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PIE: A Lightweight Control Scheme To Address the Bufferbloat Problem

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Abstract

Bufferbloat is a phenomenon in which excess buffers in the network cause high latency and latency variation. As more and more interactive applications (e.g. voice over IP, real time video streaming and financial transactions) run in the Internet, high latency and latency variation degrade application performance. There is a pressing need to design intelligent queue management schemes that can control latency and latency variation, and hence provide desirable quality of service to users.

This document presents a lightweight active queue management design, called PIE (Proportional Integral controller Enhanced), that can effectively control the average queueing latency to a target value. Simulation results, theoretical analysis and Linux testbed results have shown that PIE can ensure low latency and achieve high link utilization under various congestion situations. The design does not require per-packet timestamps, so it incurs very little overhead and is simple enough to implement in both hardware and software.

time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

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1. Introduction

The explosion of smart phones, tablets and video traffic in the Internet brings about a unique set of challenges for congestion control. To avoid packet drops, many service providers or data center operators require vendors to put in as much buffer as possible. Because of the rapid decrease in memory chip prices, these requests are easily accommodated to keep customers happy. While this solution succeeds in assuring low packet loss and high TCP throughput, it suffers from a major downside. The TCP protocol continuously increases its sending rate and causes network buffers to fill up. TCP cuts its rate only when it receives a packet drop or mark that is interpreted as a congestion signal. However, drops and marks usually occur when network buffers are full or almost full. As a result, excess buffers, initially designed to avoid packet drops, would lead to highly elevated queueing latency and latency variation. Designing a queue management scheme is a delicate balancing act: it not only should allow short-term burst to smoothly pass, but also should control the average latency in the presence of long-running greedy flows.

AQM schemes could potentially solve the aforementioned problem. Active queue management (AQM) schemes, such as Random Early Detection (RED [RED] as suggested in RFC 2309[RFC2309], now obsoleted by RFC 7567 [RFC7567]), have been around for well over a decade. RED is implemented in a wide variety of network devices, both in hardware and software. Unfortunately, due to the fact that RED needs careful tuning of its parameters for various network conditions, most network operators don't turn RED on. In addition, RED is designed to control the queue length which would affect latency implicitly. It does not control latency directly. Hence, the Internet today still lacks an effective design that can control buffer latency to improve the quality of experience to latency-sensitive applications. The more recent RFC 7567 calls for new methods of controlling network latency.

New algorithms are beginning to emerge to control queueing latency directly to address the bufferbloat problem [CoDel]. Along these lines, PIE also aims to keep the benefits of RED: including easy implementation and scalability to high speeds. Similar to RED, PIE randomly drops an incoming packet at the onset of the congestion. The congestion detection, however, is based on the queueing latency instead of the queue length like RED. Furthermore, PIE also uses the derivative (rate of change) of the queueing latency to help determine congestion levels and an appropriate response. The design parameters of PIE are chosen via control theory stability analysis. While these parameters can be fixed to work in various traffic conditions, they could be made self-tuning to optimize system performance.

Separately, it is assumed that any latency-based AQM scheme would be applied over a Fair Queueing (FQ) structure or one of its approximate designs, Flow Queueing or Class Based Queueing (CBQ). FQ is one of the most studied scheduling algorithms since it was first proposed in 1985 [RFC970]. CBQ has been a standard feature in most network devices today[CBQ]. Any AQM scheme that is built on top of FQ or CBQ could benefit from these advantages. Furthermore, these advantages such as per flow/class fairness are orthogonal to the AQM design whose primary goal is to control latency for a given queue. For flows that are classified into the same class and put into the same queue, one needs to ensure their latency is better controlled and their fairness is not worse than those under the standard DropTail or RED design. More details about the relationship between FQ and AQM can be found in IETF draft [FQ-Implement].

In October 2013, CableLabs' DOCSIS 3.1 specification [DOCSIS_3.1] mandated that cable modems implement a specific variant of the PIE design as the active queue management algorithm. In addition to cable specific improvements, the PIE design in DOCSIS 3.1 [DOCSIS-PIE] has improved the original design in several areas, including de-randomization of coin tosses and enhanced burst protection.

This draft describes the design of PIE and separates it into basic elements and optional components that may be implemented to enhance the performance of PIE.

2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

3. Design Goals

A queue management framework is designed to improve the performance of interactive and latency-sensitive applications. It should follow the general guidelines set by the AQM working group document " Recommendations Regarding Active Queue Management" [RFC7567]. More specifically PIE design has the following basic criteria.

* First, queueing latency, instead of queue length, is controlled. Queue sizes change with queue draining rates and various flows' round trip times. Latency bloat is the real issue that needs to be addressed as it impairs real time applications.

If latency can be controlled, bufferbloat is not an issue. In fact, once latency is under control it frees up buffers for sporadic bursts.

* Secondly, PIE aims to attain high link utilization. The goal of low latency shall be achieved without suffering link under-utilization or losing network efficiency. An early congestion signal could cause TCP to back off and avoid queue building up. On the other hand, however, TCP's rate reduction could result in link under-utilization. There is a delicate balance between achieving high link utilization and low latency.

* Furthermore, the scheme should be simple to implement and easily scalable in both hardware and software. PIE strives to maintain similar design simplicity to RED, which has been implemented in a wide variety of network devices.

* Finally, the scheme should ensure system stability for various network topologies and scale well across an arbitrary number of streams. Design parameters shall be set automatically. Users only need to set performance-related parameters such as target queue latency, not design parameters.

In the following, the design of PIE and its operation are described in detail.

4. The Basic PIE Scheme

As illustrated in Fig. 1, PIE is comprised of three simple basic components: a) random dropping at enqueueing; b) periodic drop probability update; c) latency calculation. When a packet arrives, a random decision is made regarding whether to drop the packet. The drop probability is updated periodically based on how far the current latency is away from the target and whether the queueing latency is currently trending up or down. The queueing latency can be obtained using direct measurements or using estimations calculated from the queue length and the dequeue rate.

The detailed definition of parameters can be found in the pseudo code section of this document (Section 11). Any state variables that PIE maintains are noted using "PIE->". For full description of the algorithm, one can refer to the full paper [HPSR-PIE].

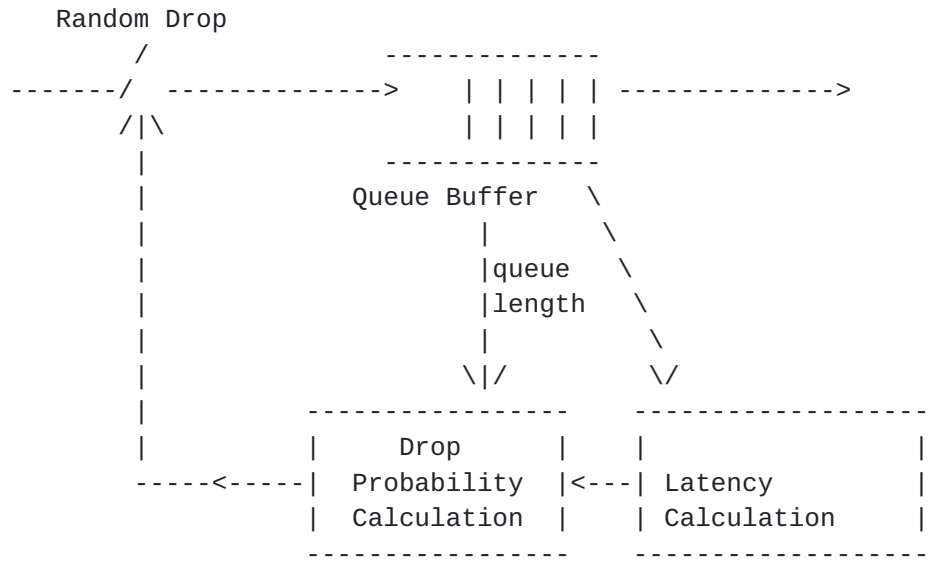

Figure 1. The PIE Structure

4.1 Random Dropping

PIE randomly drops a packet upon its arrival to a queue according to a drop probability, PIE->drop_prob_, that is obtained from the drop-probability-calculation component. The random drop is triggered by a packet arrival before enqueueing into a queue.

* Upon a packet enqueue:

  randomly drop the packet with a probability PIE->drop_prob_.

To ensure that PIE is work conserving, we bypass the random drop if the latency sample, PIE->qdelay_old_, is smaller than half of the target latency value (QDELAY_REF) when the drop probability is not too high, PIE->drop_prob_ < 0.2; or if the queue has less than a couple of packets.

* Upon a packet enqueue, PIE:

```
  //Safeguard PIE to be work conserving
  if ( (PIE->qdelay_old_ < QDELAY_REF/2 && PIE->drop_prob_ < 0.2)
      || (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
       return ENQUE;
  else
      randomly drop the packet with a probability PIE->drop_prob_.
```

PIE optionally supports ECN and see Section 5.1.

4.2 Drop Probability Calculation

The PIE algorithm periodically updates the drop probability based on the latency samples: not only the current latency sample but also the trend where the latency is going, up or down. This is the classical Proportional Integral (PI) controller method which is known for eliminating steady state errors. This type of controller has been studied before for controlling the queue length [PI, QCN]. PIE adopts the Proportional Integral controller for controlling latency. The algorithm also auto-adjusts the control parameters based on how heavy the congestion is, which is reflected in the current drop probability. Note that the current drop probability is a direct measure of current congestion level, no need to measure the arrival rate and dequeue rate mismatches.

When a congestion period goes away, we might be left with a high drop probability with light packet arrivals. Hence, the PIE algorithm includes a mechanism by which the drop probability decay exponentially (rather than linearly) when the system is not congested. This would help the drop probability converge to 0 faster while the PI controller ensures that it would eventually reaches zero. The decay parameter of 2% gives us a time constant around 50*T_UPDATE.

Specifically, the PIE algorithm periodically adjust the drop probability every T_UPDATE interval:

* calculate drop probability PIE->drop_prob_ and auto-tune it as:

```
p = alpha*(current_qdelay-QDELAY_REF) +
    beta*(current_qdelay-PIE->qdelay_old_);



if (PIE->drop_prob_ < 0.000001) {
    p /= 2048;
} else if (PIE->drop_prob_ < 0.00001) {
    p /= 512;
} else if (PIE->drop_prob_ < 0.0001) {
    p /= 128;
} else if (PIE->drop_prob_ < 0.001) {
    p /= 32;
} else if (PIE->drop_prob_ < 0.01) {
    p /= 8;
} else if (PIE->drop_prob_ < 0.1) {
    p /= 2;
```

```
        } else {
            p = p;
        }
        PIE->drop_prob_ += p;
```

```
    * decay the drop probability exponentially:

        if (current_qdelay == 0 &&  PIE->qdelay_old_ == 0) {

            PIE->drop_prob_ = PIE->drop_prob_*0.98;    //1- 1/64
                                                       //is sufficient

        }

    * bound the drop probability
       if (PIE->drop_prob_ < 0)
                PIE->drop_prob_ = 0.0
       if (PIE->drop_prob_ > 1)
                PIE->drop_prob_ = 1.0

    * store current latency value:

        PIE->qdelay_old_ = current_qdelay.
```

The update interval, T_UPDATE, is defaulted to be 15ms. It MAY be reduced on high speed links in order to provide smoother response. The target latency value, QDELAY_REF, SHOULD be set to 15ms. Variables, current_qdelay and PIE->qdelay_old_ represent the current and previous samples of the queueing latency, which are calculated by the "Latency Calculation" component (see Section 4.3). The variable current_qdelay is actually a temporary variable while PIE->qdelay_old_ is a state variable that PIE keeps. The drop probability is a value between 0 and 1. However, implementations can certainly use integers.

The controller parameters, alpha and beta(in the unit of hz) are designed using feedback loop analysis where TCP's behaviors are modeled using the results from well-studied prior art[TCP-Models]. Note that the above adjustment of 'p' effectively scales the alpha and beta parameters based on current congestion level indicated by the drop probability.

The theoretical analysis of PIE can be found in [HPSR-PIE]. As a rule of thumb, to keep the same feedback loop dynamics, if we cut T_UPDATE in half, we should also cut alpha by half and increase beta by alpha/4. If the target latency is reduced, e.g. for data center use, the values of alpha and beta should be increased by the same order of magnitude that the target latency is reduced. For example, if QDELAY_REF is reduced

changed from 15ms to 150us, a reduction of two orders of magnitude, then alpha and beta values should be increased to alpha*100 and beta*100.

4.3 Latency Calculation

The PIE algorithm uses latency to calculate drop probability.

* It estimates current queueing latency using Little's law:

```
current_qdelay = queue_.byte_length()/dequeue_rate;
```

Details can be found in Section 5.2.

* or it may use other techniques for calculating queueing latency, ex: timestamp packets at enqueue and use the same to calculate latency during dequeue.

4.4 Burst Tolerance

PIE does not penalize short-term packet bursts as suggested in RFC7567 [RFC7567]. PIE allows bursts of traffic that create finite-duration events in which current queueing latency exceeds the QDELAY_REF, without triggering packet drops. A parameter, MAX_BURST, is introduced that defines the burst duration that will be protected. By default, the parameter SHOULD be set to be 150ms. For simplicity, the PIE algorithm MAY effectively round MAX_BURST up to an integer multiple of T_UPDATE.

To implement the burst tolerance function, two basic components of PIE are involved: "random dropping" and "drop probability calculation". The PIE algorithm does the following:

* In "Random Dropping" block and upon a packet arrival , PIE checks:

```
Upon a packet enqueue:
if PIE->burst_allowance_ > 0 enqueue packet;
else randomly drop a packet with a probability PIE->drop_prob_.

if (PIE->drop_prob_ == 0 and current_qdelay < QDELAY_REF/2 and
PIE->qdelay_old_ < QDELAY_REF/2)
    PIE->burst_allowance_ = MAX_BURST;
```

* In "Drop Probability Calculation" block, PIE additionally calculates:

```
    PIE->burst_allowance_ = max(0,PIE->burst_allowance_ -
```

```
          T_UPDATE);
```

The burst allowance, noted by PIE->burst_allowance_, is initialized to MAX_BURST. As long as PIE->burst_allowance_ is above zero, an incoming packet will be enqueued bypassing the random drop process. During each update instance, the value of PIE->burst_allowance_ is decremented by the update period, T_UPDATE and is bottomed at 0. When the congestion goes away, defined here as PIE->drop_prob_ equals 0 and both the current and previous samples of estimated latency are less than half of QDELAY_REF, PIE->burst_allowance_ is reset to MAX_BURST.

5. Optional Design Elements of PIE

The above forms the basic elements of the PIE algorithm. There are several enhancements that are added to further augment the performance of the basic algorithm. For clarity purposes, they are included in this section.

5.1 ECN Support

PIE MAY support ECN by marking (rather than dropping) ECN capable packets [IETF-ECN]. As a safeguard, an additional threshold, mark_ecnth, is introduced. If the calculated drop probability exceeds mark_ecnth, PIE reverts to packet drop for ECN capable packets. The variable mark_ecnth SHOULD be set at 0.1(10%).

* To support ECN, the "random drop with a probability PIE->drop_prob_" function in "Random Dropping" block are changed to the following:

* Upon a packet enqueue:

```
  if rand() < PIE->drop_prob_:

    if PIE->drop_prob_ < mark_ecnth && ecn_capable_packet == TRUE:

        mark packet;

    else:

        drop packet;
```

5.2 Dequeue Rate Estimation

Using the timestamps, a latency sample can only be obtained when a packet reaches at the head of a queue. When a quick response time is desired or a direct latency sample is not available, one may obtain latency through measuring the dequeue rate. The draining rate of a queue in the network often varies either because other queues are sharing the same link, or the link capacity fluctuates. Rate fluctuation is particularly common in wireless networks. One may measure directly at the dequeue operation. Short, non-persistent bursts of packets result in empty queues from time to time, this would make the measurement less accurate. PIE measures when a sufficient data in the buffer, i.e., when the queue length is over a certain threshold (DQ_THRESHOLD). PIE measures how long it takes to drain DQ_THRESHOLD of packets. More specifically, the rate estimation can be implemented as follows:

```
    current_qdelay = queue_.byte_length() *
                     PIE->avg_dq_time_/DQ_THRESHOLD;

    * Upon a packet deque:

      if PIE->in_measurement_ == FALSE and queue.byte_length() >=
      DQ_THRESHOLD:
         PIE->in_measurement_ = TRUE;
         PIE->measurement_start_ = now;
         PIE->dq_count_ = 0;

      if PIE->in_measurement_ == TRUE:
         PIE->dq_count_ = PIE->dq_count_ + deque_pkt_size;
         if PIE->dq_count_ >= DQ_THRESHOLD then
            weight = DQ_THRESHOLD/2^16
            PIE->avg_dq_time_ = (now-PIE->measurement_start_)*weight
                              + PIE->avg_dq_time_*(1-weight);
            PIE->dq_count_=0;
            PIE->measurement_start_ = now
         else
            PIE->in_measurement_ = FALSE;
```

The parameter, PIE->dq_count_, represents the number of bytes departed since the last measurement. Once PIE->dq_count_ is over DQ_THRESHOLD, a measurement sample is obtained. The threshold is recommended to be set to 16KB assuming a typical packet size of around 1KB or 1.5KB. This threshold would allow sufficient data to obtain an average draining rate but also fast enough (< 64KB) to reflect sudden changes in the draining rate. IF DQ_THRESHOLD is smaller than 64KB, a small weight is used to smooth out the dequeue time and obtain PIE->avg_dq_time_. The dequeue rate is simply DQ_THRESHOLD divided by PIE->avg_dq_time_. This threshold is not crucial for the system's stability. Please note that the update interval for calculating the drop probability is different from the rate measurement cycle. The drop probability calculation is done periodically

per section 4.2 and it is done even when the algorithm is not in a measurement cycle; in this case the previously latched value of PIE->avg_dq_time_ is used.

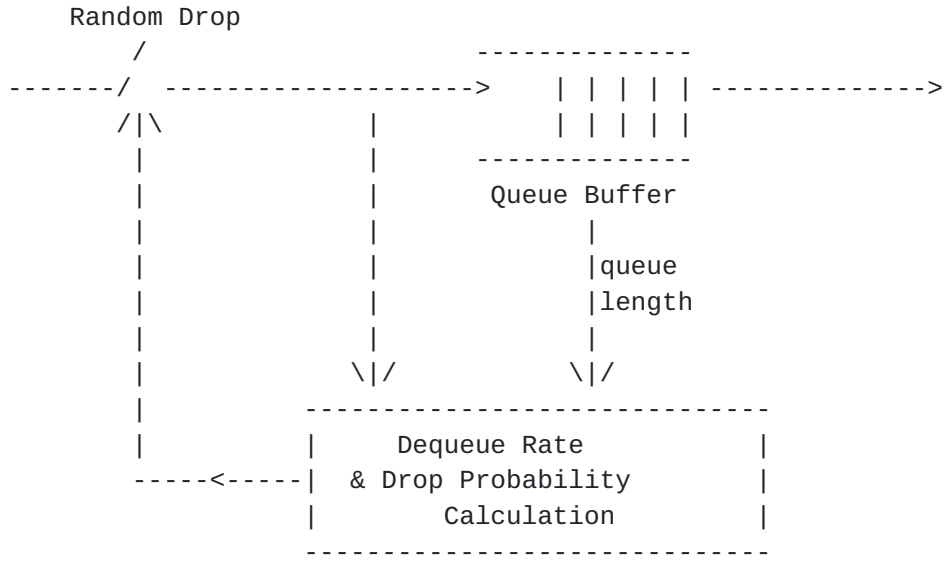

Figure 2. The Enqueue-based PIE Structure

In some platforms, enqueueing and dequeueing functions belong to different modules that are independent of each other. In such situations, a pure enqueue-based design can be designed. As shown in Figure 2, an enqueue-based design is depicted. The dequeue rate is deduced from the number of packets enqueued and the queue length. The design is based on the following key observation: over a certain time interval, the number of dequeued packets = the number of enqueued packets - the number of remaining packets in queue. In this design, everything can be triggered by a packet arrival including the background update process. The design complexity here is similar to the original design.

5.3 Setting PIE active and inactive

Traffic naturally fluctuates in a network. It would be preferable not to unnecessarily drop packets due to a spurious uptick in queueing latency. PIE has an optional feature of automatically becoming active/inactive. To implement this feature, PIE may choose to only become active (from inactive) when the buffer occupancy is over a certain threshold, which

may be set to 1/3 of the tail drop threshold. PIE becomes inactive when congestion is over, i.e. when the drop probability reaches 0, current and previous latency samples are all below half of QDELAY_REF.

Ideally, PIE should become active/inactive based on the latency. However, calculating latency when PIE is inactive would introduce unnecessary packet processing overhead. Weighing the trade-offs, it is decided to compare against tail drop threshold to keep things simple.

When PIE is optionally becomes active/inactive, the burst protection logic in Section 4.4 are modified as follows:

* "Random Dropping" block, PIE adds:

  Upon packet arrival:

```
  if PIE->active_ == FALSE && queue_length >= TAIL_DROP/3:
    PIE->active_ = TRUE;
    PIE->burst_allowance_ = MAX_BURST;

  if PIE->burst_allowance_ > 0 enqueue packet;
  else randomly drop a packet with a probability PIE->drop_prob_.

  if (PIE->drop_prob_ == 0 and current_qdelay < QDELAY_REF/2 and
  PIE->qdelay_old_ < QDELAY_REF/2)
      PIE->active_ = FALSE;
      PIE->burst_allowance_ = MAX_BURST;
```

* "Drop Probability Calculation" block, PIE does the following:

```
  if PIE->active_ == TRUE:
    PIE->burst_allowance_ = max(0,PIE->burst_allowance_ - T_UPDATE);
```

5.4 De-randomization

Although PIE adopts random dropping to achieve latency control, independent coin tosses could introduce outlier situations where packets are dropped too close to each other or too far from each other. This would cause real drop percentage to temporarily deviate from the intended value PIE->drop_prob_. In certain scenarios, such as small number of simultaneous TCP flows, these deviations can cause significant deviations in link utilization and queueing latency. PIE may use a de-randomization mechanism to avoid such situations. A parameter, called PIE->accu_prob_, is reset to 0 after a drop. Upon a packet arrival, PIE->accu_prob_ is incremented by the amount of drop probability, PIE->drop_prob_. If PIE->accu_prob_ is less than a low threshold, e.g. 0.85, the arriving packet is enqueued; on the other hand, if PIE->accu_prob_ is more than a high threshold, e.g. 8.5, and the queue is congested, the

arrival packet is forced to be dropped. A packet is only randomly dropped if PIE->accu_prob_ falls in between the two thresholds. Since PIE->accu_prob_ is reset to 0 after a drop, another drop will not happen until 0.85/PIE->drop_prob_ packets later. This avoids packets being dropped too close to each other. In the other extreme case where 8.5/PIE->drop_prob_ packets have been enqueued without incurring a drop, PIE would force a drop in order to prevent the drops from being spaced too far apart. Further analysis can be found in [DOCSIS-PIE].

5.5 Cap Drop Adjustment

In the case of one single TCP flow during slow start phase in the system, queue could quickly increase during slow start and demands high drop probability. In some environments such as Cable Modem Speed Test, one could not afford triggering timeout and lose throughput as throughput is shown to customers who are testing his/her connection speed. PIE could cap the maximum drop probability increase in each step.

* "Drop Probability Calculation" block, PIE adds:

```
if (PIE->drop_prob_ >= 0.1 && p > 0.02) {

  p = 0.02;

}
```

6. Implementation Cost

PIE can be applied to existing hardware or software solutions. There are three steps involved in PIE as discussed in Section 4. Their complexities are examined below.

Upon packet arrival, the algorithm simply drops a packet randomly based on the drop probability. This step is straightforward and requires no packet header examination and manipulation. If the implementation doesn't rely on packet timestamps for calculating latency, PIE does not require extra memory. Furthermore, the input side of a queue is typically under software control while the output side of a queue is hardware based. Hence, a drop at enqueueing can be readily retrofitted into existing or software implementations.

The drop probability calculation is done in the background and it occurs every T_UPDATE interval. Given modern high speed links, this period translates into once every tens, hundreds or even thousands of packets. Hence the calculation occurs at a much slower time scale than packet processing time, at least an order of magnitude slower. The calculation of drop probability involves multiplications using alpha and beta. Since PIE's control law is robust to minor changes in alpha and beta values,

an implementation MAY choose these values to the closest multiples of 2 or 1/2 (ex: alpha=1/8, beta=1 + 1/4) such that the multiplications can be done using simple adds and shifts. As no complicated functions are required, PIE can be easily implemented in both hardware and software. The state requirement is only one variables per queue: PIE->qdelay_old_. Hence the memory overhead is small.

If one chooses to implement the departure rate estimation, PIE uses a counter to keep track of the number of bytes departed for the current interval. This counter is incremented per packet departure. Every T_UPDATE, PIE calculates latency using the departure rate, which can be implemented using a multiplication. Note that many network devices keep track of an interface's departure rate. In this case, PIE might be able to reuse this information, simply skip the third step of the algorithm and hence incurs no extra cost. If a platform already leverages packet timestamps for other purposes, PIE can make use of these packet timestamps for latency calculation instead of estimating departure rate.

Flow queuing can also be combined with PIE to provide isolation between flows. In this case, it is preferable to have an independent value of drop probability per queue. This allows each flow to receive the most appropriate level of congestion signal, and ensures that sparse flows are protected from experiencing packet drops. However, running the entire PIE algorithm independently on each queue in order to calculate the drop probability may be overkill. Furthermore, in the case that departure rate estimation is used to predict queuing latency, it is not possible to calculate an accurate per-queue departure rate upon which to implement the PIE drop probability calculation. Instead, it has been proposed ([DOCSIS_AQM]) that a single implementation of the PIE drop probability calculation based on the overall latency estimate be used, followed by a per-queue scaling of drop-probability based on the ratio of queue-depth between the queue in question and the current largest queue. This scaling is reasonably simple, and has a couple of nice properties. One, if a packet is arriving to an empty queue, it is given immunity from packet drops altogether, regardless of the state of the other queues. Two, in the situation where only a single queue is in use, the algorithm behaves exactly like the single-queue PIE algorithm.

In summary, PIE is simple enough to be implemented in both software and hardware.

7. Scope of Experimentation

The design of the PIE algorithm is presented in this document. It

effectively controls the average queueing latency to a target value. The following areas can be further studied and experimented:

* Autotuning of target latency without losing utilization;

* Autotuning for average RTT of traffic;

* The proper threshold to transition smoothly between ECN marking and dropping;

* The enhancements in Section 5 can be experimented to see if they would bring more value in the real world. If so, they will be incorporated into the basic PIE algorithm;

* The PIE design is separated into data path and control path, and the control path can be implemented in software. Field tests of other control laws can be experimented to further improve PIE's performance.

Although all network nodes cannot be changed altogether to adopt latency-based AQM schemes such as PIE, a gradual adoption would eventually lead to end-to-end low latency service for all applications.

8. Incremental Deployment

From testbed experiments and large scale simulations of PIE so far, PIE has been shown to be effective across diverse range of network scenarios. There is no indication that PIE would be harmful to deploy.

The PIE scheme can be independently deployed and managed without a need for interoperability between different network devices. In addition, any individual buffer queue can be incrementally upgraded to PIE as it can co-exist with existing AQM schemes such as WRED.

PIE is intended to be self-configuring. Users should not need to configure any design parameters. Upon installation, the two user-configurable parameters: QDELAY_REF and MAX_BURST, will be defaulted to 15ms and 150ms for non datacenter network devices and to 15us and 150us for datacenter switches, respectively.

Since the data path of the algorithm needs only a simple coin toss and the control path calculation happens in a much slower time scale, We don't forsee any scaling issues associated with the algorithm as the link speed scales up.

9. Security Considerations

This document describes an active queue management algorithm based on implementations in different products. This algorithm introduces no specific security exposures.

10. IANA Considerations

There are no actions for IANA.

11. References

11.1 Normative References

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12. The Basic PIE pseudo Code

```
Configurable Parameters:
     - QDELAY_REF. AQM Latency Target (default: 15ms)
     - MAX_BURST. AQM Max Burst Allowance (default: 150ms)

Internal Parameters:
     - Weights in the drop probability calculation (1/s):
       alpha (default: 1/8), beta(default: 1 + 1/4)
     - T_UPDATE: a period to calculate drop probability (default:15ms)
```

```
  Table which stores status variables (ending with "_"):
       - burst_allowance_: current burst allowance
       - drop_prob_:  The current packet drop probability. reset to 0
       - qdelay_old_:  The previous queue delay. reset to 0

  Public/system functions:
       - queue_.  Holds the pending packets.
       - drop(packet).  Drops/discards a packet
       - now().  Returns the current time
       - random(). Returns a uniform r.v. in the range 0 ~ 1
       - queue_.byte_length(). Returns current queue_ length in bytes
       - queue_.enque(packet). Adds packet to tail of queue_
       - queue_.deque(). Returns the packet from the head of queue_
       - packet.size(). Returns size of packet
       - packet.timestamp_delay(). Returns timestamped packet latency



============================

//called on each packet arrival
  enque(Packet packet) {
       if (PIE->drop_prob_ == 0 && current_qdelay < QDELAY_REF/2
           && PIE->qdelay_old_ < QDELAY_REF/2) {
           PIE->burst_allowance_ = MAX_BURST;
       }
       if (PIE->burst_allowance_ == 0 && drop_early() == DROP) {
         drop(packet);
       } else {
         queue_.enque(packet);
       }
  }


============================

  drop_early() {

      //Safeguard PIE to be work conserving
      if ( (PIE->qdelay_old_ < QDELAY_REF/2 && PIE->drop_prob_ < 0.2)
          || (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
           return ENQUE;
      }

      double u = random();
      if (u < PIE->drop_prob_) {
        return DROP;
      } else {
```

```
        return ENQUE;
      }
   }


============================
//we choose the timestamp option of obtaining latency for clarity
//rate estimation method can be found in the extended PIE pseudo code

  deque(Packet packet) {

    current_qdelay = packet.timestamp_delay();

  }

============================
//update periodically, T_UPDATE = 15ms

  calculate_drop_prob() {

       //can be implemented using integer multiply,

       p = alpha*(current_qdelay - QDELAY_REF) + \
           beta*(current_qdelay-PIE->qdelay_old_);

       if (PIE->drop_prob_ < 0.000001) {
            p /= 2048;
       } else if (PIE->drop_prob_ < 0.00001) {
            p /= 512;
       } else if (PIE->drop_prob_ < 0.0001) {
            p /= 128;
       } else if (PIE->drop_prob_ < 0.001) {
            p /= 32;
       } else if (PIE->drop_prob_ < 0.01) {
            p /= 8;
       } else if (PIE->drop_prob_ < 0.1) {
            p /= 2;
       } else {
            p = p;
       }


       PIE->drop_prob_ += p;

       //Exponentially decay drop prob when congestion goes away
       if (current_qdelay == 0 &&  PIE->qdelay_old_ == 0) {
                PIE->drop_prob_ *= 0.98;    //1- 1/64 is sufficient
       }
```

```
      //bound drop probability
      if (PIE->drop_prob_ < 0)
               PIE->drop_prob_ = 0.0
      if (PIE->drop_prob_ > 1)
               PIE->drop_prob_ = 1.0

      PIE->qdelay_old_ = current_qdelay;

      PIE->burst_allowance_ = max(0,PIE->burst_allowance_ - T_UPDATE);


   }
}
```

13. Pseudo code for PIE with optional enhancement

```
  Configurable Parameters:
       - QDELAY_REF. AQM Latency Target (default: 15ms)
       - MAX_BURST. AQM Max Burst Allowance (default: 150ms)
       - MAX_ECNTH. AQM Max ECN Marking Threshold (default: 10%)

  Internal Parameters:
       - Weights in the drop probability calculation (1/s):
         alpha (default: 1/8), beta(default: 1+1/4)
       - DQ_THRESHOLD: (in bytes, default: 2^14 (in a power of 2) )
       - T_UPDATE: a period to calculate drop probability (default:15ms)
       - TAIL_DROP: each queue has a tail drop threshold, pass it to PIE


  Table which stores status variables (ending with "_"):
       - active_: INACTIVE/ACTIVE
       - burst_allowance_: current burst allowance
       - drop_prob_:  The current packet drop probability. reset to 0
       - accu_prob_: Accumulated drop probability. reset to 0
       - qdelay_old_:  The previous queue delay estimate. reset to 0
       - last_timestamp_:  Timestamp of previous status update
       - dq_count_, measurement_start_, in_measurement_,
         avg_dq_time_. variables for measuring average dequeue rate.

  Public/system functions:
       - queue_.  Holds the pending packets.
       - drop(packet).  Drops/discards a packet
       - mark(packet).  Marks ECN for a packet
       - now().  Returns the current time
```

- random(). Returns a uniform r.v. in the range 0 ~ 1
- queue_.byte_length(). Returns current queue_ length in bytes
- queue_.enque(packet). Adds packet to tail of queue_
- queue_.deque(). Returns the packet from the head of queue_
- packet.size(). Returns size of packet
- packet.ecn(). Returns whether packet is ECN capable or not

```
============================
//called on each packet arrival
  enque(Packet packet) {
       if (queue_.byte_length()+packet.size() > TAIL_DROP) {
        drop(packet);
        PIE->accu_prob_ = 0;
       } else if (PIE->active_ == TRUE && drop_early() == DROP
                  && PIE->burst_allowance_ == 0) {
        if (PIE->drop_prob_ < MAX_ECNTH && packet.ecn() == TRUE)
                mark(packet);
        else
                drop(packet);
                PIE->accu_prob_ = 0;
       } else {
        queue_.enque(packet);
       }

       //If the queue is over a certain threshold, turn on PIE
       if (PIE->active_ == INACTIVE
           && queue_.byte_length() >= TAIL_DROP/3) {
            PIE->active_ = ACTIVE;
            PIE->qdelay_old_ = 0;
            PIE->drop_prob_ = 0;
            PIE->in_measurement_ = TRUE;
            PIE->dq_count_ = 0;
            PIE->avg_dq_time_ = 0;
            PIE->last_timestamp_ = now;
            PIE->burst_allowance_ = MAX_BURST;
            PIE->accu_prob_ = 0;
            PIE->measurement_start_ = now;
       }

       //If the queue has been idle for a while, turn off PIE
       //reset counters when accessing the queue after some idle
       //period if PIE was active before
       if ( PIE->drop_prob_ == 0 && PIE->qdelay_old_ == 0
            && current_qdelay == 0) {
            PIE->active_ = INACTIVE;
            PIE->in_measurement_ = FALSE;
       }
```

```
  }
```

==========

```
  drop_early() {

      //PIE is active but the queue is not congested, return ENQUE
      if ( (PIE->qdelay_old_ < QDELAY_REF/2 && PIE->drop_prob_ < 0.2)
          || (queue_.byte_length() <= 2 * MEAN_PKTSIZE) ) {
           return ENQUE;
      }


      if (PIE->drop_prob_ == 0) {
           PIE->accu_prob_ = 0;
      }

      //For practical reasons, drop probability can be further scaled
      //according to packet size. but need to set a bound to
      //avoid unnecessary bias

      //Random drop
      PIE->accu_prob_ += PIE->drop_prob_;
      if (PIE->accu_prob_ < 0.85)
          return ENQUE;
      if (PIE->accu_prob_ >= 8.5)
          return DROP;
        double u = random();
      if (u < PIE->drop_prob_) {
                PIE->accu_prob_ = 0;
                return DROP;
      } else {
                return ENQUE;
      }
   }
```

==========

```
 //update periodically, T_UPDATE = 15ms
 calculate_drop_prob() {
     if ( (now - PIE->last_timestamp_) >= T_UPDATE &&
          PIE->active_ == ACTIVE) {
```

```
      //can be implemented using integer multiply,
      //DQ_THRESHOLD is power of 2 value
      current_qdelay = queue_.byte_length() * PIE-
    >avg_dq_time_/DQ_THRESHOLD;

      p = alpha*(current_qdelay - QDELAY_REF) + \
          beta*(current_qdelay-PIE->qdelay_old_);


      if (PIE->drop_prob_ < 0.000001) {
           p /= 2048;
      } else if (PIE->drop_prob_ < 0.00001) {
           p /= 512;
      } else if (PIE->drop_prob_ < 0.0001) {
           p /= 128;
      } else if (PIE->drop_prob_ < 0.001) {
           p /= 32;
      } else if (PIE->drop_prob_ < 0.01) {
           p /= 8;
      } else if (PIE->drop_prob_ < 0.1) {
           p /= 2;
      } else {
           p = p;
      }

      if (PIE->drop_prob_ >= 0.1 && p > 0.02) {
           p = 0.02;
      }
      PIE->drop_prob_ += p;

      //Exponentially decay drop prob when congestion goes away
      if (current_qdelay < QDELAY_REF/2 &&  PIE->qdelay_old_ <
    QDELAY_REF/2) {
                PIE->drop_prob_ *= 0.98;    //1- 1/64 is sufficient
      }

      //bound drop probability
      if (PIE->drop_prob_ < 0)
                PIE->drop_prob_ = 0
      if (PIE->drop_prob_ > 1)
                PIE->drop_prob_ = 1

      PIE->qdelay_old_ = current_qdelay;
      PIE->last_timestamp_ = now;
      PIE->burst_allowance_ = max(0,PIE->burst_allowance_ - T_UPDATE);
   }
}
```

```
==========================
//called on each packet departure
  deque(Packet packet) {

     //deque rate estimation
     if (PIE->in_measurement_ == TRUE) {
          PIE->dq_count_ = packet.size() + PIE->dq_count_;
          //start a new measurement cycle if we have enough packets
          if ( PIE->dq_count_ >= DQ_THRESHOLD) {
            dq_time = now - PIE->measurement_start_;
            if(PIE->avg_dq_time_ == 0) {
              PIE->avg_dq_time_ = dq_time;
            } else {
              weight = DQ_THRESHOLD/2^16
              PIE->avg_dq_time_ = dq_time*weight + PIE->avg_dq_time_*(1-
            weight);
            }
            PIE->in_measurement_ = FALSE;
          }
     }

     //start a measurement if we have enough data in the queue:
     if (queue_.byte_length() >= DQ_THRESHOLD &&
         PIE->in_measurement_ == FALSE) {
            PIE->in_measurement_ = TRUE;
            PIE->measurement_start_ = now;
            PIE->dq_count_ = 0;
     }
  }
```