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Generic Authorization and Access control Application Program Interface C-bindings

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<u>1</u>. Abstract

The Generic Authorization and Access control Application Programming Interface (GAA API) provides access control services to calling applications.

It facilitates access control decisions for applications and allows applications to discover access control policies associated with a targeted resource. The GAA API is usable by multiple applications supporting different kinds of protected objects. The GAA API design supports:

- a variety of security mechanisms based on public or secret key cryptosystems
- different authorization models
- heterogeneous security policies
- various access rights

This document specifies C language bindings for the GAA API, which is described at a language-independent conceptual level in <u>draft-ietf-cat-acc-cntrl-frmw-03.txt</u>

2. Approach

We propose an "object-oriented" approach inspired by the programming style in [3]. It allows for better integration of application specific modules with the GAA API. We define three "classes": gaa, gaa_policy and gaa_sc. The general structure of each class is depicted in Figure 1.

2.1. The class data structure

The class structure contains the following fields:

method A pointer to the class method structure.

class_new(class_method*, class_ptr*)
creates a new class.

class_set(class_method*, class_ptr*)
sets appropriate fields of the class_method structure (attributes and methods).

class_free(class*)
frees the class structure. Depending on the configuration, this will free the
underlying data object.

2.2. "abstract" class_method data structure

The "abstract" class_method structure contains the following fields:

type is the numeric type of the class_method.

name is a textual representation of the method "type".

The class_method function pointers point to the respective implementation specific function methods. Some of them can be NULL if not implemented.

create()
creates a new class of type "type".

destroy()
frees class structure of type "type".

2.3. Implementation specific class_method data structure

This structure contains concrete values for the "abstract" class_method attributes and methods which are set by the class_set function.

------I | "abstract" class_method | class |-----| | (gaa, gaa_policy or gaa_sc) | |-----| attributes | attributes | int type | class_method *method -----> | char *name | class specific attributes | | class specific attributes | |-----| |----| methods methods | class_new(class_method*, | | create()
| class_ptr*) | | destroy()
| class_set(class_method*, | | class spe
| class*) | ------| destroy() | class specific methods | class_free(class*) ----implementation specific | class method |-----| attributes type=implem_specific_type | name=implem_specific_name | implementation specific | attributes |-----| methods implem_specific_create() implem_specific_destroy() |



3. The GAA API data types and calling conventions

The data types describe only fields that must be provided by all GAA API implementations. Individual implementations may provide additional fields for internal use within the GAA API routines.

3.1. Integer types

The GAA API defines the following integer data type:

uint32 32-bit unsigned integer

3.2. Opaque data types

Some data items are considered opaque to the GAA API, because their internal data structure has no significance to the GAA API, e.g. actual mechanism-specific credentials. Opaque data is passed between the GAA API and the application using the gaa_buffer_ptr data type, which is a pointer to a gaa_buffer structure.

The gaa_buffer type is a structure containing the following fields:

length Contains the total number of bytes in the datum

value Contains a pointer to the actual datum

typedef struct gaa_buffer_struct gaa_buffer,

*gaa_buffer_ptr, gaa_options, *gaa_options_ptr;

```
struct gaa_buffer_struct {
  size_t length;
  void *value;
};
```

3.3. Character data types

Certain data items used by the GAA API may be regarded as a character strings. The data of this kind is passed between the GAA API and application using the gaa_data data type, which is a pointer to void:

typedef char *gaa_data;

3.4. Ordered list types

Certain data items used by the GAA API may be regarded as an ordered list of objects. In this draft we refer to them as gaa_STACK data structure. The implementation of the ordered list can be application specific. A possible candidate for this type of data can be STACK structure in [3].

<u>3.5</u>. gaa_struct data structure

The gaa_struct structure is passed as an argument to the GAA API. It contains

```
information about behavior of the gaa evaluation routines.
See <u>section 2</u> for explanation of the meaning of the fields
method, gaa_new, gaa_set and gaa_free.
typedef struct gaa_struct gaa,
                           *gaa_ptr;
struct gaa_struct
{
  /* attributes */
 gaa_method_ptr method;
 /* methods */
  gaa_error_code (*gaa_new)(gaa_ptr method,
                             gaa_ptr *gaa);
 gaa_error_code (*gaa_set)(gaa_method_ptr method,
                             gaa_ptr
                                            gaa);
 gaa_error_code (*gaa_free)(gaa_ptr gaa);
};
```

<u>3.6</u>. gaa_method_struct data structure

The gaa_method_struct structure contains the following fields:

```
condition_evaluation
Specific condition evaluation function called by GAA API if there are
application-specific conditions. Generic (understood by the GAA API) conditions
are evaluated by the GAA API internal functions.
```

```
See <u>section 2</u> for explanation of the meaning of the fields type, method, create and destroy.
```

<u>3.7</u>. gaa_policy_struct data structure

```
The gaa_policy_struct structure contains the following fields:
policy
a pointer to memory which holds the application specific policy
structure
See section 2 for explanation of the meaning of the fields
method, gaa_policy_new, gaa_policy_set and gaa_policy_free.
typedef struct gaa_policy_struct gaa_policy,
                                 *gaa_policy_ptr;
struct gaa_policy_struct
{
  /* attributes */
 gaa_policy_method_ptr method;
  gaa_buffer_ptr
                         policy;
  /* methods */
  gaa_error_code (*gaa_policy_new)(gaa_policy_method_ptr method,
                                   gaa_policy_ptr
                                                         *policy);
  gaa_error_code (*gaa_policy_set)(gaa_policy_method_ptr method,
                                   gaa_policy_ptr
                                                         policy);
 gaa_error_code (*gaa_policy_free)(gaa_policy_ptr
                                                         policy);
};
```

3.8. gaa_policy_method_struct data structure

The gaa_policy_method_struct structure contains the following fields:

eval_method defines a method for policy evaluation. The default value is ordered policy evaluation.

get_matching_entries implementation specific function for retrieval of the matching entries. It returns an ordered list of objects of type gaa_policy_entry_ptr (see section 3.9.), which are then evaluated by the gaa routines.

retrieve

application specific function for the retrieval of the object authorization information. The application maintains authorization information in a form understood by the application. It can be stored in a file, database, directory service or in some other way. The upcall function provided for the GAA API retrieves this information.

```
See <u>section 2</u> for explanation of the meaning of the fields: type,
method, create and destroy.
typedef struct gaa_policy_method_struct gaa_policy_method,
                                        *gaa_policy_method_ptr;
struct gaa_policy_method_struct
{
  /* attributes */
 int type;
 char *name;
  int
       eval_method; /* default ordered */
 /* methods */
 gaa_STACK_ptr /* gaa_policy_entry_ptr */
                (*get_matching_entries) (gaa_buffer_ptr policy,
                                         gaa_STACK_ptr /* gaa_right_ptr */
requested_rights);
 gaa_buffer_ptr(*retrieve)(uint32*
                                         minor_status, /* OUT */
                            gaa_data object, /* IN */
                            gaa_data policy_db, ... ); /* IN */
 gaa_error_code (*create)();
 gaa_error_code (*destroy)();
};
```

3.9. gaa_policy_entry_struct data structure

The gaa_policy_entrr_struct structure contains the following fields:

};

3.10. gaa_right_struct data structure

The gaa_right_struct structure contains the following fields: type An element of the type char*, which defines the type of the token. Allowed token types are pos_access_rights and neg_access_rights. authority An element of the type char*, which indicates the authority responsible for defining the value within the attribute type. value An element of the type char*, which indicates the value of the right. The name space for the value is defined by the "authority" field. conditions A pointer to an ordered list of objects of type gaa_condition_ptr. It contains a list of pointers to conditions associated with the right. typedef struct gaa_right_struct gaa_right, *gaa_right_ptr; struct gaa_right_struct { char* type; char* authority; char* value; gaa_STACK_ptr /* gaa_condition_ptr */ conditions; }; 3.11. gaa_condition_struct The gaa_condition_struct structure contains the following fields: type An element of the type char*, which defines the type of the condition. authority An element of the type char*, which indicates the authority responsible for defining the value within the attribute type. value An element of the type char*, which indicates the value of the security attribute. The name space for the value is defined by the "authority" field. status Flags, indicating if the condition was evaluated or not evaluated,

```
if evaluated marked as met, not met or further evaluation or
enforcement is required.
typedef struct gaa_condition_struct gaa_condition,
                                    *gaa_condition_ptr;
struct gaa_condition_struct {
  char*
          type;
  char*
           authority;
  char*
          value;
  uint32 status;
};
3.12. gaa_sec_attrb_struct data structure
The gaa_sec_attrb_struct structure contains the following fields:
type
An element of the type char*, which defines the type of the security
attribute.
authority
An element of the type char*, which indicates the authority
responsible for defining the value within the attribute type.
value
An element of the type char*, which indicates the value of the
security attribute. The name space for the value is defined by
the "authority" field.
struct gaa_sec_attrb_struct {
  char* type;
  char* authority;
  char* value;
};
```

3.13. GAA API Security Context data structures

The security context is a GAA API data structure, which is passed as an argument to the GAA API. It stores information relevant to access control.

3.13.1. gaa_sc_struct data structure

The gaa_sc_struct structure contains the following fields:

sc

a pointer to memory which holds the mechanism specific security context structure

identity_cred

A pointer to an ordered list of structures of the type gaa_identity_cred authr cred A pointer to an ordered list of structures of the type gaa_authr_cred group_membership A pointer to an ordered list of structures of the type gaa_identity_cred, which specifies that the grantee is a member of only listed groups group_non_membership A pointer to an ordered list of structures of the type gaa_identity_cred, which specifies that the grantee is NOT a member of the listed groups attributes A pointer to an ordered list of structures of the type gaa_attributes, which contains miscellaneous attributes attached to the grantee, e.g. age of the grantee, grantee's security clearance. unevl cred A pointer to an ordered list of structures of type gaa_uneval_cred. connection_state Contains a mechanism-specific representation of per-connection context, some of the data stored here include keyblocks, addresses. pull_cred This function is called when additional credentials are required. It obtains the necessary credentials and then cred_evaluate function is invoked. This process can be recursive. cred evaluate This specific function is invoked to parse the contents of the acquired credentials into the GAA API internal form and evaluate them. See <u>section 2</u> for explanation of the meaning of the fields method, gaa_sc_new, gaa_sc_set and gaa_sc_free. typedef struct gaa_sc_struct gaa_sc, *gaa_sc_ptr; struct gaa_sc_struct { /* attributes */ gaa_sc_method_ptr method; gaa_buffer_ptr sc; gaa_STACK_ptr /* gaa_identity_cred_ptr */ identity_cred; gaa_STACK_ptr /* gaa_authr_cred_ptr */ authr_cred; gaa_STACK_ptr /* gaa_identity_cred_ptr */ group_membership_cred; gaa_STACK_ptr /* gaa_identity_cred_ptr */ group_non_membership_cred; gaa_STACK_ptr /* gaa_attribute_ptr */ attributes;

};

3.13.2. gaa_sc_method_struct data structure

The gaa_sc_method_struct structure contains the following fields:

get_identity_cred

application specific function which translates mechanism specific credentials to the gaa internal structure. It returns an ordered list of objects of type gaa_identity_cred_ptr see <u>section 3.13.3</u>, can be NULL if not implemented.

get_authr_cred

application specific function which translates mechanism specific credentials to the gaa internal structure. It returns an ordered list of objects of type gaa_authr_cred_ptr see <u>section 3.13.4</u>,

get_group_membership_cred

application specific function which translates mechanism specific credentials to the gaa internal structure. It returns an ordered list of objects of type gaa_group_membership_cred_ptr see <u>section 3.13.5</u>, can be NULL if not implemented.

get_group_non_membership_cred

application specific function which translates mechanism specific credentials to the gaa internal structure. It returns an ordered list of objects of type gaa_group_non_membership_cred_ptr see <u>section 3.13.6</u>, can be NULL if not implemented.

get_attributes

application specific function which translates mechanism specific credentials to the gaa internal structure. It returns an ordered list of objects of type gaa_attribute_ptr see <u>section 3.13.7</u>, can be NULL if not implemented.

get_uneval_cred

application specific function which translates mechanism specific credentials to the gaa internal structure. It returns an ordered list of objects of type gaa_uneval_cred_ptr see <u>section 3.13.8</u>,

```
can be NULL if not implemented.
See <u>section 2</u> for explanation of the meaning of the fields type,
method, create and destroy.
typedef struct gaa_sc_method_struct gaa_sc_method,
                                     *gaa_sc_method_ptr;
struct gaa_sc_method_struct
{
  /* attributes */
  int
        type;
  char *name;
  /* methods */
    gaa_STACK_ptr /* gaa_identity_cred_ptr */
         (*get_identity_cred)(gaa_sc_ptr sc);
    gaa_STACK_ptr /* gaa_authr_cred_ptr
                                           */
         (*get_authr_cred)(gaa_sc_ptr sc);
    gaa_STACK_ptr /* gaa_identity_cred_ptr */
         (*get_group_membership_cred)(gaa_sc_ptr sc);
    gaa_STACK_ptr /* gaa_identity_cred_ptr */
         (*get_group_non_membership_cred)(gaa_sc_ptr sc);
    gaa_STACK_ptr /* gaa_attribute_ptr
                                            */
         (*get_attributes)(gaa_sc_ptr sc);
                                           */
    gaa_STACK_ptr /* gaa_uneval_cred_ptr
         (*get_unevl_cred)(gaa_sc_ptr sc);
    gaa_error_code (*create)();
    gaa_error_code (*destroy)();
};
```

<u>**3.13.3**</u>. gaa_identity_cred_struct data structure

A gaa_identity_cred_struct structure is composed of a set of identity credentials. Identity credentials describe a set of mechanism specific principals, and give their holder the ability to act as any of those principals. Each of the identity credentials contains information needed to authenticate a single principal.

The gaa_identity_cred_struct structure contains the following fields:

principal A pointer to a structure of the type gaa_sec_attrb_list conditions A pointer to an ordered list of objects of the type gaa_sec_attrb_ptr, which lists restrictions placed on the identity, e.g. validity time periods

<u>**3.13.4</u>**. gaa_authr_cred_struct data structure</u>

The gaa_authr_cred_struct structure contains the following fields: grantor Specifies a principal who issued the credential grantee Specifies a principal for whom the credential was issued objects A pointer to a linked list of structures of the type gaa_data, which contains a list of objects, which may be accessed by the grantee. Object names are from the application-specific name space. access_rights A pointer to a linked list of structures of the type gaa_right_ptr. Each structure indicates granted or denied access rights. conditions A pointer to an ordered list of objects of the type gaa_sec_attrb_ptr, which lists restrictions placed on the authorized credentials mech spec cred Contains a handle to the actual mechanism-specific authorized credential typedef struct gaa_authr_cred_struct gaa_authr_cred, *gaa_authr_cred_ptr; struct gaa_authr_cred_struct{ gaa_sec_attrb_ptr grantor; gaa_sec_attrb_ptr grantee; gaa_buffer objects; gaa_STACK_ptr /* gaa_right_ptr */ access_rights; gaa_buffer_ptr mech_spec_cred; };

3.13.5. gaa_attribute_struct data structure

The gaa_attribute_struct structure contains the following fields: mech type Security mechanism used to obtain the attribute type Type is used to define the type of attribute value Represents actual attribute contents conditions A pointer to an ordered list of objects of the type gaa_condition_ptr. It contains pointers to conditions placed on the attribute credentials. mech_spec_cred Contains a handle to the actual mechanism specific attribute credential typedef struct gaa_attribute_struct gaa_attribute, *gaa_attribute_ptr; struct gaa_attribute_struct { char* mech_type; char* type; char* value; gaa_STACK_ptr /* gaa_condition_ptr */ conditions; gaa_buffer_ptr mech_spec_cred; };

3.13.6. gaa_uneval_cred_struct data structure

Evaluation of the acquired credentials can be defferd till the credential is actually needed. Unevaluated credentials are stored in the gaa_uneval_cred_struct data structure.

The gaa_uneval_cred_struct structure contains the following fields:

cred_type
Specifies credential type: GAA_IDENTITY, GAA_GROUP_MEMB,
GAA_GROUP_NON_MEMB, GAA_AUTHORIZED, and GAA_ATTRIBUTES.

grantor Specifies a principal who issued the credential

grantee Specifies a principal for whom the credential was issued

```
mech_type
Specifies security mechanism used to obtain the credential
mech_spec_cred
Contains a handle to the actual mechanism-specific authorization
credential
cred verification
This pointer to the credential verification function for upcall is
added by the application or transport
typedef enum {
     GAA_IDENTITY
                          ,
     GAA_GROUP_MEMB
                          ,
     GAA_GROUP_NON_MEMB
     GAA_AUTHORIZED
     GAA_ATTRIBUTES
 } gaa_cred_type;
typedef struct gaa_uneval_cred_struct
                                        gaa_uneval_cred,
                                       *gaa_uneval_cred;
struct gaa_uneval_cred_struct {
   gaa_cred_type
                   cred_type;
   gaa_sec_attrb_ptr grantor;
   gaa_sec_attrb_ptr grantee;
  gaa_buffer_ptr
                   mech_spec_cred;
  void (*cred_verification )(gaa_ptr, va_list ap);
};
3.13.7. GAA API answer data structure
The gaa_check_authorization function returns various information to
the application for further evaluation in the gaa_answer data
```

structure.

The gaa_answer_struct structure contains the following fields:

<u>4</u>. Status codes

One or two status codes are returned by each GAA API routine. Two distinct sorts of status codes are returned. These are the GAA API status codes and mechanism specific status codes.

4.1. The GAA API status codes

GAA API routines return GAA API status codes as their gaa_error_code function value. These codes indicate errors that are independent of the underlying mechanisms. The errors that can be indicated via a GAA API status code are either generic API routine errors (errors that are defined in the GAA API specification) or calling errors (errors that are specific to these language bindings).

4.2. Mechanism specific status codes

GAA API routines return a minor_status parameter, which is used to indicate specialized errors from the underlying mechanisms or provide additional information about GAA API errors. The GAA status code GAA_FAILURE is used to indicate that the underlying mechanism detected an error for which no specific GAA status code is defined. The mechanism status code will provide more details about the error.

5. GAA API routine descriptions

This section lists the functions performed by each of the GAA API routines and discusses their major parameters, describing how they are to be passed to the routines.

5.1. gaa_get_object_policy_info routine

Purpose:

The gaa_get_object_policy_info function is called to obtain security policy information associated with the object.

Parameters:

minor_status mechanism specific status code object Reference to the object to be accessed. The identifier for the object is from an application specific name space and is opaque to the GAA API. authr db Pointer to an application specific authorization database policy_hadle A pointer to a handle bound to the sequence of security attributes which constitute the security policy associated with the targeted object An unbound handle has the value GAA_UNBOUND. Function value: GAA status code: GAA SUCCESS Successful completion GAA FAILURE Failure, see minor_status for more information gaa_error_code gaa_get_object_policy_info(uint32* minor_status, /* OUT */ /* IN */ gaa_data object, policy_db, /* IN */ gaa_data gaa_policy_ptr policy /* OUT */);

<u>5.2</u>. gaa_check_authorization routine

Purpose:

The gaa_check_authorization function tells the application whether the requested access rights are authorized, or if additional application specific checks are required.

Parameters:

minor_status Mechanism specific status code

policy_handle
A handle to the gaa_policy structure, returned by the
gaa_get_object_policy_info routine

gaa A handle to the gaa structure SC A handle to the principal's security context check_access_rights Ordered list of access rights for authorization. gaa_options This argument contains parameters for parameterized operation. detailed_answer Contains various information for further evaluation by the application Function value: GAA status code: GAA_FAILURE Failure, see minor_status for more information GAA_NO_CONTEXT No valid security context was supplied GAA_YES (indicates authorization) is returned if all requested operations are authorized. GAA NO (indicates denial of authorization) is returned if at least one operation is not authorized. GAA_MAYBE (indicates a need for additional checks) is returned if there are some unevaluated conditions and additional application specific checks are needed, or continuous evaluation is required. gaa_error_code gaa_check_authorization *minor_status, /* OUT */ (uint32 /* IN&OUT */ gaa_ptr gaa, /* IN&OUT */ sc, gaa_sc_ptr gaa_policy_ptr policy_handle, /* IN */ gaa_options, /* IN, OPTIONAL */ gaa_options_ptr gaa_STACK_ptr /* gaa_right_ptr */ check_access_rights /* IN */ *detailed_answer /* OUT gaa_answer_ptr */);

5.3. gaa_inquire_object_policy_info routine

Purpose:

```
The gaa_inquire_object_policy_info function allows application
to discover a particular user's rights on an object.
Parameters:
minor_status
Mechanism specific status code
gaa
A handle to the gaa structure
SC
A handle to the principal's security context
policy_handle
A handle to the gaa_policy structure, returned by the
gaa_get_object_policy_info routine
rights
A handle to the ordered list of objects of type gaa_right_ptr, which
contains list of rights that the principal is granted or denied.
Function value:
GAA status code:
GAA_SUCCESS
Successful completion
GAA FAILURE
Failure, see minor_status for more information
GAA_NO_CONTEXT
No valid security context was supplied
gaa_error_code
gaa_inquire_policy_info
                       *minor_status, /* OUT
       (uint32
                                                 */
                                      /* IN&OUT */
        gaa_ptr
                        gaa,
                                      /* IN&OUT */
        gaa_sc_ptr
                        sc,
        gaa_policy_ptr policy_handle, /* IN
                                                 */
                                                              */
gaa_STACK_ptr /* gaa_policy_entry_ptr */ *rights /* OUT
      );
```

5.4. gaa_allocate_buffer routine

Purpose: Allocate a gaa_buffer data structure and assign default values Parameters:

buffer Pointer to allocated memory for gaa_buffer structure

Function value:

GAA status code:

GAA_SUCCESS Successful completion

GAA_FAILURE Failure

gaa_error_code
gaa_allocate_buffer
 (gaa_buffer_ptr* buffer /* IN */);

5.5. gaa_release_buffer routine

Purpose:

Free storage associated with a buffer format name. The storage must have been allocated by a GAA API routine. In addition to freeing the associated storage, the routine will zero the length field in the buffer parameter.

Parameters:

minor_status Mechanism specific status code

buffer The storage associated with the buffer will be deleted. The gaa_buffer object will not be freed, but its length field will be zeroed.

Function value:

GAA status code:

GAA_SUCCESS Successful completion

GAA_FAILURE Failure, see minor_status for more information

GAA_NO_BUFFER No valid buffer was supplied

gaa_error_code

<u>5.6</u>. gaa_allocate_answer routine

Purpose: Allocate a gaa_answer data structure and assign default values

Parameters:

buffer Pointer to allocated memory for gaa_buffer structure

Function value:

GAA status code:

GAA_SUCCESS Successful completion

GAA_FAILURE Failure

gaa_error_code
gaa_allocate_answer
 (gaa_answer_ptr* buffer /* IN */);

5.7. gaa_release_answer

Free storage associated with a buffer

Parameters:

minor_status Mechanism specific status code

buffer The storage associated with the buffer will be deleted

Function value:

GAA status code:

GAA_SUCCESS Successful completion

GAA_FAILURE Failure, see minor_status for more information

GAA_NO_BUFFER No valid buffer was supplied

<u>6</u>. The GAA API constants

The following constants are used in GAA API calls and structures, this list is not complete:

#define GAA_NO_OPTIONS	((gaa_options_ptr)0)
#define GAA_NO_BUFFER	((gaa_buffer_ptr)0)
#define GAA_EMPTY_BUFFER	{0, NULL}
#define GAA_NO_DATA	((gaa_data) 0)
#define GAA_NO_SEC_CONTEXT	((gaa_sc_ptr)0)
#define GAA_NO_RIGHTS	((gaa_right_ptr) 0)
#define GAA_NO_ANSWER	((gaa_answer_ptr)0)

- #define GAA_YES 0 (indicates authorization) is returned if all requested operations are authorized.
- #define GAA_MAYBE -1 (indicates a need for additional checks) is
 returned if there are some unevaluated conditions
 and additional application specific checks are needed,
 or continuous evaluation is required.

7. The GAA API flags

Flags are 32 bits.

Condition flags:

#define COND_FLG_EVALUATED	0x01	condition	has	been evaluated
#define COND_FLG_MET	0x10	condition	has	been met
#define COND_FLG_ENFORCE	0x100	condition	has	to be enforced

8. References

- [1] Linn, J., "Generic Security Service Application Program Interface", <u>RFC 1508</u>, Geer Zolot Associate, September 1993.
- [2] Wray, "Generic Security Service Application Program Interface V2 - C bindings", Internet draft, May 1997.
- [3] T J Hudson, E A Young SSLeay <u>http://www.livjm.ac.uk/tools/ssleay/</u>

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