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# The file URI Scheme draft-ietf-appsawg-file-scheme-09

#### Abstract

This document specifies the "file" Uniform Resource Identifier (URI) scheme, obsoleting the definition in <a href="RFC 1738">RFC 1738</a>.

It defines a common syntax which is intended to interoperate across the broad spectrum of existing usages. At the same time it notes some other current practices around the use of file URIs.

Note to Readers (To be removed by the RFC Editor)

This draft should be discussed on the IETF Applications Area Working Group discussion list <apps-discuss@ietf.org>.

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# 1. Introduction

A file URI identifies an object (a "file") stored in a structured object naming and accessing environment on a host (a "file system.") The URI can be used in discussions about the file, and if other

conditions are met it can be dereferenced to directly access the file.

This document specifies a syntax based on the generic syntax of [RFC3986] that is compatible with most existing usages. Optional extensions to the syntax which might be encountered in practice are listed in appendices; these extensions are listed for informational purposes only.

The file URI scheme is not coupled with a specific protocol, nor with a specific media type [RFC6838]. See Section 3 for a discussion of operations that can be performed on the object identified by a file URI.

#### 1.1. Notational Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

Throughout this document the term "local file" is used to describe files that can be accessed through the local file system API using only the information included in the file path, not relying on other information such as network addresses. It is important to note that a local file may not be physically located on the local machine, for example if a networked file system is transparently mounted into the local file system.

The term "local file URI" is used to describe file URIs which have no authority, or where the authority is the special string "localhost" or a fully qualified domain name that resolves to the machine from which the URI is being interpreted (Section 2).

## 2. Syntax

The file URI syntax is defined here in Augmented Backus-Naur Form (ABNF) [RFC5234], importing the "host" and "path-absolute" rules from [RFC3986] (as updated by [RFC6874].)

The generic syntax in [RFC3986] includes "path" and "authority" components, for each of which only a subset is used in the definition of the file URI scheme. The relevant subset of "path" is "pathabsolute", and the subset of "authority" is "file-auth", given below.

The syntax definition below is different from those given in [RFC1630] and [RFC1738] as it is derived from the generic syntax of [RFC3986], which post-dates the previous file URI specifications.

Appendix A enumerates significant differences.

The "host" is the fully qualified domain name of the system on which the file is accessible. This allows a client on another system to know that it cannot access the file system, or perhaps to use some other local mecahnism to access the file.

As a special case, the "file-auth" rule can match the string "localhost" which is interpreted as "the machine from which the URI is being interpreted," exactly as if no authority were present. Some current usages of the scheme incorrectly interpret all values in the authority of a file URI, including "localhost", as non-local. Yet others interpret any value as local, even if the "host" does not resolve to the local machine. To maximise compatibility with previous specifications, users MAY choose to include an "auth-path" with no "file-auth" when creating a URI.

Some file systems have case-sensitive file naming and some do not. As such the file URI scheme supports case sensitivity, in order to retain the case as given. Any transport-related handling of the file URI scheme MUST retain the case as given. Any mapping to or from a case-insensitive form is soley the responsibility of the implementation processing the file URI on behalf of the referenced file system.

Some file systems allow directory objects to be treated as files in some cases. This can be reflected in a file URI by omitting the trailing slash "/" from the path. Be aware that merging a relative URI reference to such a base URI as per <a href="Section 5.2">Section 5.2</a> of <a href="RFC3986">[RFC3986]</a> could remove the directory name from the resulting target URI.

Also see  $\frac{\text{Appendix } E}{\text{that lists some nonstandard syntax variations}}$  that can be encountered in practice.

## 3. Operations Involving file URIs

Implementations that provide dereferencing operations on file URIs SHOULD, at a minimum, provide a read-like operation to return the contents of a file located by a file URI. Additional operations MAY be provided, such as writing to, creating, and deleting files. See the POSIX file and directory operations [POSIX] for examples of standardized operations that can be performed on files.

A file URI can be dependably dereferenced or translated to a local file path only if it is local. A file URI is considered "local" if it has no "file-auth", or the "file-auth" is the special string "localhost" or a fully qualified domain name that resolves to the machine from which the URI is being interpreted (Section 2).

This specification neither defines nor forbids any set of operations that might be performed on a file identified by a non-local file URI.

## 4. File Name Encoding

File systems use various encoding schemes to store file and directory names. Many modern file systems encode file and directory names as arbitrary sequences of octets, in which case the representation as an encoded string often depends on the user's localization settings, or defaults to UTF-8 [STD63].

Without other encoding information, percent-encoded octets in a file URI ([RFC3986], Section 2.1) MAY be interpreted according to the preferred or configured encoding of the system on which the URI is being interpreted.

#### **5**. Security Considerations

There are many security considerations for URI schemes discussed in [RFC3986].

File access and the granting of privileges for specific operations are complex topics, and the use of file URIs can complicate the security model in effect for file privileges.

Historically, user agents have granted content from the file URI scheme a tremendous amount of privilege. However, granting all local files such wide privileges can lead to privilege escalation attacks. Some user agents have had success granting local files directory-based privileges, but this approach has not been widely adopted. Other user agents use globally unique identifiers as the origin for each file URI [RFC6454], which is the most secure option.

File systems typically assign an operational meaning to special characters, such as the "/", "\", ":", "[", and "]" characters, and to special device names like ".", "...", "...", "aux", "lpt", etc. In some cases, merely testing for the existence of such a name will cause the operating system to pause or invoke unrelated system calls, leading to significant security concerns regarding denial of service and unintended data transfer. It would be impossible for this specification to list all such significant characters and device names. Implementers MUST research the reserved names and characters for the types of storage device that may be attached to their application and restrict the use of data obtained from URI components accordingly.

File systems vary in the way they handle case. Care must (?) be taken to avoid issues resulting from possibly unexpected aliasing from case-only differences between file paths or URIs. Similarly, care must be taken to avoid issues resulting from aliasing from mismatched encodings or Unicode equivalences [UTR15] (see Section 4).

#### 6. IANA Considerations

This document defines the following URI scheme, so the "Permanent URI Schemes" registry has been updated accordingly. This registration complies with [BCP35].

Scheme name:

file

Status:

permanent

Applications/protocols that use this scheme name:

Commonly used in hypertext documents to refer to files without depending on network access. Supported by major browsers.

Windows API (PathCreateFromUrl, UrlCreateFromPath).

Perl LWP.

Contact:

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Change Controller:

This scheme is registered under the IETF tree. As such, the IETF maintains change control.

## Acknowledgements

This specification is derived from [RFC1738], [RFC3986], and [ $\underline{\text{I-D.hoffman-file-uri}}$ ] (expired); the acknowledgements in those documents still apply.

Additional thanks to Dave Risney, author of the informative IE Blog article <a href="http://blogs.msdn.com/b/ie/archive/2006/12/06/file-uris-in-windows.aspx">http://blogs.msdn.com/b/ie/archive/2006/12/06/file-uris-in-windows.aspx</a>, and Dave Thaler for their early comments and suggestions.

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## Appendix A. Differences from Previous Specifications

According to the definition in [RFC1738] a file URL always started with the token "file://", followed by an (optionally blank) host name and a "/". The syntax given in Section 2 makes the entire authority component, including the double slashes "//", optional.

## Appendix B. Example URIs

The syntax in  $\underbrace{\text{Section 2}}$  is intended to support file URIs that take the following forms:

Local files:

- o A traditional file URI for a local file, with an empty authority. This is the most common format in use today. E.g.:
  - \* "file:///path/to/file"
- o The minimal representation of a local file, with no authority field and an absolute path that begins with a slash "/". E.g.:
  - \* "file:/path/to/file"

#### Non-local files:

- o A non-local file, with an explicit authority. E.g.:
  - \* "file://host.example.com/path/to/file"

# Appendix C. Similar Technologies

- o The WHATWG defines a living URL standard [WHATWG-URL], which includes algorithms for interpreting file URIs (as URLs).
- o The Universal Naming Convention (UNC) [MS-DTYP] defines a string format that can perform a similar role to the file URI scheme in describing the location of files, except that files located by UNC filespace selector strings are typically stored on a remote machine and accessed using a network protocol. A UNC filespace selector string has three parts: host, share, and path; described for informational purposes in <a href="Appendix F">Appendix E</a>. Appendix E</a>. Iists some ways in which UNC filespace selector strings are currently made to interoperate with the file URI scheme.
- o The Microsoft Windows API defines Win32 Namespaces [Win32-Namespaces] for interacting with files and devices using Windows API functions. These namespaced paths are prefixed by "\\?\" for Win32 File Namespaces and "\\.\" for Win32 Device Namespaces. There is also a special case for UNC file paths in Win32 File Namespaces, referred to as "Long UNC", using the prefix "\\?\UNC\". This specification does not define a mechanism for translating namespaced paths to or from file URIs.

# <u>Appendix D</u>. System-specific Operations

This appendix is not normative; it highlights some observed behaviours and provides system-specific guidance for interacting with file URIs and paths.

## D.1. POSIX Systems

There is little to say about POSIX file systems; the file URI structure already closely resembles POSIX file paths.

## D.2. DOS- and Windows-Like Systems

When mapping a DOS- or Windows-like file path to a file URI, the drive letter (e.g. "c:") is typically mapped into the first path segment.

<u>Appendix E</u> lists some non-standard techniques for interacting with DOS- or Windows-like file paths and URIs.

## D.3. Mac OS X Systems

The HFS+ file system uses a non-standard normalization form, similar to Normalization Form D [ $\underline{UTR15}$ ]. Take care when transforming HFS+ file paths to and from URIs ( $\underline{Section 4}$ ).

## D.4. OpenVMS Files-11 Systems

When mapping a VMS file path to a file URI, the device name is mapped into the first path segment. Note that the dollars sign "\$" is a reserved character per the definition in [RFC3986], Section 2.2, so should be percent-encoded if present in the device name.

If the VMS file path includes a node reference, that is used as the authority. Where the original node reference includes a username and password in an access control string, they can be transcribed into the authority using the non-standard syntax extension in Appendix E.1.

# <u>Appendix E</u>. Nonstandard Syntax Variations

These variations may be encountered by existing usages of the file URI scheme, but are not supported by the normative syntax of Section 2.

This appendix is not normative.

## E.1. User Information

It might be necessary to include user information such as a username in a file URI, for example when mapping a VMS file path with a node reference that includes a username.

To allow user information to be included in a file URI, the "file-auth" rule in <u>Section 2</u> can be replaced with the following:

```
file-auth = "localhost"
/ [ userinfo "@" ] host
```

This uses the "userinfo" rule from [RFC3986].

As discussed in the HP OpenVMS Systems Documentation <a href="http://h71000.www7.hp.com/doc/84final/ba554\_90015/ch03s09.html">http://h71000.www7.hp.com/doc/84final/ba554\_90015/ch03s09.html</a> "access control strings include sufficient information to allow someone to break in to the remote account, [therefore] they create serious security exposure." In a similar vein, the presence of a password in a "user:password" userinfo field is deprecated by [RFC3986]. As such, the userinfo field of a file URI, if present, MUST NOT (?) contain a password.

# **E.2.** DOS and Windows Drive Letters

On Windows- or DOS-based file systems an absolute file path can begin with a drive letter. To facilitate this, the "local-path" rule in <a href="Section 2">Section 2</a> can be replaced with the following:

```
local-path = [ drive-letter ] path-absolute
drive-letter = ALPHA ":"
```

The "ALPHA" rule is defined in [RFC5234].

This is intended to support the minimal representation of a local file in a DOS- or Windows-based environment, with no authority field and an absolute path that begins with a drive letter. E.g.:

```
o "file:c:/path/to/file"
```

URIs of the form "file:///c:/path/to/file" are already supported by the "path-absolute" rule.

Note that comparison of drive letters in DOS or Windows file paths is case-insensitive, some usages of file URIs therefore canonicalize drive letters by converting them to uppercase.

#### E.2.1. Relative Paths

To mimic the behaviour of DOS- or Windows-based file systems, relative paths beginning with a slash "/" can be resolved relative to the drive letter, when present, and resolution of "..." dot segments

(per <u>Section 5.2.4 of [RFC3986]</u>) can be modified to not ever overwrite the drive letter.

## For example:

```
base: file:///c:/path/to/file.txt
```

rel. URI: /some/other/thing.bmp

resolved: file:///c:/some/other/thing.bmp

base: file:///c:/foo.txt
rel. URI: ../../bar.txt
resolved: file:///c:/bar.txt

Relative paths with a drive letter followed by a character other than a slash (e.g. "c:bar/baz.txt" or "c:../foo.txt") might not be accepted as dereferenceable URIs in DOS or Windows systems.

#### E.2.2. Vertical Bar Character

Historically some usages of file URIs have included a vertical line character "|" instead of a colon ":" in the drive letter construct. [RFC3986] forbids the use of the vertical line, however it may be necessary to interpret or update old URIs.

For interpreting such URIs, the "auth-path" and "local-path" rules in <u>Section 2</u> and the "drive-letter" rule above can be replaced with the following:

```
auth-path = [ file-auth ] path-absolute
/ [ file-auth ] file-absolute

local-path = [ drive-letter ] path-absolute
/ file-absolute

file-absolute = "/" drive-letter path-absolute

drive-letter = ALPHA ":"
/ ALPHA "!"
```

This is intended to support regular DOS or Windows file URIs with vertical line characters in the drive letter construct. E.g.:

- o "file:///c|/path/to/file"
- o "file:/c|/path/to/file"
- o "file:c|/path/to/file"

To update such an old URI, replace the vertical line " $\mid$ " with a colon ":".

## **E.3**. UNC Strings

Some usages of the file URI scheme allow UNC filespace selector strings [MS-DTYP] to be translated to and from file URIs, either by mapping the equivalent segments of the two schemes (hostname to authority, sharename+objectnames to path), or by mapping the entire UNC string to the path segment of a URI.

# E.3.1. file URI with Authority

The following is an algorithmic description of the process of translating a UNC filespace selector string to a file URI by mapping the equivalent segments of the two schemes:

- 1. Initialise the URI with the "file:" scheme identifier.
- 2. Append the authority:
  - 1. Append the "//" authority sigil to the URI.
  - 2. Append the hostname field of the UNC string to the URI.
- 3. Append the sharename:
  - Transform the sharename to a path segment ([RFC3986], Section 3.3) to conform to the encoding rules of Section 2 of [RFC3986].
  - 2. Append a delimiting slash character "/" and the transformed segment to the URI.
- 4. For each objectname:
  - 1. Transform the objectname to a path segment as above.
  - 2. Append a delimiting slash character "/" and the transformed segment to the URI.

## For example:

UNC String: \\host.example.com\Share\path\to\file.txt
URI: file://host.example.com/Share/path/to/file.txt

## E.3.2. file URI with UNC Path

It is common to encounter file URIs that encode entire UNC strings in the path, usually with all backslash "\" characters replaced with slashes "/".

To interpret such URIs, the "auth-path" rule in <u>Section 2</u> can be replaced with the following:

This syntax uses the "IPv4address", "IPv6address", "IPvFuture", and "reg-name" rules from [RFC3986].

Note that the "file-host" rule is the same as "host" but with percent-encoding applied to "[" and "]" characters.

This extended syntax is intended to support URIs that take the following forms, in addition to those in <u>Appendix B</u>:

Non-local files:

- o The representation of a non-local file, with an empty authority and a complete (transformed) UNC string in the path. E.g.:
  - \* "file:///host.example.com/path/to/file"
- o As above, with an extra slash between the empty authority and the transformed UNC string, as per the syntax defined in [RFC1738]. E.g.:
  - \* "file:////host.example.com/path/to/file"

This representation is notably used by the Firefox web browser. See Bugzilla#107540 [Bug107540].

It also further limits the definition of a "local file URI" by excluding any with a path that encodes a UNC string.

## E.4. Backslash as Separator

Historically some usages have copied entire file paths into the path components of file URIs. Where DOS or Windows file paths were thus copied the resulting URI strings contained unencoded backslash "\" characters, which are forbidden by both [RFC1738] and [RFC3986].

It may be possible to translate or update such an invalid file URI by replacing all backslashes "\" with slashes "/", if it can be determined with reasonable certainty that the backslashes are intended as path separators.

## <u>Appendix F</u>. UNC Syntax

The UNC filespace selector string is a null-terminated sequence of characters from the Universal Character Set [IS010646].

The syntax of a UNC filespace selector string, as defined by [MS-DTYP], is given here in Augmented Backus-Naur Form (ABNF) [RFC5234] for convenience. Note that this definition is informative only; the normative description is in [MS-DTYP].

```
UNC = "\\" hostname "\" sharename *( "\" objectname )
hostname = netbios-name / fqdn / ip-address
sharename = <name of share or resource to be accessed>
objectname = <depends on resource being accessed>
```

- o "netbios-name" from [MS-NBTE], Section 2.2.1.
- o "fqdn" from [RFC1035] or [RFC1123]
- o "ip-address" from <u>Section 2.1 of [RFC1123]</u>, or <u>Section 2.2 of [RFC4291]</u>.

The precise format of "sharename" depends on the protocol; see: SMB [MS-SMB], NFS [RFC7530], NCP [NOVELL].

#### Appendix G. Collected Rules

Here are the collected syntax rules for all optional appendices, presented for convenience. This collected syntax is not normative.

```
file-URI = file-scheme ":" file-hier-part
file-scheme = "file"
file-hier-part = ( "//" auth-path )
              / local-path
              = [ file-auth ] path-absolute
auth-path
              / [ file-auth ] file-absolute
              / unc-authority path-absolute
local-path
             = [ drive-letter ] path-absolute
              / file-absolute
file-auth
              = "localhost"
              / [ userinfo "@" ] host
unc-authority = 2*3"/" file-host
file-host = inline-IP / IPv4address / reg-name
            = "%5B" ( IPv6address / IPvFuture ) "%5D"
inline-IP
file-absolute = "/" drive-letter path-absolute
drive-letter = ALPHA ":"
              / ALPHA "|"
```

This collected syntax is intended to support file URIs that take the following forms:

#### Local files:

- o A traditional file URI for a local file, with an empty authority. E.g.:
  - \* "file:///path/to/file"
- o The minimal representation of a local file, with no authority field and an absolute path that begins with a slash "/". E.g.:
  - \* "file:/path/to/file"
- o The minimal representation of a local file in a DOS- or Windowsbased environment, with no authority field and an absolute path that begins with a drive letter. E.g.:
  - \* "file:c:/path/to/file"

- o Regular DOS or Windows file URIs, with vertical line characters in the drive letter construct. E.g.:
  - \* "file:///c|/path/to/file"
  - \* "file:/c|/path/to/file"
  - \* "file:c|/path/to/file"

## Non-local files:

- o The representation of a non-local file, with an explicit authority. E.g.:
  - \* "file://host.example.com/path/to/file"
- o The "traditional" representation of a non-local file, with an empty authority and a complete (transformed) UNC string in the path. E.g.:
  - \* "file:///host.example.com/path/to/file"
- o As above, with an extra slash between the empty authority and the transformed UNC string. E.g.:
  - \* "file:////host.example.com/path/to/file"

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