voucher PKI in HSM**

TBD.

### **8.3. Test Domain Certificate Validity when Signing**

TBD.

## **9. IANA Considerations**

### **9.1. Resource Type Registry**

Additions to the sub-registry "CoAP Resource Type", within the "CoRE parameters" registry are specified below. These can be registered either in the Expert Review range (0-255) or IETF Review range (256-9999).

ace.rt.rv needs registration with IANA  
ace.rt.vs needs registration with IANA  
ace.rt.es needs registration with IANA  
ace.rt.ra needs registration with IANA

### **9.2. The IETF XML Registry**

This document registers two URIs in the IETF XML registry [[RFC3688](#)]. Following the format in [[RFC3688](#)], the following registration is requested:



URI: urn:ietf:params:xml:ns:yang:ietf-constrained-voucher  
 Registrant Contact: The ANIMA WG of the IETF.  
 XML: N/A, the requested URI is an XML namespace.

URI: urn:ietf:params:xml:ns:yang:ietf-constrained-voucher-request  
 Registrant Contact: The ANIMA WG of the IETF.  
 XML: N/A, the requested URI is an XML namespace.

### 9.3. The YANG Module Names Registry

This document registers two YANG modules in the YANG Module Names registry [RFC6020]. Following the format defined in [RFC6020], the the following registration is requested:

```

name:      ietf-constrained-voucher
namespace: urn:ietf:params:xml:ns:yang:ietf-constrained-voucher
prefix:    vch
reference:  RFC XXXX

name:      ietf-constrained-voucher-request
namespace: urn:ietf:params:xml:ns:yang:ietf-constrained
              -voucher-request
prefix:    vch
reference:  RFC XXXX

```

### 9.4. The SMI Security for S/MIME CMS Content Type Registry

This document registers an OID in the "SMI Security for S/MIME CMS Content Type" registry (1.2.840.113549.1.9.16.1), with the value:

Decimal	Description	References
TBD1	id-ct-animaCBORVoucher	[ThisRFC]

EDNOTE: should a separate value be used for Voucher Requests?

### 9.5. The SID registry

The SID range 1001100 was allocated by comi.space to the IETF-CONSTRAINED-VOUCHER yang module.

The SID range 1001150 was allocated by comi.space to the IETF-CONSTRAINED-VOUCHER-REQUEST yang module.

EDNOTE: it is unclear if there is further IANA work required.



## **9.6. Media-Type Registry**

This section registers the 'application/voucher-cms+cbor' media type and the 'application/voucher-cose+cbor' in the "Media Types" registry. These media types are used to indicate that the content is a CBOR voucher either signed with a cms structure or a COSE\_Sign1 structure [[RFC8152](#)].

### **9.6.1. application/voucher-cms+cbor**

Type name: application  
Subtype name: voucher-cms+cbor  
Required parameters: none  
Optional parameters: none  
Encoding considerations: CMS-signed CBOR vouchers are CBOR encoded.  
Security considerations: See Security Considerations, Section  
Interoperability considerations: The format is designed to be broadly interoperable.  
Published specification: THIS RFC.  
Applications that use this media type: ANIMA, 6tisch, and other zero-touch imprinting systems  
Additional information:  
    Magic number(s): None  
    File extension(s): .vch  
    Macintosh file type code(s): none  
Person & email address to contact for further information: IETF ANIMA WG  
Intended usage: LIMITED  
Restrictions on usage: NONE  
Author: ANIMA WG  
Change controller: IETF  
Provisional registration? (standards tree only): NO

### **9.6.2. application/voucher-cose+cbor**



Type name: application  
 Subtype name: voucher-cose+cbor  
 Required parameters: none  
 Optional parameters: cose-type  
 Encoding considerations: COSE\_Sign1 CBOR vouchers are COSE objects signed with one signer.  
 Security considerations: See Security Considerations, Section  
 Interoperability considerations: The format is designed to be broadly interoperable.  
 Published specification: THIS RFC.  
 Applications that use this media type: ANIMA, 6tisch, and other zero-touch imprinting systems  
 Additional information:  
   Magic number(s): None  
   File extension(s): .vch  
   Macintosh file type code(s): none  
 Person & email address to contact for further information: IETF ANIMA WG  
 Intended usage: LIMITED  
 Restrictions on usage: NONE  
 Author: ANIMA WG  
 Change controller: IETF  
 Provisional registration? (standards tree only): NO

### **9.7. CoAP Content-Format Registry**

Additions to the sub-registry "CoAP Content-Formats", within the "CoRE Parameters" registry are needed for two media types. These can be registered either in the Expert Review range (0-255) or IETF Review range (256-9999).

Media type	mime type	Encoding	ID	References
application/voucher-cms+cbor	- -	CBOR	TBD2	[This RFC]
application/voucher-cose+cbor	"COSE-Sign1"	CBOR	TBD3	[This RFC]

## **10. Acknowledgements**

We are very grateful to Jim Schaad for explaining COSE and CMS choices.

Michel Veillette did extensive work on pyang to extend it to support the SID allocation process, and this document was among the first users.

We are grateful for the suggestions done by Esko Dijk.





## **11. Changelog**

-02

Example of requestvoucher with unsigned application/cbor is added  
attributes of voucher "refined" to optional  
CBOR serialization of vouchers improved  
Discovery port numbers are specified

-01

application/json is optional, application/cbor is compulsory  
Cms and cose mediatypes are introduced

## **12. References**

### **12.1. Normative References**

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## **Appendix A. EST messages to EST-coaps**

This section extends the examples from [Appendix A](#) of [\[I-D.ietf-ace-coap-est\]](#). The CoAP headers are only worked out for the enrollstatus example.

### **A.1. enrollstatus**

A coaps enrollstatus message can be :

```
GET coaps://[192.0.2.1:8085]/est/es
```

The corresponding coap header fields are shown below.



```
Ver = 1
T = 0 (CON)
Code = 0x01 (0.01 is GET)
Options
  Option1 (Uri-Host)
    Option Delta = 0x3 (option nr = 3)
    Option Length = 0x9
    Option Value = 192.0.2.1
  Option2 (Uri-Port)
    Option Delta = 0x4 (option nr = 4+3=7)
    Option Length = 0x4
    Option Value = 8085
  Option3 (Uri-Path)
    Option Delta = 0x4 (option nr = 7+4= 11)
    Option Length = 0x7
    Option Value = /est/es
Payload = [Empty]
```

A 2.05 Content response with an unsigned JSON voucher (ct=50) will then be:

```
2.05 Content (Content-Format: application/json)
  {payload}
```

With CoAP fields and payload:

```
Ver=1
T=2 (ACK)
Code = 0x45 (2.05 Content)
Options
  Option1 (Content-Format)
    Option Delta = 0xC (option nr 12)
    Option Length = 0x2
    Option Value = 0x32 (application/json)

  Payload =
  [EDNOTE: put here voucher payload ]
```

## [A.2.](#) voucher\_status

A coaps voucher\_status message can be :

```
GET coaps://[2001:db8::2:1]:61616]/est/vs
```

A 2.05 Content response with a non signed CBOR voucher (ct=60) will then be:





2.05 Content (Content-Format: application/cbor)  
Payload =  
[EDNOTE: put here voucher payload ]

### **A.3. requestvoucher**

Two request-voucher request payloads are possible from pledge to Registrar, a signed one and an unsigned one, as explained in Section 5.2 of [[I-D.ietf-anima-bootstrapping-keyinfra](#)].

#### **A.3.1. signed requestvoucher**

A coaps signed requestvoucher message from RA to MASA can be :

POST coaps://[2001:db8::2:1]:61616]/est/rv

A 2.04 Changed response returning CBOR voucher signed with a cms structure(ct=TBD2) will then be:

2.04 Changed (Content-Format: application/voucher-cms+cbor)  
Payload =  
[EDNOTE: put here encrypted voucher payload ]

#### **A.3.2. unsigned requestvoucher**

A coaps unsigned requestvoucher message from pledge to Registrar can be :

POST coaps://[2001:db8::2:1]:61616]/est/rv

A 2.04 Changed response returning CBOR voucher (ct=60) will then be:

2.04 Changed (Content-Format: application/cbor)  
Payload =  
[EDNOTE: put here encrypted voucher payload ]

### **A.4. requestauditing**

A coaps requestauditing message can be :

GET coaps://[2001:db8::2:1]:61616]/est/ra

A 2.05 Content response returning a COSE\_Sign1 object (ct=TBD3) will then be:

2.05 Content (Content-Format: application/voucher-cose+cbor)  
Payload =  
[EDNOTE: put here COSE\_Sign1 voucher payload ]



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