

Internet Draft  
Active Queue Management  
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PIE: A Lightweight Control Scheme To Address the  
Bufferbloat Problem

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Abstract

Bufferbloat is a phenomenon in which excess buffers in the network cause high latency and jitter. As more and more interactive applications (e.g. voice over IP, real time video streaming and financial transactions) run in the Internet, high latency and jitter degrade application performance. There is a pressing need to design intelligent queue management schemes that can control latency and jitter, and hence provide desirable quality of service to users.

This document presents a lightweight active queue management design, called PIE (Proportional Integral controller Enhanced), that can effectively control the average queueing latency to a target value. Simulation results, theoretical analysis and Linux testbed results have shown that PIE can ensure low latency and achieve high link utilization under various congestion situations. The design does not require per-packet timestamps, so it incurs very little overhead and is simple enough to implement in both hardware and software.

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## 1. Introduction

The explosion of smart phones, tablets and video traffic in the Internet brings about a unique set of challenges for congestion control. To avoid packet drops, many service providers or data center operators require vendors to put in as much buffer as possible. Because of the rapid decrease in memory chip prices, these requests are easily accommodated to keep customers happy. While this solution succeeds in assuring low packet loss and high TCP throughput, it suffers from a major downside. The TCP protocol continuously increases its sending rate and causes network buffers to fill up. TCP cuts its rate only when it receives a packet drop or mark that is interpreted as a congestion signal. However, drops and marks usually occur when network buffers are full or almost full. As a result, excess buffers, initially designed to avoid packet drops, would lead to highly elevated queueing latency and jitter. Designing a queue management scheme is a delicate balancing act: it not only should allow short-term burst to smoothly pass, but also should control the average latency in the presence of long-running greedy flows.

Active queue management (AQM) schemes, such as Random Early Detection (RED [[RED](#)]), have been around for well over a decade. AQM schemes could potentially solve the aforementioned problem. [RFC 2309](#) [[RFC2309](#)] (now obsoleted by [RFC 7567](#) [[RFC7567](#)])) strongly recommends the adoption of AQM schemes in the network to improve the performance of the Internet. RED is implemented in a wide variety of network devices, both in hardware and software. Unfortunately, due to the fact that RED needs careful tuning of its parameters for various network conditions, most network operators don't turn RED on. In addition, RED is designed to control the queue length which would affect delay implicitly. It does not control latency directly. Hence, the Internet today still lacks an effective design that can control buffer latency to improve the quality of experience to latency-sensitive applications. Recently, a new IETF [RFC7567](#) [[RFC7567](#)] calls for new methods of controlling network latency.

New algorithms are beginning to emerge to control queueing latency directly to address the bufferbloat problem [[CoDel](#)]. Along these lines, PIE also aims to keep the benefits of RED: including easy implementation and scalability to high speeds. Similar to RED, PIE randomly drops an incoming packet at the onset of the congestion. The congestion detection, however, is based on the queueing latency instead of the queue length like RED. Furthermore, PIE also uses the derivative (rate of change) of the queueing latency to help determine congestion levels and an appropriate response. The design parameters of PIE are chosen via control theory stability analysis. While these parameters can be fixed to work in various traffic conditions, they could be made self-tuning to optimize system performance.

Separately, it is assumed that any delay-based AQM scheme would be applied over a Fair Queueing (FQ) structure or one of its approximate designs, Flow Queueing or Class Based Queueing (CBQ). FQ is one of the most studied scheduling algorithms since it was first proposed in 1985 [[RFC970](#)]. CBQ has been a standard feature in most network devices today[CBQ]. Any AQM scheme that is built on top of FQ or CBQ could benefit from these advantages. Furthermore, these advantages such as per flow/class fairness are orthogonal to the AQM design whose primary goal is to control latency for a given queue. For flows that are classified into the same class and put into the same queue, one needs to ensure their latency is better controlled and their fairness is not worse than those under the standard DropTail or RED design. More details about the relationship between FQ and AQM can be found in IETF draft [[FQ-Implement](#)].

In October 2013, CableLabs' DOCSIS 3.1 specification [[DOCSIS\\_3.1](#)] mandated that cable modems implement a specific variant of the PIE design as the active queue management algorithm. In addition to cable specific improvements, the PIE design in DOCSIS 3.1 [[DOCSIS-PIE](#)] has improved the original design in several areas, including de-randomization of coin tosses and enhanced burst protection.

This draft separates the PIE design into the basic elements that MUST be implemented and optional SHOULD/MAY enhancement elements.

## [2.](#) Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

## [3.](#) Design Goals

A queue management framework is designed to improve the performance of interactive and delay-sensitive applications. It should follow the general guidelines set by the AQM working group document "IETF Recommendations Regarding Active Queue Management" [[IETF-AQM](#)]. More specifically PIE design has the following basic criteria.

- \* First, queueing latency, instead of queue length, is controlled. Queue sizes change with queue draining rates and various flows' round trip times. Delay bloat is the real issue that needs to be addressed as it impairs real time applications. If latency can be controlled, bufferbloat is not an issue. In

fact, once latency is under control it frees up buffers for sporadic bursts.

\* Secondly, PIE aims to attain high link utilization. The goal of low latency shall be achieved without suffering link under-utilization or losing network efficiency. An early congestion signal could cause TCP to back off and avoid queue building up. On the other hand, however, TCP's rate reduction could result in link under-utilization. There is a delicate balance between achieving high link utilization and low latency.

\* Furthermore, the scheme should be simple to implement and easily scalable in both hardware and software. PIE strives to maintain similar design simplicity to RED, which has been implemented in a wide variety of network devices.

\* Finally, the scheme should ensure system stability for various network topologies and scale well across an arbitrary number of streams. Design parameters shall be set automatically. Users only need to set performance-related parameters such as target queue delay, not design parameters.

In the following, the design of PIE and its operation are described in detail.

#### 4. The Basic PIE Scheme

As illustrated in Fig. 1, PIE is comprised of three simple MUST components: a) random dropping at enqueueing; b) periodic drop probability update; c) latency calculation. When a packet arrives, a random decision is made regarding whether to drop the packet. The drop probability is updated periodically based on how far the current delay is away from the target and whether the queueing delay is currently trending up or down. The queueing delay can be obtained using direct measurements or using estimations calculated from the queue length and the dequeue rate.

The detailed definition of parameters can be found in the pseudo code section of this document ([Section 11](#)). Any state variables that PIE maintains are noted using "PIE->". For full description of the algorithm, one can refer to the full paper [[HPSR-PIE](#)].

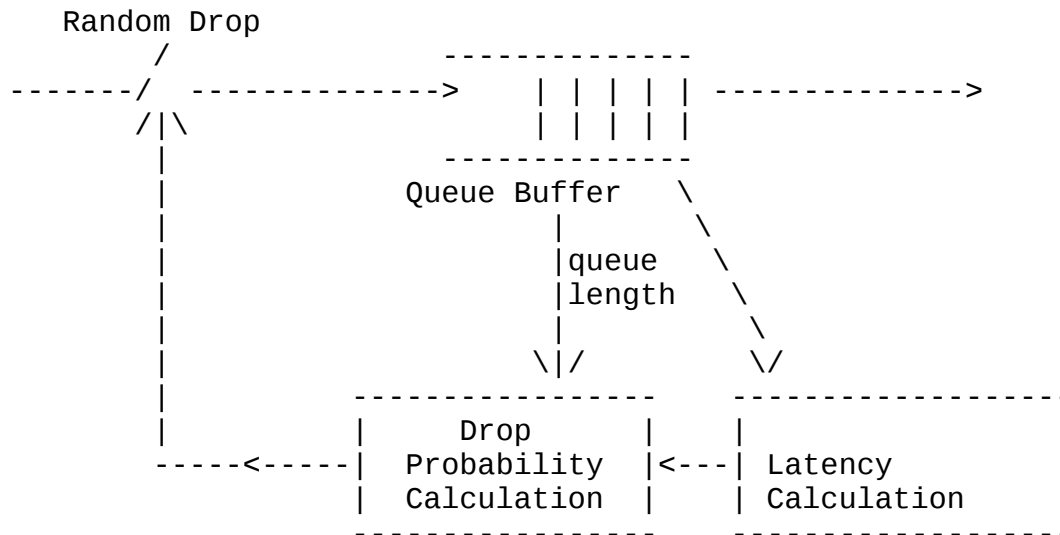


Figure 1. The PIE Structure

#### [4.1](#) Random Dropping(ECN Support is described later in this document)

PIE MUST drop a packet upon its arrival to a queue according to a drop probability, `PIE->drop_prob_`, that is obtained from the drop-probability-calculation component. The random drop is triggered by a packet arrival before enqueueing into a queue.

\* Upon a packet enqueue, PIE MUST:

randomly drop the packet with a probability `PIE->drop_prob_`.

To ensure that PIE is work conserving, we MAY bypass the random drop if the delay sample, `PIE->qdelay_old_`, is smaller than half of the target delay value (`QDELAY_REF`) when the drop probability is not too high, `PIE->drop_prob_ < 0.2`; or if the queue has less than a couple of packets.

\* Upon a packet enqueue, PIE MAY:

```
//Safeguard PIE to be work conserving
if ( (PIE->qdelay_old_ < QDELAY_REF/2 && PIE->drop_prob_ < 0.2)
    || (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
    return ENQUE;
}
else
    randomly drop the packet with a probability PIE->drop_prob_.
```

PIE optionally supports ECN and see [Section 5.1](#).

## [4.2](#) Drop Probability Calculation

The PIE algorithm periodically updates the drop probability based on the delay samples: not only the current delay sample but also the trend where the delay is going, up or down. This is the classical Proportional Integral (PI) controller method which is known for eliminating steady state errors. This type of controller has been studied before for controlling the queue length [[PI](#), [QCN](#)]. PIE adopts the Proportional Integral controller for controlling delay. The algorithm also auto-adjusts the control parameters based on how heavy the congestion is, which is reflected in the current drop probability. Note that the current drop probability is a direct measure of current congestion level, no need to measure the arrival rate and dequeue rate mismatches.

When a congestion period goes away, we might be left with a high drop probability with light packet arrivals. Hence, the PIE algorithm **MUST** include a mechanism by which the drop probability decay exponentially (rather than linearly) when the system is not congested. This would help the drop probability converge to 0 faster while the PI controller ensures that it would eventually reaches zero. The decay parameter of 2% gives us a time constant around  $50 \cdot T\_UPDATE$ .

Specifically, the PIE algorithm **MUST** periodically adjust the drop probability every  $T\_UPDATE$  interval:

\* **MUST** calculate drop probability `PIE->drop_prob_` and auto-tune it as:

```
p = alpha*(current_qdelay-QDELAY_REF) +
    beta*(current_qdelay-PIE->qdelay_old_);

if (PIE->drop_prob_ < 0.000001) {
    p /= 2048;
} else if (PIE->drop_prob_ < 0.00001) {
    p /= 512;
} else if (PIE->drop_prob_ < 0.0001) {
    p /= 128;
} else if (PIE->drop_prob_ < 0.001) {
    p /= 32;
} else if (PIE->drop_prob_ < 0.01) {
    p /= 8;
} else if (PIE->drop_prob_ < 0.1) {
    p /= 2;
} else {
    p = p;
}
```



```
    PIE->drop_prob_ += p;

* MUST decay the drop probability exponentially:

    if (current_qdelay == 0 && PIE->qdelay_old_ == 0) {
        PIE->drop_prob_ = PIE->drop_prob_*0.98;    //1- 1/64
                                                    //is sufficient
    }

* MUST bound the drop probability
    if (PIE->drop_prob_ < 0)
        PIE->drop_prob_ = 0.0
    if (PIE->drop_prob_ > 1)
        PIE->drop_prob_ = 1.0

* MUST store current delay value:

    PIE->qdelay_old_ = current_qdelay.
```

The update interval, `T_UPDATE`, is defaulted to be 15ms. It MAY be reduced on high speed links in order to provide smoother response. The target delay value, `QDELAY_REF`, SHOULD be set to 15ms. Variables, `current_qdelay` and `PIE->qdelay_old_` represent the current and previous samples of the queueing delay, which are calculated by the "Latency Calculation" component (see [Section 4.3](#)). The variable `current_qdelay` is actually a temporary variable while `PIE->qdelay_old_` is a state variable that PIE keeps. The drop probability is a value between 0 and 1. However, implementations can certainly use integers.

The controller parameters, `alpha` and `beta` (in the unit of hz) are designed using feedback loop analysis where TCP's behaviors are modeled using the results from well-studied prior art [TCP-Models]. Note that the above adjustment of `p` effectively scales the `alpha` and `beta` parameters based on current congestion level indicated by the drop probability.

The theoretical analysis of PIE can be found in [[HPSR-PIE](#)]. As a rule of thumb, to keep the same feedback loop dynamics, if we cut `T_UPDATE` in half, we should also cut `alpha` by half and increase `beta` by `alpha/4`. If the target delay is reduced, e.g. for data center use, the values of `alpha` and `beta` SHOULD be increased by the same order of magnitude that the target latency is reduced. For example, if `QDELAY_REF` is reduced changed from 15ms to 150us, a reduction of two orders of magnitude, then `alpha` and `beta` values should be increased to `alpha*100` and `beta*100`.

### [4.3](#) Latency Calculation

The PIE algorithm MUST use latency to calculate drop probability.

- \* It MAY estimate current queueing delay using Little's law:

```
current_qdelay = queue_.byte_length()/dequeue_rate;
```

Details can be found in [Section 5.2](#).

- \* or MAY use other techniques for calculating queueing delay, ex: timestamp packets at enqueue and use the same to calculate delay during dequeue.

### [4.4](#) Burst Tolerance

PIE MUST also NOT penalize short-term packet bursts [[RFC7567](#)]. PIE MUST allow bursts of traffic that create finite-duration events in which current queueing delay exceeds the QDELAY\_REF, without triggering packet drops. A parameter, MAX\_BURST, is introduced that defines the burst duration that will be protected. By default, the parameter SHOULD be set to be 150ms. For simplicity, the PIE algorithm MAY effectively round MAX\_BURST up to an integer multiple of T\_UPDATE.

To implement the burst tolerance function, two basic components of PIE are involved: "random dropping" and "drop probability calculation". The PIE algorithm MUST do the following:

- \* In "Random Dropping" block and upon a packet arrival , PIE MUST check:

Upon a packet enqueue:

```
if PIE->burst_allowance_ > 0 enqueue packet;  
else randomly drop a packet with a probability PIE->drop_prob_.
```

```
if (PIE->drop_prob_ == 0 and current_qdelay < QDELAY_REF/2 and  
    PIE->qdelay_old_ < QDELAY_REF/2)  
    PIE->burst_allowance_ = MAX_BURST;
```

- \* In "Drop Probability Calculation" block, PIE MUST additionally calculate:

```
PIE->burst_allowance_ = max(0,PIE->burst_allowance_ -  
    T_UPDATE);
```

The burst allowance, noted by PIE->burst\_allowance\_, is initialized to

MAX\_BURST. As long as `PIE->burst_allowance_` is above zero, an incoming packet will be enqueued bypassing the random drop process. During each update instance, the value of `PIE->burst_allowance_` is decremented by the update period, `T_UPDATE` and is bottomed at 0. When the congestion goes away, defined here as `PIE->drop_prob_` equals 0 and both the current and previous samples of estimated delay are less than half of `QDELAY_REF`, `PIE->burst_allowance_` is reset to `MAX_BURST`.

## 5. Optional Design Elements of PIE

The above forms the basic MUST have elements of the PIE algorithm. There are several enhancements that are added to further augment the performance of the basic algorithm. For clarity purposes, they are included in this section. An implementer MAY implement any of the following options. If they do implement an option, they SHOULD implement what is outlined in that corresponding section.

### 5.1 ECN Support

PIE MAY support ECN by marking (rather than dropping) ECN capable packets [[IETF-ECN](#)]. As a safeguard, an additional threshold, `mark_ecnth_`, is introduced. If the calculated drop probability exceeds `mark_ecnth_`, PIE SHOULD revert to packet drop for ECN capable packets. The variable `mark_ecnth` SHOULD be set at 0.1(10%).

- \* To support ECN, the "random drop with a probability `PIE->drop_prob_`" function in "Random Dropping" block SHOULD be changed to the following:

- \* Upon a packet enqueue:

```
if rand() < PIE->drop_prob_:
    if PIE->drop_prob_ < mark_ecnth && ecn_capable_packet == TRUE:
        mark packet;
    else:
        drop packet;
```

### 5.2 Dequeue Rate Estimation

Using the timestamps, a latency sample can only be obtained when a

packet reaches at the head of a queue. When a quick response time is desired or a direct latency sample is not available, one MAY obtain latency through measuring the dequeue rate. The draining rate of a queue in the network often varies either because other queues are sharing the same link, or the link capacity fluctuates. Rate fluctuation is particularly common in wireless networks. One MAY measure directly at the dequeue operation. Short, non-persistent bursts of packets result in empty queues from time to time, this would make the measurement less accurate. PIE SHOULD measure when a sufficient data in the buffer, i.e., when the queue length is over a certain threshold (DQ\_THRESHOLD). PIE measures how long it takes to drain DQ\_THRESHOLD of packets. More specifically, PIE SHOULD implement the rate estimation as follows:

```
current_qdelay = queue_.byte_length() *
                PIE->avg_dq_time_/DQ_THRESHOLD;
```

\* Upon a packet deque:

```
if PIE->in_measurement_ == FALSE and queue.byte_length() >=
DQ_THRESHOLD:
    PIE->in_measurement_ = TRUE;
    PIE->measurement_start_ = now;
    PIE->dq_count_ = 0;

if PIE->in_measurement_ == TRUE:
    PIE->dq_count_ = PIE->dq_count_ + deque_pkt_size;
    if PIE->dq_count_ >= DQ_THRESHOLD then
        weight = DQ_THRESHOLD/2^16
        PIE->avg_dq_time_ = (now-PIE->measurement_start_)*weight
                           + PIE->avg_dq_time_*(1-weight);
        PIE->dq_count_ = 0;
        PIE->measurement_start_ = now
    else
        PIE->in_measurement_ = FALSE;
```

The parameter, PIE->dq\_count\_, represents the number of bytes departed since the last measurement. Once PIE->dq\_count\_ is over DQ\_THRESHOLD, a measurement sample is obtained. The threshold is recommended to be set to 16KB assuming a typical packet size of around 1KB or 1.5KB. This threshold would allow sufficient data to obtain an average draining rate but also fast enough (< 64KB) to reflect sudden changes in the draining rate. IF DQ\_THRESHOLD is smaller than 64KB, a small weight is used to smooth out the dequeue time and obtain PIE->avg\_dq\_time\_. The dequeue rate is simply DQ\_THRESHOLD divided by PIE->avg\_dq\_time\_. This threshold is not crucial for the system's stability. Please note that the update interval for calculating the drop probability is different from the rate measurement cycle. The drop probability calculation is done periodically per [section 4.2](#) and it is done even when the algorithm is not in a

measurement cycle; in this case the previously latched value of `PIE->avg_dq_time_` is used.

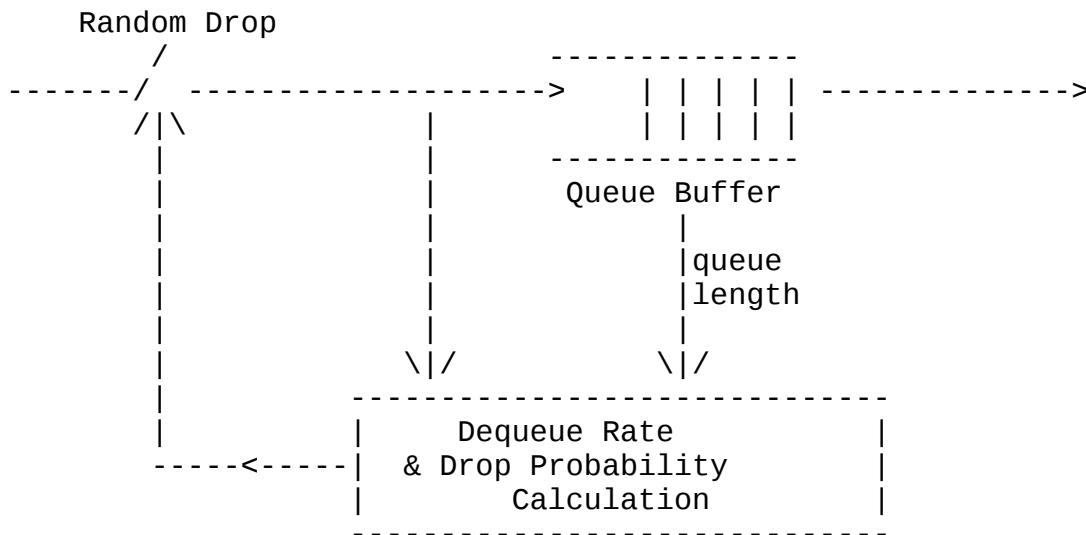


Figure 2. The Enqueue-based PIE Structure

In some platforms, enqueueing and dequeueing functions belong to different modules that are independent of each other. In such situations, a pure enqueue-based design MAY be designed. As shown in Figure 2, an enqueue-based design is depicted. The dequeue rate is deduced from the number of packets enqueued and the queue length. The design is based on the following key observation: over a certain time interval, the number of dequeued packets = the number of enqueued packets - the number of remaining packets in queue. In this design, everything can be triggered by a packet arrival including the background update process. The design complexity here is similar to the original design.

### [5.3](#) Setting PIE active and inactive

Traffic naturally fluctuates in a network. It would be preferable not to unnecessarily drop packets due to a spurious uptick in queueing latency. PIE has an optional feature of automatically becoming active/inactive. To implement this feature, PIE SHOULD only become active (from inactive) when the buffer occupancy is over a certain threshold, which SHOULD be set to 1/3 of the tail drop threshold. PIE SHOULD become inactive when

congestion is over, i.e. when the drop probability reaches 0, current and previous delay samples are all below half of QDELAY\_REF.

Ideally, PIE should become active/inactive based on the latency. However, calculating latency when PIE is inactive would introduce unnecessary packet processing overhead. Weighing the trade-offs, it is decided to compare against tail drop threshold to keep things simple.

When PIE is optionally becomes active/inactive, the burst protection logic in [Section 4.4](#) SHOULD be modified as follows:

- \* "Random Dropping" block, PIE SHOULD add:

Upon packet arrival:

```
if PIE->active_ == FALSE && queue_length >= TAIL_DROP/3:
    PIE->active_ = TRUE;
    PIE->burst_allowance_ = MAX_BURST;

if PIE->burst_allowance_ > 0 enqueue packet;
else randomly drop a packet with a probability PIE->drop_prob_.

if (PIE->drop_prob_ == 0 and current_qdelay < QDELAY_REF/2 and
    PIE->qdelay_old_ < QDELAY_REF/2)
    PIE->active_ = FALSE;
    PIE->burst_allowance_ = MAX_BURST;
```

- \* "Drop Probability Calculation" block, PIE MAY do the following:  
if PIE->active\_ == TRUE:  
 PIE->burst\_allowance\_ = max(0, PIE->burst\_allowance\_ - T\_UPDATE);

#### [5.4](#) De-randomization

Although PIE adopts random dropping to achieve latency control, independent coin tosses could introduce outlier situations where packets are dropped too close to each other or too far from each other. This would cause real drop percentage to temporarily deviate from the intended value PIE->drop\_prob\_. In certain scenarios, such as small number of simultaneous TCP flows, these deviations can cause significant deviations in link utilization and queueing latency. PIE MAY introduce a de-randomization mechanism to avoid such situations. A parameter, called PIE->accu\_prob\_, is reset to 0 after a drop. Upon a packet arrival, PIE->accu\_prob\_ is incremented by the amount of drop probability, PIE->drop\_prob\_. If PIE->accu\_prob\_ is less than a low threshold, e.g. 0.85, the arriving packet is enqueued; on the other hand, if PIE->accu\_prob\_ is more than a high threshold, e.g. 8.5, and the queue is congested, the arrival packet is forced to be dropped. A packet is only randomly

dropped if  $\text{PIE} \rightarrow \text{accu\_prob\_}$  falls in between the two thresholds. Since  $\text{PIE} \rightarrow \text{accu\_prob\_}$  is reset to 0 after a drop, another drop will not happen until  $0.85/\text{PIE} \rightarrow \text{drop\_prob\_}$  packets later. This avoids packets being dropped too close to each other. In the other extreme case where  $8.5/\text{PIE} \rightarrow \text{drop\_prob\_}$  packets have been enqueued without incurring a drop, PIE would force a drop in order to prevent the drops from being spaced too far apart. Further analysis can be found in [[DOCSIS-PIE](#)].

### [5.5](#) Cap Drop Adjustment

In the case of one single TCP flow during slow start phase in the system, queue could quickly increase during slow start and demands high drop probability. In some environments such as Cable Modem Speed Test, one could not afford triggering timeout and lose throughput as throughput is shown to customers who are testing his/her connection speed. We MAY cap the maximum drop probability increase in each step.

\* "Drop Probability Calculation" block, PIE MAY add:

```
if (PIE->drop_prob_ >= 0.1 && p > 0.02) {  
    p = 0.02;  
}
```

## [6](#). Implementation Cost

PIE can be applied to existing hardware or software solutions. There are three steps involved in PIE as discussed in [Section 4](#). Their complexities are examined below.

Upon packet arrival, the algorithm simply drops a packet randomly based on the drop probability. This step is straightforward and requires no packet header examination and manipulation. If the implementation doesn't rely on packet timestamps for calculating latency, PIE does not require extra memory. Furthermore, the input side of a queue is typically under software control while the output side of a queue is hardware based. Hence, a drop at enqueueing can be readily retrofitted into existing or software implementations.

The drop probability calculation is done in the background and it occurs every  $T_{\text{UPDATE}}$  interval. Given modern high speed links, this period translates into once every tens, hundreds or even thousands of packets. Hence the calculation occurs at a much slower time scale than packet processing time, at least an order of magnitude slower. The calculation of drop probability involves multiplications using alpha and beta. Since PIE's control law is robust to minor changes in alpha and beta values, an implementation MAY choose these values to the closest multiples of 2

or  $1/2$  (ex:  $\alpha=1/8$ ,  $\beta=1 + 1/4$ ) such that the multiplications can be done using simple adds and shifts. As no complicated functions are required, PIE can be easily implemented in both hardware and software. The state requirement is only one variables per queue:  $PIE \rightarrow qdelay\_old\_$ . Hence the memory overhead is small.

If one chooses to implement the departure rate estimation, PIE uses a counter to keep track of the number of bytes departed for the current interval. This counter is incremented per packet departure. Every  $T\_UPDATE$ , PIE calculates latency using the departure rate, which can be implemented using a multiplication. Note that many network devices keep track of an interface's departure rate. In this case, PIE might be able to reuse this information, simply skip the third step of the algorithm and hence incurs no extra cost. If platform already leverages packet timestamps for other purposes, PIE MAY make use of these packet timestamps for latency calculation instead of estimating departure rate.

Since the PIE design is separated into data path and control path, if control path is implemented in software, any further improvement in control path can be easily accommodated.

Flow queuing can also be combined with PIE to provide isolation between flows. In this case, it is preferable to have an independent value of drop probability per queue. This allows each flow to receive the most appropriate level of congestion signal, and ensures that sparse flows are protected from experiencing packet drops. However, running the entire PIE algorithm independently on each queue in order to calculate the drop probability may be overkill. Furthermore, in the case that departure rate estimation is used to predict queuing latency, it is not possible to calculate an accurate per-queue departure rate upon which to implement the PIE drop probability calculation. Instead, it has been proposed ([DOCSIS\_AQM]) that a single implementation of the PIE drop probability calculation based on the overall latency estimate be used, followed by a per-queue scaling of drop-probability based on the ratio of queue-depth between the queue in question and the current largest queue. This scaling is reasonably simple, and has a couple of nice properties. One, if a packet is arriving to an empty queue, it is given immunity from packet drops altogether, regardless of the state of the other queues. Two, in the situation where only a single queue is in use, the algorithm behaves exactly like the single-queue PIE algorithm.

In summary, PIE is simple enough to be implemented in both software and hardware.

## 7. Future Research

The design of the PIE algorithm is presented in this document. It effectively controls the average queueing latency to a target value. The



following areas can be further studied:

- \* Autotuning of target delay without losing utilization;
- \* Autotuning for average RTT of traffic;

## 8. Incremental Deployment

From testbed experiments and large scale simulations of PIE so far, PIE is shown to be effective across diverse range of network scenarios. There is no indication that PIE would be harmful to deploy.

The PIE scheme can be independently deployed and managed without an need for interoperability between different network devices. In addition, any individual buffer queue can be incrementally upgraded to PIE as it can co-exist with existing AQM schemes such as WRED.

PIE is intended to be self-configured, users would not need to configure any design parameters. For the two user-configurable parameters: QDELAY\_REF and MAX\_BURST. Upon installation, they will be defaulted to 15ms and 150ms for non datacenter network devices and be defaulted to 15us and 150us for datacenter switches.

Since the data path of the algorithm needs only a simple coin toss and the control path calculation happens in a much slower time scale, We don't foresee any scaling issues associated with the algorithm as the link speed scales up.

Although all network nodes cannot be changed altogether to adopt latency-based AQM schemes, a gradual adoption would eventually lead to end-to-end low latency service for all applications. Further study and experiments will be presented once available.

## 9. Security Considerations

This document describes an active queue management algorithm based on implementations in different products. This algorithm introduces no specific security exposures.

## 10. IANA Considerations

There are no actions for IANA.

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12. The Basic PIE pseudo Code

## Configurable Parameters:

- QDELAY\_REF. AQM Latency Target (default: 15ms)
- MAX\_BURST. AQM Max Burst Allowance (default: 150ms)

## Internal Parameters:

- Weights in the drop probability calculation (1/s):  
alpha (default: 1/8), beta (default: 1 + 1/4)
- T\_UPDATE: a period to calculate drop probability (default: 15ms)

## Table which stores status variables (ending with "\_"):

- burst\_allowance\_: current burst allowance
- drop\_prob\_: The current packet drop probability. reset to 0
- qdelay\_old\_: The previous queue delay. reset to 0

## Public/system functions:

- queue\_. Holds the pending packets.
- drop(packet). Drops/discards a packet
- now(). Returns the current time

- random(). Returns a uniform r.v. in the range 0 ~ 1
- queue\_.byte\_length(). Returns current queue\_ length in bytes
- queue\_.enqueue(packet). Adds packet to tail of queue\_
- queue\_.deque(). Returns the packet from the head of queue\_
- packet.size(). Returns size of packet
- packet.timestamp\_delay(). Returns timestamped packet latency

=====

```
//called on each packet arrival
enqueue(Packet packet) {
    if (PIE->drop_prob_ == 0 && current_qdelay < QDELAY_REF/2
        && PIE->qdelay_old_ < QDELAY_REF/2) {
        PIE->burst_allowance_ = MAX_BURST;
    }
    if (PIE->burst_allowance_ == 0 && drop_early() == DROP) {
        drop(packet);
    } else {
        queue_.enqueue(packet);
    }
}
```

=====

```
drop_early() {

    //Safeguard PIE to be work conserving
    if ( (PIE->qdelay_old_ < QDELAY_REF/2 && PIE->drop_prob_ < 0.2)
        || (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
        return ENQUE;
    }

    double u = random();
    if (u < PIE->drop_prob_) {
        return DROP;
    } else {
        return ENQUE;
    }
}
```

=====

//we choose the timestamp option of obtaining latency for clarity  
//rate estimation method can be found in the extended PIE pseudo code

```
deque(Packet packet) {  
    current_qdelay = packet.timestamp_delay();  
}  
  
=====
```

//update periodically, T\_UPDATE = 15ms

```
calculate_drop_prob() {  
    //can be implemented using integer multiply,  
  
    p = alpha*(current_qdelay - QDELAY_REF) + \  
        beta*(current_qdelay-PIE->qdelay_old_);  
  
    if (PIE->drop_prob_ < 0.000001) {  
        p /= 2048;  
    } else if (PIE->drop_prob_ < 0.00001) {  
        p /= 512;  
    } else if (PIE->drop_prob_ < 0.0001) {  
        p /= 128;  
    } else if (PIE->drop_prob_ < 0.001) {  
        p /= 32;  
    } else if (PIE->drop_prob_ < 0.01) {  
        p /= 8;  
    } else if (PIE->drop_prob_ < 0.1) {  
        p /= 2;  
    } else {  
        p = p;  
    }  
  
    PIE->drop_prob_ += p;  
  
    //Exponentially decay drop prob when congestion goes away  
    if (current_qdelay == 0 && PIE->qdelay_old_ == 0) {  
        PIE->drop_prob_ *= 0.98;    //1- 1/64 is sufficient  
    }  
  
    //bound drop probability  
    if (PIE->drop_prob_ < 0)  
        PIE->drop_prob_ = 0.0  
    if (PIE->drop_prob_ > 1)  
        PIE->drop_prob_ = 1.0  
  
    PIE->qdelay_old_ = current_qdelay;
```

```

        PIE->burst_allowance_ = max(0,PIE->burst_allowance_ - T_UPDATE);

    }
}

```

### 13. Pseudo code for PIE with optional enhancement

#### Configurable Parameters:

- QDELAY\_REF. AQM Latency Target (default: 15ms)
- MAX\_BURST. AQM Max Burst Allowance (default: 150ms)
- MAX\_ECNTH. AQM Max ECN Marking Threshold (default: 10%)

#### Internal Parameters:

- Weights in the drop probability calculation (1/s):  
alpha (default: 1/8), beta(default: 1+1/4)
- DQ\_THRESHOLD: (in bytes, default: 2<sup>14</sup> (in a power of 2) )
- T\_UPDATE: a period to calculate drop probability (default:15ms)
- TAIL\_DROP: each queue has a tail drop threshold, pass it to PIE

#### Table which stores status variables (ending with "\_"):

- active\_: INACTIVE/ACTIVE
- burst\_allowance\_: current burst allowance
- drop\_prob\_: The current packet drop probability. reset to 0
- accu\_prob\_: Accumulated drop probability. reset to 0
- qdelay\_old\_: The previous queue delay estimate. reset to 0
- last\_timestamp\_: Timestamp of previous status update
- dq\_count\_, measurement\_start\_, in\_measurement\_,  
avg\_dq\_time\_. variables for measuring average dequeue rate.

#### Public/system functions:

- queue\_. Holds the pending packets.
- drop(packet). Drops/discards a packet
- mark(packet). Marks ECN for a packet
- now(). Returns the current time
- random(). Returns a uniform r.v. in the range 0 ~ 1
- queue\_.byte\_length(). Returns current queue\_ length in bytes
- queue\_.enqueue(packet). Adds packet to tail of queue\_
- queue\_.dequeue(). Returns the packet from the head of queue\_
- packet.size(). Returns size of packet
- packet.ecn(). Returns whether packet is ECN capable or not

```

=====
//called on each packet arrival
enqueue(Packet packet) {
    if (queue_.byte_length()+packet.size() > TAIL_DROP) {
        drop(packet);
        PIE->accu_prob_ = 0;
    } else if (PIE->active_ == TRUE && drop_early() == DROP
               && PIE->burst_allowance_ == 0) {
        if (PIE->drop_prob_ < MAX_ECNTN && packet.ecn() == TRUE)
            mark(packet);
        else
            drop(packet);
        PIE->accu_prob_ = 0;
    } else {
        queue_.enqueue(packet);
    }

    //If the queue is over a certain threshold, turn on PIE
    if (PIE->active_ == INACTIVE
        && queue_.byte_length() >= TAIL_DROP/3) {
        PIE->active_ = ACTIVE;
        PIE->qdelay_old_ = 0;
        PIE->drop_prob_ = 0;
        PIE->in_measurement_ = TRUE;
        PIE->dq_count_ = 0;
        PIE->avg_dq_time_ = 0;
        PIE->last_timestamp_ = now;
        PIE->burst_allowance_ = MAX_BURST;
        PIE->accu_prob_ = 0;
        PIE->measurement_start_ = now;
    }

    //If the queue has been idle for a while, turn off PIE
    //reset counters when accessing the queue after some idle
    //period if PIE was active before
    if ( PIE->drop_prob_ == 0 && PIE->qdelay_old_ == 0
        && current_qdelay == 0) {
        PIE->active_ = INACTIVE;
        PIE->in_measurement_ = FALSE;
    }
}

=====

drop_early() {

    //PIE is active but the queue is not congested, return ENQUE

```



```

    if ( (PIE->qdelay_old_ < QDELAY_REF/2 && PIE->drop_prob_ < 0.2)
        || (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
        return ENQUE;
    }

    if (PIE->drop_prob_ == 0) {
        PIE->accu_prob_ = 0;
    }

    //For practical reasons, drop probability can be further scaled
    //according to packet size. but need to set a bound to
    //avoid unnecessary bias

    //Random drop
    PIE->accu_prob_ += PIE->drop_prob_;
    if (PIE->accu_prob_ < 0.85)
        return ENQUE;
    if (PIE->accu_prob_ >= 8.5)
        return DROP;
    double u = random();
    if (u < PIE->drop_prob_) {
        PIE->accu_prob_ = 0;
        return DROP;
    } else {
        return ENQUE;
    }
}

```

```

=====
//update periodically, T_UPDATE = 15ms
calculate_drop_prob() {
    if ( (now - PIE->last_timestamp_) >= T_UPDATE &&
        PIE->active_ == ACTIVE) {
        //can be implemented using integer multiply,
        //DQ_THRESHOLD is power of 2 value
        current_qdelay = queue_.byte_length() * PIE-
        >avg_dq_time_/DQ_THRESHOLD;

        p = alpha*(current_qdelay - QDELAY_REF) + \
            beta*(current_qdelay-PIE->qdelay_old_);
    }
}

```

```

    if (PIE->drop_prob_ < 0.000001) {
        p /= 2048;
    } else if (PIE->drop_prob_ < 0.00001) {
        p /= 512;
    } else if (PIE->drop_prob_ < 0.0001) {
        p /= 128;
    } else if (PIE->drop_prob_ < 0.001) {
        p /= 32;
    } else if (PIE->drop_prob_ < 0.01) {
        p /= 8;
    } else if (PIE->drop_prob_ < 0.1) {
        p /= 2;
    } else {
        p = p;
    }

    if (PIE->drop_prob_ >= 0.1 && p > 0.02) {
        p = 0.02;
    }
    PIE->drop_prob_ += p;

    //Exponentially decay drop prob when congestion goes away
    if (current_qdelay < QDELAY_REF/2 && PIE->qdelay_old_ <
QDELAY_REF/2) {
        PIE->drop_prob_ *= 0.98;    //1- 1/64 is sufficient
    }

    //bound drop probability
    if (PIE->drop_prob_ < 0)
        PIE->drop_prob_ = 0
    if (PIE->drop_prob_ > 1)
        PIE->drop_prob_ = 1

    PIE->qdelay_old_ = current_qdelay;
    PIE->last_timestamp_ = now;
    PIE->burst_allowance_ = max(0,PIE->burst_allowance_ - T_UPDATE);
}
}

```

```

=====

```

```

//called on each packet departure
deque(Packet packet) {

```

```

    //deque rate estimation
    if (PIE->in_measurement_ == TRUE) {
        PIE->dq_count_ = packet.size() + PIE->dq_count_;
        //start a new measurement cycle if we have enough packets
    }
}

```

```
    if ( PIE->dq_count_ >= DQ_THRESHOLD) {
        dq_time = now - PIE->measurement_start_;
        if(PIE->avg_dq_time_ == 0) {
            PIE->avg_dq_time_ = dq_time;
        } else {
            weight = DQ_THRESHOLD/2^16
            PIE->avg_dq_time_ = dq_time*weight + PIE->avg_dq_time_*(1-
            weight);
        }
        PIE->in_measurement_ = FALSE;
    }
}

//start a measurement if we have enough data in the queue:
if (queue_.byte_length() >= DQ_THRESHOLD &&
    PIE->in_measurement_ == FALSE) {
    PIE->in_measurement_ = TRUE;
    PIE->measurement_start_ = now;
    PIE->dq_count_ = 0;
}
}
```