CBOR Encoding of Data Modeled with YANG
draft-ietf-core-yang-cbor-18

Abstract

Based on the Concise Binary Object Representation (CBOR, RFC 8949), this document defines encoding rules for representing configuration data, state data, parameters and results of Remote Procedure Call (RPC) operations or actions, and notifications, defined using YANG (RFC 7950).

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1. Introduction

The specification of the YANG 1.1 data modeling language [RFC7950] defines an XML encoding for data instances, i.e., contents of configuration datastores, state data, RPC inputs and outputs, action inputs and outputs, and event notifications.

An additional set of encoding rules has been defined in [RFC7951] based on the JavaScript Object Notation (JSON) Data Interchange Format [RFC8259].

The aim of this document is to define a set of encoding rules for the Concise Binary Object Representation (CBOR) [RFC8949], collectively called _YANG-CBOR_. The resulting encoding is more compact compared to XML and JSON and more suitable for Constrained Nodes and/or
Constrained Networks as defined by [RFC7228].

2. Terminology and Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

The following terms are defined in [RFC7950]:

* action
* anydata
* anyxml
* data node
* data tree
* datastore
* feature
* identity
* module
* notification
* RPC
* schema node
* submodule

The following term is defined in [RFC8040]:

* yang-data extension
The following term is defined in [RFC8791]:

* YANG data structure

This specification also makes use of the following terminology:

* YANG Schema Item IDentifier (YANG SID or simply SID): Unsigned integer used to identify different YANG items.

* delta: Difference between the current YANG SID and a reference YANG SID. A reference YANG SID is defined for each context for which deltas are used.

* absolute SID: YANG SID not encoded as a delta. This is usually called out explicitly only in positions where normally a delta would be found.
A YANG data tree can be enclosed by a representation of a schema node such as a YANG data structure, a notification, an RPC, or an action; this is called a representation tree. The data tree nodes and the enclosing schema node representation, if any, are collectively called the representation nodes.

A representation node such as container, list entry, YANG data structure, notification, RPC input, RPC output, action input, or action output is serialized using a CBOR map in which each schema node defined within is encoded using a key and a value. This specification supports two types of CBOR keys; YANG Schema Item iDentifier (YANG SID) as defined in Section 3.2 and names as defined in Section 3.3. Each of these key types is encoded using a specific CBOR type which allows their interpretation during the deserialization process. Protocols or mechanisms implementing this specification can mandate the use of a specific key type.

In order to minimize the size of the encoded data, the mapping avoids any unnecessary meta-information beyond that directly provided by the CBOR basic generic data model (Section 2 of [RFC8949]). For instance, CBOR tags are used solely in the case of an absolute SID, anyxml data nodes, or the union datatype, to distinguish explicitly the use of different YANG datatypes encoded using the same CBOR major type.

Data nodes implemented using a CBOR array, map, byte string, or text string can be instantiated but empty. In this case, they are encoded with a length of zero.
When representation nodes are serialized using the rules defined by this specification as part of an application payload, the payload SHOULD include information that would allow a stateless way to identify each node, such as the SID number associated with the node, SID delta from another SID in the application payload, the namespace qualified name, or the instance-identifier.

Examples in Section 4 include a root CBOR map with a single entry having a key set to either a namespace qualified name or a SID. This root CBOR map is provided only as a typical usage example and is not part of the present encoding rules. Only the value within this CBOR map is compulsory.

3.1. CBOR diagnostic notation

Within this document, CBOR binary contents are represented using an equivalent textual form called CBOR diagnostic notation as defined in Section 8 of [RFC8949]. This notation is used strictly for documentation purposes and is never used in the data serialization. Table 1 below provides a summary of this notation.

<table>
<thead>
<tr>
<th>CBOR content</th>
<th>CBOR type</th>
<th>Diagnostic notation</th>
<th>Example encoding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unsigned integer</td>
<td>0</td>
<td>Decimal digits</td>
<td>123</td>
</tr>
<tr>
<td>Negative integer</td>
<td>1</td>
<td>Decimal digits</td>
<td>-123</td>
</tr>
<tr>
<td>Type</td>
<td>ID</td>
<td>Description</td>
<td>Value</td>
</tr>
<tr>
<td>----------</td>
<td>----</td>
<td>-----------------------------------------------------------------------------</td>
<td>----------------------------</td>
</tr>
<tr>
<td>integer</td>
<td></td>
<td>prefixed by a minus sign</td>
<td></td>
</tr>
<tr>
<td>Byte string</td>
<td></td>
<td>Hexadecimal value enclosed between single quotes and prefixed by an 'h'</td>
<td>h'F15C' 42 F15C</td>
</tr>
<tr>
<td>Text string</td>
<td></td>
<td>String of Unicode characters enclosed between double quotes</td>
<td>&quot;txt&quot; 63 747874</td>
</tr>
<tr>
<td>Array</td>
<td></td>
<td>Comma-separated list of values within square brackets</td>
<td>[ 1, 2 ] 82 01 02</td>
</tr>
<tr>
<td>Map</td>
<td></td>
<td>Comma-separated list of key : value pairs within curly braces</td>
<td>{ 1: 123, 2: 456 } 01187B 021901C8</td>
</tr>
<tr>
<td>Boolean</td>
<td></td>
<td>false</td>
<td>false F4</td>
</tr>
<tr>
<td></td>
<td>7/21</td>
<td>true</td>
<td>true F5</td>
</tr>
<tr>
<td>Null</td>
<td>7/22</td>
<td>null</td>
<td>null F6</td>
</tr>
<tr>
<td>Not assigned</td>
<td>7/23</td>
<td>undefined</td>
<td>undefined F7</td>
</tr>
</tbody>
</table>

Table 1: CBOR diagnostic notation summary

Note: CBOR binary contents shown in this specification are annotated with comments. These comments are delimited by slashes ("/") as defined in [RFC8610] Appendix G.6.
Some of the items defined in YANG [RFC7950] require the use of a unique identifier. In both Network Configuration Protocol (NETCONF) [RFC6241] and RESTCONF [RFC8040], these identifiers are implemented using text strings. To allow the implementation of data models defined in YANG in constrained devices and constrained networks, a more compact method to identify YANG items is required. This compact identifier, called YANG Schema Item iDentifier, is an unsigned integer. The following items are identified using YANG SIDs (often shortened to SIDs):

* identities
* data nodes
* RPCs and associated input(s) and output(s)
* actions and associated input(s) and output(s)
* YANG data structures
* notifications and associated information
* YANG modules and features

Note that any structuring of modules into submodules is transparent to YANG-CBOR: SIDs are not allocated for the names of submodules, and any items within a submodule are effectively allocated SIDs as part of processing the module that includes them.

To minimize their size, SIDs used as keys in CBOR maps are encoded using deltas, i.e., signed (negative or unsigned) integers that are added to the reference SID applying to the map. The reference SID of an outermost map is zero, unless a different reference SID is unambiguously conferred from the environment in which the outermost map is used. The reference SID of a map that is most directly embedded in a map entry with a name-based key is zero. For all other maps, the reference SID is the SID computed for the map entry it is most directly embedded in. (The embedding may be indirect if an array intervenes, e.g., in a YANG list.) Where absolute SIDs are desired in map key positions where a bare integer implies a delta, they may be encoded using CBOR tag 47 (as defined in Section 9.3).

Thus, conversion from SIDs to deltas and back to SIDs is a stateless process solely based on the data serialized or deserialized combined with, potentially, an outermost reference SID unambiguously conferred by the environment.
Mechanisms and processes used to assign SIDs to YANG items and to guarantee their uniqueness are outside the scope of the present specification. If SIDs are to be used, the present specification is used in conjunction with a specification defining this management. [I-D.ietf-core-sid] is the definitive way to assign SID values for YANG modules managed by the IETF. With YANG modules managed by non-IETF entities, use of [I-D.ietf-core-sid] is RECOMMENDED. The present specification has been designed to allow different methods of assignment to be used within separate domains.

To provide implementations with a way to internally indicate the absence of a SID, the SID value 0 is reserved and will not be allocated; it is not used in interchange.

3.3. Name

This specification also supports the encoding of YANG item identifiers as text strings, similar to those used by the JSON Encoding of Data Modeled with YANG [RFC7951]. This approach can be used to avoid the management overhead associated with SID allocation. The main drawback is the significant increase in size of the encoded data.

YANG item identifiers implemented using names MUST be in one of the following forms:

* simple -- the identifier of the YANG item (i.e., schema node or identity).

* namespace qualified -- the identifier of the YANG item is prefixed with the name of the module in which this item is defined, separated by the colon character (":").

The name of a module determines the namespace of all YANG items defined in that module. If an item is defined in a submodule, then the namespace qualified name uses the name of the main module to which the submodule belongs.

ABNF syntax [RFC5234] of a name is shown in Figure 1, where the production for "identifier" is defined in Section 14 of [RFC7950].

```
name = [identifier "::"] identifier
```

Figure 1: ABNF Production for a simple or namespace qualified name
A namespace qualified name MUST be used for all members of a top-level CBOR map and then also whenever the namespaces of the representation node and its parent node are different. In all other cases, the simple form of the name SHOULD be used.

Definition example:

module example-foomod {
  container top {
    leaf foo {
      type uint8;
    }
  }
}

module example-barmod {
  import example-foomod {
    prefix "foomod";
  }
  augment "/foomod:top" {
    leaf bar {
      type boolean;
    }
  }
}

A valid CBOR encoding of the 'top' container is as follows.

CBOR diagnostic notation:

{  
  "example-foomod:top": {  
    "foo": 54,
    "example-barmod:bar": true
  }
}

Both the 'top' container and the 'bar' leaf defined in a different YANG module as its parent container are encoded as namespace
qualified names. The 'foo' leaf defined in the same YANG module as its parent container is encoded as simple name.

4. Encoding of Representation Nodes

Representation nodes defined using the YANG modeling language are encoded using CBOR [RFC8949] based on the rules defined in this section. We assume that the reader is already familiar with both YANG [RFC7950] and CBOR [RFC8949].

4.1. The 'leaf'

A 'leaf' MUST be encoded accordingly to its datatype using one of the encoding rules specified in Section 6.

The following examples show the encoding of a 'hostname' leaf using a SID or a name.

Definition example adapted from [RFC6991] and [RFC7317]:

typedef domain-name {
    type string {
        pattern
            '(((\[a-zA-Z0-9\-\_]{0,61})?\[a-zA-Z0-9\].)*\n            |\.\n            )';
        length "1..253";
    }
}

leaf hostname {
    type inet:domain-name;
}

4.1.1. Using SIDs in keys

As with all examples below, the delta in the outermost map assumes a reference YANG SID (current schema node) of 0.

CBOR diagnostic notation:

{
CBOR encoding:

```
A1 # map(1)
  19 06D8 # unsigned(1752)
  72 # text(18)
    6D79686F73742E6578616D706C652E636F6D # "myhost.example.com"
```

4.1.2. Using names in keys

CBOR diagnostic notation:

```
{ "ietf-system:hostname" : "myhost.example.com" }
```

CBOR encoding:

```
A1 # map(1)
  74 # text(20)
    696574662D73797374656D3A686F73746E616D65 # "myhost.example.com"
    72 # text(18)
      6D79686F73742E6578616D706C652E636F6D
```

4.2. The 'container' and other nodes from the data tree

Instances of containers, YANG data structures, notification contents, RPC inputs, RPC outputs, action inputs, and action outputs MUST be encoded using a CBOR map data item (major type 5). The same encoding is also used for the list entries in a list (Section 4.4). A map consists of pairs of data items, with each pair consisting of a key and a value. Each key within the CBOR map is set to a schema node identifier, each value is set to the value of this representation node according to the instance datatype.

This specification supports two types of CBOR map keys; SID as defined in Section 3.2 and names as defined in Section 3.3.
The following examples show the encoding of a 'system-state' container representation instance using SIDs or names.

Definition example adapted from [RFC6991] and [RFC7317]:

typedef date-and-time {
    type string {
        pattern '\d{4}-\d{2}-\d{2}T\d{2}:\d{2}:\d{2}(\.\d+)?' 
            + '(Z|\[\+\-]\d{2}:\d{2})';
    }
}

container system-state {
    container clock {
        leaf current-datetime {
            type date-and-time;
        }

        leaf boot-datetime {
            type date-and-time;
        }
    }
}
4.2.1. Using SIDs in keys

In the context of containers and other nodes from the data tree, CBOR map keys within inner CBOR maps can be encoded using deltas or absolute SIDs (tag 47).

Delta values are computed as follows:

* In the case of a 'container', deltas are equal to the SID of the current representation node minus the SID of the parent 'container'.

* In the case of a 'list', deltas are equal to the SID of the current representation node minus the SID of the parent 'list'.

* In the case of an 'RPC input' or 'RPC output', deltas are equal to the SID of the current representation node minus the SID of the 'RPC'.

* In the case of an 'action input' or 'action output', deltas are equal to the SID of the current representation node minus the SID of the 'action'.

* In the case of a 'notification content', deltas are equal to the SID of the current representation node minus the SID of the 'notification'.

CBOR diagnostic notation:

```json
{
  1720 : {
    1 : {
      2 : "2015-10-02T14:47:24Z-05:00",  / current-datetime(SID 1723)/
      1 : "2015-09-15T09:12:58Z-05:00"  / boot-datetime (SID 1722) /
    }
  }
}
```

CBOR encoding:
4.2.2. Using names in keys

CBOR map keys implemented using names MUST be encoded using a CBOR text string data item (major type 3). A namespace-qualified name MUST be used each time the namespace of a representation node and its parent differ. In all other cases, the simple form of the name MUST be used. Names and namespaces are defined in Section 4 of [RFC7951].

The following example shows the encoding of a 'system' container representation node instance using names.

CBOR diagnostic notation:

```json
{
   "ietf-system:system-state" : {
      "clock" : {
         "current-datetime" : "2015-10-02T14:47:24Z-05:00",
         "boot-datetime" : "2015-09-15T09:12:58Z-05:00"
      }
   }
}
```

CBOR encoding:

```
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```

```
78 1A
323031352D31302D30325431343A34373A32345A2D30353A3030
01                             # unsigned(1)
78 1A                          # text(26)
323031352D30392D31355430393A31323A35385A2D30353A3030
01                             # unsigned(1)
```
4.3. The 'leaf-list'

A leaf-list MUST be encoded using a CBOR array data item (major type 4). Each entry of this array MUST be encoded accordingly to its datatype using one of the encoding rules specified in Section 6.

The following example shows the encoding of the 'search' leaf-list representation node instance containing two entries, "ietf.org" and "ieee.org".

Definition example adapted from [RFC6991] and [RFC7317]:

typedef domain-name {
  type string {
    pattern
    '((([a-zA-Z0-9_\-\_]\{0,61\})?\[a-zA-Z0-9\]\.\{0,61\})*\[a-zA-Z0-9\]\.\{0,61\})\|\.'
    + '([a-zA-Z0-9_\-\_]\{0,61\})?\[a-zA-Z0-9\]\.\{0,61\})\|\.'
    + '\|\.';
    length "1..253";
  }
}

leaf-list search {
  type domain-name;
  ordered-by user;
}

4.3.1. Using SIDs in keys

CBOR diagnostic notation:

CBOR encoding:

```
A1                        # map(1)
19 06D2                # unsigned(1746)
82                     # array(2)
   68                  # text(8)
       696574662E6F7267 # "ietf.org"
   68                  # text(8)
       696565652E6F7267 # "ieee.org"
```

4.3.2. Using names in keys

CBOR diagnostic notation:

```
{"ietf-system:search" : [ "ietf.org", "ieee.org" ]}
```

CBOR encoding:

```
A1                          # map(1)
  72                         # text(18)
       696574662D73797374656D3A736561726368 # "ietf-system:search"
82                         # array(2)
   68                          # text(8)
       696574662E6F7267 # "ietf.org"
   68                          # text(8)
       696565652E6F7267 # "ieee.org"
```

4.4. The 'list' and 'list' entries

A list or a subset of a list MUST be encoded using a CBOR array data item (major type 4). Each list entry within this CBOR array is encoded using a CBOR map data item (major type 5) based on the encoding rules of a collection as defined in Section 4.2.

It is important to note that this encoding rule also applies to a 'list' representation node instance that has a single entry.

The following examples show the encoding of a 'server' list using SIDs or names.

Definition example from [RFC7317]:

```
list server {
  key name;

  leaf name {
    type string;
  }

  choice transport {
    case udp {
      container udp {
        leaf address {
          type host;
          mandatory true;
        }
        leaf port {
          type port-number;
        }
      }
    }
  }

  leaf association-type {
    type enumeration {
      enum server;
      enum peer;
      enum pool;
    }
    default server;
  }

  leaf iburst {
    type boolean;
    default false;
  }

  leaf prefer {
    type boolean;
    default false;
  }
}

4.4.1. Using SIDs in keys

The encoding rules of each 'list' entry are defined in Section 4.2.1.
CBOR diagnostic notation:

```
{
  1756 : [
    
    3 : "NRC TIC server",
    5 : {
      1 : "tic.nrc.ca",
      2 : 123
    },
    1 : 0,
    2 : false,
    4 : true
  },

  3 : "NRC TAC server",
  5 : {
    1 : "tac.nrc.ca"
  }
]
```

CBOR encoding:
A1
19 06DC
82
A5
03
6E
4E52432054494320736572766572
05
A2
01
6A
7469632E6E72632E6361
02
18 7B
01
00
02
F4
04
F5
A2
03
6E
4E5243205414320736572766572
05
A1
4.4.2. Using names in keys

The encoding rules of each 'list' entry are defined in Section 4.2.2.

CBOR diagnostic notation:

```json
{
   "ietf-system:server" : [
      {
         "name" : "NRC TIC server",
         "udp" : {
            "address" : "tic.nrc.ca",
            "port" : 123
         },
         "association-type" : 0,
         "iburst" : false,
         "prefer" : true
      },
      {
         "name" : "NRC TAC server",
         "udp" : {
            "address" : "tac.nrc.ca"
         }
      }
   ]
}
```
CBOR encoding:

A1
72
696574662D73797374656D3A736572766572
82
A5
64
6E616D65
6E
4E52432054494320736572766572
63
756470
A2
4.5. The 'anydata'

An anydata serves as a container for an arbitrary set of representation nodes that otherwise appear as normal YANG-modeled data. An anydata representation node instance is encoded using the same rules as a container, i.e., CBOR map. The requirement that anydata content can be modeled by YANG implies the following:

* CBOR map keys of any inner representation nodes MUST be set to valid deltas or names.

* The CBOR array MUST contain either unique scalar values (as a leaf-list, see Section 4.3), or maps (as a list, see Section 4.4).
* CBOR map values MUST follow the encoding rules of one of the
datatypes listed in Section 4.

The following example shows a possible use of an anydata. In this
dexample, an anydata is used to define a representation node
containing a notification event; this representation node can be part
of a YANG list to create an event logger.

Definition example:

module event-log {
    ...
    anydata last-event;                # SID 60123
}

This example also assumes the assistance of the following
notification.

module example-port {
    ...

    notification example-port-fault {  # SID 60200
        leaf port-name {                 # SID 60201
            type string;
        }
        leaf port-fault {                # SID 60202
            type string;
        }
    }
}

4.5.1. Using SIDs in keys

CBOR diagnostic notation:
In some implementations, it might be simpler to use the absolute SID encoding (tag 47) for the anydata root element. CBOR diagnostic notation:

```json
{
  60123 : { 
    47(60200) : { 
      1 : "0/4/21", 
      2 : "Open pin 2" 
    } 
  } 
}
```

### 4.5.2. Using names in keys

CBOR diagnostic notation:

```json
{
  "event-log:last-event" : {
    "example-port:example-port-fault" : {
      "port-name" : "0/4/21",
      "port-fault" : "Open pin 2"
    }
  }
}
```
CBOR encoding:

A1                                      # map(1)
74                                   # text(20)
6576656E742D6C6F673A6C6173742D6576656E74
A1                                   # map(1)
78 1F                             # text(31)
6578616D706C652D706F72743A
6578616D706C652D706F72742D6661756C74
A2                                # map(2)
69                             # text(9)
706F72742D6E616D65     # "port-name"
66                             # text(6)
302F342F3231     # "0/4/21"
6A                             # text(10)
706F72742D6661756C74     # "port-fault"
6A                             # text(10)
4F70656E2070696E2032     # "Open pin 2"

4.6. The 'anyxml'

An anyxml representation node is used to serialize an arbitrary CBOR content, i.e., its value can be any CBOR binary object. An anyxml value MAY contain CBOR data items tagged with one of the tags listed in Section 9.3. The tags listed in Section 9.3 SHALL be supported.

The following example shows a valid CBOR encoded anyxml representation node instance consisting of a CBOR array containing the CBOR simple values 'true', 'null' and 'true'.

Definition example from [RFC7951]:

module bar-module {
    ...
    anyxml bar;       # SID 60000
}

4.6.1. Using SIDs in keys

CBOR diagnostic notation:
60000 : [true, null, true] / bar (SID 60000) /
}

CBOR encoding:

{
  "bar-module:bar" : [true, null, true] / bar (SID 60000) /
}

CBOR diagnostic notation:

{"bar-module:bar" : [true, null, true] / bar (SID 60000) /}

CBOR encoding:

A1  # map(1)
19 EA60 # unsigned(60000)
83  # array(3)
  F5  # primitive(21)
  F6  # primitive(22)
  F5  # primitive(21)

5. Encoding of 'yang-data' extension

The yang-data extension [RFC8040] is used to define data structures in YANG that are not intended to be implemented as part of a datastore.

The yang-data extension will specify a container that MUST be encoded using the encoding rules of nodes of data trees as defined in Section 4.2.
Just like YANG containers, the yang-data extension can be encoded using either SIDs or names.

Definition example from [I-D.ietf-core-comi] Appendix A:

```yml
module ietf-coreconf {
  ...  
  import ietf-restconf {
    prefix rc;
  }
  rc:yang-data yang-errors {
    container error {
      leaf error-tag {
        type identityref {
          base error-tag;
        }
      }
      leaf error-app-tag {
        type identityref {
          base error-app-tag;
        }
      }
      leaf error-data-node {
        type instance-identifier;
      }
      leaf error-message {
        type string;
      }
    }
  }
}```
5.1. Using SIDs in keys

The yang-data extensions encoded using SIDs are carried in a CBOR map containing a single item pair. The key of this item is set to the SID assigned to the yang-data extension container; the value is set to the CBOR encoding of this container as defined in Section 4.2.

This example shows a serialization example of the yang-errors yang-data extension as defined in [I-D.ietf-core-comi] using SIDs as defined in Section 3.2.

CBOR diagnostic notation:

```json
{
    1024 : {                      / error (SID 1024) /
        4 : 1011,               / error-tag (SID 1028) /
            / = invalid-value (SID 1011) /
        1 : 1018,               / error-app-tag (SID 1025) /
            / = not-in-range (SID 1018) /
        2 : 1740,               / error-data-node (SID 1026) /
            / = timezone-utc-offset (SID 1740) /
        3 : "Maximum exceeded"  / error-message (SID 1027) /
    }
}
```

CBOR encoding:

```
A1                                      # map(1)
  19 0400                              # unsigned(1024)
A4                                      # map(4)
  04                                   # unsigned(4)
    19 03F3                            # unsigned(1011)
  01                                   # unsigned(1)
    19 03FA                            # unsigned(1018)
```
5.2. Using names in keys

The yang-data extensions encoded using names are carried in a CBOR map containing a single item pair. The key of this item is set to the namespace qualified name of the yang-data extension container; the value is set to the CBOR encoding of this container as defined in Section 3.3.

This example shows a serialization example of the yang-errors yang-data extension as defined in [I-D.ietf-core-comi] using names as defined Section 3.3.

CBOR diagnostic notation:

```
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{
    "ietf-coreconf:error" : {
        "error-tag" : "invalid-value",
        "error-app-tag" : "not-in-range",
        "error-data-node" : "timezone-utc-offset",
        "error-message" : "Maximum exceeded"
    }
}

CBOR encoding:

A1 # map(1)
73 # text(19)

696574662D636F7265636F6E663A6572726F72 # "ietf-coreconf:error"
```
6. Representing YANG Data Types in CBOR

The CBOR encoding of an instance of a leaf or leaf-list representation node depends on the built-in type of that representation node. The following sub-section defines the CBOR encoding of each built-in type supported by YANG as listed in Section 4.2.4 of [RFC7950]. Each subsection shows an example value assigned to a representation node instance of the discussed built-in type.

6.1. The unsigned integer Types

Leafs of type uint8, uint16, uint32 and uint64 MUST be encoded using a CBOR unsigned integer data item (major type 0).

The following example shows the encoding of an 'mtu' leaf representation node instance set to 1280 bytes.

Definition example from [RFC8344]:

leaf mtu {
    type uint16 {

range "68..max";
}
}

CBOR diagnostic notation: 1280
CBOR encoding: 19 0500

6.2. The integer Types

Leaves of type int8, int16, int32 and int64 MUST be encoded using either CBOR unsigned integer (major type 0) or CBOR negative integer (major type 1), depending on the actual value.

The following example shows the encoding of a 'timezone-utc-offset' leaf representation node instance set to -300 minutes.

Definition example from [RFC7317]:

leaf timezone-utc-offset {
  type int16 {
    range "-1500 .. 1500";
  }
}

CBOR diagnostic notation: -300
CBOR encoding: 39 012B

6.3. The 'decimal64' Type

Leaves of type decimal64 MUST be encoded using a decimal fraction as defined in Section 3.4.4 of [RFC8949].

The following example shows the encoding of a 'my-decimal' leaf representation node instance set to 2.57.

Definition example from [RFC7317]:

leaf my-decimal {
    type decimal64 {
        fraction-digits 2;
        range "1 .. 3.14 | 10 | 20..max";
    }
}

CBOR diagnostic notation: 4([-2, 257])
CBOR encoding: C4 82 21 19 0101

6.4. The 'string' Type

Leafs of type string MUST be encoded using a CBOR text string data item (major type 3).

The following example shows the encoding of a 'name' leaf representation node instance set to "eth0".

Definition example from [RFC8343]:

leaf name {
    type string;
}

CBOR diagnostic notation: "eth0"
CBOR encoding: 64 65746830

6.5. The 'boolean' Type

Leafs of type boolean MUST be encoded using a CBOR simple value 'true' (major type 7, additional information 21) or 'false' (major type 7, additional information 20).

The following example shows the encoding of an 'enabled' leaf representation node instance set to 'true'.

Definition example from [RFC7317]:

leaf enabled {
    type boolean;
}

CBOR diagnostic notation: true
CBOR encoding: F5
6.6. The 'enumeration' Type

Leafs of type enumeration MUST be encoded using a CBOR unsigned integer (major type 0) or CBOR negative integer (major type 1), depending on the actual value. Enumeration values are either explicitly assigned using the YANG statement 'value' or automatically assigned based on the algorithm defined in Section 9.6.4.2 of [RFC7950].

The following example shows the encoding of an 'oper-status' leaf representation node instance set to 'testing'.

Definition example from [RFC7317]:

```yaml
leaf oper-status {
  type enumeration {
    enum up { value 1; }
    enum down { value 2; }
    enum testing { value 3; }
    enum unknown { value 4; }
    enum dormant { value 5; }
    enum not-present { value 6; }
    enum lower-layer-down { value 7; }
  }
}
```

CBOR diagnostic notation: 3

CBOR encoding: 03

Values of 'enumeration' types defined in a 'union' type MUST be encoded using a CBOR text string data item (major type 3) and MUST contain one of the names assigned by 'enum' statements in YANG (see also Section 6.12). The encoding MUST be enclosed by the enumeration CBOR tag as specified in Section 9.3.

Definition example from [RFC7950]:

```yaml
type union {
  type int32;
  type enumeration {
    enum unbounded;
  }
}
```
6.7. The 'bits' Type

Keeping in mind that bit positions are either explicitly assigned using the YANG statement 'position' or automatically assigned based on the algorithm defined in Section 9.7.4.2 of [RFC7950], each element of type bits could be seen as a set of bit positions (or offsets from position 0), that have a value of either 1, which represents the bit being set or 0, which represents that the bit is not set.

Leafs of type bits MUST be encoded either using a CBOR array or byte string (major type 2). In case CBOR array representation is used, each element is either a positive integer (major type 0 with value 0 being disallowed) that can be used to calculate the offset of the next byte string, or a byte string (major type 2) that carries the information whether certain bits are set or not. The initial offset value is 0 and each unsigned integer modifies the offset value of the next byte string by the integer value multiplied by 8. For example, if the bit offset is 0 and there is an integer with value 5, the first byte of the byte string that follows will represent bit positions 40 to 47 both ends included. If the byte string has a second byte, it will carry information about bits 48 to 55 and so on. Within each byte, bits are assigned from least to most significant.

After the byte string, the offset is modified by the number of bytes in the byte string multiplied by 8. Bytes with no bits set (zero bytes) at the end of the byte string are never generated: If they would occur at the end of the array, the zero bytes are simply omitted; if they occur at the end of a byte string preceding an integer, the zero bytes are removed and the integer adjusted upwards by the number of zero bytes removed. An example follows.

The following example shows the encoding of an 'alarm-state' leaf representation node instance with the 'critical' (position 3), 'warning' (position 8) and 'indeterminate' (position 128) flags set.
typedef alarm-state {
    type bits {
        bit unknown;
        bit under-repair;
        bit critical;
        bit major;
        bit minor;
        bit warning {
            position 8;
        }
        bit indeterminate {
            position 128;
        }
    }
}

leaf alarm-state {
    type alarm-state;
}

CBOR diagnostic notation: [h'0401', 14, h'01']

CBOR encoding: 83 42 0401 0E 41 01

In a number of cases the array would only need to have one element -- a byte string with a few bytes inside. For this case, it is expected to omit the array element and have only the byte array that would have been inside. To illustrate this, let us consider the same example YANG definition, but this time encoding only 'under-repair'
and 'critical' flags. The result would be

CBOR diagnostic notation: h'06'

CBOR encoding: 41 06

Elements in the array MUST be either byte strings that do not end in a zero byte, or positive unsigned integers, where byte strings and integers MUST alternate, i.e., adjacent byte strings or adjacent integers are an error. An array with a single byte string MUST instead be encoded as just that byte string. An array with a single positive integer is an error.

Values of 'bits' types defined in a 'union' type MUST be encoded using a CBOR text string data item (major type 3) and MUST contain a space-separated sequence of names of 'bits' that are set (see also Section 6.12). The encoding MUST be enclosed by the bits CBOR tag as specified in Section 9.3.

The following example shows the encoding of an 'alarm-state' leaf representation node instance defined using a union type with the 'under-repair' and 'critical' flags set.

Definition example:

leaf alarm-state-2 {
  type union {
    type alarm-state;
    type bits {
      bit extra-flag;
    }
  }
}

CBOR diagnostic notation: 43("under-repair critical")

CBOR encoding: D8 2B 75 756E6465722D72657061697220637269746963616C

6.8. The 'binary' Type

Leafs of type binary MUST be encoded using a CBOR byte string data
The following example shows the encoding of an 'aes128-key' leaf representation node instance set to 0x1f1ce6a3f42660d888d92a4d8030476e.

Definition example:

leaf aes128-key {
    type binary {
        length 16;
    }
}

CBOR diagnostic notation: h'1F1CE6A3F42660D888D92A4D8030476E'

CBOR encoding: 50 1F1CE6A3F42660D888D92A4D8030476E

6.9. The 'leafref' Type

Leafs of type leafref MUST be encoded using the rules of the representation node referenced by the 'path' YANG statement.

The following example shows the encoding of an 'interface-state-ref' leaf representation node instance set to "eth1".

definition example from [RFC8343]:

typedef interface-state-ref {
    type leafref {
        path "/interfaces-state/interface/name";
    }
}

container interfaces-state {
    list interface {
        key "name";
        leaf name {
            type string;
        }
    }
    leaf-list higher-layer-if {
type interface-state-ref;
}
}
}

CBOR diagnostic notation: "eth1"

CBOR encoding: 64 65746831

6.10. The 'identityref' Type

This specification supports two approaches for encoding identityref: as a YANG Schema Item iDentifier as defined in Section 3.2, or as a name as defined in Section 6.8 of [RFC7951].

6.10.1. SIDs as identityref

When representation nodes of type identityref are implemented using SIDs, they MUST be encoded using a CBOR unsigned integer data item (major type 0). (Note that, as they are not used in the position of CBOR map keys, no delta mechanism is employed for SIDs used for identityref.)

The following example shows the encoding of a 'type' leaf representation node instance set to the value 'iana-if-type:ethernetCsmacd' (SID 1880).

Definition example from [RFC7317]:

| identity interface-type { |
| }
| identity iana-interface-type { |
|    base interface-type; |
| }
| identity ethernetCsmacd { |
base iana-interface-type;
}

leaf type {
  type identityref {
    base interface-type;
  }
}

CBOR diagnostic notation: 1880
CBOR encoding: 19 0758

6.10.2. Name as identityref

Alternatively, an identityref MAY be encoded using a name as defined in Section 3.3. When names are used, identityref MUST be encoded using a CBOR text string data item (major type 3). If the identity is defined in different module than the leaf node containing the identityref data node, the namespace qualified form MUST be used. Otherwise, both the simple and namespace qualified forms are permitted. Names and namespaces are defined in Section 3.3.

The following example shows the encoding of the identity 'iana-if-type:ethernetCsmacd' using its namespace qualified name. This example is described in Section 6.10.1.

CBOR diagnostic notation: "iana-if-type:ethernetCsmacd"
CBOR encoding: 78 1b 69616E612D69662D74797065736D616364

6.11. The 'empty' Type

Leafs of type empty MUST be encoded using the CBOR null value (major type 7, additional information 22).

The following example shows the encoding of an 'is-router' leaf representation node instance when present.

Definition example from [RFC8344]:
leaf is-router {
    type empty;
}

CBOR diagnostic notation: null

CBOR encoding: F6

6.12. The 'union' Type

Leafs of type union MUST be encoded using the rules associated with one of the types listed. When used in a union, the following YANG datatypes are enclosed by a CBOR tag to avoid confusion between different YANG datatypes encoded using the same CBOR major type.

* bits

* enumeration

* identityref

* instance-identifier

See Section 9.3 for the assigned value of these CBOR tags.

As mentioned in Section 6.6 and in Section 6.7, 'enumeration' and 'bits' are encoded as a CBOR text string data item (major type 3) when defined within a 'union' type. (This adds considerable complexity, but is necessary because of an idiosyncrasy of the YANG data model for unions; the workaround allows compatibility to be maintained with the encoding of overlapping unions in XML and JSON. See also Section 9.12 of [RFC7950].)

The following example shows the encoding of an 'ip-address' leaf representation node instance when set to "2001:db8:a0b:12f0::1".

Definition example (adapted from [RFC6991]):
typedef ipv4-address {
    type string {
        pattern '((0-9)|[1-9][0-9]|1[0-9][0-9]|2[0-4][0-9]|25[0-5])\.){3}'
        + '([0-9]|1[0-9]|2[0-4][0-9]|25[0-5])' 
        + '(%\[p(N]\p(L])+)';
    }
}

typedef ipv6-address {
    type string {
        pattern '(:|0-9a-F RANGE 0,4):([0-9a-F RANGE 0,4]:{0,5}'
        + '(([0-9a-F RANGE 0,4]:)?([0-9a-F RANGE 0,4]:{0,4})|' 
        + '((25[0-5]|2[0-4][0-9]|1[0-9]{2}|0(?0-9))\.){3}' 
        + '(25[0-5]|2[0-4][0-9]|1[0-9]{2}|0(?0-9))' 
        + '(%\[p(N]\p(L]))+';
        pattern '(((^:)+){6}(((^:)+[^:]+)|(.\.*\.\*))|' 
        + '(((^:)+[^:]+)*[^:]+)?(([^:]+)*[^:]+)?)' 
        + '(.+)?';
    }
}

typedef ip-address {
    type union {
        type ipv4-address;
        type ipv6-address;
    }
}

leaf address {
    type ip-address;
}

CBOR diagnostic notation: "2001:db8:a0b:12f0::1"

CBOR encoding: 74 323030313A6462383A6130623A313266303A3A31

6.13. The 'instance-identifier' Type

This specification supports two approaches for encoding an instance-identifier, one based on YANG Schema Item iDentifier as defined in Section 3.2 and one based on names as defined in Section 3.3.
6.13.1. SIDs as instance-identifier

SIDs uniquely identify a schema node. In the case of a single instance schema node, i.e., a schema node defined at the root of a YANG module or submodule or schema nodes defined within a container, the SID is sufficient to identify this instance (representation node). (Note that no delta mechanism is employed for SIDs used for identityref, see Section 6.10.1.)

In the case of a representation node that is an entry of a YANG list, a SID is combined with the list key(s) to identify each instance within the YANG list(s).

Instance identifiers of single instance schema nodes MUST be encoded using a CBOR unsigned integer data item (major type 0) and set to the targeted schema node SID.

Instance identifiers of representation node entries of a YANG list MUST be encoded using a CBOR array data item (major type 4) containing the following entries:

* The first entry MUST be encoded as a CBOR unsigned integer data item (major type 0) and set to the targeted schema node SID.

* The following entries MUST contain the value of each key required to identify the instance of the targeted schema node. These keys MUST be ordered as defined in the 'key' YANG statement, starting from the top level list, and followed by each of the subordinate list(s).

Examples within this section assume the definition of a schema node of type 'instance-identifier':

Definition example from [RFC7950]:

```yaml
container system {
  ...
  leaf reporting-entity {
    type instance-identifier;
```
*First example:*  

The following example shows the encoding of the 'reporting-entity' value referencing data node instance "/system/contact" (SID 1741).

Definition example from [RFC7317]:

```yang
container system {
  leaf contact {
    type string;
  }
  leaf hostname {
    type inet:domain-name;
  }
}
```

CBOR diagnostic notation: 1741

CBOR encoding: 19 06CD

*Second example:*  

This example aims to show how a representation node entry of a YANG list is identified. It uses a somewhat arbitrarily modified YANG module version from [RFC7317] by adding country to the leafs and keys of authorized-key.

The following example shows the encoding of the 'reporting-entity' value referencing list instance "/system/authentication/user/authorized-key/key-data" (which is assumed to have SID 1734) for username "bob" and authorized-key with name "admin" and country "france".
list user {
    key name;

    leaf name {
        type string;
    }

    leaf password {
        type ianach:crypt-hash;
    }
}

list authorized-key {
    key "name country";

    leaf country {
        type string;
    }

    leaf name {
        type string;
    }

    leaf algorithm {
        type string;
    }
}
leaf key-data {
    type binary;
}

CBOR diagnostic notation: [1734, "bob", "admin", "france"]

CBOR encoding:

84                 # array(4)
19 06C6         # unsigned(1734)
63              # text(3)

626F62       # "bob"
65              # text(5)
61646D696E   # "admin"
66              # text(6)
6672616E6365 # "france"

*Third example:*

The following example shows the encoding of the 'reporting-entity' value referencing the list instance "/system/authentication/user" (SID 1730) corresponding to username "jack".

CBOR diagnostic notation: [1730, "jack"]

CBOR encoding:

82             # array(2)
19 06C2     # unsigned(1730)
64          # text(4)

6A61636B # "jack"

6.13.2. Names as instance-identifier

An "instance-identifier" value is encoded as a text string that is analogous to the lexical representation in XML encoding; see Section 9.13.2 of [RFC7950]. However, the encoding of namespaces in
instance-identifier values follows the rules stated in Section 3.3, namely:

* The leftmost (top-level) data node name is always in the namespace qualified form.

* Any subsequent data node name is in the namespace qualified form if the node is defined in a module other than its parent node, and the simple form is used otherwise. This rule also holds for node names appearing in predicates.

For example,

/ietf-interfaces:interfaces/interface[name='eth0']/ietf-ip:ipv4/ip

is a valid instance-identifier value because the data nodes "interfaces", "interface", and "name" are defined in the module "ietf-interfaces", whereas "ipv4" and "ip" are defined in "ietf-ip".

The resulting xpath MUST be encoded using a CBOR text string data item (major type 3).

*First example:*  
This example is described in Section 6.13.1.

CBOR diagnostic notation: "/ietf-system:system/contact"

CBOR encoding:

*Second example:*  
This example is described in Section 6.13.1.

CBOR diagnostic notation (the line break is inserted for exposition only):

"/ietf-system:system/authentication/user[name='bob']/authorized-key[name='admin'][country='france']/key-data"
CBOR encoding:

78 6B
2F696574662D73797374656D3A73797374656D2F61757468656E74696361
74696F6E2F757365725B616661636B6E696465642D6B65795B6E616D653D2761646D696E275D5B656E616D653D2761646D696E275D5B636F756E74726F7768656465642D6B65795B61646D696E73797374656D2F61757468656E74696361
6772616E6365275D2F6B65792D64617461

*Third example:*

This example is described in Section 6.13.1.

CBOR diagnostic notation:

"/ietf-system:system/authentication/user[身份='jack']"

CBOR encoding:

78 34 # text(52)
2F696574662D73797374656D3A73797374656D2F61757468656E74696361
74696F6E2F757365725B61646D696E73797374656D2F61757468656E74696361

7. Content-Types

This specification defines the media-type application/yang-data+cbor, which can be used without parameters or with the parameter id=name or id=sid.

This media-type represents a YANG-CBOR document containing a representation tree. If the media-type parameter id is present, depending on its value, each representation node is identified by its associated namespace qualified name as defined in Section 3.3 (id=name), or by its associated YANG SID (represented as a SID delta or via tag 47) as defined in Section 3.2 (id=sid), respectively. If no id parameter is given, both forms may be present.
It is not foreseen at this point that the valid set of values for the id parameter will extend beyond name, sid, or being unset; if that does happen, any new value is foreseen to be of the form [a-z][a-z0-9]*(-[a-z0-9]+)*.

In summary, this document defines three content-types, which are intended for use by different classes of applications:

* application/yang-data+cbor; id=sid -- for use by applications that need to be frugal with encoding space and text string processing (e.g., applications running on constrained nodes [RFC7228], or applications with particular performance requirements);

* application/yang-data+cbor; id=name -- for use by applications that do not want to engage in SID management, and that have ample resources to manage text-string based item identifiers (e.g., applications that directly want to substitute application/yang.data+json with a more efficient representation without any other changes);

* application/yang-data+cbor -- for use by more complex applications that can benefit from the increased efficiency of SID identifiers but also need to integrate databases of YANG modules before SID mappings are defined for them.

All three content-types are based on the same representation mechanisms, parts of which are simply not used in the first and second case.

8. Security Considerations

The security considerations of [RFC8949] and [RFC7950] apply.

This document defines an alternative encoding for data modeled in the YANG data modeling language. As such, this encoding does not contribute any new security issues in addition to those identified for the specific protocol or context for which it is used.

To minimize security risks, software on the receiving side SHOULD reject all messages that do not comply to the rules of this document and reply with an appropriate error message to the sender.
When SIDs are in use, the interpretation of encoded data not only relies on having the right YANG modules, but also on having the right SID mapping information. Management and evolution of that mapping information therefore requires the same care as the management and evolution of the YANG modules themselves. The procedures in [I-D.ietf-core-sid] are RECOMMENDED for this purpose.

9. IANA Considerations

9.1. Media-Types Registry

This document adds the following Media-Type to the "Media Types" registry.

+-----------------------------+-----------------------------+---------+
| Name                        | Template                    | Reference |
+-----------------------------+-----------------------------+---------+
| yang-data+cbor              | application/yang-data+cbor  | RFC XXXX |
+-----------------------------+-----------------------------+---------+

Table 2

// RFC Ed.: please replace RFC XXXX with this RFC number and remove this note.

Type name: application
Subtype name: yang-data+cbor
Required parameters: N/A
Optional parameters: id (see Section 7 of RFC XXXX)
Encoding considerations: binary (CBOR)
Security considerations: see Section 8 of RFC XXXX
Published specification: RFC XXXX
Person & email address to contact for further information: CORE WG mailing list (core@ietf.org), or IETF Applications and Real-Time Area (art@ietf.org)
Intended usage: COMMON
Restrictions on usage: none
Author/Change controller: IETF

9.2. CoAP Content-Formats Registry

This document adds the following Content-Format to the "CoAP Content-Formats", within the "Constrained RESTful Environments (CoRE) Parameters" registry, where TBD3 comes from the "Expert Review" 0-255 range and TBD1 and TBD2 come from the "IETF Review" 256-9999 range.
<table>
<thead>
<tr>
<th>Content Type</th>
<th>Content Coding</th>
<th>ID</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>application/yang-data+cbor</td>
<td>-</td>
<td>TBD1</td>
<td>RFC XXXX</td>
</tr>
<tr>
<td>application/yang-data+cbor; id=name</td>
<td></td>
<td>TBD2</td>
<td>RFC XXXX</td>
</tr>
<tr>
<td>application/yang-data+cbor; id=sid</td>
<td></td>
<td>TBD3</td>
<td>RFC XXXX</td>
</tr>
</tbody>
</table>

Table 3

// RFC Ed.: please replace TBDx with assigned IDs, remove the requested ranges, and remove this note.
// RFC Ed.: please replace RFC XXXX with this RFC number and remove this note.

9.3. CBOR Tags Registry

In the registry "CBOR Tags" [IANA.cbor-tags], as per Section 9.2 of [RFC8949], IANA has allocated the CBOR tags in Table 4 for the YANG datatypes listed.

<table>
<thead>
<tr>
<th>Tag</th>
<th>Data Item</th>
<th>Semantics</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>43</td>
<td>text string</td>
<td>YANG bits datatype; see Section 6.7</td>
<td>RFC XXXX</td>
</tr>
<tr>
<td>44</td>
<td>text string</td>
<td>YANG enumeration datatype; see Section 6.6</td>
<td>RFC XXXX</td>
</tr>
<tr>
<td>45</td>
<td>unsigned integer or text string</td>
<td>YANG identityref datatype; see Section 6.10</td>
<td>RFC XXXX</td>
</tr>
<tr>
<td>46</td>
<td>unsigned integer or text string</td>
<td>YANG instance-identifier datatype; see Section 6.13</td>
<td>RFC XXXX</td>
</tr>
</tbody>
</table>
Table 4: CBOR tags defined by this specification


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// RFC Ed.: please replace RFC XXXX with RFC number and remove this note

10. References

10.1. Normative References


[RFC8174]  Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC
10.2. Informative References


Lhotka, L., "JSON Encoding of Data Modeled with YANG",
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