Workgroup: anima Working Group

Internet-Draft:

draft-ietf-anima-constrained-voucher-14

Updates: <u>8366</u>, <u>8995</u> (if approved)

Published: 25 October 2021

Intended Status: Standards Track

Expires: 28 April 2022

Authors: M. Richardson P. van der Stok

Sandelman Software Works vanderstok consultancy

P. Kampanakis E. Dijk

Cisco Systems IoTconsultancy.nl

Constrained Bootstrapping Remote Secure Key Infrastructure (BRSKI)

Abstract

This document defines a protocol to securely assign a Pledge to an owner and to enroll it into the owner's network. The protocol uses an artifact that is signed by the Pledge's manufacturer. This artifact is known as a "voucher".

This document builds upon the work in [RFC8366] and [BRSKI], but defines an encoding of the voucher in CBOR rather than JSON, and enables the Pledge to perform its transactions using CoAP rather than HTTPS.

The use of Raw Public Keys instead of X.509 certificates for security operations is also explained.

Status of This Memo

This Internet-Draft is submitted in full conformance with the provisions of BCP 78 and BCP 79.

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at https://datatracker.ietf.org/drafts/current/.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on 28 April 2022.

Copyright Notice

Copyright (c) 2021 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents

(https://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

Table of Contents

- 1. Introduction
- Terminology
- 3. Requirements Language
- 4. Overview of Protocol
- 5. Updates to RFC8366 and RFC8995
- 6. BRSKI-EST Protocol
 - 6.1. Registrar and the Server Name Indicator (SNI)
 - 6.2. TLS Client Certificates: IDevID authentication
 - 6.3. Discovery, URIs and Content Formats
 - 6.3.1. RFC8995 Telemetry Returns
 - <u>6.4</u>. <u>Join Proxy options</u>
 - 6.5. Extensions to BRSKI
 - 6.5.1. Discovery
 - 6.5.2. CoAP responses
 - 6.6. Extensions to EST-coaps
 - 6.6.1. Pledge Extensions
 - 6.6.2. EST-client Extensions
 - 6.6.3. Registrar Extensions
 - 6.7. DTLS handshake fragmentation Considerations
- 7. BRSKI-MASA Protocol
 - 7.1. Protocol and Formats
 - 7.2. Registrar Voucher Request
 - 7.3. MASA and the Server Name Indicator (SNI)
 - 7.3.1. Registrar Certificate Requirement
- 8. Pinning in Voucher Artifacts
 - 8.1. Registrar Identity Selection and Encoding
 - 8.2. MASA Pinning Policy
 - 8.3. Pinning of Raw Public Keys
 - 8.4. Considerations for use of IDevID-Issuer
- 9. Artifacts
 - 9.1. Voucher Request artifact
 - 9.1.1. Tree Diagram
 - 9.1.2. SID values
 - 9.1.3. YANG Module
 - 9.1.4. Example voucher request artifact
 - 9.2. <u>Voucher artifact</u>
 - 9.2.1. <u>Tree Diagram</u>

9.2.2. SID values 9.2.3. YANG Module 9.2.4. Example voucher artifacts 9.3. Signing voucher and voucher-request artifacts with COSE 10. Deployment specific Discovery Considerations 10.1. 6TSCH Deployments 10.2. Generic networks using GRASP 10.3. Generic networks using mDNS 10.4. Thread networks using Mesh Link Establishment (MLE) 10.5. Non-mesh networks using CoAP Discovery 11. Design Considerations 12. Raw Public Key Use Considerations 12.1. The Registrar Trust Anchor 12.2. The Pledge Voucher Request 12.3. The Voucher Response 13. Security Considerations 13.1. Duplicate serial-numbers 13.2. IDevID security in Pledge 13.3. Security of CoAP and UDP protocols 13.4. Registrar Certificate may be self-signed 14. IANA Considerations 14.1. Resource Type Registry 14.2. The IETF XML Registry 14.3. The YANG Module Names Registry 14.4. The RFC SID range assignment sub-registry <u>14.5</u>. <u>Media Types Registry</u> 14.5.1. application/voucher-cose+cbor 14.6. CoAP Content-Format Registry 15. Acknowledgements 16. Changelog 17. References 17.1. Normative References 17.2. Informative References Appendix A. Library support for BRSKI A.1. OpensSSL A.2. mbedTLS A.3. wolfSSL Appendix B. Constrained BRSKI-EST messages B.1. enrollstatus B.2. voucher status Appendix C. COSE examples C.1. Pledge, Registrar and MASA keys C.1.1. Pledge private key C.1.2. Registrar private key

C.1.3. MASA private key

C.2.3. MASA Certificate

C.2. Pledge, Registrar and MASA certificates

C.2.1. Pledge IDevID certificateC.2.2. Registrar Certificate

- C.3. COSE signed voucher request from Pledge to Registrar
- C.4. COSE signed voucher request from Registrar to MASA
- <u>C.5.</u> <u>COSE signed voucher from MASA to Pledge via Registrar</u> Contributors

Authors' Addresses

1. Introduction

Secure enrollment of new nodes into constrained networks with constrained nodes presents unique challenges. As explained in [RFC7228], the networks are challenged and the nodes are constrained by energy, memory space, and code size.

The Bootstrapping Remote Secure Key Infrastructure (BRSKI) protocol described in [RFC8995] provides a solution for secure zero-touch (automated) bootstrap of new (unconfigured) devices. In it, new devices, such as IoT devices, are called "pledges", and equipped with a factory-installed Initial Device Identifier (IDevID) (see [ieee802-1AR]), they are enrolled into a network.

The BRSKI solution described in [RFC8995] was designed to be modular, and this document describes a version scaled to the constraints of IoT deployments.

Therefore, this document defines a constrained version of the voucher artifact (described in [RFC8366]), along with a constrained version of BRSKI. This constrained-BRSKI protocol makes use of the constrained CoAP-based version of EST (EST-coaps from [I-D.ietf-ace-coap-est]) rather than the EST over HTTPS [RFC7030].

In BRSKI, the the [RFC8366] voucher is by default serialized to JSON with a signature in CMS [RFC5652]. This document defines a new voucher serialization to CBOR [RFC8949] with a signature in COSE [I-D.ietf-cose-rfc8152bis-struct].

This COSE-signed CBOR-encoded voucher is transported using secured COAP and HTTPS.

The CoAP connection (between Pledge and Registrar) is to be protected by either OSCORE+EDHOC [I-D.ietf-lake-edhoc] or DTLS (CoAPS). The HTTP connection (between Registrar and MASA) is to be protected using TLS (HTTPS).

This document specifies a constrained voucher-request artifact based on $\underline{\text{Section 3}}$ of $\underline{[\text{RFC8995}]}$, and voucher(-request) transport over CoAP based on $\underline{\text{Section 3}}$ of $\underline{[\text{RFC8995}]}$ and on $\underline{[\text{I-D.ietf-ace-coap-est}]}$.

The CBOR definitions for the constrained voucher format are defined using the mechanism described in [I-D.ietf-core-yang-cbor] using the SID mechanism explained in [I-D.ietf-core-sid]. As the tooling to

convert YANG documents into a list of SID keys is still in its infancy, the table of SID values presented here MUST be considered normative rather than the output of the pyang tool.

There is additional work when the voucher is integrated into the key-exchange, described in $[\underline{I-D.selander-ace-ake-authz}]$. This work is not in scope for this document.

2. Terminology

The following terms are defined in [RFC8366], and are used identically as in that document: artifact, domain, imprint, Join Registrar/Coordinator (JRC), Manufacturer Authorized Signing Authority (MASA), Pledge, Registrar, Trust of First Use (TOFU), and Voucher.

The following terms from [RFC8995] are used identically as in that document: Domain CA, enrollment, IDevID, Join Proxy, LDevID, manufacturer, nonced, nonceless, PKIX.

The term Pledge Voucher Request, or acronym PVR, is introduced to refer to the voucher request between the pledge and the Registrar.

The term Registrar Voucher Request, or acronym RVR, is introduced to refer to the voucher request between the Registrar and the MASA.

3. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

4. Overview of Protocol

 $[\underline{\text{RFC8366}}]$ provides for vouchers that assert proximity, that authenticate the Registrar and that can offer varying levels of anti-replay protection.

The proximity proof provided for in [RFC8366], is an assertion that the Pledge and the Registrar are believed to be in close together, from a network topology point of view. Like in [RFC8995], proximity shown by making TLS connections between the Pledge and Registrar using IPv6 Link-Local addresses.

The TLS connection is used to make a Voucher Request. This request is verified by the an agent of the Pledge's manufacturer, which then issues a voucher. The voucher provides an authorization statement from the manufacturer indicating that the Registrar is the intended

owner of the device. The voucher refers to the Registrar through pinning of the Registrar's identity.

This document does not make any extensions to the semantic meaning of vouchers, only the encoding has been changed to optimize for constrained devices and networks. The two main parts of the BRSKI protocol are named separately in this document: BRSKI-EST for the protocol between Pledge and Registrar, and BRSKI-MASA for the protocol between the Registrar and the MASA.

Time-based vouchers are supported in this definition, but given that constrained devices are extremely unlikely to have accurate time, their use will be uncommon. Most Pledges using constrained vouchers will be online during enrollment and will use live nonces to provide anti-replay protection rather than expiry times.

[RFC8366] defines the voucher artifact, while the Voucher Request artifact was defined in [RFC8995]. This document defines both a constrained voucher and a constrained voucher-request. They are presented in the order "voucher-request", followed by a "voucher" response as this is the order that they occur in the protocol.

The constrained voucher request MUST be signed by the Pledge. It signs using the private key associated with its IDevID X.509 certificate, or if an IDevID is not available, then the private key associated with its manufacturer-installed raw public key (RPK). Section 12 provides additional details on PKIX-less operations.

The constrained voucher MUST be signed by the MASA.

For the constrained voucher request this document defines two distinct methods for the Pledge to identify the Registrar: using either the Registrar's X.509 certificate, or using a raw public key (RPK) of the Registrar.

For the constrained voucher also these two methods are supported to indicate (pin) a trusted domain identity: using either a pinned domain X.509 certificate, or a pinned raw public key (RPK).

The BRSKI architectures mandates that the MASA be aware of the capabilities of the pledge. This is not a hardship as the pledges are constructed by a manufacturer who also arranges for the MASA to be aware of the inventory of devices.

The MASA therefore knows if the pledge supports PKIX operations, PKIX format certificates, or if the pledge is limited to Raw Public Keys (RPK). Based upon this, the MASA can select which attributes to use in the voucher for certain operations, like the pinning of the Registrar identity. This is described in more detail in Section 8 and Section 8 and

5. Updates to RFC8366 and RFC8995

This section details the ways in which this document Updates. The terminology for Updates is taken from [I-D.kuehlewind-update-tag].

This document Updates [RFC8366]. It Extends [RFC8366] by creating a new serialization format.

This document Updates [RFC8995]. It Amends [RFC8995] by clarifying how pinning is done, and ???.

6. BRSKI-EST Protocol

This section describes the constrained BRSKI extensions to EST-coaps [I-D.ietf-ace-coap-est] to transport the voucher between Registrar and Pledge (optionally via a Join Proxy) over CoAP. The extensions are targeting low-resource networks with small packets.

The constrained BRSKI-EST protocol described in this section is between the Pledge and the Registrar only.

6.1. Registrar and the Server Name Indicator (SNI)

A DTLS connection is established between the pledge and the Registrar. As described in <u>Section 5.1</u> of [RFC8995] the pledge establishes a TLS connection to the Registrar. This occurs via a variety of Join Proxy mechanisms described in <u>Section 6.4</u>. Regardless of the mechanism, the DTLS connection should operate identically.

This issue affects [RFC8995] as well, and is reported in errata: https://www.rfc-editor.org/errata/eid6648

As the Registrar is discovered by IP address, and connected via the Join proxy, the name of the Registrar is not known to the Pledge. The Pledge will not know what the hostname for the Registrar is, so the pledge can not do RFC6125 DNS-ID validation on the Registrar's certificate. That is the purpose of the RFC8366 voucher.

As the Pledge does not know the name of the Registrar, the Pledge cannot put any reasonable value into the [RFC6066] Server Name Indicator (SNI). The pledge SHOULD omit the SNI extension as per Section 9.2 of [RFC8446].

In some cases, particularly when debugging and doing interoperability testing, a Pledge may be given the hostname of a particular Registrar to connect to. Such a bypass of the discovery process may result in the Pledge taking a different path to DTLS connection, and may result in the SNI being inserted by a library. The Registrar MUST ignore any SNI seen.

A primary motivation for making the SNI ubiquitous in the public web is because it allows for multi-tenant hosting of HTTPS sites on a single (scarce) IPv4 address. This consideration does not apply to the Registrar because:

- *it uses DTLS and CoAP, not HTTPS
- *it uses IPv6, often [RFC4193] Unique Local Address, which are plentiful
- *the port number number is discovered, so multiple tenants can be accommodate via unique port numbers.

As per <u>Section 3.6.1</u> of [<u>RFC7030</u>], the Registrar certificate MUST have the Extended Key Usage (EKU) id-kp-cmcRA. This certificate is also used as a TLS Server Certificate, so it must also have the EKU id-kp-serverAuth.

6.2. TLS Client Certificates: IDevID authentication

As described in <u>Section 5.1</u> of [RFC8995], the Pledge makes a connection to the Registrar using TLS Client Certificate for authentication.

Subsequently the pledge will send a Pledge Voucher Request (PVR).

As explained below in <u>Section 8.1</u>, the "x5bag" may be used in the RVR to communicate identity of the Registrar to MASA. The Pledge SHOULD NOT use the x5bag attribute in this way in the PVR. A Registrar that processes a PVR with an x5bag attribute MUST ignore it, and MUST use only the TLS Client Certificate extension for authentication of the Pledge.

A situation where the pledge MAY use the x5bag is for communications of certificate chains to the MASA. This would arise in some vendor specific situations involving outsourcing of MASA functionality, or rekey of IDevID certification authority.

6.3. Discovery, URIs and Content Formats

To keep the protocol messages small the EST-coaps and constrained-BRSKI URIs are shorter than the respective EST and BRSKI URIs.

The EST-coaps server URIs differ from the EST URIs by replacing the scheme https by coaps and by specifying shorter resource path names. Below are some examples; the first two using a discovered short path name and the last one using the well-known URI of EST which requires no discovery.

```
coaps://server.example.com/est/<short-name>
coaps://server.example.com/e/<short-name>
coaps://server.example.com/.well-known/est/<short-name>
```

Similarly the constrained BRSKI server URIs differ from the BRSKI URIs by replacing the scheme https by coaps and by specifying shorter resource path names. Below are some examples; the first two using a discovered short path name and the last one using the well-known URI prefix which requires no discovery. This is the same "/.well-known/brski" prefix as defined in Section 5 of [RFC8995].

```
coaps://server.example.com/brski/<short-name>
coaps://server.example.com/b/<short-name>
coaps://server.example.com/.well-known/brski/<short-name>
```

Figure 5 in <u>Section 3.2.2</u> of [<u>RFC7030</u>] enumerates the operations supported by EST, for which Table 1 in <u>Section 5.1</u> of [<u>I-D.ietf-ace-coap-est</u>] enumerates the corresponding EST-coaps short path names. Similarly, <u>Table 1</u> provides the mapping from the supported BRSKI extension URI paths to the constrained-BRSKI URI paths.

BRSKI resource	constrained-BRSKI resource
/requestvoucher	/rv
/voucher_status	/vs
/enrollstatus	/es

Table 1: BRSKI URI paths mapping to constrained BRSKI URI paths

Note that /requestvoucher indicated above occurs between the Pledge and Registrar (in scope of the BRSKI-EST protocol), but it also occurs between Registrar and MASA. However, as described in <u>Section</u> 6, this section and above table addresses only the BRSKI-EST protocol.

Pledges that wish to discover the available BRSKI bootstrap options/ formats, or reduce the size of the CoAP headers by eliminating the "/.well-known/brski" path, can do a discovery operation using [RFC6690] Section 4 by sending a discovery query to the Registrar.

For example, if the Registrar supports a short BRSKI URL (/b) and supports the voucher format "application/voucher-cose+cbor" (TBD3), and status reporting in both CBOR and JSON formats:

REQ: GET /.well-known/core?rt=brski*

RES: 2.05 Content
Content-Format: 40
Payload:
;rt=brski,
</b/rv>;rt=brski.rv;ct=TBD3,
</b/vs>;rt=brski.vs;ct="50 60",
</b/es>;rt=brski.es;ct="50 60"

The Registrar is under no obligation to provide shorter URLs, and MAY respond to this query with only the "/.well-known/brski/<short-name>" end points for the short names as defined in Table 1.

Registrars that have implemented shorter URLs MUST also respond in equivalent ways to the corresponding "/.well-known/brski/<short-name>" URLs, and MUST NOT distinguish between them. In particular, a Pledge MAY use the longer and shorter URLs in any combination.

When responding to a discovery request for BRSKI resources, the server MAY in addition return the full resource paths and the content types which are supported at those end-points as shown in above example. This is useful when multiple content types are specified for a particular resource on the server. The server responds with only the root path for the BRSKI resource (rt=brski, resource /b in above example) and no others in case the client queries for only rt=brski type resources. (So, a query for rt=brski, without the wildcard character.)

Without discovery, a longer well-known URL can only be used, such as:

REQ: GET /.well-known/brski/rv

while with discovery of shorter URLs, a request such as:

REQ: GET /b/rv

is possible.

The return of multiple content-types in the "ct" attribute allows the Pledge to choose the most appropriate one. Note that Content-Format TBD3 ("application/voucher-cose+cbor") is defined in this document.

Content-Format TBD3 MUST be supported by the Registrar for the /rv resource. If the "ct" attribute is not indicated for the /rv resource in the link format description, this implies that at least TBD3 is supported.

Note that this specification allows for voucher-cose+cbor format requests and vouchers to be transmitted over HTTPS, as well as for voucher-cms+json and other formats yet to be defined over CoAP. The burden for this flexibility is places upon the Registrar. The pledge is expected to support a single format only.

The Pledge and MASA need to support one or more formats (at least TBD3) for the voucher and for the voucher request. The MASA needs to support all formats that the Pledge, produced by that manufacturer, supports.

<u>Section 10</u> details how the Pledge discovers the Registrar and Join Proxy in different deployment scenarios.

6.3.1. RFC8995 Telemetry Returns

[RFC8995] defines two telemetry returns from the Pledge which are sent to the Registrar. These are the BRSKI Status Telemetry [RFC8995], Section 5.7 and the Enrollment Status Telemetry [RFC8995], Section 5.9.4. These are two POST operations made the by Pledge at two key steps in the process.

 $[{\tt RFC8995}]$ defines the content of these POST operations in CDDL, which are serialized as JSON. This document extends the list of acceptable formats to CBOR as well as JSON, using the rules from $[{\tt RFC8610}]$.

The existing JSON format is described as CoAP Content-Format 50 ("application/json"), and it MAY be supported. The new CBOR format is described using CoAP Content-Format 60 ("application/cbor") MUST be supported by the Registrar for both the /vs and /es resources.

6.4. Join Proxy options

TBD; reference other documents.

6.5. Extensions to BRSKI

6.5.1. Discovery

The Pledge discovers an IP address and port number that connects to the Registrar (possibly via a Join Proxy), and it establishes a DTLS connection.

No further discovery of hosts or port numbers is required, but a pledge that can do more than one kind of enrollment (future work offers protocols other than [I-D.ietf-ace-coap-est]), then a pledge may need to use CoAP Discovery to determine what other protocols are available.

A Pledge that only supports the EST-coaps enrollment method SHOULD NOT use discovery for BRSKI resources. It is more efficient to just try the supported enrollment method via the well-known BRSKI/EST-coaps resources. This also avoids the Pledge doing any CoRE Link Format parsing, which is specified in [I-D.ietf-ace-coap-est], Section 4.1.

In order to support this, the Registrar MUST support all of the EST resources at their default ".well-known" locations (on the port number discovered) as well as any server-specific shorter form that might also be supported.

However, when discovery is being done by the Pledge, it is possible for the Registrar to return references to resources which are on different port numbers. The Registrar SHOULD NOT use different ports numbers by default, because a Pledge that is connected via a Join Proxy can only access a single UDP port. A Registrar configured to never use Join Proxies MAY be configured to use multiple port numbers. Therefore a Registrar MUST host all discoverable BRSKI resources on the same (UDP) server port that the Pledge's DTLS connection is using. In addition to avoiding the problem of being unable to connect to other ports, using the same UDP server port allows the Pledge to continue to use the same DTLS connection which is more efficient.

6.5.2. CoAP responses

[RFC8995], Section 5 defines a number of HTTP response codes that the Registrar is to return when certain conditions occur.

The 401, 403, 404, 406 and 415 response codes map directly to CoAP codes 4.01, 4.03, 4.04, 4.06 and 4.15.

The 202 Retry process which occurs in the voucher request, is to be handled in the same way as <u>Section 5.7</u> of [<u>I-D.ietf-ace-coap-est</u>] process for Delayed Responses.

6.6. Extensions to EST-coaps

This document extends [I-D.ietf-ace-coap-est], and it inherits the functions described in that document: specifically, the mandatory Simple (Re-)Enrollment (/sen and /sren) and Certification Authority certificates request (/crts). Support for CSR Attributes Request (/att) and server-side key generation (/skg, /skc) remains optional for the EST server.

Collecting both [RFC8995] and [RFC7030], [I-D.ietf-ace-coap-est] and this document results in the following shorter forms of URI paths for the commonly used resources:

+	+	+	+
EST + BRSKI	Constrained-BRSKI	Well-known URI	+
		namespace	+
+	+	+	+
/requestvoucher	/rv	brski	+
/voucher_status	/vs	brski	+
/csrattrs	/att	est	+
/simpleenroll	/sen	est	+
/cacerts	/crts	est	+
/enrollstatus	/es	brski	+
/simplereenroll	/sren	est	+
+	+	+	+

6.6.1. Pledge Extensions

This section defines extensions to the BRSKI Pledge, which are applicable during the BRSKI bootstrap procedure. A Pledge that already is DTLS-connected to either a Join Proxy or Registrar, and which only supports the EST-coaps enrollment method, SHOULD NOT use discovery for EST-coaps resources. This is because it is more efficient to just try its supported enrollment method (e.g. /sen) via the well-known EST resource on the current DTLS connection. This avoids an additional round-trip of packets and avoids the Pledge having to unnecessarily implement CORE Link Format parsing.

A constrained Pledge SHOULD NOT perform the optional EST "CSR attributes request" (/att) to minimize network traffic and reduce code size.

When creating the CSR, the Pledge selects which attributes to include. One or more Subject Distinguished Name fields MUST be included. If the Pledge has no specific information on what attributes/fields are desired in the CSR, it MUST use the Subject Distinguished Name fields from its IDevID unmodified. The Pledge can receive such information via the voucher (encoded in a vendor-specific way) or some other, out-of-band means.

A constrained Pledge MAY use the following optimized EST-coaps procedure to minimize both network traffic and code size:

1. if the voucher, that validates the current Registrar, contains a single pinned domain CA certificate, the Pledge provisionally considers this certificate as the EST trust anchor, in other words, it provisionally accepts this CA certificate as if it were the result of "CA certificates request" (/crts) to the Registrar.

- Using this CA certificate as trust anchor it proceeds with EST simple enrollment (/sen) to obtain its provisionally trusted LDevID.
- 3. If the Pledge validates that the trust anchor CA was used to sign its LDevID, the Pledge accepts the pinned domain CA certificate as the legitimate trust anchor CA for the Registrar's domain and accepts the associated LDevID.
- 4. If the trust anchor CA was NOT used to sign its LDevID, the Pledge MUST perform an actual "CA certificates request" (/crts) to the EST server to obtain the EST CA trust anchor(s) since these differ from the (temporary) pinned domain CA.
- 5. When doing this /crts request, the Pledge MAY use a CoAP Accept Option with value TBD287 ("application/pkix-cert") to limit the number of returned EST CA trust anchors to only one. A constrained Pledge MAY support only this format in a /crts response, per Section 5.3 of [I-D.ietf-ace-coap-est].
- 6. If the Pledge cannot obtain the single CA certificate or the finally validated CA certificate cannot be chained to the LDevID, then the Pledge MUST abort the enrollment process and report the error using the enrollment status telemetry (/es).

Note that even though the Pledge may avoid the initial /crts request, it SHOULD support retrieval of the trust anchor CA periodically. A pledge that has an idea of the current time (internally, or via NTP) SHOULD consider the validity time of the trust anchor CA, and MAY begin requesting a new trust anchor CA when the CA has 50% of it's validity time (notAfter - notBefore) left. A pledge that has no idea of the current time will have no idea if the trust anchor CA has expired. Such a device SHOULD poll periodically for a new trust anchor at an interval of approximately 1 month. The Pledge SHOULD use GET-with-ETag, and servers SHOULD support it.

6.6.2. EST-client Extensions

This section defines extensions to EST-coaps clients, used after the BRSKI bootstrap procedure is completed. (Note that such client is not called "Pledge" in this section, since it is already enrolled into the domain.) A constrained EST-coaps client MAY support only the Content-Format TBD287 ("application/pkix-cert") in a /crts response, per Section 5.3 of [I-D.ietf-ace-coap-est].

In this case, it can only store one trust anchor of the domain. Although this is not an issue in case the domain trust anchor remains stable, special consideration is needed for cases where the domain trust anchor can change over time. Such a change may happen due to relocation of the client device to a new domain, or due to

key update of the trust anchor as described in $[\underline{\mathsf{RFC4210}}]$, Section 4.4.

The trust anchor change may happen during EST re-enrollment: typically, a change of domain CA requires all devices operating under the old domain CA to acquire a new LDevID issued by the new domain CA. A client's re-enrollment may be triggered by various events, such as imminent expiry of its LDevID. How the re-enrollment is explicitly triggered on the client by a domain entity, such as a commissioner or a Registrar, is out of scope of this specification.

The mechanism described in [RFC4210], Section 4.4 for Root CA key update requires four certificates: OldWithOld, OldWithNew, NewWithOld, and NewWithNew. The OldWithOld certificate is already stored in the EST client's trust store. The NewWithNew certificate will be distributed as the single certificate in a /crts response, during EST re-enrollment. Since the EST client can only accept a single certificate in a /crts response it implies that the EST client cannot obtain the certificates OldWithNew and NewWithOld in this way, to perform the complete verification of the new domain CA. Instead, the client only verifies the EST server (Registrar) using its old domain CA certificate in its trust store as detailed below, and based on this trust in the active and valid DTLS connection it automatically trusts the new (NewWithNew) domain CA certificate that the EST server provides in the /crts response.

In this manner, even during rollover of trust anchors, it is possible to have only a single trust anchor provided in a /crts response.

During the period of the certificate renewal, it is not possible to create new communication channels between devices with NewCA certificates devices with OldCA certificates. One option is that devices should avoid restarting existing DTLS or OSCORE connections during this interval that new certificates are being deployed. The recommended period for certificate renewal is 24 hours. For reenrollment, the constrained EST-coaps client MUST support the following EST-coaps procedure, where optional re-enrollment to a new domain is under control of the Registrar:

1. The client connects with DTLS to the Registrar, and authenticates with its present domain certificate (LDevID) as usual. The Registrar authenticates itself with its domain certificate that is trusted by the client, i.e. it chains to the single trust anchor that the client has stored. This is the "old" trust anchor, the one that will be eventually replaced in case the Registrar decides to re-enroll the client into a new domain.

- 2. The client performs the simple re-enrollment request (/sren) and upon success it obtains a new LDevID.
- 3. The client verifies the new LDevID against its (single) existing domain trust anchor. If it chains successfully, this means the trust anchor did not change and the client MAY skip retrieving the current CA certificate using the "CA certificates request" (/crts). If it does not chain successfully, this means the trust anchor was changed/updated and the client then MUST retrieve the new domain trust anchor using the "CA certificates request" (/crts).
- 4. If the client retrieved a new trust anchor in step 3, then it MUST verify that the new trust anchor chains with the new LDevID it obtained in step 2. If it chains successfully, the client stores both, accepts the new LDevID and stops using it prior LDevID. If it does not chain successfully, the client MUST NOT update its LDevID, it MUST NOT update its (single) domain trust anchor, and the client MUST abort the enrollment process and report the error to the Registrar using enrollment status telemetry (/es).

6.6.3. Registrar Extensions

A Registrar SHOULD host any discoverable EST-coaps resources on the same (UDP) server port that the Pledge's DTLS initial connection is using. This avoids the Pledge having to reconnect using DTLS, in order to proceed with EST enrollment after the BRSKI bootstrap. [TBD EDNOTE: a Registrar that does host EST resources on another port won't be able to onboard Pledges that skip the discovery, so not interoperable. Should we fix this?]

The Content-Format 50 (application/json) MUST be supported and 60 (application/cbor) MUST be supported by the Registrar for the /vs and /es resources.

Content-Format TBD3 MUST be supported by the Registrar for the $\ensuremath{\text{rv}}$ resource.

When a Registrar receives a "CA certificates request" (/crts) request with a CoAP Accept Option with value TBD287 ("application/pkix-cert") it SHOULD return only the single CA certificate that is the envisioned or actual authority for the current, authenticated Pledge making the request.

If the Pledge included in its request an Accept Option for only the TBD287 ("application/pkix-cert") Content Format, but the domain has been configured to operate with multiple CA trust anchors only, then the Registrar returns a 4.06 Not Acceptable error to signal that the

Pledge needs to use the Content Format 281 ("application/pkcs7-mime; smime-type=certs-only") to retrieve all the certificates.

If the current authenticated client is an EST-coaps client that was already enrolled in the domain, and the Registrar is configured to assign this client to a new domain CA trust anchor during the next EST re-enrollment procedure, then the Registrar MUST respond with the new domain CA certificate in case the client performs the "CA Certificates request" (/crts) with an Accept Option for TBD287 only. This signals the client that a new domain is assigned to it. The client follows the procedure as defined in Section 6.6.2.

6.7. DTLS handshake fragmentation Considerations

DTLS includes a mechanism to fragment the handshake messages. This is described in Section 4.4 of I-D.ietf-tls-dtls13]. The protocol described in this document will often be used with a Join Proxy described in [I-D.ietf-anima-constrained-join-proxy]. The Join Proxy will need some overhead, while the maximum packet sized guaranteed on 802.15.4 networks is 1280 bytes. It is RECOMMENDED that a PMTU of 1024 bytes be assumed for the DTLS handshake. It is unlikely that any Packet Too Big indications [RFC4443] will be relayed by the Join Proxy.

During the operation of the constrained BRSKI-EST protocol, the CoAP Blockwise transfer mechanism will be used when message sizes exceed the PMTU. A Pledge/EST-client on a constrained network MUST use the (D)TLS maximum fragment length extension ("max_fragment_length") defined in Section 4 of [RFC6066] with the maximum fragment length set to a value of either 2^9 or 2^10.

7. BRSKI-MASA Protocol

This section describes extensions to and clarifications of the BRSKI-MASA protocol between Registrar and MASA.

7.1. Protocol and Formats

Section 5.4 of [RFC8995] describes a connection between the Registrar and the MASA as being a normal TLS connection using HTTPS. This document does not change that. The Registrar MAY use the new format "application/voucher-cose+cbor" in its voucher request to MASA, or the existing BRSKI format "application/voucher-cms+json" defined by [RFC8995].

The MASA only needs to support formats for which there are Pledges that use that format.

The Registrar MUST use the same format for the RVR as the Pledge used for its PVR.

The Registrar indicates the voucher format it wants to receive from MASA using the HTTP Accept header. This format MUST be the same as the format of the PVR, so that the Pledge can parse it.

At the moment of writing the creation of coaps based MASAs is deemed unrealistic. The use of CoAP for the BRSKI-MASA connection can be the subject of another document. Some consideration was made to specify CoAP support for consistency, but:

- *the Registrar is not expected to be so constrained that it cannot support HTTPS client connections.
- *the technology and experience to build Internet-scale HTTPS responders (which the MASA is) is common, while the experience doing the same for CoAP is much less common.
- *in many Enterprise networks, outgoing UDP connections are often treated as suspicious, and there seems to be no advantage to using CoAP in that environment.
- *a Registrar is likely to provide onboarding services to both constrained and non-constrained devices. Such a Registrar would need to speak HTTPS anyway.
- *similarly, a manufacturer is likely to offer both constrained and non-constrained devices, so there may in practice be no situation in which the MASA could be CoAP-only. Additionally, as the MASA is intended to be a function that can easily be oursourced to a third-party service provider, reducing the complexity would also seem to reduce the cost of that function.

7.2. Registrar Voucher Request

If the PVR contains a proximity assertion, the Registrar MUST propagate this assertion into the RVR by including the "assertion" field with the value "proximity". This conforms to the example in Section 3.3 of [RFC8995] of carrying the assertion forward.

7.3. MASA and the Server Name Indicator (SNI)

A TLS/HTTPS connection is established between the Registrar and MASA.

<u>Section 5.4</u> of [RFC8995] explains this process, and there are no externally visible changes. A MASA that supports the unconstrained voucher formats should be able to support constrained vouchers requests equally well.

There is no requirement that a single MASA be used for both constrained and unconstrained voucher requests: the choice of MASA

is determined by the id-mod-MASAURLExnn2016 extension contained in the IDevID.

The Registrar MUST do [RFC6125] DNS-ID checks on the contents of the certificate provided by the MASA.

In constrast to the Pledge/Registrar situation, the Registrar always knows the name of the MASA, and MUST always include an [RFC6066] Server Name Indicator. The SNI is optional in TLS1.2, but common. The SNI it considered mandatory with TLS1.3, so this requirement is not unusual.

The presence of the SNI is need by the MASA in order for the MASA to host multiple tenants (for different customers).

The Registrar SHOULD use a TLS Client Certificate to authenticate to the MASA. If the certificate that the Registrar uses is marked as a cmcRA certificate, via Extended Key Usage, then it MUST also have the id-kp-clientAuth EKU attribute set.

7.3.1. Registrar Certificate Requirement

In summary for typical Registrar use, where a single Registrar certificate is used, then the certificate MUST have EKU of: id-kp-cmcRA, id-kp-serverAuth, id-kp-clientAuth.

8. Pinning in Voucher Artifacts

The voucher is a statement by the MASA for use by the Pledge that provide the identity of the Pledge's owner. This section describes how the owner's identity is determined and how it is encoded within the voucher.

8.1. Registrar Identity Selection and Encoding

<u>Section 5.5</u> of [RFC8995] describes BRSKI policies for selection of the owner identity. It indicates some of the flexibility that is possible for the Registrar.

The recommendation made there is for the Registrar to include only certificates in the voucher request (CMS) signing structure that participate in the certificate chain that is to be pinned.

The MASA is expected to evaluate the certificates included by the Registrar in its voucher request, forming them into a chain with the Registrar's (signing) identity on one end. Then, it pins a certificate selected from the chain. For instance, for a domain with a two-level certification authority (see Figure 1), where the voucher-request has been signed by "Registrar", its signing structure includes two additional CA certificates. The arrows in the

figure indicate the issuer of a certificate, i.e. (1) issued (2) and (2) issued (3).

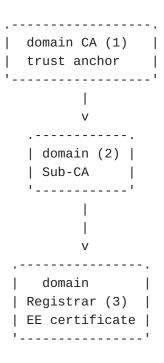


Figure 1: Two-Level CA PKI

When the Registrar is using a COSE-signed constrained voucher request towards MASA, instead of a regular CMS-signed voucher request, the COSE_Sign1 object contains a protected and an unprotected header. The Registrar MUST place all the certificates needed to validate the signature chain from the Registrar on the RVR in an "x5bag" attribute in the unprotected header [I-D.ietf-cose-x509].

The "x5bag" attribute is very important as it provides the required signals from the Registrar to control what identity is pinned in the resulting voucher. This is explained in the next section.

8.2. MASA Pinning Policy

The MASA, having assembled and verified the chain in the signing structure of the voucher request, will now need to select a certificate to pin. (For the case that only the Registrar's End-Entity certificate is included, only this certificate can be selected and this section does not apply.) The BRSKI policy for pinning by the MASA as described in Section 5.5.2 of [RFC8995]] leaves much flexibility to the manufacturer.

The present document adds the following rules to the MASA pinning policy to reduce the network load:

- 1. for a voucher containing a nonce, it SHOULD select the most specific (lowest-level) CA certificate in the chain.
- 2. for a nonceless voucher, it SHOULD select the least-specific (highest-level) CA certificate in the chain that is allowed under the MASA's policy for this specific domain.

The rationale for 1. is that in case of a voucher with nonce, the voucher is valid only in scope of the present DTLS connection between Pledge and Registrar anyway, so it would have no benefit to pin a higher-level CA. By pinning the most specific CA the constrained Pledge can validate its DTLS connection using less crypto operations. The rationale for pinning a CA instead of the Registrar's End-Entity certificate directly is the following benefit on constrained networks: the pinned certificate in the voucher can in common cases be re-used as a Domain CA trust anchor during the EST enrollment and during the operational phase that follows after EST enrollment, as explained in Section 6.6.1.

The rationale for 2. follows from the flexible BRSKI trust model for, and purpose of, nonceless vouchers (Sections 5.5.* and 7.4.1 of [RFC8995]).

Using the previous example of a domain with a two-level certification authority, the most specific CA ("Sub-CA") is the identity that is pinned by MASA in a nonced voucher. A Registrar that wished to have only the Registrar's End-Entity certificate pinned would omit the "domain CA" and "Sub-CA" certificates from the voucher-request.

In case of a nonceless voucher, the MASA would depending on trust level pin only "Registrar" certificate (low trust in customer), or the "Sub-CA" certificate (in case of medium trust, implying that any Registrar of that sub-domain is acceptable), or even the "domain CA" certificate (in case of high trust in the customer, and possibly a pre-agreed need of the customer to obtain flexible long-lived vouchers).

8.3. Pinning of Raw Public Keys

Specifically for constrained use cases, the pinning of the raw public key (RPK) of the Registrar is also supported in the constrained voucher, instead of an X.509 certificate. If an RPK is pinned it MUST be the RPK of the Registrar.

When the Pledge is known by MASA to support RPK but not $\rm X.509$ certificates, the voucher produced by the MASA pins the RPK of the

Registrar in either the "pinned-domain-pubk" or "pinned-domain-pubk-sha256" field of a voucher. This is described in more detail in Section 9.2.3. A Pledge that does not support X.509 certificates cannot use EST to enroll; it has to use another method for enrollment without certificates and the Registrar has to support this method also. It is possible that the Pledge will not enroll, but instead only a network join operation will occur, such as described in [RFC9031]. How the Pledge discovers this method and details of the enrollment method are out of scope of this document.

When the Pledge is known by MASA to support PKIX format certificates, the "pinned-domain-cert" field present in a voucher typically pins a domain certificate. That can be either the End-Entity certificate of the Registrar, or the certificate of a domain CA of the Registrar's domain as specified in Section 8.2. However, if the Pledge is known to also support RPK pinning and the MASA intends to identify the Registrar in the voucher (not the CA), then MASA MUST pin the RPK (RPK3 in Figure 2) of the Registrar instead of the Registrar's End-Entity certificate to save space in the voucher.

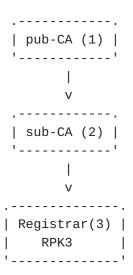


Figure 2: Raw Public Key pinning

8.4. Considerations for use of IDevID-Issuer

[RFC8366] and [RFC8995] defines the idevid-issuer attribute for voucher and voucher-request (respectively), but they do not explain that much about when to use it.

The use of idevid-issuer is provided so that the serial-number to which the issued voucher pertains can be relative to the entity that issued the devices' IDevID. In most cases there is a one to one relationship between the trust anchor that signs vouchers (and is trusted by the pledge), and the Certification Authority that signs

the IDevID. In that case, the serial-number in the voucher must refer to the same device as the serial-number that is in IDevID certificate.

However, there situations where the one to one relationship may be broken. This occurs whenever a manufacturer has a common MASA, but different producers (on different assembly lines) are produced with identical serial numbers. A system of serial numbers which is just a simple counter is a good example of this. A system of serial numbers where there is some prefix relating the product type does not fit into this, even if the lower digits are a counter.

It is not possible for the Pledge or the Registrar to know which situation applies. The question to be answered is whether or not to include the idevid-issuer in the PVR and the RVR. A second question arose as to what the format of the idevid-issuer contents are.

Analysis of the situation shows that the pledge never needs to include the idevid-issuer in it's PVR, because the pledge's IDevID certificate is available the Registrar, and the Authority Key Identifier is contained within that. The pledge therefore has no need to repeat this.

For the RVR, the Registrar has to examine the pledge's IDevID certificate to discover the serial number for the Registrar's Voucher Request (RVR). This is clear in <u>Section 5.5</u> of [<u>RFC8995</u>]. That section also clarifies that the idevid-issuer is to be included in the RVR.

There has been some confusion as to how much of the Authority Key Identifier is to be included. The explanation in the YANG module of [RFC8366] is a bit vague as there are two different OCTET STRINGS present in respectively Section 4.1 of [RFC5280] and Section 4.2.1.1 of [RFC5280] for this extension. The correct interpretation is that [RFC8366] specifies that the entire object i.e. the extnValue OCTET STRING is to be included: comprising the AuthorityKeyIdentifier, SEQUENCE, Choice as well as the OCTET STRING that is the keyIdentifier.

!-- ******************

9. Artifacts

This section describes for both the voucher request and the voucher first the abstract (tree) definition as explained in [RFC8340]. This provides a high-level view of the contents of each artifact.

Then the assigned SID values are presented. These have been assigned using the rules in [I-D.ietf-core-sid].

9.1. Voucher Request artifact

9.1.1. Tree Diagram

The following diagram is largely a duplicate of the contents of [RFC8366], with the addition of the fields proximity-registrar-pubk, proximity-registrar-pubk-sha256, proximity-registrar-cert, and prior-signed-voucher-request.

prior-signed-voucher-request is only used between the Registrar and the MASA. proximity-registrar-pubk or proximity-registrar-pubk-sha256 optionally replaces proximity-registrar-cert for the most constrained cases where RPK is used by the Pledge.

module: ietf-constrained-voucher-request

grouping voucher-request-constrained-grouping

+-- prior-signed-voucher-request?

+-- voucher

+-- created-on? yang:date-and-time +-- expires-on? yang:date-and-time +-- assertion enumeration +-- serial-number string +-- idevid-issuer? binary +-- pinned-domain-cert? binary +-- domain-cert-revocation-checks? boolean +-- nonce? binary yang:date-and-time +-- last-renewal-date? +-- proximity-registrar-pubk? binary +-- proximity-registrar-pubk-sha256? binary +-- proximity-registrar-cert? binary

binary

9.1.2. SID values

```
SID Assigned to
2519 data /ietf-constrained-voucher-request:voucher
2520 data .../assertion
2521 data .../created-on
2522 data .../domain-cert-revocation-checks
2523 data .../expires-on
2524 data .../idevid-issuer
2525 data .../last-renewal-date
2526 data /ietf-constrained-voucher-request:voucher/nonce
2527 data .../pinned-domain-cert
2528 data .../prior-signed-voucher-request
2529 data .../proximity-registrar-cert
2530 data .../proximity-registrar-pubk
2531 data .../proximity-registrar-pubk-sha256
2532 data .../serial-number
2501 data /ietf-voucher-request-constrained:voucher
2502 data .../assertion
2503 data .../created-on
2504 data .../domain-cert-revocation-checks
2505 data .../expires-on
2506 data .../idevid-issuer
2507 data .../last-renewal-date
2508 data /ietf-voucher-request-constrained:voucher/nonce
2509 data .../pinned-domain-cert
2510 data .../prior-signed-voucher-request
2511 data .../proximity-registrar-cert
2513 data .../proximity-registrar-pubk
2512 data .../proximity-registrar-pubk-sha256
2514 data .../serial-number
```

WARNING, obsolete definitions

9.1.3. YANG Module

In the constrained-voucher-request YANG module, the voucher is "augmented" within the "used" grouping statement such that one continuous set of SID values is generated for the constrained-voucher-request module name, all voucher attributes, and the constrained-voucher-request attributes. Two attributes of the voucher are "refined" to be optional.

```
<CODE BEGINS> file "ietf-voucher-request-constrained@2021-04-15.yang"
module ietf-constrained-voucher-request {
 yang-version 1.1;
 namespace
    "urn:ietf:params:xml:ns:yang:ietf-constrained-voucher-request";
  prefix "constrained";
  import ietf-restconf {
   prefix rc;
   description
     "This import statement is only present to access
      the yang-data extension defined in RFC 8040.";
   reference "RFC 8040: RESTCONF Protocol";
  }
  import ietf-voucher {
   prefix "v";
  }
  organization
  "IETF ANIMA Working Group";
  contact
   "WG Web: <http://tools.ietf.org/wg/anima/>
   WG List: <mailto:anima@ietf.org>
   Author: Michael Richardson
             <mailto:mcr+ietf@sandelman.ca>
   Author: Peter van der Stok
             <mailto: consultancy@vanderstok.org>
   Author: Panos Kampanakis
             <mailto: pkampana@cisco.com>";
  description
  "This module defines the format for a voucher request,
   which is produced by a pledge to request a voucher.
   The voucher-request is sent to the potential owner's
   Registrar, which in turn sends the voucher request to
    the manufacturer or its delegate (MASA).
   A voucher is then returned to the pledge, binding the
    pledge to the owner. This is a constrained version of the
   voucher-request present in
    {{I-D.ietf-anima-bootstrap-keyinfra}}
   This version provides a very restricted subset appropriate
    for very constrained devices.
    In particular, it assumes that nonce-ful operation is
    always required, that expiration dates are rather weak, as no
```

```
clocks can be assumed, and that the Registrar is identified
 by either a pinned Raw Public Key of the Registrar, or by a
 pinned X.509 certificate of the Registrar or domain CA.
 The key words 'MUST', 'MUST NOT', 'REQUIRED', 'SHALL',
  'SHALL NOT', 'SHOULD', 'SHOULD NOT', 'RECOMMENDED', 'MAY',
  and 'OPTIONAL' in the module text are to be interpreted as
  described in RFC 2119.";
revision "2021-04-15" {
 description
  "Initial version";
  reference
   "RFC XXXX: Voucher Profile for Constrained Devices";
}
rc:yang-data voucher-request-constrained-artifact {
 // YANG data template for a voucher.
 uses voucher-request-constrained-grouping;
}
// Grouping defined for future usage
grouping voucher-request-constrained-grouping {
 description
    "Grouping to allow reuse/extensions in future work.";
 uses v:voucher-artifact-grouping {
    refine voucher/created-on {
        mandatory false;
    }
    refine voucher/pinned-domain-cert {
        mandatory false;
    }
    augment "voucher" {
      description "Base the constrained voucher-request upon the
        regular one";
      leaf proximity-registrar-pubk {
        type binary;
        description
          "The proximity-registrar-pubk replaces
           the proximity-registrar-cert in constrained uses of
           the voucher-request.
           The proximity-registrar-pubk is the
           Raw Public Key of the Registrar. This field is encoded
           as specified in RFC7250, section 3.
```

```
The ECDSA algorithm MUST be supported.
     The EdDSA algorithm as specified in
     draft-ietf-tls-rfc4492bis-17 SHOULD be supported.
     Support for the DSA algorithm is not recommended.
     Support for the RSA algorithm is a MAY, but due to
     size is discouraged.";
}
leaf proximity-registrar-pubk-sha256 {
  type binary;
 description
    "The proximity-registrar-pubk-sha256
     is an alternative to both
     proximity-registrar-pubk and pinned-domain-cert.
     In many cases the public key of the domain has already
     been transmitted during the key agreement protocol,
     and it is wasteful to transmit the public key another
     two times.
     The use of a hash of public key info, at 32-bytes for
     sha256 is a significant savings compared to an RSA
     public key, but is only a minor savings compared to
     a 256-bit ECDSA public-key.
     Algorithm agility is provided by extensions to this
     specification which may define a new leaf for another
     hash type.";
}
leaf proximity-registrar-cert {
  type binary;
  description
    "An X.509 v3 certificate structure as specified by
     RFC 5280,
     Section 4 encoded using the ASN.1 distinguished encoding
     rules (DER), as specified in ITU-T X.690.
     The first certificate in the Registrar TLS server
     certificate_list sequence (see [RFC5246]) presented by
     the Registrar to the Pledge. This field or one of its
     alternatives MUST be populated in a
     Pledge's voucher request if the proximity assertion is
     populated.";
}
leaf prior-signed-voucher-request {
  type binary;
  description
    "If it is necessary to change a voucher, or re-sign and
     forward a voucher that was previously provided along a
     protocol path, then the previously signed voucher
```

SHOULD be included in this field.

For example, a pledge might sign a proximity voucher, which an intermediate registrar then re-signs to make its own proximity assertion. This is a simple mechanism for a chain of trusted parties to change a voucher, while maintaining the prior signature information.

The pledge MUST ignore all prior voucher information when accepting a voucher for imprinting. Other parties MAY examine the prior signed voucher information for the purposes of policy decisions. For example, this information could be useful to a MASA to determine that both pledge and registrar agree on proximity assertions. The MASA SHOULD remove all prior-signed-voucher-request information when signing a voucher for imprinting so as to minimize the final voucher size.";

```
}
}
}
}
```

<CODE ENDS>

9.1.4. Example voucher request artifact

Below is a CBOR serialization of an example constrained voucher request from a Pledge to a Registrar, shown in CBOR diagnostic notation. The enum value of the assertion field is calculated to be 2 by following the algorithm described in section 9.6.4.2 of [RFC7950]. Four dots ("....") in a CBOR byte string denotes a sequence of bytes that are not shown for brevity.

```
{
 2501: {
   +2: "2016-10-07T19:31:42Z", / SID=2503, created-on /
   +4: "2016-10-21T19:31:42Z", / SID=2505, expires-on /
   +1:2,
                               / SID=2502, assertion "proximity" /
                          / SID=2514, serial-number /
   +13: "JADA123456789",
   +5 : h'08C2BF36....B3D2B3', / SID=2506, idevid-issuer /
   +10: h'30820275....82c35f', / SID=2511, proximity-registrar-cert/
   +3 : true,
                                / SID=2504, domain-cert
                                                  -revocation-checks/
   +6: "2017-10-07T19:31:42Z" / SID=2507, last-renewal-date /
 }
<CODE ENDS>
```

9.2. Voucher artifact

The voucher's primary purpose is to securely assign a Pledge to an owner. The voucher informs the Pledge which entity it should consider to be its owner.

9.2.1. Tree Diagram

The following diagram is largely a duplicate of the contents of [RFC8366], with only the addition of the fields pinned-domain-pubk and pinned-domain-pubk-sha256.

```
module: ietf-constrained-voucher
  grouping voucher-constrained-grouping
   +-- voucher
       +-- created-on?
                                             yang:date-and-time
       +-- expires-on?
                                             yang:date-and-time
       +-- assertion
                                             enumeration
       +-- serial-number
                                             string
       +-- idevid-issuer?
                                             binary
       +-- pinned-domain-cert?
                                             binary
       +-- domain-cert-revocation-checks?
                                             boolean
       +-- nonce?
                                             binary
       +-- last-renewal-date?
                                             yang:date-and-time
       +-- pinned-domain-pubk?
                                             binary
       +-- pinned-domain-pubk-sha256?
                                             binary
<CODE ENDS>
```

9.2.2. SID values

```
SID Assigned to
2467 data /ietf-constrained-voucher:voucher
2468 data /ietf-constrained-voucher:voucher/assertion
2469 data /ietf-constrained-voucher:voucher/created-on
2470 data .../domain-cert-revocation-checks
2471 data /ietf-constrained-voucher:voucher/expires-on
2472 data /ietf-constrained-voucher:voucher/idevid-issuer
2473 data .../last-renewal-date
2474 data /ietf-constrained-voucher:voucher/nonce
2475 data .../pinned-domain-cert
2476 data .../pinned-domain-pubk
2477 data .../pinned-domain-pubk-sha256
2478 data /ietf-constrained-voucher:voucher/serial-number
2451 data /ietf-voucher-constrained:voucher
2452 data /ietf-voucher-constrained:voucher/assertion
2453 data /ietf-voucher-constrained:voucher/created-on
2454 data .../domain-cert-revocation-checks
2455 data /ietf-voucher-constrained:voucher/expires-on
2456 data /ietf-voucher-constrained:voucher/idevid-issuer
2457 data .../last-renewal-date
2458 data /ietf-voucher-constrained:voucher/nonce
2459 data .../pinned-domain-cert
2460 data .../pinned-domain-pubk
2461 data .../pinned-domain-pubk-sha256
2462 data /ietf-voucher-constrained:voucher/serial-number
```

WARNING, obsolete definitions

<CODE ENDS>

9.2.3. YANG Module

In the constrained-voucher YANG module, the voucher is "augmented" within the "used" grouping statement such that one continuous set of SID values is generated for the constrained-voucher module name, all voucher attributes, and the constrained-voucher attributes. Two attributes of the voucher are "refined" to be optional.

```
<CODE BEGINS> file "ietf-constrained-voucher@2021-04-15.yang"
module ietf-constrained-voucher {
 yang-version 1.1;
 namespace
    "urn:ietf:params:xml:ns:yang:ietf-constrained-voucher";
  prefix "constrained";
  import ietf-restconf {
   prefix rc;
   description
     "This import statement is only present to access
      the yang-data extension defined in RFC 8040.";
   reference "RFC 8040: RESTCONF Protocol";
  }
  import ietf-voucher {
   prefix "v";
  }
  organization
  "IETF ANIMA Working Group";
  contact
   "WG Web: <http://tools.ietf.org/wg/anima/>
   WG List: <mailto:anima@ietf.org>
    Author: Michael Richardson
             <mailto:mcr+ietf@sandelman.ca>
   Author: Peter van der Stok
             <mailto: consultancy@vanderstok.org>
   Author: Panos Kampanakis
             <mailto: pkampana@cisco.com>";
description
  "This module defines the format for a voucher, which
  is produced by a pledge's manufacturer or its delegate
   (MASA) to securely assign one or more pledges to an 'owner',
  so that a pledge may establish a secure connection to the
  owner's network infrastructure.
  This version provides a very restricted subset appropriate
  for very constrained devices.
  In particular, it assumes that nonce-ful operation is
  always required, that expiration dates are rather weak, as no
  clocks can be assumed, and that the Registrar is identified
  by either a pinned Raw Public Key of the Registrar, or by a
  pinned X.509 certificate of the Registrar or domain CA.
  The key words 'MUST', 'MUST NOT', 'REQUIRED', 'SHALL',
```

```
'SHALL NOT', 'SHOULD', 'SHOULD NOT', 'RECOMMENDED', 'MAY',
and 'OPTIONAL' in the module text are to be interpreted as
described in RFC 2119.";
revision "2021-04-15" {
 description
   "Initial version";
 reference
   "RFC XXXX: Voucher Profile for Constrained Devices";
}
rc:yang-data voucher-constrained-artifact {
 // YANG data template for a voucher.
 uses voucher-constrained-grouping;
}
// Grouping defined for future usage
grouping voucher-constrained-grouping {
 description
    "Grouping to allow reuse/extensions in future work.";
 uses v:voucher-artifact-grouping {
    refine voucher/created-on {
        mandatory false;
    }
    refine voucher/pinned-domain-cert {
        mandatory false;
    }
    augment "voucher" {
      description "Base the constrained voucher
                                 upon the regular one";
      leaf pinned-domain-pubk {
        type binary;
        description
          "The pinned-domain-pubk may replace the
           pinned-domain-cert in constrained uses of
           the voucher. The pinned-domain-pubk
           is the Raw Public Key of the Registrar.
           This field is encoded as a Subject Public Key Info block
           as specified in RFC7250, in section 3.
           The ECDSA algorithm MUST be supported.
           The EdDSA algorithm as specified in
           draft-ietf-tls-rfc4492bis-17 SHOULD be supported.
           Support for the DSA algorithm is not recommended.
           Support for the RSA algorithm is a MAY.";
      }
```

```
leaf pinned-domain-pubk-sha256 {
          type binary;
          description
            "The pinned-domain-pubk-sha256 is a second
             alternative to pinned-domain-cert. In many cases the
             public key of the domain has already been transmitted
             during the key agreement process, and it is wasteful
             to transmit the public key another two times.
             The use of a hash of public key info, at 32-bytes for
             sha256 is a significant savings compared to an RSA
             public key, but is only a minor savings compared to
             a 256-bit ECDSA public-key.
             Algorithm agility is provided by extensions to this
             specification which can define a new leaf for another
             hash type.";
        }
     }
   }
}
<CODE ENDS>
```

9.2.4. Example voucher artifacts

Below the CBOR serialization of an example constrained voucher is shown in CBOR diagnostic notation. The enum value of the assertion field is calculated to be zero by following the algorithm described in section 9.6.4.2 of [RFC7950]. Four dots ("....") in a CBOR byte string denotes a sequence of bytes that are not shown for brevity.

```
{
  2451: {
   +2 : "2016-10-07T19:31:42Z", / SID = 2453, created-on /
   +4 : "2016-10-21T19:31:42Z", / SID = 2455, expires-on /
   +1:0,
                                / SID = 2452, assertion "verified" /
   +11: "JADA123456789",
                               / SID = 2462, serial-number /
   +5 : h'E40393B4....68A3',
                               / SID = 2456, idevid-issuer /
                                / SID = 2459, pinned-domain-cert/
   +8 : h'30820275....C35F',
                                / SID = 2454, domain-cert /
   +3 : true,
                                                -revocation-checks /
   +6: "2017-10-07T19:31:42Z" / SID = 2457, last-renewal-date /
 }
}
```

9.3. Signing voucher and voucher-request artifacts with COSE

The COSE_Sign1 structure is discussed in <u>Section 4.2</u> of [<u>I-D.ietf-cose-rfc8152bis-struct</u>]. The CBOR object that carries the body, the signature, and the information about the body and signature is called the COSE_Sign1 structure. It is used when only one signature is used on the body.

Support for ECDSA with SHA2-256 using curve secp256r1 (aka prime256k1) is RECOMMENDED. Most current low power hardware has support for acceleration of this algorithm. Future hardware designs could omit this in favour of a newer algorithms. This is the ES256 keytype from Table 1 of [I-D.ietf-cose-rfc8152bis-algs]. Support for curve secp256k1 is OPTIONAL.

Support for EdDSA using Curve 25519 is RECOMMENDED in new designs if hardware support is available. This is keytype EDDSA (-8) from Table 2 of [I-D.ietf-cose-rfc8152bis-algs]. A "crv" parameter is necessary to specify the Curve, which from Table 18. The 'kty' field MUST be present, and it MUST be 'OKP'. (Table 17)

A transition towards EdDSA is occuring in the industry. Some hardware can accelerate only some algorithms with specific curves, other hardware can accelerate any curve, and still other kinds of hardware provide a tool kit for acceleration of any eliptic curve algorithm.

In general, the Pledge is expected to support only a single algorithm, while the Registrar, usually not constrained, is expected to support a wide variety of algorithms: both legacy ones and upand-coming ones via regular software updates.

An example of the supported COSE_Sign1 object structure is shown in Figure 3.

```
COSE_Sign1(

[
h'A101382E', # protected header encoding: {1: -47}, which me {
    4: h'7890A03F1234' # 4 is the "kid" binary key identifier },
    h'1234....5678', #voucher-request binary content (CBOR)
    h'4567....1234' #voucher-request binary public signature
]
)
```

Figure 3: COSE_Sign1 example in CBOR diagnostic notation

The [COSE-registry] specifies the integers/encoding for the "alg" and "kid" fields in Figure 3. The "alg" field restricts the key usage for verification of this COSE object to a particular cryptographic algorithm.

The "kid" field is optionally present: it is an unprotected field that identifies the public key of the key pair that was used to sign this message. The value of the key identifier "kid" parameter is an example value. Usually a hash of the public key is used to identify the public key, but a device serial number may also be used. The choice of key identifier method is vendor-specific. If "kid" is not present, then a verifying party needs to use other context information to retrieve the right public key to verify the COSE_Sign1 object against. For example, this context information may be a unique serial number encoded in the binary content (CBOR) field.

A Registrar MAY use a "kid" parameter in its RVR to identify its signing key as used to sign the RVR. The method of generating this "kid" is vendor-specific and SHOULD be configurable in the Registrar to support commonly used methods. In order to support future business cases and supply chain integrations, a Registrar MUST be configurable, on a per-manufacturer basis, to be able to configure the "kid" to a particular value. Both binary and string values are to be supported, each being inserted using a CBOR bstr or tstr. By default, a Registrar does not include a "kid" parameter in its RVR since the signing key is already identified by the included signing certificates in the COSE "x5bag" structure.

A Pledge normally SHOULD NOT use a "kid" parameter in its PVR, because its signing key is already identified by the Pledge's unique serial number that is included in the PVR. Still, where needed the Pledge MAY use a "kid" parameter in its PVR to help the MASA identify the right public key to verify against. This can occur for example if a Pledge has multiple IDevID identities. A Registrar normally SHOULD ignore a "kid" parameter used in a received PVR, as this information is intended for the MASA. In other words, there is no need for the Registrar to verify the contents of this field, but it may include it in an audit log.

In Appendix C a binary COSE_Sign1 object is shown based on the voucher-request example of Section 9.1.4.

10. Deployment specific Discovery Considerations

This section details how discovery is done in a specific deployment scenarios.

10.1. 6TSCH Deployments

In 6TISCH networks, the Constrained Join Proxy (CoJP) mechanism is described in [RFC9031]. Such networks are expected to use a [\underline{I} - $\underline{D.ietf-lake-edhoc}$] to do key management. This is the subject of future work. The Enhanced Beacon has been extended in [RFC9032] to allow for discovery of the Join Proxy.

10.2. Generic networks using GRASP

[RFC8995] defines a mechanism for the Pledge to discover a Join Proxy by listening for [RFC8990] GRASP messages. This mechanism can be used on any network which does not have another more specific mechanism. This mechanism supports mesh networks, and can also be used over unencrypted WIFI.

10.3. Generic networks using mDNS

[RFC8995] also defines a non-normative mechanism for the Pledge to discover a Join Proxy by doing mDNS queries. This mechanism can be used on any network which does not have another recommended mechanism. This mechanism does not easily support mesh networks. It can be used over unencrypted WIFI.

10.4. Thread networks using Mesh Link Establishment (MLE)

TBD.

10.5. Non-mesh networks using CoAP Discovery

On unencrypted constrained networks such as 802.15.4, CoAP discover may be done using the mechanism detailed in [I-D.ietf-ace-coap-est] section 5.1.

11. Design Considerations

The design considerations for the CBOR encoding of vouchers are much the same as for JSON vouchers in [RFC8366]. One key difference is that the names of the leaves in the YANG definition do not affect the size of the resulting CBOR, as the SID translation process assigns integers to the names.

Any POST request to the Registrar with resource /vs or /es returns a 2.04 Changed response with empty payload. The client should be aware that the server may use a piggybacked CoAP response (ACK, 2.04) but may also respond with a separate CoAP response, i.e. first an (ACK, 0.0) that is an acknowledgement of the request reception followed by a (CON, 2.04) response in a separate CoAP message.

12. Raw Public Key Use Considerations

This section explains techniques to reduce the number of bytes that are sent over the wire during the BRSKI bootstrap. The use of a raw public key (RPK) in the pinning process can significantly reduce the number of bytes and round trips, but it comes with a few significant operational limitations.

12.1. The Registrar Trust Anchor

When the Pledge first connects to the Registrar, the connection to the Registrar is provisional, as explained in <u>Section 5.6.2</u> of [RFC8995]. The Registrar provides its public key in a TLSServerCertificate, and the Pledge uses that to validate that integrity of the (D)TLS connection, but it does not validate the identity of the provided certificate.

As the TLSServerCertificate object is never verified directly by the pledge, sending it can be considered superfluous. Instead of using a (TLSServer)Certificate of type X509 (see section 4.4.2 of [RFC8446]), a RawPublicKey object is used.

A Registrar operating in a mixed environment can determine whether to send a Certificate or a Raw Public key: this is determined by the pledge including the server_certificate_type of RawPublicKey. This is shown in section 5 of [RFC7250].

The Pledge continues to send a client_certificate_type of X509, so that the Registrar can properly identify the pledge and distill the MASA URI information from its certificate.

12.2. The Pledge Voucher Request

The Pledge puts the Registrar's public key into the proximity-registrar-pubk field of the voucher-request. (The proximity-registrar-pubk-sha256 can also be used if the 32-bytes of a SHA256 hash turns out to be smaller than a typical ECDSA key.)

As the format of the pubk field is identical to the TLS Certificate RawPublicKey, no manipulation at all is needed to insert this into a voucher-request.

12.3. The Voucher Response

A returned voucher will have a pinned-domain-subk field with the identical key as was found in the proximity-registrar-pubk field above, as well as in the TLS connection.

Validation of this key by the pledge is what takes the DTLS connection out of the provisional state see Section 5.6.2 of [RFC8995].

The voucher needs to be validated first. The Pledge needs to have a public key to validate the signature from the MASA on the voucher.

In certain cases, the MASA's public key counterpart of the (private) signing key is already installed in the Pledge at manufacturing time. In other cases, if the MASA signing key is based upon a PKI (see [I-D.richardson-anima-masa-considerations] Section 2.3), then a certificate chain may need to be included with the voucher in order for the pledge to validate the signature. In CMS signed artifacts, the CMS structure has a place for such certificates.

In the COSE-signed Constrained Vouchers described in this document, the x5bag attribute from $[\underline{\text{I-D.ietf-cose-x509}}]$ is to be used for this.

13. Security Considerations

13.1. Duplicate serial-numbers

In the absense of correct use of idevid-issuer by the Registrar as detailed in <u>Section 8.4</u>, it would be possible for a malicious Registrar to an unauthorized voucher for a device. This would apply only to the case where a Manufacturer Authorized Signing Authority (MASA) is trusted by different products from the same manufacturer, and the manufacturer has duplicated serial numbers as a result of a merge, acquisition or mis-management.

For example, imagine the same manufacturer makes light bulbs as well as gas centrofuges, and that said manufacturer does not uniquely allocate product serial numbers. This attack only works for nonceless vouchers. The attacker has obtained a light bulb which happens to have the same serial-number as a gas centrofuge which it wishes to obtain access. The attacker performs a normal BRSKI onboarding for the light bulb, but then uses the resulting voucher to onboard the gas centrofuge. The attack requires that the gas centrofuge be returned to a state where it is willing to perform a new onboarding operation.

This attack is prevented by the mechanism of having the Registrar include the idevid-issuer in the RVR, and the MASA including it in the resulting voucher. The idevid-issuer is not included by default: a MASA needs to be aware if there are parts of the organization which duplicates serial numbers, and if so, include it.

13.2. IDevID security in Pledge

TBD.

13.3. Security of CoAP and UDP protocols

<u>Section 7.1</u> explains that no CoAPS version of the BRSKI-MASA protocol is proposed. The connection from the Registrar to the MASA continues to be HTTPS as in [RFC8995]. This has been done as it simplifies the MASA deployment for the manufacturer because no new protocol needs to be enabled.

The use of UDP protocols across the open Internet is sometimes fraught with security challenges. Denial of service attacks against UDP based protocols is trivial as there is no three-way handshake as done for TCP. The three-way handshake of TCP guarantees that the node sending the connection request is reachable using the origin IP address. While DTLS contains an option to do a stateless challenge -- a process actually stronger than that done by TCP, it is not yet common for this mechanism to be available in hardware at multigigabit speeds. It is for this reason that this protocols sticks to using HTTPS for the Registrar->MASA connection.

13.4. Registrar Certificate may be self-signed

The provisional (D)TLS connection formed by the Pledge with the Registrar does not authenticate the Registrar's identity. This Registrar's identity is validated by the [RFC8366] voucher that is issued by the MASA, signed with an anchor that was built-in to the Pledge.

The Registrar may therefore use any certificate, including a self-signed one. The only restrictions on the certificate is that it MUST have EKU bits set as detailed in <u>Section 7.3.1</u>.

A Registrar that uses a Raw Public Key (RPK) has no certificate structure, and therefore has no EKU bits that can be set.

14. IANA Considerations

14.1. Resource Type Registry

Additions to the sub-registry "Resource Type Link Target Attribute Values", within the "CoRE parameters" IANA registry are specified below.

brski needs registration with IANA brski.rv needs registration with IANA brski.vs needs registration with IANA brski.es needs registration with IANA

14.2. The IETF XML Registry

This document registers two URIs in the IETF XML registry [RFC3688]. Following the format in [RFC3688], the following registration is requested:

URI: urn:ietf:params:xml:ns:yang:ietf-constrained-voucher

Registrant Contact: The ANIMA WG of the IETF. XML: N/A, the requested URI is an XML namespace.

URI: urn:ietf:params:xml:ns:yang:ietf-constrained-voucher-request

Registrant Contact: The ANIMA WG of the IETF. XML: N/A, the requested URI is an XML namespace.

14.3. The YANG Module Names Registry

This document registers two YANG modules in the YANG Module Names registry [RFC6020]. Following the format defined in [RFC6020], the the following registration is requested:

name: ietf-constrained-voucher

namespace: urn:ietf:params:xml:ns:yang:ietf-constrained-voucher

prefix: vch
reference: RFC XXXX

name: ietf-constrained-voucher-request

namespace: urn:ietf:params:xml:ns:yang:ietf-constrained

-voucher-request

prefix: vch
reference: RFC XXXX

14.4. The RFC SID range assignment sub-registry

Entry-point	Size	Module name	RFC Number
2450 2500	50 50	ietf-voucher-constrained ietf-voucher-request -constrained	[ThisRFC] [ThisRFC}

Warning: These SID values are defined in $[\underline{\text{I-D.ietf-core-sid}}]$, not as an Early Allocation.

IANA: please update the names in the Registry to match these revised names, if they have not already been revised.

14.5. Media Types Registry

This section registers the 'application/voucher-cose+cbor' in the IANA "Media Types" registry. This media type is used to indicate that the content is a CBOR voucher or voucher request signed with a COSE_Sign1 structure [I-D.ietf-cose-rfc8152bis-struct].

14.5.1. application/voucher-cose+cbor

Type name: application

Subtype name: voucher-cose+cbor

Required parameters: none Optional parameters: none

Encoding considerations: binary (CBOR)

Security considerations: Security Considerations of THIS RFC. Interoperability considerations: The format is designed to be

broadly interoperable.

Published specification: THIS RFC.

Applications that use this media type: ANIMA, 6tisch, and other zero-touch onboarding systems Fragment identifier considerations: fragment identifiers specified for application/voucher-cose+cbor are as specified for application/cbor. (At publication of this document, there is no fragment identification syntax defined for application/cbor.)

Additional information:

Magic number(s): None
File extension(s): .vch

Macintosh file type code(s): none

Person & email address to contact for further information: IETF

ANIMA Working Group (anima@ietf.org) or IETF Operations and

Management Area Working Group (ansayg@ietf.org)

Management Area Working Group (opsawg@ietf.org)

Intended usage: LIMITED [^ouch2]
Restrictions on usage: NONE

Author: ANIMA WG

Change controller: IETF

Provisional registration? (standards tree only): NO

14.6. CoAP Content-Format Registry

Additions to the sub-registry "CoAP Content-Formats", within the "CoRE Parameters" registry are needed for two media types. These can be registered either in the Expert Review range (0-255) or IETF Review range (256-9999).

15. Acknowledgements

We are very grateful to Jim Schaad for explaining COSE and CMS choices. Also thanks to Jim Schaad for correcting earlier version of the COSE Sign1 objects.

Michel Veillette did extensive work on *pyang* to extend it to support the SID allocation process, and this document was among its first users.

16. Changelog

- -10 Design considerations extended Examples made consistent
- -08 Examples for cose_sign1 are completed and improved.
- -06 New SID values assigned; regenerated examples
- -04 voucher and request-voucher MUST be signed examples for signed request are added in appendix IANA SID registration is updated SID values in examples are aligned signed cms examples aligned with new SIDs

-03

Examples are inverted.

-02

Example of requestvoucher with unsigned appllication/cbor is added attributes of voucher "refined" to optional CBOR serialization of vouchers improved Discovery port numbers are specified

-01

application/json is optional, application/cbor is compulsory Cms and cose mediatypes are introduced

17. References

17.1. Normative References

[I-D.ietf-ace-coap-est] Stok, P. V. D., Kampanakis, P., Richardson,
 M. C., and S. Raza, "EST over secure CoAP (EST-coaps)",
 Work in Progress, Internet-Draft, draft-ietf-ace-coap-

est-18, 6 January 2020, https://www.ietf.org/archive/id/ draft-ietf-ace-coap-est-18.txt>.

- [I-D.ietf-core-sid] Veillette, M., Pelov, A., Petrov, I., and C.
 Bormann, "YANG Schema Item iDentifier (YANG SID)", Work
 in Progress, Internet-Draft, draft-ietf-core-sid-16, 24
 June 2021, https://www.ietf.org/archive/id/draft-ietf-core-sid-16.txt.

[I-D.ietf-cose-rfc8152bis-algs]

Schaad, J., "CBOR Object Signing and Encryption (COSE): Initial Algorithms", Work in Progress, Internet-Draft, draft-ietf-cose-rfc8152bis-algs-12, 24 September 2020, https://www.ietf.org/archive/id/draft-ietf-cose-rfc8152bis-algs-12.txt.

[I-D.ietf-cose-rfc8152bis-struct]

Schaad, J., "CBOR Object Signing and Encryption (COSE): Structures and Process", Work in Progress, Internet-Draft, draft-ietf-cose-rfc8152bis-struct-15, 1 February 2021, https://www.ietf.org/archive/id/draft-ietf-cose-rfc8152bis-struct-15.txt.

- [I-D.ietf-cose-x509] Schaad, J., "CBOR Object Signing and Encryption
 (COSE): Header parameters for carrying and referencing X.
 509 certificates", Work in Progress, Internet-Draft,
 draft-ietf-cose-x509-08, 14 December 2020, https://www.ietf.org/archive/id/draft-ietf-cose-x509-08.txt.

[I-D.selander-ace-ake-authz]

Selander, G., Mattsson, J. P., Vučinić, M., Richardson, M., and A. Schellenbaum, "Lightweight Authorization for Authenticated Key Exchange.", Work in Progress, Internet-Draft, draft-selander-ace-ake-authz-04, 22 October 2021,

- https://www.ietf.org/archive/id/draft-selander-ace-ake-authz-04.txt.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate
 Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/
 RFC2119, March 1997, https://www.rfc-editor.org/info/rfc2119.
- [RFC4193] Hinden, R. and B. Haberman, "Unique Local IPv6 Unicast Addresses", RFC 4193, DOI 10.17487/RFC4193, October 2005, https://www.rfc-editor.org/info/rfc4193>.
- [RFC4210] Adams, C., Farrell, S., Kause, T., and T. Mononen,
 "Internet X.509 Public Key Infrastructure Certificate
 Management Protocol (CMP)", RFC 4210, DOI 10.17487/
 RFC4210, September 2005, https://www.rfc-editor.org/info/rfc4210>.
- [RFC5280] Cooper, D., Santesson, S., Farrell, S., Boeyen, S.,
 Housley, R., and W. Polk, "Internet X.509 Public Key
 Infrastructure Certificate and Certificate Revocation
 List (CRL) Profile", RFC 5280, DOI 10.17487/RFC5280, May
 2008, https://www.rfc-editor.org/info/rfc5280.
- [RFC6020] Bjorklund, M., Ed., "YANG A Data Modeling Language for the Network Configuration Protocol (NETCONF)", RFC 6020, DOI 10.17487/RFC6020, October 2010, https://www.rfc-editor.org/info/rfc6020.
- [RFC6125] Saint-Andre, P. and J. Hodges, "Representation and Verification of Domain-Based Application Service Identity within Internet Public Key Infrastructure Using X.509 (PKIX) Certificates in the Context of Transport Layer

- Security (TLS)", RFC 6125, DOI 10.17487/RFC6125, March 2011, https://www.rfc-editor.org/info/rfc6125.
- [RFC7250] Wouters, P., Ed., Tschofenig, H., Ed., Gilmore, J.,
 Weiler, S., and T. Kivinen, "Using Raw Public Keys in
 Transport Layer Security (TLS) and Datagram Transport
 Layer Security (DTLS)", RFC 7250, DOI 10.17487/RFC7250,
 June 2014, https://www.rfc-editor.org/info/rfc7250.
- [RFC7950] Bjorklund, M., Ed., "The YANG 1.1 Data Modeling
 Language", RFC 7950, DOI 10.17487/RFC7950, August 2016,
 https://www.rfc-editor.org/info/rfc7950>.
- [RFC8366] Watsen, K., Richardson, M., Pritikin, M., and T. Eckert,
 "A Voucher Artifact for Bootstrapping Protocols", RFC
 8366, DOI 10.17487/RFC8366, May 2018, https://www.rfc-editor.org/info/rfc8366>.
- [RFC8610] Birkholz, H., Vigano, C., and C. Bormann, "Concise Data
 Definition Language (CDDL): A Notational Convention to
 Express Concise Binary Object Representation (CBOR) and
 JSON Data Structures", RFC 8610, DOI 10.17487/RFC8610,
 June 2019, https://www.rfc-editor.org/info/rfc8610>.
- [RFC8949] Bormann, C. and P. Hoffman, "Concise Binary Object
 Representation (CBOR)", STD 94, RFC 8949, DOI 10.17487/
 RFC8949, December 2020, https://www.rfc-editor.org/info/rfc8949.
- [RFC8995] Pritikin, M., Richardson, M., Eckert, T., Behringer, M.,
 and K. Watsen, "Bootstrapping Remote Secure Key
 Infrastructure (BRSKI)", RFC 8995, DOI 10.17487/RFC8995,
 May 2021, https://www.rfc-editor.org/info/rfc8995.
- [RFC9032] Dujovne, D., Ed. and M. Richardson, "Encapsulation of 6TiSCH Join and Enrollment Information Elements", RFC

17.2. Informative References

- [COSE-registry] IANA, ., "CBOR Object Signing and Encryption (COSE)
 registry", 2017, < https://www.iana.org/assignments/cose/
 cose.xhtml>.
- [I-D.ietf-anima-constrained-join-proxy] Richardson, M., Stok, P. V.
 D., and P. Kampanakis, "Constrained Join Proxy for
 Bootstrapping Protocols", Work in Progress, Internet Draft, draft-ietf-anima-constrained-join-proxy-05, 18
 October 2021, https://www.ietf.org/archive/id/draft-ietf-anima-constrained-join-proxy-05.txt.
- [I-D.ietf-lake-edhoc] Selander, G., Mattsson, J. P., and F.
 Palombini, "Ephemeral Diffie-Hellman Over COSE (EDHOC)",
 Work in Progress, Internet-Draft, draft-ietf-lake edhoc-12, 20 October 2021, https://www.ietf.org/archive/id/draft-ietf-lake-edhoc-12.txt.
- [I-D.kuehlewind-update-tag] Kuehlewind, M. and S. Krishnan,
 "Definition of new tags for relations between RFCs", Work
 in Progress, Internet-Draft, draft-kuehlewind-update tag-04, 12 July 2021, https://www.ietf.org/archive/id/draft-kuehlewind-update-tag-04.txt.
- [RFC4443] Conta, A., Deering, S., and M. Gupta, Ed., "Internet Control Message Protocol (ICMPv6) for the Internet Protocol Version 6 (IPv6) Specification", STD 89, RFC 4443, DOI 10.17487/RFC4443, March 2006, https://www.rfc-editor.org/info/rfc4443>.
- [RFC7030] Pritikin, M., Ed., Yee, P., Ed., and D. Harkins, Ed.,
 "Enrollment over Secure Transport", RFC 7030, D0I
 10.17487/RFC7030, October 2013, https://www.rfc-editor.org/info/rfc7030.

[RFC7228]

Bormann, C., Ersue, M., and A. Keranen, "Terminology for Constrained-Node Networks", RFC 7228, DOI 10.17487/
RFC7228, May 2014, https://www.rfc-editor.org/info/rfc7228.

[RFC8990] Bormann, C., Carpenter, B., Ed., and B. Liu, Ed.,
 "GeneRic Autonomic Signaling Protocol (GRASP)", RFC 8990,
 DOI 10.17487/RFC8990, May 2021, https://www.rfc-editor.org/info/rfc8990.

Appendix A. Library support for BRSKI

For the implementation of BRSKI, the use of a software library to manipulate certificates and use crypto algorithms is often beneficial. Two C-based examples are OPENSSL and mbedtls. Others more targeted to specific platforms or languages exist. It is important to realize that the library interfaces differ significantly between libraries.

Libraries do not support all known crypto algorithms. Before deciding on a library, it is important to look at their supported crypto algorithms and the roadmap for future support. Apart from availability, the library footprint, and the required execution cycles should be investigated beforehand.

The handling of certificates usually includes the checking of a certificate chain. In some libraries, chains are constructed and verified on the basis of a set of certificates, the trust anchor (usually self signed root CA), and the target certificate. In other libraries, the chain must be constructed beforehand and obey order criteria. Verification always includes the checking of the signatures. Less frequent is the checking the validity of the dates or checking the existence of a revoked certificate in the chain against a set of revoked certificates. Checking the chain on the consistency of the certificate extensions which specify the use of the certificate usually needs to be programmed explicitly.

A libary can be used to construct a (D)TLS connection. It is useful to realize that differences beetween (D)TLS implementations will occur due to the differences in the certicate checks supported by the library. On top of that, checks between client and server certificates enforced by (D)TLS are not always helpful for a BRSKI implementation. For example, the certificates of Pledge and Registrar are usually not related when the BRSKI protocol is started. It must be verified that checks on the relation between

client and server certificates do not hamper a succeful DTLS connection establishment.

A.1. OpensSSL

```
from openssl's apps/verify.c
   X509 *x = NULL;
   int i = 0, ret = 0;
   X509_STORE_CTX *csc;
   STACK_OF(X509) *chain = NULL;
   int num_untrusted;
   x = load_cert(file, "certificate file");
   if (x == NULL)
        goto end;
   csc = X509_STORE_CTX_new();
   if (csc == NULL) {
       BIO_printf(bio_err, "error %s: X.509 store context"
                   "allocation failed\n",
                   (file == NULL) ? "stdin" : file);
       goto end;
   }
   X509_STORE_set_flags(ctx, vflags);
   if (!X509_STORE_CTX_init(csc, ctx, x, uchain)) {
       X509_STORE_CTX_free(csc);
       BIO_printf(bio_err,
                   "error %s: X.509 store context"
                   "initialization failed\n",
                   (file == NULL) ? "stdin" : file);
       goto end;
   if (tchain != NULL)
       X509_STORE_CTX_set0_trusted_stack(csc, tchain);
   if (crls != NULL)
       X509_STORE_CTX_set0_crls(csc, crls);
   i = X509_verify_cert(csc);
   X509_STORE_CTX_free(csc);
<CODE ENDS>
```

A.2. mbedTLS

```
mbedtls_x509_crt cert;
mbedtls_x509_crt caCert;
uint32_t certVerifyResultFlags;
...
int result = mbedtls_x509_crt_verify(&cert, &caCert, NULL, NULL, &certVerifyResultFlags, NULL, NULL);
```

A.3. wolfSSL

To be added (TBD).

Appendix B. Constrained BRSKI-EST messages

This section extends the examples from Appendix A of [I-D.ietf-ace-coap-est] with the constrained BRSKI requests. The CoAP headers are only worked out for the enrollstatus example.

B.1. enrollstatus

```
A coaps enrollstatus message can be :
  POST coaps://192.0.2.1:8085/b/es
 The corresponding CoAP header fields are shown below.
Ver = 1
T = 0 (CON)
Code = 0x02 (0.02 is POST)
Options
 Option (Uri-Path)
   Option Delta = 0xb
                        (option nr = 11)
   Option Length = 0x1
   Option Value = "b"
 Option (Uri-Path)
   Option Delta = 0x0
                       (option nr = 11)
   Option Length = 0x2
   Option Value = "es"
 Option (Content-Format)
   Option Delta = 0x1
                        (option nr = 12)
   Option Length = 0x1
   Option Value = 60
                        (application/cbor)
Payload Marker = 0xFF
Payload = <binary CBOR enrollstatus document>
```

The Uri-Host and Uri-Port Options are omitted because they coincide with the transport protocol destination address and port respectively. TBD - Show the binary CBOR payload of this example.

```
A 2.04 Changed response from the Registrar will then be:
  2.04 Changed
  With CoAP fields:
  Ver=1
  T=2 (ACK)
  Code = 0x44 (2.04 Changed)
B.2. voucher_status
  A coaps voucher_status message can be:
  POST coaps://[2001:db8::2:1]:61616/b/vs
    Content-Format: 60 (application/cbor)
    Payload =
a46776657273696f6e0166737461747573f466726561736f6e7828496e66
6f726d61746976652068756d616e2d7265616461626c65206572726f7220
6d6573736167656e726561736f6e2d636f6e74657874a100764164646974
696f6e616c20696e666f726d6174696f6e
<CODE ENDS>
  The request payload above is binary CBOR but represented here in
  format.
```

hexadecimal for readability. Below is the equivalent CBOR diagnostic

```
{"version": 1, "status": false,
"reason": "Informative human-readable error message",
"reason-context": { 0: "Additional information" } }
<CODE ENDS>
```

A 2.04 Changed response without payload will then be sent by the Registrar back to the Pledge.

2.04 Changed

Appendix C. COSE examples

These examples are generated on a Pi 4 and a PC running BASH. Keys and Certificates have been generated with openssl with the following shell script:

```
#!/bin/bash
#try-cert.sh
export dir=./brski/intermediate
export cadir=./brski
export cnfdir=./conf
export format=pem
export default_crl_days=30
sn=8
DevID=pledge.1.2.3.4
serialNumber="serialNumber=$DevID"
export hwType=1.3.6.1.4.1.6715.10.1
export hwSerialNum=01020304 # Some hex
export subjectAltName="otherName:1.3.6.1.5.5.7.8.4;SEQ:hmodname"
echo $hwType - $hwSerialNum
echo $serialNumber
OPENSSL_BIN="openss1"
# remove all files
rm -r ./brski/*
# initialize file structure
# root level
cd $cadir
mkdir certs crl csr newcerts private
chmod 700 private
touch index.txt
touch serial
echo 11223344556600 >serial
echo 1000 > crlnumber
# intermediate level
mkdir intermediate
cd intermediate
mkdir certs crl csr newcerts private
chmod 700 private
touch index.txt
echo 11223344556600 >serial
echo 1000 > crlnumber
cd ../..
# file structure is cleaned start filling
echo "#############""
echo "create registrar keys and certificates "
echo "#############""
```

echo "create root registrar certificate using ecdsa with sha 256 key"

```
$OPENSSL_BIN ecparam -name prime256v1 -genkey \
   -noout -out $cadir/private/ca-regis.key
$0PENSSL_BIN req -new -x509 \
 -config $cnfdir/openssl-regis.cnf \
 -key $cadir/private/ca-regis.key \
 -out $cadir/certs/ca-regis.crt \
 -extensions v3 ca\
-days 365 \
 -subj "/C=NL/ST=NB/L=Helmond/0=vanderstok/OU=consultancy \
/CN=registrar.stok.nl"
# Combine authority certificate and key
echo "Combine authority certificate and key"
$OPENSSL_BIN pkcs12 -passin pass:watnietweet -passout pass:watnietweet\
   -inkey $cadir/private/ca-regis.key \
  -in $cadir/certs/ca-regis.crt -export \
  -out $cadir/certs/ca-regis-comb.pfx
# converteer authority pkcs12 file to pem
echo "converteer authority pkcs12 file to pem"
$OPENSSL_BIN pkcs12 -passin pass:watnietweet -passout pass:watnietweet\
   -in $cadir/certs/ca-regis-comb.pfx \
   -out $cadir/certs/ca-regis-comb.crt -nodes
#show certificate in registrar combined certificate
$OPENSSL_BIN x509 -in $cadir/certs/ca-regis-comb.crt -text
# Certificate Authority for MASA
echo "#############""
echo "create MASA keys and certificates "
echo "#############""
echo "create root MASA certificate using ecdsa with sha 256 key"
$OPENSSL_BIN ecparam -name prime256v1 -genkey -noout \
   -out $cadir/private/ca-masa.key
$OPENSSL_BIN req -new -x509 \
 -config $cnfdir/openssl-masa.cnf \
 -days 1000 -key $cadir/private/ca-masa.key \
 -out $cadir/certs/ca-masa.crt \
 -extensions v3_ca\
 -subj "/C=NL/ST=NB/L=Helmond/0=vanderstok/0U=manufacturer\
/CN=masa.stok.nl"
# Combine authority certificate and key
echo "Combine authority certificate and key for masa"
$OPENSSL_BIN pkcs12 -passin pass:watnietweet -passout pass:watnietweet\
```

```
-inkey $cadir/private/ca-masa.key \
   -in $cadir/certs/ca-masa.crt -export \
   -out $cadir/certs/ca-masa-comb.pfx
# converteer authority pkcs12 file to pem for masa
echo "converteer authority pkcs12 file to pem for masa"
$OPENSSL_BIN pkcs12 -passin pass:watnietweet -passout pass:watnietweet\
   -in $cadir/certs/ca-masa-comb.pfx \
   -out $cadir/certs/ca-masa-comb.crt -nodes
#show certificate in pledge combined certificate
$OPENSSL BIN x509 -in $cadir/certs/ca-masa-comb.crt -text
# Certificate for Pledge derived from MASA certificate
echo "##############""
echo "create pledge keys and certificates "
echo "#############""
# Pledge derived Certificate
echo "create pledge derived certificate using ecdsa with sha 256 key"
$0PENSSL_BIN ecparam -name prime256v1 -genkey -noout \
   -out $dir/private/pledge.key
echo "create pledge certificate request"
$OPENSSL_BIN reg -nodes -new -sha256 \
   -key $dir/private/pledge.key -out $dir/csr/pledge.csr \
  -subj "/C=NL/ST=NB/L=Helmond/O=vanderstok/OU=manufacturing\
 /CN=uuid:$DevID/$serialNumber"
# Sign pledge derived Certificate
echo "sign pledge derived certificate "
$OPENSSL_BIN ca -config $cnfdir/openssl-pledge.cnf \
 -extensions 8021ar_idevid\
 -days 365 -in $dir/csr/pledge.csr \
 -out $dir/certs/pledge.crt
# Add pledge key and pledge certificate to pkcs12 file
echo "Add derived pledge key and derived pledge \
 certificate to pkcs12 file"
$OPENSSL_BIN pkcs12 -passin pass:watnietweet -passout pass:watnietweet\
   -inkey $dir/private/pledge.key \
   -in $dir/certs/pledge.crt -export \
   -out $dir/certs/pledge-comb.pfx
# converteer pledge pkcs12 file to pem
```

```
echo "converteer pledge pkcs12 file to pem"

$OPENSSL_BIN pkcs12 -passin pass:watnietweet -passout pass:watnietweet\
    -in $dir/certs/pledge-comb.pfx \
    -out $dir/certs/pledge-comb.crt -nodes

#show certificate in pledge-comb.crt

$OPENSSL_BIN x509 -in $dir/certs/pledge-comb.crt -text

#show private key in pledge-comb.crt

$OPENSSL_BIN ecparam -name prime256v1\
    -in $dir/certs/pledge-comb.crt -text

<CODE ENDS>
```

The xxxx-comb certificates have been generated as required by libcoap for the DTLS connection generation.

C.1. Pledge, Registrar and MASA keys

This first section documents the public and private keys used in the subsequent test vectors below. These keys come from test code and are not used in any production system, and should only be used only to validate implementations.

C.1.1. Pledge private key

```
Private-Key: (256 bit)
priv:
    9b:4d:43:b6:a9:e1:7c:04:93:45:c3:13:d9:b5:f0:
    41:a9:6a:9c:45:79:73:b8:62:f1:77:03:3a:fc:c2:
    9c:9a
pub:
    04:d6:b7:6f:74:88:bd:80:ae:5f:28:41:2c:72:02:
    ef:5f:98:b4:81:e1:d9:10:4c:f8:1b:66:d4:3e:5c:
    ea:da:73:e6:a8:38:a9:f1:35:11:85:b6:cd:e2:04:
    10:be:fe:d5:0b:3b:14:69:2e:e1:b0:6a:bc:55:40:
    60:eb:95:5c:54
ASN1 OID: prime256v1
NIST CURVE: P-256
<CODE ENDS>
```

C.1.2. Registrar private key

```
Private-Key: (256 bit)
priv:
    81:df:bb:50:a3:45:58:06:b5:56:3b:46:de:f3:e9:
    e9:00:ae:98:13:9e:2f:36:68:81:fc:d9:65:24:fb:
    21:7e
pub:
    04:50:7a:c8:49:1a:8c:69:c7:b5:c3:1d:03:09:ed:
    35:ba:13:f5:88:4c:e6:2b:88:cf:30:18:15:4f:a0:
    59:b0:20:ec:6b:eb:b9:4e:02:b8:93:40:21:89:8d:
    a7:89:c7:11:ce:a7:13:39:f5:0e:34:8e:df:0d:92:
    3e:d0:2d:c7:b7
ASN1 OID: prime256v1
NIST CURVE: P-256
<CODE ENDS>
```

C.1.3. MASA private key

C.2. Pledge, Registrar and MASA certificates

Below the certificates that accompany the keys. The certificate description is followed by the hexadecimal DER of the certificate

C.2.1. Pledge IDevID certificate

```
Certificate:
   Data:
       Version: 3 (0x2)
       Serial Number: 4822678189204992 (0x11223344556600)
        Signature Algorithm: ecdsa-with-SHA256
        Issuer: C=NL, ST=NB, L=Helmond, O=vanderstok, OU=manufacturer,
                                                      CN=masa.stok.nl
       Validity
            Not Before: Dec 9 10:02:36 2020 GMT
            Not After: Dec 31 23:59:59 9999 GMT
        Subject: C=NL, ST=NB, L=Helmond, O=vanderstok, OU=manufacturing,
                   CN=uuid:pledge.1.2.3.4/serialNumber=pledge.1.2.3.4
        Subject Public Key Info:
            Public Key Algorithm: id-ecPublicKey
                Public-Key: (256 bit)
                : dug
                    04:d6:b7:6f:74:88:bd:80:ae:5f:28:41:2c:72:02:
                    ef:5f:98:b4:81:e1:d9:10:4c:f8:1b:66:d4:3e:5c:
                    ea:da:73:e6:a8:38:a9:f1:35:11:85:b6:cd:e2:04:
                    10:be:fe:d5:0b:3b:14:69:2e:e1:b0:6a:bc:55:40:
                    60:eb:95:5c:54
                ASN1 OID: prime256v1
                NIST CURVE: P-256
        X509v3 extensions:
            X509v3 Basic Constraints:
                CA: FALSE
            X509v3 Authority Key Identifier:
      E4:03:93:B4:C3:D3:F4:2A:80:A4:77:18:F6:96:49:03:01:17:68:A3
   Signature Algorithm: ecdsa-with-SHA256
         30:46:02:21:00:d2:e6:45:3b:b0:c3:00:b3:25:8d:f1:83:fe:
         d9:37:c1:a2:49:65:69:7f:6b:b9:ef:2c:05:07:06:31:ac:17:
         bd:02:21:00:e2:ce:9e:7b:7f:74:50:33:ad:9e:ff:12:4e:e9:
         a6:f3:b8:36:65:ab:7d:80:bb:56:88:bc:03:1d:e5:1e:31:6f
```

<CODE ENDS>

This is the hexadecimal representation in (request-)voucher examples referred to as pledge-cert-hex.

30820226308201cba003020102020711223344556600300a06082a8648ce3d04 0302306f310b3009060355040613024e4c310b300906035504080c024e423110 300e06035504070c0748656c6d6f6e6431133011060355040a0c0a76616e6465 7273746f6b31153013060355040b0c0c6d616e75666163747572657231153013 06035504030c0c6d6173612e73746f6b2e6e6c3020170d323031323039313030 3233365a180f39393939313233313233353935395a308190310b300906035504 0613024e4c310b300906035504080c024e423110300e06035504070c0748656c 6d6f6e6431133011060355040a0c0a76616e64657273746f6b31163014060355 040b0c0d6d616e75666163747572696e67311c301a06035504030c1375756964 3a706c656467652e312e322e332e34311730150603550405130e706c65646765 2e312e322e332e343059301306072a8648ce3d020106082a8648ce3d03010703 420004d6b76f7488bd80ae5f28412c7202ef5f98b481e1d9104cf81b66d43e5c eada73e6a838a9f1351185b6cde20410befed50b3b14692ee1b06abc554060eb 955c54a32e302c30090603551d1304023000301f0603551d23041830168014e4 0393b4c3d3f42a80a47718f6964903011768a3300a06082a8648ce3d04030203 49003046022100d2e6453bb0c300b3258df183fed937c1a24965697f6bb9ef2c 05070631ac17bd022100e2ce9e7b7f745033ad9eff124ee9a6f3b83665ab7d80 bb5688bc031de51e316f<CODE ENDS>

C.2.2. Registrar Certificate

```
Certificate:
   Data:
       Version: 3 (0x2)
       Serial Number:
            70:56:ea:aa:30:66:d8:82:6a:55:5b:90:88:d4:62:bf:9c:f2:8c:fd
       Signature Algorithm: ecdsa-with-SHA256
        Issuer: C=NL, ST=NB, L=Helmond, O=vanderstok, OU=consultancy,
                                                 CN=registrar.stok.nl
       Validity
            Not Before: Dec 9 10:02:36 2020 GMT
            Not After: Dec 9 10:02:36 2021 GMT
        Subject: C=NL, ST=NB, L=Helmond, O=vanderstok, OU=consultancy,
                                                  CN=registrar.stok.nl
        Subject Public Key Info:
            Public Key Algorithm: id-ecPublicKey
                Public-Key: (256 bit)
                pub:
                    04:50:7a:c8:49:1a:8c:69:c7:b5:c3:1d:03:09:ed:
                    35:ba:13:f5:88:4c:e6:2b:88:cf:30:18:15:4f:a0:
                    59:b0:20:ec:6b:eb:b9:4e:02:b8:93:40:21:89:8d:
                    a7:89:c7:11:ce:a7:13:39:f5:0e:34:8e:df:0d:92:
                    3e:d0:2d:c7:b7
                ASN1 OID: prime256v1
                NIST CURVE: P-256
       X509v3 extensions:
            X509v3 Subject Key Identifier:
     08:C2:BF:36:88:7F:79:41:21:85:87:2F:16:A7:AC:A6:EF:B3:D2:B3
           X509v3 Authority Key Identifier:
                kevid:
     08:C2:BF:36:88:7F:79:41:21:85:87:2F:16:A7:AC:A6:EF:B3:D2:B3
            X509v3 Basic Constraints: critical
                CA: TRUE
            X509v3 Extended Key Usage:
                CMC Registration Authority, TLS Web Server
                Authentication, TLS Web Client Authentication
            X509v3 Key Usage: critical
                Digital Signature, Non Repudiation, Key Encipherment,
                Data Encipherment, Certificate Sign, CRL Sign
   Signature Algorithm: ecdsa-with-SHA256
         30:44:02:20:74:4c:99:00:85:13:b2:f1:bc:fd:f9:02:1a:46:
         fb:17:4c:f8:83:a2:7c:a1:d9:3f:ae:ac:f3:1e:4e:dd:12:c6:
         02:20:11:47:14:db:f5:1a:5e:78:f5:81:b9:42:1c:6e:47:02:
         ab:53:72:70:c5:ba:fb:2d:16:c3:de:9a:a1:82:c3:5f
```

This the hexadecimal representation, in (request-)voucher examples referred to as regis-cert-hex

308202753082021ca00302010202147056eaaa3066d8826a555b9088d462bf9c f28cfd300a06082a8648ce3d0403023073310b3009060355040613024e4c310b 300906035504080c024e423110300e06035504070c0748656c6d6f6e64311330 11060355040a0c0a76616e64657273746f6b31143012060355040b0c0b636f6e 73756c74616e6379311a301806035504030c117265676973747261722e73746f 6b2e6e6c301e170d3230313230393130303233365a170d323131323039313030 3233365a3073310b3009060355040613024e4c310b300906035504080c024e42 3110300e06035504070c0748656c6d6f6e6431133011060355040a0c0a76616e 64657273746f6b31143012060355040b0c0b636f6e73756c74616e6379311a30 1806035504030c117265676973747261722e73746f6b2e6e6c3059301306072a 8648ce3d020106082a8648ce3d03010703420004507ac8491a8c69c7b5c31d03 09ed35ba13f5884ce62b88cf3018154fa059b020ec6bebb94e02b8934021898d a789c711cea71339f50e348edf0d923ed02dc7b7a3818d30818a301d0603551d 0e0416041408c2bf36887f79412185872f16a7aca6efb3d2b3301f0603551d23 04183016801408c2bf36887f79412185872f16a7aca6efb3d2b3300f0603551d 130101ff040530030101ff30270603551d250420301e06082b0601050507031c 06082b0601050507030106082b06010505070302300e0603551d0f0101ff0404 030201f6300a06082a8648ce3d04030203470030440220744c99008513b2f1bc fdf9021a46fb174cf883a27ca1d93faeacf31e4edd12c60220114714dbf51a5e 78f581b9421c6e4702ab537270c5bafb2d16c3de9aa182c35f<CODE ENDS>

C.2.3. MASA Certificate

```
Certificate:
   Data:
       Version: 3(0x2)
       Serial Number:
            14:26:b8:1c:ce:d8:c3:e8:14:05:cb:87:67:0d:be:ef:d5:81:25:b4
        Signature Algorithm: ecdsa-with-SHA256
        Issuer: C=NL, ST=NB, L=Helmond, O=vanderstok,
            OU=manufacturer, CN=masa.stok.nl
       Validity
            Not Before: Dec 9 10:02:36 2020 GMT
            Not After: Sep 5 10:02:36 2023 GMT
        Subject: C=NL, ST=NB, L=Helmond, O=vanderstok,
            OU=manufacturer, CN=masa.stok.nl
        Subject Public Key Info:
            Public Key Algorithm: id-ecPublicKey
                Public-Key: (256 bit)
                : dug
                    04:59:80:94:66:14:94:20:30:3c:66:08:85:55:86:
                    db:e7:d4:d1:d7:7a:d2:a3:1a:0c:73:6b:01:0d:02:
                    12:15:d6:1f:f3:6e:c8:d4:84:60:43:3b:21:c5:83:
                    80:1e:fc:e2:37:85:77:97:94:d4:aa:34:b5:b6:c6:
                    ed:f3:17:5c:f1
                ASN1 OID: prime256v1
                NIST CURVE: P-256
        X509v3 extensions:
            X509v3 Subject Key Identifier:
     E4:03:93:B4:C3:D3:F4:2A:80:A4:77:18:F6:96:49:03:01:17:68:A3
            X509v3 Authority Key Identifier:
       E4:03:93:B4:C3:D3:F4:2A:80:A4:77:18:F6:96:49:03:01:17:68:A3
           X509v3 Basic Constraints: critical
                CA:TRUE
           X509v3 Extended Key Usage:
                CMC Registration Authority,
                TLS Web Server Authentication,
                TLS Web Client Authentication
            X509v3 Key Usage: critical
                Digital Signature, Non Repudiation, Key Encipherment,
                      Data Encipherment, Certificate Sign, CRL Sign
   Signature Algorithm: ecdsa-with-SHA256
         30:44:02:20:2e:c5:f2:24:72:70:20:ea:6e:74:8b:13:93:67:
         8a:e6:fe:fb:8d:56:7f:f5:34:18:a9:ef:a5:0f:c3:99:ca:53:
         02:20:3d:dc:91:d0:e9:6a:69:20:01:fb:e4:20:40:de:7c:7d:
         98:ed:d8:84:53:61:84:a7:f9:13:06:4c:a9:b2:8f:5c
```

This is the hexadecimal representation, in (request-)voucher examples referred to as masa-cert-hex.

3082026d30820214a00302010202141426b81cced8c3e81405cb87670dbeefd5 8125b4300a06082a8648ce3d040302306f310b3009060355040613024e4c310b 300906035504080c024e423110300e06035504070c0748656c6d6f6e64311330 11060355040a0c0a76616e64657273746f6b31153013060355040b0c0c6d616e 7566616374757265723115301306035504030c0c6d6173612e73746f6b2e6e6c 301e170d3230313230393130303233365a170d3233303930353130303233365a 306f310b3009060355040613024e4c310b300906035504080c024e423110300e 06035504070c0748656c6d6f6e6431133011060355040a0c0a76616e64657273 746f6b31153013060355040b0c0c6d616e756661637475726572311530130603 5504030c0c6d6173612e73746f6b2e6e6c3059301306072a8648ce3d02010608 2a8648ce3d0301070342000459809466149420303c6608855586dbe7d4d1d77a d2a31a0c736b010d021215d61ff36ec8d48460433b21c583801efce237857797 94d4aa34b5b6c6edf3175cf1a3818d30818a301d0603551d0e04160414e40393 b4c3d3f42a80a47718f6964903011768a3301f0603551d23041830168014e403 93b4c3d3f42a80a47718f6964903011768a3300f0603551d130101ff04053003 0101ff30270603551d250420301e06082b0601050507031c06082b0601050507 030106082b06010505070302300e0603551d0f0101ff0404030201f6300a0608 2a8648ce3d040302034700304402202ec5f224727020ea6e748b1393678ae6fe fb8d567ff53418a9efa50fc399ca5302203ddc91d0e96a692001fbe42040de7c 7d98edd884536184a7f913064ca9b28f5c<CODE ENDS>

C.3. COSE signed voucher request from Pledge to Registrar

In this example the voucher request has been signed by the Pledge, and has been sent to the JRC over CoAPS. The Pledge uses the proximity assertion together with an included proximity-registrarcert field to inform MASA about its proximity to the specific Registrar.

```
POST coaps://registrar.example.com/b/rv
(Content-Format: application/voucher-cose+cbor)
signed_request_voucher
```

The payload signed_request_voucher is shown as hexadecimal dump (with 1f added):

d28444a101382ea104582097113db094eee8eae48683e7337875c0372164 be89d023a5f3df52699c0fbfb55902d2a11909c5a60274323032302d3132 2d32335431323a30353a32325a0474323032322d31322d32335431323a30 353a32325a01020750684ca83e27230aff97630cf2c1ec409a0d6e706c65 6467652e312e322e332e340a590279308202753082021ca0030201020214 7056eaaa3066d8826a555b9088d462bf9cf28cfd300a06082a8648ce3d04 03023073310b3009060355040613024e4c310b300906035504080c024e42 3110300e06035504070c0748656c6d6f6e6431133011060355040a0c0a76 616e64657273746f6b31143012060355040b0c0b636f6e73756c74616e63 79311a301806035504030c117265676973747261722e73746f6b2e6e6c30 1e170d3230313230393130303233365a170d323131323039313030323336 5a3073310b3009060355040613024e4c310b300906035504080c024e4231 10300e06035504070c0748656c6d6f6e6431133011060355040a0c0a7661 6e64657273746f6b31143012060355040b0c0b636f6e73756c74616e6379 311a301806035504030c117265676973747261722e73746f6b2e6e6c3059 301306072a8648ce3d020106082a8648ce3d03010703420004507ac8491a 8c69c7b5c31d0309ed35ba13f5884ce62b88cf3018154fa059b020ec6beb b94e02b8934021898da789c711cea71339f50e348edf0d923ed02dc7b7a3 818d30818a301d0603551d0e0416041408c2bf36887f79412185872f16a7 aca6efb3d2b3301f0603551d2304183016801408c2bf36887f7941218587 2f16a7aca6efb3d2b3300f0603551d130101ff040530030101ff30270603 551d250420301e06082b0601050507031c06082b0601050507030106082b 06010505070302300e0603551d0f0101ff0404030201f6300a06082a8648 ce3d04030203470030440220744c99008513b2f1bcfdf9021a46fb174cf8 83a27ca1d93faeacf31e4edd12c60220114714dbf51a5e78f581b9421c6e 4702ab537270c5bafb2d16c3de9aa182c35f58473045022063766c7bbd1b 339dbc398e764af3563e93b25a69104befe9aac2b3336b8f56e1022100cd 0419559ad960ccaed4dee3f436eca40b7570b25a52eb60332bc1f2991484 e9

<CODE ENDS>

The representiation of signed_voucher_request in CBOR diagnostic format is:

```
Diagnose(signed_request_voucher) =
18([
h'A101382E', // {"alg": -47}
{4: h'97113DB094EEE8EAE48683E7337875C0372164B
     E89D023A5F3DF52699C0FBFB5'},
h'1234',
                // request_voucher
h'3045022063766C7BBD1B339DBC398E764AF3563E93B
25A69104BEFE9AAC2B3336B8F56E1022100CD0419559A
D960CCAED4DEE3F436ECA40B7570B25A52EB60332BC1F
2991484E9'
1)
Diagnose(request_voucher) =
{2501: {2: "2020-12-23T12:05:22Z",
       4: "2022-12-23T12:05:22Z",
       7: h'684CA83E27230AFF97630CF2C1EC409A',
       13: "pledge.1.2.3.4",
       10: h'1234' // regis-cert-hex
}}
<CODE ENDS>
```

C.4. COSE signed voucher request from Registrar to MASA

TBD - modify example to use full paths to MASA, not short-names. Also not use CoAP but HTTP protocol.

In this example the voucher request has been signed by the JRC using the private key from $\underline{\mathsf{Appendix}}\ \mathsf{C.1.2}$. Contained within this voucher request is the voucher request from the Pledge to JRC.

```
POST coaps://masa.example.com/b/rv
(Content-Format: application/voucher-cose+cbor)
signed_masa_request_voucher
```

The payload signed_masa_voucher_request is shown as hexadecimal dump (with 1f added):

d28444a101382ea1045820e8735bc4b470c3aa6a7aa9aa8ee584c09c1113 1b205efec5d0313bad84c5cd05590414a11909c5a60274323032302d3132 2d32385431303a30333a33355a0474323032322d31322d32385431303a30 333a33355a07501551631f6e0416bd162ba53ea00c2a050d6e706c656467 652e312e322e332e3405587131322d32385431303a30333a33355a075015 51631f6e0416bd162ba53ea00c2a050d6e706c656467652e312e322e332e 3405587131322d32385431303a30000000000000000000000000416bd16 2ba53ea00c2a050d6e706c656467652e312e322e332e3405587131322d32 385431303a09590349d28444a101382ea104582097113db094eee8eae486 83e7337875c0372164be89d023a5f3df52699c0fbfb55902d2a11909c5a6 0274323032302d31322d32385431303a30333a33355a0474323032322d31 322d32385431303a30333a33355a010207501551631f6e0416bd162ba53e a00c2a050d6e706c656467652e312e322e332e340a590279308202753082 021ca00302010202147056eaaa3066d8826a555b9088d462bf9cf28cfd30 0a06082a8648ce3d0403023073310b3009060355040613024e4c310b3009 06035504080c024e423110300e06035504070c0748656c6d6f6e64311330 11060355040a0c0a76616e64657273746f6b31143012060355040b0c0b63 6f6e73756c74616e6379311a301806035504030c11726567697374726172 2e73746f6b2e6e6c301e170d3230313230393130303233365a170d323131 3230393130303233365a3073310b3009060355040613024e4c310b300906 035504080c024e423110300e06035504070c0748656c6d6f6e6431133011 060355040a0c0a76616e64657273746f6b31143012060355040b0c0b636f 6e73756c74616e6379311a301806035504030c117265676973747261722e 73746f6b2e6e6c3059301306072a8648ce3d020106082a8648ce3d030107 03420004507ac8491a8c69c7b5c31d0309ed35ba13f5884ce62b88cf3018 154fa059b020ec6bebb94e02b8934021898da789c711cea71339f50e348e df0d923ed02dc7b7a3818d30818a301d0603551d0e0416041408c2bf3688 7f79412185872f16a7aca6efb3d2b3301f0603551d2304183016801408c2 bf36887f79412185872f16a7aca6efb3d2b3300f0603551d130101ff0405 30030101ff30270603551d250420301e06082b0601050507031c06082b06 01050507030106082b06010505070302300e0603551d0f0101ff04040302 01f6300a06082a8648ce3d04030203470030440220744c99008513b2f1bc fdf9021a46fb174cf883a27ca1d93faeacf31e4edd12c60220114714dbf5 1a5e78f581b9421c6e4702ab537270c5bafb2d16c3de9aa182c35f584730 45022063766c7bbd1b339dbc398e764af3563e93b25a69104befe9aac2b3 336b8f56e1022100cd0419559ad960ccaed4dee3f436eca40b7570b25a52 eb60332bc1f2991484e958473045022100e6b45558c1b806bba23f4ac626 c9bdb6fd354ef4330d8dfb7c529f29cca934c802203c1f2ccbbac89733d1 7ee7775bc2654c5f1cc96afba2741cc31532444aa8fed8

<CODE ENDS>

The representiation of signed_masa_voucher_request in CBOR diagnostic format is:

```
Diagnose(signed_registrar_request-voucher)
18([
h'A101382E', // {"alg": -47}
h'E8735BC4B470C3AA6A7AA9AA8EE584C09C11131B205EFEC5D0313BAD84
C5CD05'},
h'1234', // registrar_request_voucher',
h'3045022100E6B45558C1B806BBA23F4AC626C9BDB6FD354EF4330D8DFB
7C529F29CCA934C802203C1F2CCBBAC89733D17EE7775BC2654C5F1CC96A
FBA2741CC31532444AA8FED8'
])
Diagnose(registrar_request_voucher)
{2501:
    {2: "2020-12-28T10:03:35Z",
    4: "2022-12-28T10:03:35Z",
    7: h'1551631F6E0416BD162BA53EA00C2A05',
    13: "pledge.1.2.3.4",
     5: h'31322D32385431303A30333A33355A07501551631F6E0416BD
          162BA53EA00C2A050D6E706C656467652E312E322E332E3405
          587131322D32385431303A3000000000000000000000000000000
          16BD162BA53EA00C2A050D6E706C656467652E312E322E332E
          3405587131322D32385431303A',
     9: h'1234' // signature
}}
<CODE ENDS>
```

C.5. COSE signed voucher from MASA to Pledge via Registrar

The resulting voucher is created by the MASA and returned via the JRC to the Pledge. It is signed by the MASA's private key <u>Appendix C.1.3</u> and can be verified by the Pledge using the MASA's public key contained within the MASA certificate.

This is the raw binary signed_voucher, encoded in hexadecimal (with lf added):

d28444a101382ea104582039920a34ee92d3148ab3a729f58611193270c9 029f7784daf112614b19445d5158cfa1190993a70274323032302d31322d 32335431353a30333a31325a0474323032302d31322d32335431353a3233 3a31325a010007506508e06b2959d5089d7a3169ea889a490b6e706c6564 67652e312e322e332e340858753073310b3009060355040613024e4c310b 300906035504080c024e423110300e06035504070c0748656c6d6f6e6431 133011060355040a0c0a76616e64657273746f6b31143012060355040b0c 0b636f6e73756c74616e6379311a301806035504030c1172656769737472 61722e73746f6b2e6e6c03f458473045022022515d96cd12224ee5d3ac67

```
3237163bba24ad84815699285d9618f463ee73fa022100a6bff9d8585c1c
9256371ece94da3d26264a5dfec0a354fe7b3aef58344c512f
<CODE ENDS>
  The representiation of signed_voucher in CBOR diagnostic format is:
Diagnose(signed_voucher) =
18([
h'A101382E', # {"alg": -47}
{4: h'39920A34EE92D3148AB3A729F58611193270C9029F7784DAF112614B194
45D51'},
h'voucher',
h'3045022022515D96CD12224EE5D3AC673237163BBA24AD84815699285D9618F
463EE73FA022100A6BFF9D8585C1C9256371ECE94DA3D26264A5DFEC0A354FE7B
3AEF58344C512F'
1)
Diagnose(voucher) =
{2451:
   {2: "2020-12-23T15:03:12Z",
   4: "2020-12-23T15:23:12Z",
   1: 0,
   7: h'6508E06B2959D5089D7A3169EA889A49',
  11: "pledge.1.2.3.4",
   8: h'regis-cert-hex',
   3: false}}
<CODE ENDS>
Contributors
```

Russ Housley

Email: housley@vigilsec.com

Authors' Addresses

Michael Richardson Sandelman Software Works Email: mcr+ietf@sandelman.ca

Peter van der Stok vanderstok consultancy

Email: stokcons@bbhmail.nl

Panos Kampanakis Cisco Systems

Email: pkampana@cisco.com

Esko Dijk

IoTconsultancy.nl

Email: esko.dijk@iotconsultancy.nl