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**Device Reset Characterization**  
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**Abstract**

An operational forwarding device may need to be re-started (automatically or manually) for a variety of reasons, an event that we call a "reset" in this document. Since there may be an interruption in the forwarding operation during a reset, it is useful to know how long a device takes to resume the forwarding operation.

This document specifies a methodology for characterizing reset (and recovery time) during benchmarking of forwarding devices, and provides clarity and consistency in reset test procedures beyond what's specified in [RFC2544](#). It therefore updates [RFC2544](#).

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## **1. Introduction**

An operational forwarding device (or one of its components) may need to be re-started for a variety of reasons, an event that we call a "reset" in this draft. Since there may be an interruption in the forwarding operation during a reset, it is useful to know how long a device takes to resume the forwarding operation. In other words, it is desired to know how long the recovery time after the reset is.

However, the answer to this question is no longer simple and straight-forward as the modern forwarding devices employ many hardware advancements (distributed forwarding, etc.) and software advancements (graceful restart, etc.) that influence the recovery time after the reset.

### **1.1. Scope**

This document specifies a methodology for characterizing reset (and recovery time) during benchmarking of forwarding devices, and provides clarity and consistency in reset procedures beyond what is specified in [\[RFC2544\]](#). Software upgrades incur additional benchmarking complexities and are outside the scope of this document.

These procedures may be used by other benchmarking documents such as [\[RFC2544\]](#), [\[RFC5180\]](#), [\[RFC5695\]](#), etc., and is expected that other protocol-specific benchmarking documents would reference this document for reset recovery time characterization.

This document updates [Section 26.6 of \[RFC2544\]](#).

This document focuses on only the reset criterion of benchmarking, and presumes that it would be beneficial to [\[RFC5180\]](#), [\[RFC5695\]](#), and other BMWG benchmarking efforts.

### **1.2. Recovery Time Measurement Methods**

The 'recovery time' is the time during which the traffic forwarding is temporarily interrupted following a reset event. Strictly speaking, this is the time over which one or more frames are lost. This definition is similar to that of 'Loss of connectivity period' defined in [\[IGPConv\] section 4](#).



There are two accepted methods to measure the 'recovery time':

1. Frame-Loss Method - This method requires testtool capability to monitor the number of lost frames. In this method, the offered stream rate (frames per second) must be known. The recovery time is calculated per the below equation:

$$\text{Recovery\_time} = \text{Frames\_lost (packets)} / \text{Offered\_rate (packets per second)}$$

2. Time-Stamp Method - This method requires testtool capability to timestamp each frame. In this method, the testtool timestamps each transmitted frame and monitors the received frame's timestamp. During the test, the test tool would record the timestamp (Timestamp A) of the frame that was last received prior to the reset interruption and the timestamp (Timestamp B) of the first frame after the interruption stopped. The difference between Timestamp B and Timestamp A is the recovery time.

The tester/operator MAY use either method for recovery time measurement depending on the testtool capability. However, the Frame-loss method SHOULD be used if the test tool is capable of (a) counting the number of lost frames per stream, and (b) transmitting test frame despite the physical link status, whereas Time-stamp method SHOULD be used if the test tool is capable of (a) time-stamping each frame, (b) monitoring received frame's timestamp, and (c) transmitting frames only if the physical link status is UP.

### **1.3. Reporting Format**

All reset results are reported in a simple statement including the frame loss and recovery times.

For each test case, it is RECOMMENDED that the following parameters be reported in these units:

Parameter	Units or Examples
Throughput	Frames per second and bits per second
Loss (average)	Frames
Recovery Time (average)	Milliseconds
Number of trials	Integer count
Protocol	IPv4, IPv6, MPLS, etc.
Frame Size	Octets
Port Media	Ethernet, GigE (Gigabit Ethernet), POS (Packet over SONET), etc.
Port Speed	10 Gbps, 1 Gbps, 100 Mbps, etc.
Interface Encap.	Ethernet, Ethernet VLAN, PPP, HDLC, etc.

For mixed protocol environments, frames SHOULD be distributed between all the different protocols. The distribution MAY approximate the network conditions of deployment. IN all cases the details of the mixed protocol distribution MUST be included in the reporting.

Additionally, the DUT and test bed provisioning, configuration, and deployed methodologies that may influence the overall recovery time MUST be listed. (Refer to the additional factors listed in [Section 3](#)).

The reporting of results MUST regard repeatability considerations from [Section 4 of \[RFC2544\]](#). It is RECOMMENDED to perform multiple trials and report average results.

## **2. Key Words to Reflect Requirements**

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#), [RFC 2119](#) [[RFC2119](#)]. [RFC 2119](#) defines the use of these key words to help make the intent of standards track documents as clear as possible. While this document uses these keywords, this document is not a standards track document.

## **3. Test Requirements**

In order to provide consistent and fairness while benchmarking a set of different DUTs, the Network tester / Operator MUST (a) use identical control and data plane information during testing, (b) document & report any factors that may influence the overall time after reset / convergence.

Some of these factors include:

1. Type of reset - Hardware (line-card crash, etc.) vs. Software (protocol reset, process crash, etc.) or even complete power failures
2. Manual vs. Automatic reset
3. Scheduled vs. non-scheduled reset
4. Local vs. Remote reset
5. Scale - Number of line cards present vs. in-use
6. Scale - Number of physical and logical interfaces
7. Scale - Number of routing protocol instances
8. Scale - Number of Routing Table entries
9. Scale - Number of Route Processors available
10. Performance - Redundancy strategy deployed for route processors and line cards
11. Performance - Interface encapsulation as well as achievable Throughput [[RFC2544](#)]



12. Any other internal or external factor that may influence recovery time after a hardware or software reset

The recovery time is one of the key characterization results reported after each test run. While the recovery time during a reset test event may be zero, there may still be effects on traffic, such as transient delay variation or increased latency. However, that is not covered and deemed outside the scope of this document. In this case, only "no loss" is reported.

#### **4. Reset Test**

This section contains the description of the tests that are related to the characterization of the time needed for DUTs (Device Under Test) / SUTs (System Under Test) to recover from a reset. There are three types of reset considered in this document:

1. Hardware resets
2. Software resets
3. Power interruption

Different types of reset have potentially different impact on the forwarding behavior of the device. As an example, a software reset (of a routing process) might not result in forwarding interruption, whereas a hardware reset (of a line card) most likely will.

[Section 4.1](#) describes various hardware resets, whereas [Section 4.2](#) describes various software resets. Additionally, [Section 4.3](#) describes power interruption tests. These sections define and characterize these resets.

Additionally, since device specific implementations may vary for hardware and software type resets, it is desirable to classify each test case as "REQUIRED" or "OPTIONAL".

##### **4.1. Hardware Reset**

A test designed to characterize the time it takes a DUT to recover from the hardware reset.

A "hardware reset" generally involves the re-initialization of one or more physical components in the DUT, but not the entire DUT.

A hardware reset is executed by the operator for example by physical removal of a physical component, by pressing on a "reset" button for the component, or could even be triggered from the command line interface.

For routers that do not contain separate Routing Processor and Line Card modules, the hardware reset tests are not performed since they are not relevant; instead, the power interruption tests **MUST** be performed (see [Section 4.3](#)) in these cases.

#### **4.1.1. Routing Processor (RP) / Routing Engine reset**

The Routing Processor (RP) is the DUT module that is primarily concerned with Control Plane functions.

##### **4.1.1.1. RP Reset for a single-RP device (REQUIRED)**

###### Objective

To characterize time needed for a DUT to recover from a Route processor hardware reset in a single RP environment.

###### Procedure

First, ensure that the RP is in a permanent state to which it will return to after the reset, by performing some or all of the following operational tasks: save the current DUT configuration, specify boot parameters, ensure the appropriate software files are available, or perform additional Operating System or hardware related task.

Second, ensure that the DUT is able to forward the traffic for at least 15 seconds before any test activities are performed. The traffic should use the minimum frame size possible on the media used in the testing and rate should be sufficient for the DUT to attain the maximum forwarding throughput. This enables a finer granularity in the recovery time measurement.

Third, perform the Route Processor (RP) hardware reset at this point. This entails for example physically removing the RP to later re-insert it, or triggering a hardware reset by other means (e.g., command line interface, physical switch, etc.)



Finally, the characterization is completed by recording the frame loss (as reported by the test tool) and calculating the recovery time (by following the [section 1.2](#)).

#### Reporting format

The reporting format is defined in [Section 1.3](#).

#### **[4.1.1.2](#). RP Switchover for a multiple-RP device (OPTIONAL)**

##### Objective

To characterize time needed for "secondary" Route Processor (sometimes referred to as "backup" RP) of a DUT to become active after a "primary" (or "active") Route Processor hardware reset. This process is often referred to as "RP Switchover". The characterization in this test should be done for the default DUT behavior as well as a DUT's non-default configuration that minimizes frame loss, if exists.

##### Procedure

This test characterizes "RP Switchover". Many implementations allow for optimized switchover capabilities that minimize the downtime during the actual switchover. This test consists of two sub-cases from a switchover characteristics standpoint: First, a default behavior (with no switchover-specific configurations); and potentially second, a non-default behavior with switchover configuration to minimize frame loss. Therefore, the procedures hereby described are executed twice, and reported separately.

First, ensure that the RPs are in a permanent state such that the secondary will be activated to the same state as the active is, by performing some or all of the following operational tasks: save the current DUT configuration, specify boot parameters, ensure the appropriate software files are available, or perform additional Operating System or hardware related task.

Second, ensure that the DUT is able to forward the traffic for at least 15 seconds before any test activities are performed. The traffic should use the minimum frame size possible on the media used in the testing and rate should be sufficient for the DUT to attain the maximum forwarding throughput. This enables a finer granularity in the recovery time measurement.



Third, perform the primary Route Processor (RP) hardware reset at this point. This entails for example physically removing the RP, or triggering a hardware reset by other means (e.g., command line interface, physical switch, etc.) It is up to the Operator to decide if the primary RP needs to be re-inserted after a grace period or not.

Finally, the characterization is completed by recording the frame loss (as reported by the test tool) and calculating the recovery time (by following the [section 1.2](#)).

#### Reporting format

The reset results are potentially reported twice, one for the default switchover behavior (i.e., the DUT without any switchover-specific enhanced configuration) and the other for the switchover-specific behavior if it exists (i.e., the DUT configured for optimized switchover capabilities that minimize the downtime during the actual switchover).

The reporting format is defined in [Section 1.3](#), and also includes any specific redundancy scheme in place.

#### **[4.1.2](#). Line Card (LC) Removal and Insertion (REQUIRED)**

The Line Card (LC) is the DUT component that is responsible with packet forwarding.

##### Objective

To characterize time needed for a DUT to recover from a Line Card removal and insertion event.

##### Procedure

For this test, the Line Card that is being hardware-reset MUST be on the forwarding path and all destinations MUST be directly connected.

First, complete some or all of the following operational tasks: save the current DUT configuration, specify boot parameters, ensure the appropriate software files are available, or perform additional Operating System or hardware related task.



Second, ensure that the DUT is able to forward the traffic for at least 15 seconds before any test activities are performed. The traffic should use the minimum frame size possible on the media used in the testing and rate should be sufficient for the DUT to attain the maximum forwarding throughput. This enables a finer granularity in the recovery time measurement.

Third, perform the Line Card (LC) hardware reset at this point. This entails for example physically removing the LC to later re-insert it, or triggering a hardware reset by other means (e.g., command line interface (CLI), physical switch, etc.). However, most accurate results will be obtained using the CLI or a physical switch, and therefore these are RECOMMENDED. Otherwise, the time spent trying to physically seat the LC will get mixed into the results.

Finally, the characterization is completed by recording the frame loss (as reported by the test tool) and calculating the recovery time (by following the [section 1.2](#)).

#### Reporting Format

The reporting format is defined in [Section 1.3](#).

## **[4.2. Software Reset](#)**

To characterize time needed for a DUT to recover from the software reset.

In contrast to a "hardware reset", a "software reset" involves only the re-initialization of the execution, data structures, and partial state within the software running on the DUT module(s).

A software reset is initiated for example from the DUT's Command Line Interface (CLI).

### **[4.2.1. Operating System \(OS\) reset \(REQUIRED\)](#)**

#### Objective

To characterize time needed for a DUT to recover from an Operating System (OS) software reset.

#### Procedure





First, complete some or all of the following operational tasks: save the current DUT configuration, specify software boot parameters, ensure the appropriate software files are available, or perform additional Operating System task.

Second, ensure that the DUT is able to forward the traffic for at least 15 seconds before any test activities are performed. The traffic should use the minimum frame size possible on the media used in the testing and rate should be sufficient for the DUT to attain the maximum forwarding throughput. This enables a finer granularity in the recovery time measurement.

Third, trigger an Operating System re-initialization in the DUT, by operational means such as use of the DUT's Command Line Interface (CLI) or other management interface.

Finally, the characterization is completed by recording the frame loss (as reported by the test tool) and calculating the recovery time (by following the [section 1.2](#)).

#### Reporting format

The reporting format is defined in [Section 1.3](#).

#### **[4.2.2](#). Process reset (OPTIONAL)**

##### Objective

To characterize time needed for a DUT to recover from a software process reset.

Such time period may depend upon the number and types of process running in the DUT and which ones are tested. Different implementations of forwarding devices include various common processes. A process reset should be performed only in the processes most relevant to the tester and most impactful to forwarding.

##### Procedure

First, complete some or all of the following operational tasks: save the current DUT configuration, specify software parameters or environmental variables, or perform additional Operating System task.



Second, ensure that the DUT is able to forward the traffic for at least 15 seconds before any test activities are performed. The traffic should use the minimum frame size possible on the media used in the testing and rate should be sufficient for the DUT to attain the maximum forwarding throughput. This enables a finer granularity in the recovery time measurement.

Third, trigger a process reset for each process running in the DUT and considered for testing from a management interface (e.g., by means of the Command Line Interface (CLI), etc.)

Finally, the characterization is completed by recording the frame loss (as reported by the test tool) and calculating the recovery time (by following the [section 1.2](#)).

#### Reporting format

The reporting format is defined in [Section 1.3](#), and is used for each process running in the DUT and tested. Given the implementation nature of this test, details of the actual process tested should be included along with the statement.

### [4.3](#). Power interruption

"Power interruption" refers to the complete loss of power on the DUT. It can be viewed as a special case of a hardware reset, triggered by the loss of the power supply to the DUT or its components, and is characterized by the re-initialization of all hardware and software in the DUT.

#### [4.3.1](#). Power Interruption (REQUIRED)

##### Objective

To characterize time needed for a DUT to recover efrom a complete loss of electric power or complete power interruption. This test simulates a complete power failure or outage, and should be indicative of the DUT/SUTs behavior during such event.

## Procedure

First, ensure that the entire DUT is at a permanent state to which it will return to after the power interruption, by performing some or all of the following operational tasks: save the current DUT configuration, specify boot parameters, ensure the appropriate software files are available, or perform additional Operating System or hardware related task.

Second, ensure that the DUT is able to forward the traffic for at least 15 seconds before any test activities are performed. The traffic should use the minimum frame size possible on the media used in the testing and rate should be sufficient for the DUT to attain the maximum forwarding throughput. This enables a finer granularity in the recovery time measurement.

Third, interrupt the power (AC or DC) that feeds the corresponding DUTs power supplies at this point. This entails for example physically removing the power supplies in the DUT to later re-insert them, or simply disconnecting or switching off their power feeds (AC or DC as applicable). The actual power interruption should last at least 15 seconds.

Finally, the characterization is completed by recording the frame loss (as reported by the test tool) and calculating the recovery time (by following the [section 1.2](#)).

For easier comparison with other testing, the 15 seconds are removed from the reported recovery time.

## Reporting format

The reporting format is defined in [Section 1.3](#).

## 5. Security Considerations

Benchmarking activities, as described in this memo, are limited to technology characterization using controlled stimuli in a laboratory environment, with dedicated address space and the constraints specified in the sections above.

The benchmarking network topology will be an independent test setup and MUST NOT be connected to devices that may forward the test traffic into a production network or misroute traffic to the test management network.



Furthermore, benchmarking is performed on a "black-box" basis, relying solely on measurements observable external to the DUT/SUT.

Special capabilities SHOULD NOT exist in the DUT/SUT specifically for benchmarking purposes. Any implications for network security arising from the DUT/SUT SHOULD be identical in the lab and in production networks.

There are no specific security considerations within the scope of this document.

## **6. IANA Considerations**

There is no IANA consideration for this document.

## **7. Acknowledgments**

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