CoRE Working Group Internet-Draft

Intended status: Informational Expires: November 14, 2016

A. Castellani University of Padova S. Loreto Ericsson A. Rahman InterDigital Communications, LLC T. Fossati Alcatel-Lucent E. Dijk Philips Research May 13, 2016

Guidelines for HTTP-to-CoAP Mapping Implementations draft-ietf-core-http-mapping-10

Abstract

This document provides reference information for implementing a cross-protocol network proxy that performs translation from the HTTP protocol to the CoAP protocol. This will enable a HTTP client to access resources on a CoAP server through the proxy. This document describes how a HTTP request is mapped to a CoAP request, and then how a CoAP response is mapped back to a HTTP response. This includes guidelines for URI mapping, media type mapping and additional proxy implementation issues. This document covers the Reverse, Forward and Interception cross-protocol proxy cases.

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1. Introduction

CoAP [RFC7252] has been designed with the twofold aim to be an application protocol specialized for constrained environments and to be easily used in Representational State Transfer (REST) based architectures such as the Web. The latter goal has led to defining CoAP to easily interoperate with HTTP [RFC7230] through an intermediary proxy which performs cross-protocol conversion.

Section 10 of [RFC7252] describes the fundamentals of the CoAP-to-HTTP and the HTTP-to-CoAP cross-protocol mapping process. However, [RFC7252] focuses on the basic mapping of request methods and simple response code mapping between HTTP and CoAP, and it leaves many details of the cross-protocol proxy for future definition. Therefore, a primary goal of this informational document is to define a consistent set of guidelines that an HTTP-to-CoAP proxy implementation should adhere to. The key benefit to adhering to such guidelines is to reduce variation between proxy implementations, thereby increasing interoperability between an HTTP client and a CoAP server independent of the proxy that implements the cross-protocol mapping. (For example, a proxy conforming to these guidelines made by vendor A can be easily replaced by a proxy from vendor B that also conforms to the guidelines.)

This document is organized as follows:

- o <u>Section 2</u> defines proxy terminology;
- o Section 3 introduces the HTTP-to-CoAP proxy;
- o <u>Section 4</u> lists use cases in which HTTP clients need to contact CoAP servers;

- o <u>Section 5</u> introduces a null, default and advanced HTTP-to-CoAP URI mapping syntax;
- o <u>Section 6</u> describes how to map HTTP media types to CoAP content formats and vice versa;
- o <u>Section 7</u> describes how to map CoAP responses to HTTP responses;
- o <u>Section 8</u> describes additional mapping guidelines related to caching, congestion, timeouts, etc.;
- o <u>Section 10</u> discusses possible security impact of HTTP-to-CoAP protocol mapping.

2. Terminology

The keywords "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

HC Proxy: a proxy performing a cross-protocol mapping, in the context of this document an HTTP-to-CoAP (HC) mapping. Specifically, the HC proxy acts as an HTTP server and a CoAP client. The HC Proxy can take on the role of a Forward, Reverse or Interception Proxy.

Forward Proxy (or Forward HC Proxy): a message forwarding agent that is selected by the HTTP client, usually via local configuration rules, to receive requests for some type(s) of absolute URI and to attempt to satisfy those requests via translation to the protocol indicated by the absolute URI. The user decides (is willing) to use the proxy as the forwarding/de-referencing agent for a predefined subset of the URI space. In [RFC7230] this is called a Proxy.

[RFC7252] defines Forward-Proxy similarly.

Reverse Proxy (or Reverse HC Proxy): as in [RFC7230], a receiving agent that acts as a layer above some other server(s) and translates the received requests to the underlying server's protocol. A Reverse HC Proxy behaves as an origin (HTTP) server on its connection from the HTTP client. The HTTP client uses the "origin-form" (Section 5.3.1 of [RFC7230]) as a request-target URI.

Interception Proxy (or Interception HC Proxy) [RFC3040]: a proxy that receives inbound HTTP traffic flows through the process of traffic redirection; transparent to the HTTP client.

Note that a Reverse Proxy appears to an HTTP client as an origin server while a Forward Proxy does not. So, when communicating with a

Reverse Proxy a client may be unaware it is communicating with a proxy at all.

3. HTTP-to-CoAP Proxy

A HC proxy is accessed by an HTTP client which wants to access a resource on a CoAP server. The HC proxy handles the HTTP request by mapping it to the equivalent CoAP request, which is then forwarded to the appropriate CoAP server. The received CoAP response is then mapped to an appropriate HTTP response and finally sent back to the originating HTTP client.

See Figure 1 for an example deployment scenario. Here a HC proxy is located at the boundary of the Constrained Network domain, to avoid sending any HTTP traffic into the Constrained Network and to avoid any (unsecured) CoAP multicast traffic outside the Constrained Network. A DNS server (not shown) is used by the HTTP Client to resolve the IP address of the HC proxy and optionally also used by the HC proxy to resolve IP addresses of CoAP servers.

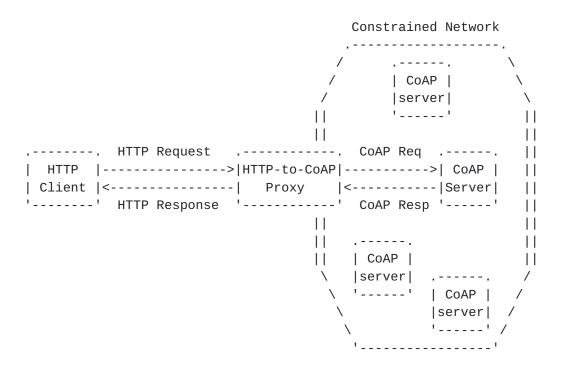


Figure 1: HTTP-To-CoAP Proxy Deployment Scenario

Normative requirements on the translation of HTTP requests to CoAP requests and of the CoAP responses back to HTTP responses are defined in <u>Section 10.2 of [RFC7252]</u>. However, [<u>RFC7252</u>] focuses on the basic mapping of request methods and simple response code mapping

between HTTP and CoAP, and leaves many details of the cross-protocol HC proxy for future definition. This document provides additional guidelines and more details for the implementation of a HC Proxy, which should be followed in addition to the normative requirements. Note that the guidelines apply to all forms of an HC proxy (i.e. Reverse, Forward, Intercepting) unless explicitly otherwise noted.

4. Use Cases

To illustrate the situations HTTP to CoAP protocol translation may be used, three use cases are described below.

- 1. Legacy building control application without CoAP: A building control application that uses HTTP but not CoAP can check the status of CoAP sensors and/or control actuators via a HC proxy.
- 2. Making sensor data available to 3rd parties on the Web: For demonstration or public interest purposes, a HC proxy may be configured to expose the contents of a CoAP sensor to the world via the web (HTTP and/or HTTPS). Some sensors may only accept secure 'coaps' requests, therefore the proxy is configured to translate request to those devices accordingly. The HC proxy is furthermore configured to only pass through GET requests in order to protect the constrained network.
- 3. Smartphone and home sensor: A smartphone can access directly a CoAP home sensor using a mutually authenticated 'https' request, provided its home router runs a HC proxy and is configured with the appropriate certificate. An HTML5 application on the smartphone can provide a friendly UI using the standard (HTTP) networking functions of HTML5.

A key point in the above use cases is the expected nature of the URI to be used by the HTTP client initiating the HTTP request to the HC proxy. Specifically, in use case #1, there will be no "coap" or "coaps" related information embedded in the HTTP URI as it is a legacy HTTP client sending the request. Use case #2 is also expected to be similar. In contrast, in use case #3, it is expected that the HTTP client will specifically embed "coap" or "coaps" related information in the HTTP URI of the HTTP request to the HC proxy.

URI Mapping

Though, in principle, a CoAP URI could be directly used by a HTTP client to de-reference a CoAP resource through a HC proxy, the reality is that all major web browsers, networking libraries and command line tools do not allow making HTTP requests using URIs with a scheme "coap" or "coaps".

Thus, there is a need for web applications to embed or "pack" a CoAP URI into a HTTP URI so that it can be (non-destructively) transported from the HTTP client to the HC proxy. The HC proxy can then "unpack" the CoAP URI and finally de-reference it via a CoAP request to the target Server.

URI Mapping is the term used in the document to describe the process through which the URI of a CoAP resource is transformed into an HTTP URI so that:

- o the requesting HTTP client can handle it;
- o the receiving HC proxy can extract the intended CoAP URI unambiguously.

To this end, the remainder of this section will identify:

- o the default mechanism to map a CoAP URI into a HTTP URI;
- o the URI template format to express a class of CoAP-HTTP URI mapping functions;
- o the discovery mechanism based on CoRE Link Format [RFC6690] through which clients of a HC proxy can dynamically discover information about the supported URI Mapping Template(s), as well as the URI where the HC proxy function is anchored.

5.1. URI Terminology

In the remainder of this section, the following terms will be used with a distinctive meaning:

HC Proxy URI:

URI which refers to the HC proxy function. It conforms to syntax defined in $\underline{\text{Section 2.7 of [RFC7230]}}$.

Target CoAP URI:

URI which refers to the (final) CoAP resource that has to be de-referenced. It conforms to syntax defined in <u>Section 6 of [RFC7252]</u>. Specifically, its scheme is either "coap" or "coaps".

Hosting HTTP URI:

URI that conforms to syntax in <u>Section 2.7 of [RFC7230]</u>. Its authority component refers to a HC proxy, whereas path (and query) component(s) embed the information used by a HC proxy to extract the Target CoAP URI.

5.2. Null Mapping

The null mapping is the case where there is no Target CoAP URI appended to the HC Proxy URI. In other words, it is a "pure" HTTP URI that is sent to the HC Proxy. This would typically occur in situations like Use Case #1 described in Section 4, and the Proxy would typically be a Reverse Proxy. In this scenario, the HC Proxy will determine through its own proprietary algorithms what the Target CoAP URI should be.

5.3. Default Mapping

The default mapping is for the Target CoAP URI to be appended as-is to the HC Proxy URI, to form the Hosting HTTP URI. This is the URI that will then be sent by the HTTP client in the HTTP request to the HC proxy.

For example: given a HC Proxy URI http://p.example.com/hc and a Target CoAP URI coap://s.example.com/light, the resulting Hosting HTTP URI would be http://p.example.com/hc/coap://s.example.com/light.

Provided a correct Target CoAP URI, the Hosting HTTP URI resulting from the default mapping is always syntactically correct. Furthermore, the Target CoAP URI can always be extracted unambiguously from the Hosting HTTP URI. Also, it is worth noting that, using the default mapping, a query component in the target CoAP resource URI is naturally encoded into the query component of the Hosting URI, e.g.: coap://s.example.com/light?dim=5 becomes http://p.example.com/hc/coap://s.example.com/light?dim=5.

There is no default for the HC Proxy URI. Therefore, it is either known in advance, e.g. as a configuration preset, or dynamically discovered using the mechanism described in Section 5.5.

The default URI mapping function SHOULD be implemented and activated by default in a HC proxy, unless there are valid reasons, e.g. application specific, to use a different mapping function.

5.3.1. Optional Scheme Omission

When found in a Hosting HTTP URI, the scheme (i.e., "coap" or "coaps"), the scheme component delimiter (":"), and the double slash ("//") preceding the authority MAY be omitted. In such case, a local default - not defined by this document - applies.

So, http://p.example.com/hc/s.coap.example.com/foo could either represent the target coap://s.coap.example.com/foo or

coaps://s.coap.example.com/foo depending on application specific
presets.

5.3.2. Encoding Caveats

When the authority of the Target CoAP URI is given as an IPv6address, then the surrounding square brackets must be percent-encoded in the Hosting HTTP URI, in order to comply with the syntax defined in Section 3.3. of [RFC3986] for a URI path segment. E.g.:

```
coap://[2001:db8::1]/light?on becomes
http://p.example.com/hc/coap://%5B2001:db8::1%5D/light?on.
```

Everything else can be safely copied verbatim from the Target CoAP URI to the Hosting HTTP URI.

5.4. URI Mapping Template

This section defines a format for the URI template [RFC6570] used by a HC proxy to inform its clients about the expected syntax for the Hosting HTTP URI. This will then be used by the HTTP client to construct the URI to be sent in the HTTP request to the HC proxy.

When instantiated, an URI Mapping Template is always concatenated to a HC Proxy URI provided by the HC proxy via discovery (see Section 5.5), or by other means.

A simple form ($\underline{\text{Section 5.4.1}}$) and an enhanced form ($\underline{\text{Section 5.4.2}}$) are provided to fit different users' requirements.

Both forms are expressed as level 2 URI templates [RFC6570] to take care of the expansion of values that are allowed to include reserved URI characters. The syntax of all URI formats is specified in this section in Augmented Backus-Naur Form (ABNF) [RFC5234].

5.4.1. Simple Form

The simple form MUST be used for mappings where the Target CoAP URI is going to be copied (using rules of $\underline{\text{Section 5.3.2}}$) at some fixed position into the Hosting HTTP URI.

The following template variables MUST be used in mutual exclusion in a template definition:

```
cu = coap-URI ; from [RFC7252], Section 6.1
su = coaps-URI ; from [RFC7252], Section 6.2
tu = cu / su
```

The same considerations as in <u>Section 5.3.1</u> apply, in that the CoAP scheme may be omitted from the Hosting HTTP URI.

5.4.1.1. Examples

All the following examples (given as a specific URI mapping template, a Target CoAP URI, and the produced Hosting HTTP URI) use http://p.example.com/hc as the HC Proxy URI. Note that these examples all define mapping templates that deviate from the default template of Section 5.3 to be able to illustrate the use of the above template variables.

1. "coap" URI is a query argument of the Hosting HTTP URI:

```
?coap_target_uri={+cu}
```

coap://s.example.com/light

http://p.example.com/hc?coap_target_uri=coap://s.example.com/light

2. "coaps" URI is a query argument of the Hosting HTTP URI:

```
?coaps_target_uri={+su}
```

coaps://s.example.com/light

http://p.example.com/hc?coaps_target_uri=coaps://s.example.com/light

3. Target CoAP URI as a query argument of the Hosting HTTP URI:

```
?target_uri={+tu}
```

coap://s.example.com/light

http://p.example.com/hc?target_uri=coap://s.example.com/light

or

coaps://s.example.com/light

http://p.example.com/hc?target_uri=coaps://s.example.com/light

4. Target CoAP URI in the path component of the Hosting HTTP URI (i.e., the default URI Mapping template):

```
/{+tu}
coap://s.example.com/light
http://p.example.com/hc/coap://s.example.com/light
or
coaps://s.example.com/light
http://p.example.com/hc/coaps://s.example.com/light

5. "coap" URI is a query argument of the Hosting HTTP URI; client decides to omit scheme because a default scheme is agreed beforehand between client and proxy:

?coap_uri={+cu}
coap://s.example.com/light
http://p.example.com/hc?coap_uri=s.example.com/light
```

5.4.2. Enhanced Form

The enhanced form can be used to express more sophisticated mappings, i.e., those that do not fit into the simple form.

There MUST be at most one instance of each of the following template variables in a template definition:

```
s = "coap" / "coaps"; from [RFC7252], Sections 6.1 and 6.2 hp = host [":" port]; from [RFC3986] Sections 3.2.2 and 3.2.3 p = path-abempty; from [RFC3986] Section 3.3 q = query; from [RFC3986] Section 3.4 qq = ["?" query]; qq is empty iff 'query' is empty
```

5.4.2.1. Examples

All the following examples (given as a specific URI mapping template, a Target CoAP URI, and the produced Hosting HTTP URI) use http://p.example.com/hc as the HC Proxy URI.

1. Target CoAP URI components in path segments, and optional query in query component:

```
{+s}{+hp}{+p}{+qq}
coap://s.example.com/light
http://p.example.com/hc/coap/s.example.com/light
or
coap://s.example.com/light?on
http://p.example.com/hc/coap/s.example.com/light?on
```

2. Target CoAP URI components split in individual query arguments:

```
?s={+s}&hp={+hp}&p={+p}&q={+q}
coap://s.example.com/light
http://p.example.com/hc?s=coap&hp=s.example.com&p=/light&q=
or
coaps://s.example.com/light?on
http://p.example.com/hc?s=coaps&hp=s.example.com&p=/light&q=on
```

5.5. Discovery

In order to accommodate site specific needs while allowing third parties to discover the proxy function, the HC proxy SHOULD publish information related to the location and syntax of the HC proxy function using the CoRE Link Format [RFC6690] interface.

To this aim a new Resource Type, "core.hc", is defined in this document. It can be used as the value for the "rt" attribute in a query to the /.well-known/core in order to locate the URI where the HC proxy function is anchored, i.e. the HC Proxy URI.

Along with it, the new target attribute "hct" is defined in this document. This attribute MAY be returned in a "core.hc" link to provide the URI Mapping Template associated to the mapping resource.

The default template given in <u>Section 5.3</u>, i.e., {+tu}, MUST be assumed if no "hct" attribute is found in the returned link. If a "hct" attribute is present in the returned link, then a client MUST use it to create the Hosting HTTP URI.

The URI mapping SHOULD be discoverable (as specified in [RFC6690]) on both the HTTP and the CoAP side of the HC proxy, with one important difference: on the CoAP side the link associated to the "core.hc" resource needs an explicit anchor referring to the HTTP origin, while on the HTTP interface the link context is already the HTTP origin carried in the request's Host header, and doesn't have to be made explicit.

5.5.1. Examples

o The first example exercises the CoAP interface, and assumes that the default template, {+tu}, is used. For example, in use case #3 in section Section 4, the smartphone may discover the public HC proxy before leaving the home network. Then when outside the home network, the smartphone will be able to query the appropriate home sensor.

o The second example - also on the CoAP side of the HC proxy - uses a custom template, i.e., one where the CoAP URI is carried inside the query component, thus the returned link carries the URI template to be used in an explicit "hct" attribute:

On the HTTP side, link information can be serialized in more than one way:

o using the 'application/link-format' content type:

Req: GET /.well-known/core?rt=core.hc HTTP/1.1

Host: p.example.com

Res: HTTP/1.1 200 OK

Content-Type: application/link-format

Content-Length: 18

</hc>;rt="core.hc"

o using the 'application/link-format+json' content type as defined in [I-D.ietf-core-links-json]:

Req: GET /.well-known/core?rt=core.hc HTTP/1.1

Host: p.example.com

Res: HTTP/1.1 200 OK

Content-Type: application/link-format+json

Content-Length: 31

[{"href":"/hc", "rt":"core.hc"}]

o using the Link header:

Req: GET /.well-known/core?rt=core.hc HTTP/1.1

Host: p.example.com

Res: HTTP/1.1 200 OK

Link: </hc>;rt="core.hc"

o A HC proxy may expose two different HC Proxy URIs to differentiate between Target CoAP resources in the "coap" and "coaps" scheme:

6. Media Type Mapping

6.1. Overview

A HC proxy needs to translate HTTP media types (<u>Section 3.1.1.1 of [RFC7231]</u>) and content encodings (<u>Section 3.1.2.2 of [RFC7231]</u>) into CoAP content formats (<u>Section 12.3 of [RFC7252]</u>) and vice versa.

Media type translation can happen in GET, PUT or POST requests going from HTTP to CoAP, and in 2.xx (i.e., successful) responses going from CoAP to HTTP. Specifically, PUT and POST need to map both the Content-Type and Content-Encoding HTTP headers into a single CoAP Content-Format option, whereas GET needs to map Accept and Accept-Encoding HTTP headers into a single CoAP Accept option. To generate the HTTP response, the CoAP Content-Format option is mapped back to a suitable HTTP Content-Type and Content-Encoding combination.

An HTTP request carrying a Content-Type and Content-Encoding combination which the HC proxy is unable to map to an equivalent CoAP Content-Format, SHALL elicit a 415 (Unsupported Media Type) response by the HC proxy.

On the content negotiation side, failure to map Accept and Accept-* headers SHOULD be silently ignored: the HC proxy SHOULD therefore forward as a CoAP request with no Accept option. The HC proxy thus disregards the Accept/Accept-* header fields by treating the response as if it is not subject to content negotiation, as mentioned in Sections 5.3.* of [RFC7231]. However, a HC proxy implementation is free to attempt mapping a single Accept header in a GET request to multiple CoAP GET requests, each with a single Accept option, which are then tried in sequence until one succeeds. Note that an HTTP Accept */* MUST be mapped to a CoAP request without Accept option.

While the CoAP to HTTP direction has always a well defined mapping (with the exception examined in <u>Section 6.2</u>), the HTTP to CoAP

direction is more problematic because the source set, i.e., potentially 1000+ IANA registered media types, is much bigger than the destination set, i.e., the mere 6 values initially defined in Section 12.3 of [RFC7252].

Depending on the tight/loose coupling with the application(s) for which it proxies, the HC proxy could implement different media type mappings.

When tightly coupled, the HC proxy knows exactly which content formats are supported by the applications, and can be strict when enforcing its forwarding policies in general, and the media type mapping in particular.

On the other side, when the HC proxy is a general purpose application layer gateway, being too strict could significantly reduce the amount of traffic that it'd be able to successfully forward. In this case, the "loose" media type mapping detailed in Section 6.3 MAY be implemented.

The latter grants more evolution of the surrounding ecosystem, at the cost of allowing more attack surface. In fact, as a result of such strategy, payloads would be forwarded more liberally across the unconstrained/constrained network boundary of the communication path. Therefore, when applied, other forms of access control must be set in place to avoid unauthorized users to deplete or abuse systems and network resources.

6.2. 'application/coap-payload' Media Type

If the HC proxy receives a CoAP response with a Content-Format that it does not recognize (e.g. because the value has been registered after the proxy has been deployed, or the CoAP server uses an experimental value which is not registered), then the HC proxy SHALL return a generic "application/coap-payload" media type with numeric parameter "cf" as defined in <u>Section 9.2</u>.

For example, the CoAP content format '60' ("application/cbor") would be represented by "application/coap-payload;cf=60", if the HC Proxy doesn't recognize the content format '60'.

A HTTP client may use the media type "application/coap-payload" as a means to send a specific content format to a CoAP server via a HC Proxy if the client has determined that the HC Proxy does not directly support the type mapping it needs. This case may happen when dealing for example with newly registered, yet to be registered, or experimental CoAP content formats.

6.3. Loose Media Type Mapping

By structuring the type information in a super-class (e.g. "text") followed by a finer grained sub-class (e.g. "html"), and optional parameters (e.g. "charset=utf-8"), Internet media types provide a rich and scalable framework for encoding the type of any given entity.

This approach is not applicable to CoAP, where Content Formats conflate an Internet media type (potentially with specific parameters) and a content encoding into one small integer value.

To remedy this loss of flexibility, we introduce the concept of a "loose" media type mapping, where media types that are specializations of a more generic media type can be aliased to their super-class and then mapped (if possible) to one of the CoAP content formats. For example, "application/soap+xml" can be aliased to "application/xml", which has a known conversion to CoAP. In the context of this "loose" media type mapping, "application/octet-stream" can be used as a fallback when no better alias is found for a specific media type.

Table 1 defines the default lookup table for the "loose" media type mapping. Given an input media type, the table returns its best generalized media type using the most specific match i.e. the table entries are compared to the input in top to bottom order until an entry matches.

++	+
	Generalized media type
application/*+xml application/*+json text/xml text/* */*	application/xml

Table 1: Media type generalization lookup table

The "loose" media type mapping is an OPTIONAL feature.

Implementations supporting this kind of mapping should provide a flexible way to define the set of media type generalizations allowed.

6.4. Media Type to Content Format Mapping Algorithm

This section defines the algorithm used to map an HTTP Internet media type to its correspondent CoAP content format.

The algorithm uses the mapping table defined in <u>Section 12.3 of [RFC7252]</u> plus, possibly, any locally defined extension of it.

Optionally, the table and lookup mechanism described in <u>Section 6.3</u> can be used if the implementation chooses so.

Note that the algorithm may have side effects on the associated representation (see also Section 6.5).

In the following:

- o C-T, C-E, and C-F stand for the values of the Content-Type (or Accept) HTTP header, Content-Encoding (or Accept-Encoding) HTTP header, and Content-Format CoAP option respectively.
- o If C-E is not given it is assumed to be "identity".
- o MAP is the mandatory lookup table, GMAP is the optional generalized table.

```
INPUT: C-T and C-E
OUTPUT: C-F or Fail
1. if no C-T: return Fail
2. C-F = MAP[C-T, C-E]
3. if C-F is not None: return C-F
4. if C-E is not "identity":
     if C-E is supported (e.g. gzip):
       decode the representation accordingly
6.
7.
       set C-E to "identity"
8.
     else:
9.
       return Fail
10. repeat steps 2. and 3.
11. if C-T allows a non-lossy transformation into \
12.
      one of the supported C-F:
13.
        transcode the representation accordingly
        return C-F
14.
15. if GMAP is defined:
16. C-F = GMAP[C-T]
     if C-F is not None: return C-F
18. return Fail
```

Figure 2

<u>6.5</u>. Content Transcoding

6.5.1. General

Payload content transcoding (e.g. see steps 11-14 of Figure 2) is an OPTIONAL feature. Implementations supporting this feature should provide a flexible way to define the set of transcodings allowed.

As noted in <u>Section 6.4</u>, the process of mapping the media type can have side effects on the forwarded entity body. This may be caused by the removal or addition of a specific content encoding, or because the HC proxy decides to transcode the representation to a different (compatible) format. The latter proves useful when an optimized version of a specific format exists. For example an XML-encoded resource could be transcoded to Efficient XML Interchange (EXI) format, or a JSON-encoded resource into CBOR [RFC7049], effectively achieving compression without losing any information.

However, it should be noted that in certain cases, transcoding can lose information in a non-obvious manner. For example, encoding an XML document using schema-informed EXI encoding leads to a loss of information when the destination does not know the exact schema version used by the encoder, which means that whenever the HC proxy transcodes an application/XML to application/EXI in-band metadata could be lost. Therefore, the implementer should always carefully verify such lossy payload transformations before triggering the transcoding.

6.5.2. CoRE Link Format

The CoRE Link Format [RFC6690] is a set of links (i.e., URIs and their formal relationships) which is carried as content payload in a CoAP response. These links usually include CoAP URIs that might be translated by the HC proxy to the correspondent HTTP URIs using the implemented URI mapping function (see Section 5). Such a process would inspect the forwarded traffic and attempt to re-write the body of resources with an application/link-format media type, mapping the embedded CoAP URIs to their HTTP counterparts. Some potential issues with this approach are:

- 1. The client may be interested to retrieve original (unaltered) CoAP payloads through the HC proxy, not modified versions.
- Tampering with payloads is incompatible with resources that are integrity protected (although this is a problem with transcoding in general).

3. The HC proxy needs to fully understand [RFC6690] syntax and semantics, otherwise there is an inherent risk to corrupt the payloads.

Therefore, CoRE Link Format payload should only be transcoded at the risk and discretion of the proxy implementer.

6.5.3. Diagnostic Messages

CoAP responses may, in certain error cases, contain a diagnostic message in the payload explaining the error situation, as described in <u>Section 5.5.2 of [RFC7252]</u>. If present, the CoAP response diagnostic payload SHOULD be copied in the HTTP response body. The CoAP diagnostic message MUST NOT be copied into the HTTP reasonphrase, since it potentially contains CR-LF characters which are incompatible with HTTP reason-phrase syntax.

7. Response Code Mapping

Table 2 defines the HTTP response status codes to which each CoAP response code SHOULD be mapped. Multiple appearances of a HTTP status code in the second column indicates multiple equivalent HTTP responses are possible based on the same CoAP response code, depending on the conditions cited in the Notes (third column and text below table).

+	+	++
CoAP Response Code	HTTP Status Code	Notes
+	.+	++
2.01 Created	201 Created	1
2.02 Deleted	200 OK	2
1	204 No Content	2
2.03 Valid	304 Not Modified	3
	200 OK	4
2.04 Changed	200 OK	2
	204 No Content	2
2.05 Content	200 OK	
4.00 Bad Request	400 Bad Request	
4.01 Unauthorized	403 Forbidden	5
4.02 Bad Option	400 Bad Request	6
4.02 Bad Option	500 Internal Server Error	6
4.03 Forbidden	403 Forbidden	
4.04 Not Found	404 Not Found	I I
4.05 Method Not Allowed	400 Bad Request	7
4.06 Not Acceptable	406 Not Acceptable	I I
4.12 Precondition Failed	412 Precondition Failed	I I
4.13 Request Ent. Too Large	413 Request Repr. Too Large	l I
4.15 Unsupported Media Type	415 Unsupported Media Type	
5.00 Internal Server Error	500 Internal Server Error	I I
5.01 Not Implemented	501 Not Implemented	I I
5.02 Bad Gateway	502 Bad Gateway	ĺ
5.03 Service Unavailable	503 Service Unavailable	8
5.04 Gateway Timeout	504 Gateway Timeout	i i
5.05 Proxying Not Supported	502 Bad Gateway	9
+	.+	++

Table 2: CoAP-HTTP Response Code Mappings

Notes:

- A CoAP server may return an arbitrary format payload along with this response. If present, this payload MUST be returned as entity in the HTTP 201 response. <u>Section 7.3.2 of [RFC7231]</u> does not put any requirement on the format of the entity. (In the past, [RFC2616] did.)
- 2. The HTTP code is 200 or 204 respectively for the case that a CoAP server returns a payload or not. [RFC7231] Section 5.3 requires code 200 in case a representation of the action result is returned for DELETE/POST/PUT, and code 204 if not. Hence, a proxy MUST transfer any CoAP payload contained in a CoAP 2.02 response to the HTTP client using a 200 OK response.

- 3. HTTP code 304 (Not Modified) is sent if the HTTP client performed a conditional HTTP request and the CoAP server responded with 2.03 (Valid) to the corresponding CoAP validation request. Note that Section 4.1 of [RFC7232] puts some requirements on header fields that must be present in the HTTP 304 response.
- 4. A 200 response to a CoAP 2.03 occurs only when the HC proxy, for efficiency reasons, is running a local cache. An unconditional HTTP GET which produces a cache-hit, could trigger a revalidation (i.e. a conditional GET) on the CoAP side. The proxy receiving 2.03 updates the freshness of its cached representation and returns it to the HTTP client.
- 5. A HTTP 401 Unauthorized (<u>Section 3.1 of [RFC7235]</u>) response is not applicable because there is no equivalent in CoAP of WWW-Authenticate which is mandatory in a HTTP 401 response.
- 6. If the proxy has a way to determine that the Bad Option is due to the straightforward mapping of a client request header into a CoAP option, then returning HTTP 400 (Bad Request) is appropriate. In all other cases, the proxy MUST return HTTP 500 (Internal Server Error) stating its inability to provide a suitable translation to the client's request.
- 7. A COAP 4.05 (Method Not Allowed) response SHOULD normally be mapped to a HTTP 400 (Bad Request) code, because the HTTP 405 response would require specifying the supported methods which are generally unknown. In this case the HC Proxy SHOULD also return a HTTP reason-phrase in the HTTP status line that starts with the string "CoAP server returned 4.05" in order to facilitate troubleshooting. However, if the HC proxy has more granular information about the supported methods for the requested resource (e.g. via a Resource Directory ([I-D.ietf-core-resource-directory])) then it MAY send back a HTTP 405 (Method Not Allowed) with a properly filled in "Allow" response-header field (Section 7.4.1 of [RFC7231]).
- 8. The value of the HTTP "Retry-After" response-header field is taken from the value of the CoAP Max-Age Option, if present.
- 9. This CoAP response can only happen if the proxy itself is configured to use a CoAP forward-proxy (<u>Section 5.7 of [RFC7252]</u>) to execute some, or all, of its CoAP requests.

8. Additional Mapping Guidelines

8.1. Caching and Congestion Control

A HC proxy should cache CoAP responses, and reply whenever applicable with a cached representation of the requested resource.

If the HTTP client drops the connection after the HTTP request was made, a HC proxy should wait for the associated CoAP response and cache it if possible. Subsequent requests to the HC proxy for the same resource can use the result present in cache, or, if a response has still to come, the HTTP requests will wait on the open CoAP request.

According to [RFC7252], a proxy must limit the number of outstanding requests to a given CoAP server to NSTART. To limit the amount of aggregate traffic to a constrained network, the HC proxy should also put a limit on the number of concurrent CoAP requests pending on the same constrained network; further incoming requests may either be queued or dropped (returning 503 Service Unavailable). This limit and the proxy queueing/dropping behavior should be configurable.

Highly volatile resources that are being frequently requested may be observed [RFC7641] by the HC proxy to keep their cached representation fresh while minimizing the amount of CoAP traffic in the constrained network. See Section 8.2.

8.2. Cache Refresh via Observe

There are cases where using the CoAP observe protocol [RFC7641] to handle proxy cache refresh is preferable to the validation mechanism based on ETag as defined in [RFC7252]. Such scenarios include, but are not limited to, sleepy CoAP nodes -- with possibly high variance in requests' distribution -- which would greatly benefit from a server driven cache update mechanism. Ideal candidates for CoAP observe are also crowded or very low throughput networks, where reduction of the total number of exchanged messages is an important requirement.

This subsection aims at providing a practical evaluation method to decide whether refreshing a cached resource R is more efficiently handled via ETag validation or by establishing an observation on R.

Let T_R be the mean time between two client requests to resource R, let T_C be the mean time between two representation changes of R, and let M_R be the mean number of CoAP messages per second exchanged to and from resource R. If we assume that the initial cost for establishing the observation is negligible, an observation on R

reduces M_R iff $T_R < 2*T_C$ with respect to using ETag validation, that is iff the mean arrival rate of requests for resource R is greater than half the change rate of R.

When observing the resource R, M_R is always upper bounded by 2/T_C.

8.3. Use of CoAP Blockwise Transfer

A HC proxy SHOULD support CoAP blockwise transfers [I-D.ietf-core-block] to allow transport of large CoAP payloads while avoiding excessive link-layer fragmentation in constrained networks, and to cope with small datagram buffers in CoAP end-points as described in [RFC7252] Section 4.6.

A HC proxy SHOULD attempt to retry a payload-carrying CoAP PUT or POST request with blockwise transfer if the destination CoAP server responded with 4.13 (Request Entity Too Large) to the original request. A HC proxy SHOULD attempt to use blockwise transfer when sending a CoAP PUT or POST request message that is larger than BLOCKWISE_THRESHOLD bytes. The value of BLOCKWISE_THRESHOLD is implementation-specific, for example it can be:

- o calculated based on a known or typical UDP datagram buffer size for CoAP end-points, or
- o set to N times the known size of a link-layer frame in a constrained network where e.g. N=5, or
- o preset to a known IP MTU value, or
- o set to a known Path MTU value.

The value BLOCKWISE_THRESHOLD, or the parameters from which it is calculated, should be configurable in a proxy implementation. The maximum block size the proxy will attempt to use in CoAP requests should also be configurable.

The HC proxy SHOULD detect CoAP end-points not supporting blockwise transfers. This can be done by checking for a 4.02 (Bad Option) response returned by an end-point in response to a CoAP request with a Block* Option, and subsequent absence of the 4.02 in response to the same request without Block* Options. This allows the HC proxy to be more efficient, not attempting repeated blockwise transfers to CoAP servers that do not support it.

8.4. CoAP Multicast

A HC proxy MAY support CoAP multicast. If it does, the HC proxy sends out a multicast CoAP request if the Target CoAP URI's authority is a multicast IP literal or resolves to a multicast IP address. If the HC proxy does not support CoAP multicast, it SHOULD respond 403 (Forbidden) to any valid HTTP request that maps to a CoAP multicast request.

Details related to supporting CoAP multicast are currently out of scope of this document since in a proxy scenario a HTTP client typically expects to receive a single response, not multiple. However, a HC proxy that implements CoAP multicast may include application-specific functions to aggregate multiple CoAP responses into a single HTTP response. We suggest using the "application/http" internet media type (Section 8.3.2 of [RFC7230]) to enclose a set of one or more HTTP response messages, each representing the mapping of one CoAP response.

For further considerations related to the handling of multicast requests, see <u>Section 10.1</u>.

8.5. Timeouts

If the CoAP server takes a long time in responding, the HTTP client or any other proxy in between may timeout. Further discussion of timeouts in HTTP is available in Section 6.2.4 of <a href="[RFC7230].

A HC proxy MUST define an internal timeout for each pending CoAP request, because the CoAP server may silently die before completing the request. Assuming the Proxy uses confirmable CoAP requests, such timeout value T SHOULD be at least

T = MAX_RTT + MAX_SERVER_RESPONSE_DELAY

where MAX_RTT is defined in [RFC7252] and MAX_SERVER_RESPONSE_DELAY is defined in [RFC7390].

9. IANA Considerations

9.1. New 'core.hc' Resource Type

This document registers a new Resource Type (rt=) Link Target Attribute, 'core.hc', in the "Resource Type (rt=) Link Target Attribute Values" subregistry under the "Constrained RESTful Environments (CORE) Parameters" registry.

Attribute Value: core.hc

Description: HTTP to CoAP mapping base resource.

Reference: See <u>Section 5.5</u>.

9.2. New 'coap-payload' Internet Media Type

This document defines the "application/coap-payload" media type with a single parameter "cf". This media type represents any payload that a CoAP message can carry, having a content format that can be identified by a CoAP Content-Format parameter (an integer in range 0-65535). The parameter "cf" is the integer defining the CoAP content format.

Type name: application

Subtype name: coap-payload

Required parameters:

cf - CoAP Content-Format integer in range 0-65535 denoting the content format of the CoAP payload carried.

Optional parameters: None

Encoding considerations:

The specific CoAP content format encoding considerations for the selected Content-Format (cf parameter) apply.

Security considerations:

The specific CoAP content format security considerations for the selected Content-Format (cf parameter) apply.

Interoperability considerations:

Published specification: (this I-D - TBD)

Applications that use this media type:

HTTP-to-CoAP Proxies.

Fragment identifier considerations: N/A

Additional information:

Deprecated alias names for this type: N/A

Magic number(s): N/A

File extension(s): N/A

Macintosh file type code(s): N/A

Person and email address to contact for further information:

Esko Dijk ("esko@ieee.org")

Intended usage: COMMON

Restrictions on usage:

An application (or user) can only use this media type if it has to represent a CoAP payload of which the specified CoAP Content-Format is an unrecognized number; such that a proper translation directly to the equivalent HTTP media type is not possible.

Author: CoRE WG

Change controller: IETF

Provisional registration? (standards tree only): N/A

10. Security Considerations

The security concerns raised in <u>Section 9.2 of [RFC7230]</u> also apply to the HC proxy scenario.

A HC proxy deployed at the boundary of a constrained network is an easy single point of failure for reducing availability. As such, special care should be taken in designing, developing and operating it, keeping in mind that, in most cases, it has fewer limitations than the constrained devices it is serving.

The following sub paragraphs categorize and discuss a set of specific security issues related to the translation, caching and forwarding functionality exposed by a HC proxy.

10.1. Multicast

Multicast requests impose a non trivial cost on the constrained network and endpoints, and might be exploited as a DoS attack vector. From a privacy perspective, they can be used to gather detailed information about the resources hosted in the constrained network. For these reasons, it is RECOMMENDED that requests to multicast resources are access controlled with a default-deny policy. It is

RECOMMENDED that the requestor of a multicast resource is strongly authenticated. If privacy is a concern, for example whenever the HTTP request transits through the public Internet, the request SHOULD be transported over a mutually authenticated and encrypted TLS connection.

10.2. Traffic Overflow

Due to the typically constrained nature of CoAP nodes, particular attention should be given to the implementation of traffic reduction mechanisms (see Section 8.1), because inefficient proxy implementations can be targeted by unconstrained Internet attackers. Bandwidth or complexity involved in such attacks is very low.

An amplification attack to the constrained network may be triggered by a multicast request generated by a single HTTP request which is mapped to a CoAP multicast resource, as discussed in <u>Section 11.3 of [RFC7252]</u>.

The risk likelihood of this amplification technique is higher than an amplification attack carried out by a malicious constrained device (e.g. ICMPv6 flooding, like Packet Too Big, or Parameter Problem on a multicast destination [RFC4732]), since it does not require direct access to the constrained network.

The feasibility of this attack which disrupts availability of the targeted CoAP server can be limited by access controlling the exposed multicast resources, so that only known/authorized users can access such URIs.

10.3. Handling Secured Exchanges

An HTTP request can be sent to the HC proxy over a secured connection. However, there may not always exist a secure connection mapping to CoAP. For example, a secure distribution method for multicast traffic is complex and may not be implemented (see [RFC7390]).

A HC proxy should implement rules for security context translations. For example all "https" unicast requests are translated to "coaps" requests, or "https" requests are translated to unsecured "coap" requests. Another rule could specify the security policy and parameters used for DTLS connections. Such rules will largely depend on the application and network context in which the HC proxy operates. These rules should be configurable.

It is RECOMMENDED that, by default, accessing a "coaps" URI is only allowed from a corresponding "https" URI.

By default, a HC proxy SHOULD reject any secured client request if there is no configured security policy mapping. This recommendation may be relaxed in case the destination network is believed to be secured by other means. Assuming that CoAP nodes are isolated behind a firewall as in the HC proxy deployment shown in Figure 1, the HC proxy may be configured to translate the incoming HTTPS request using plain CoAP (NoSec mode).

10.4. URI Mapping

The following risks related to the URI mapping described in $\frac{\text{Section 5}}{\text{Section 5}}$ and its use by HC proxies have been identified:

DoS attack on the constrained/CoAP network.

Mitigation: by default deny any Target CoAP URI whose authority is (or maps to) a multicast address. Then explicitly white-list multicast resources/authorities that are allowed to be dereferenced. See also Section 8.4.

Leaking information on the constrained/CoAP network resources and topology.

Mitigation: by default deny any Target CoAP URI (especially /.well-known/core is a resource to be protected), and then explicitly white-list resources that are allowed to be seen from outside.

The internal CoAP Target resource is totally transparent from outside.

Mitigation: implement a HTTPS-only interface, which makes the Target CoAP URI totally opaque to a passive attacker.

11. Acknowledgements

An initial version of Table 2 in <u>Section 7</u> has been provided in revision -05 of the CoRE CoAP I-D. Special thanks to Peter van der Stok for countless comments and discussions on this document, that contributed to its current structure and text.

Thanks to Carsten Bormann, Zach Shelby, Michele Rossi, Nicola Bui, Michele Zorzi, Klaus Hartke, Cullen Jennings, Kepeng Li, Brian Frank, Peter Saint-Andre, Kerry Lynn, Linyi Tian, Dorothy Gellert, Francesco Corazza and Hannes Tschofenig for helpful comments and discussions that have shaped the document.

The research leading to these results has received funding from the European Community's Seventh Framework Programme [FP7/2007-2013] under grant agreement n.251557.

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12.1. Normative References

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Appendix A. Change Log

[Note to RFC Editor: Please remove this section before publication.]

Changes from ietf-09 to ietf-10:

- o Addressed Ticket #401 Clarified that draft covers not only Reverse HC Proxy but that many parts also apply to Forward and Interception Proxies.
- o Clarified that draft concentrates on the HTTP-to-CoAP mapping direction (i.e. the HC proxy is a HTTP server and a CoAP client).
- o Clarified the "null mapping" case where no CoAP URI information is embedded in the HTTP request URI.
- o Moved multicast related security text to the "Security Considerations" to consolidate all security information in one location.
- o Removed references to "placement" of proxy (e.g. server-side vs client-side) as is confusing and provides little added value.
- o Fixed version numbers on references that were corrupted in last revision due to outdated xml2rfc conversion tool local cache.
- o Various editorial improvements.

Changes from ietf-08 to ietf-09:

o Clean up requirements language as per Klaus' comment.

Changes from ietf-07 to ietf-08:

o Addressed WGLC review comments from Klaus Hartke as per the correspondence of March 9, 2016 on the CORE WG mailing list.

Changes from ietf-06 to ietf-07:

o Addressed Ticket #384 - <u>Section 5.4.1</u> describes briefly (informative) how to discover CoAP resources from an HTTP client.

- o Addressed Ticket #378 For HTTP media type to CoAP content format mapping and vice versa: a new draft (TBD) may be proposed in CoRE which describes an approach for automatic updating of the media type mapping. This was noted in Section 6.1 but is otherwise outside the scope of this draft.
- o Addressed Ticket #377 Added IANA section that defines a new HTTP media type "application/coap-payload" and created new <u>Section 6.2</u> on how to use it.
- o Addressed Ticket #376 Updated Table 2 (and corresponding note 7) to indicate that a CoAP 4.05 (Method Not Allowed) Response Code should be mapped to a HTTP 400 (Bad Request).
- o Added note to comply to ABNF when translating CoAP diagnostic payload to reason-phrase in <u>Section 6.5.3</u>.

Changes from ietf-05 to ietf-06:

- o Fully restructured the draft, bringing introductory text more to the front and allocating main sections to each of the key topics; addressing Ticket #379;
- o Addressed Ticket #382, fix of enhanced form URI template definition of q in <u>Section 5.3.2</u>;
- o Addressed Ticket #381, found a mapping 4.01 to 401 Unauthorized in Section 7;
- o Addressed Ticket #380 (Add IANA registration for "core.hc" Resource Type) in <u>Section 9</u>;
- o Addressed Ticket #376 (CoAP 4.05 response can't be translated to HTTP 405 by HC proxy) in <u>Section 7</u> by use of empty 'Allow' header;
- o Removed details on the pros and cons of HC proxy placement options;
- o Addressed review comments of Carsten Bormann;
- o Clarified failure in mapping of HTTP Accept headers (Section 6.3);
- o Clarified detection of CoAP servers not supporting blockwise (Section 8.3);
- o Changed CoAP request timeout min value to MAX_RTT +
 MAX_SERVER_RESPONSE_DELAY (Section 8.6);

- o Added security section item (<u>Section 10.3</u>) related to use of CoAP blockwise transfers;
- o Many editorial improvements.

Changes from ietf-04 to ietf-05:

- o Addressed Ticket #366 (Mapping of CoRE Link Format payloads to be valid in HTTP Domain?) in <u>Section 6.3.3.2</u> (Content Transcoding -CORE Link Format);
- o Addressed Ticket #375 (Add requirement on mapping of CoAP diagnostic payload) in <u>Section 6.3.3.3</u> (Content Transcoding Diagnostic Messages);
- o Addressed comment from Yusuke (http://www.ietf.org/mailarchive/web/core/current/msg05491.html) in Section 6.3.3.1 (Content Transcoding - General);
- o Various editorial improvements.

Changes from ietf-03 to ietf-04:

- o Expanded use case descriptions in Section 4;
- o Fixed/enhanced discovery examples in <u>Section 5.4.1</u>;
- o Addressed Ticket #365 (Add text on media type conversion by HTTP-CoAP proxy) in new <u>Section 6.3.1</u> (Generalized media type mapping) and new <u>Section 6.3.2</u> (Content translation);
- Updated HTTPBis WG draft references to recently published RFC numbers.
- o Various editorial improvements.

Changes from ietf-02 to ietf-03:

- o Closed Ticket #351 "Add security implications of proposed default HTTP-CoAP URI mapping";
- o Closed Ticket #363 "Remove CoAP scheme in default HTTP-CoAP URI mapping";
- o Closed Ticket #364 "Add discovery of HTTP-CoAP mapping resource(s)".

Changes from ietf-01 to ietf-02:

o Selection of single default URI mapping proposal as proposed to WG mailing list 2013-10-09.

Changes from ietf-00 to ietf-01:

o Added URI mapping proposals to <u>Section 4</u> as per the Email proposals to WG mailing list from Esko.

Authors' Addresses

Angelo P. Castellani University of Padova Via Gradenigo 6/B Padova 35131 Italy

Email: angelo@castellani.net

Salvatore Loreto Ericsson Hirsalantie 11 Jorvas 02420 Finland

Email: salvatore.loreto@ericsson.com

Akbar Rahman InterDigital Communications, LLC 1000 Sherbrooke Street West Montreal H3A 3G4 Canada

Phone: +1 514 585 0761

Email: Akbar.Rahman@InterDigital.com

Thomas Fossati Alcatel-Lucent 3 Ely Road Milton, Cambridge CB24 6DD UK

Email: thomas.fossati@alcatel-lucent.com

Esko Dijk Philips Research High Tech Campus 34 Eindhoven 5656 AE The Netherlands

Email: esko.dijk@philips.com