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Abstract

This document describes the framework, messages and procedures for the Diameter Quality of Service (QoS) application. The Diameter QoS application allows network elements to interact with Diameter servers when allocating QoS resources in the network. In particular, two modes of operation, namely "Pull" and "Push", are defined.

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1. Introduction

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This document describes the framework, messages and procedures for the Diameter [\[RFC3588\]](#) ([Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.](#)) Quality of Service (QoS) application. The Diameter QoS application allows Network Elements (NEs) to interact with Diameter servers when allocating QoS resources in the network.

Two modes of operation are defined. In the first, called "Pull" mode, the network element requests QoS authorization from the Diameter server based on some trigger (such as a QoS signaling protocol) that arrives along the data path. In the second, called "Push" mode, the Diameter server pro-actively sends a command to the network element(s) to install QoS authorization state. This could be triggered, for instance, by off-path signaling, such as Session Initiation Protocol (SIP) [\[RFC3261\]](#) ([Rosenberg, J., Schulzrinne, H., Camarillo, G., Johnston, A., Peterson, J., Sparks, R., Handley, M., and E. Schooler, "SIP: Session Initiation Protocol," June 2002.](#)) call control.

A set of command codes is specified that allows a single Diameter QoS application server to support both Pull and Push modes based on the requirements of network technologies, deployment scenarios and end-host capabilities. In conjunction with Diameter AVPs defined in [\[I-D.ietf-dime-qos-attributes\]](#) (Korhonen, J., Tschofenig, H., Arumaithurai, M., Jones, M., and A. Lior, "Traffic Classification and Quality of Service Attributes for Diameter," December 2009.) and in [\[RFC5624\]](#) (Korhonen, J., Tschofenig, H., and E. Davies, "Quality of Service Parameters for Usage with Diameter," August 2009.), this document depicts basic call flow procedures used to establish, modify and terminate a Diameter QoS application session. This document defines a number of Diameter-encoded Attribute Value Pairs (AVPs), which are described using a modified version of the Augmented Backus-Naur Form (ABNF), see [\[RFC3588\]](#) (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.).

2. Terminology

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The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [\[RFC2119\]](#) (Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels," March 1997.).

The following terms are used in this document:

AAA Cloud An infrastructure of Authentication, Authorization and Accounting (AAA) entities (clients, agents, servers) communicating via a AAA protocol over trusted, secure connections. It offers authentication, authorization and accounting services to applications in local and roaming scenarios. Diameter and RADIUS [\[RFC2865\]](#) (Rigney, C., Willens, S., Rubens, A., and W. Simpson, "Remote Authentication Dial In User Service (RADIUS)," June 2000.) are both widely deployed AAA protocols.

Application Endpoint (AppE) An Application Endpoint is an entity in an end-user device that exchanges signaling messages with Application Servers or directly with other Application Endpoints. Based on the result of this signaling, the Endpoint may make a request for QoS from the network. For example, a SIP User Agent is one kind of Application Endpoint.

Application Server (AppS) An Application Server is an entity that exchanges signaling messages with an Application Endpoint (see

above). It may be a source of authorization for QoS-enhanced application flows. For example, a SIP server is one kind of Application Server.

Authorizing Entity (AE) The Authorizing Entity is a Diameter server that supports the QoS application. It is responsible for authorizing QoS requests for a particular application flow or aggregate. The Authorizing Entity may be a standalone entity or may be integrated with an Application Server and may be co-located with a subscriber database. This entity corresponds to the Policy Decision Point (PDP) [\[RFC2753\] \(Yavatkar, R., Pendarakis, D., and R. Guerin, "A Framework for Policy-based Admission Control," January 2000.\)](#).

Network Element (NE) A QoS aware router that acts as a Diameter client for the QoS application. This entity triggers the protocol interaction for the Pull mode, and it is the recipient of QoS information in the Push mode. The Diameter Client at an Network Element corresponds to the Policy Enforcement Point (PEP) [\[RFC2753\] \(Yavatkar, R., Pendarakis, D., and R. Guerin, "A Framework for Policy-based Admission Control," January 2000.\)](#).

Pull Mode In this mode, the QoS authorization process is invoked by the QoS reservation request received from the Application Endpoint. The Network Element then requests the QoS authorization decision from the Authorizing Entity.

Push Mode In this mode, the QoS authorization process is invoked by the request from Application Server or local policies in the Authorizing Entity. The Authorizing Entity then installs the QoS authorization decision to the Network Element directly.

Resource Requesting Entity (RRE) A Resource Requesting Entity is a logical entity that supports the protocol interaction for QoS resources. The RRE resides in the end-host and is able to communicate with peer logical entities in an Authorizing Entity or a Network Element to trigger the QoS authorization process.

3. Framework

The Diameter QoS application runs between a NE (acting as a Diameter client) and the resource AE (acting as a Diameter server). A high-level picture of the resulting architecture is shown in [Figure 1 \(An Architecture Supporting QoS-AAA\)](#).

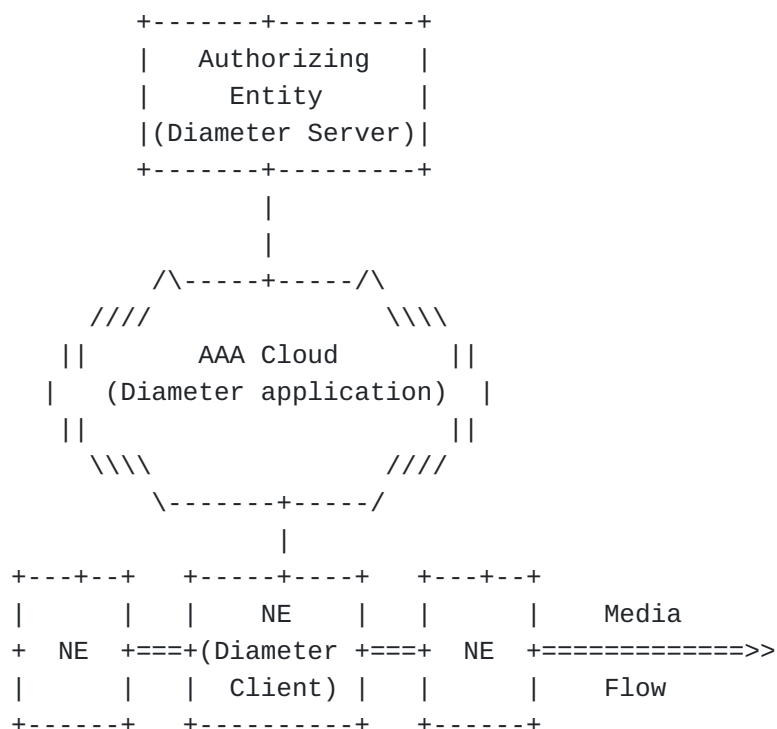


Figure 1: An Architecture Supporting QoS-AAA

[Figure 1 \(An Architecture Supporting QoS-AAA\)](#) depicts NEs through which media flows need to pass, a cloud of AAA servers, and an AE. Note that there may be more than one router that needs to interact with the AAA cloud along the path of a given application flow, although the figure only depicts one for clarity.

In some deployment scenarios, NEs may request authorization through the AAA cloud based on an incoming QoS reservation request. The NE will route the request to a designated AE. The AE will return the result of the authorization decision. In other deployment scenarios, the authorization will be initiated upon dynamic application state, so that the request must be authenticated and authorized based on information from one or more AppSs. After receiving the authorization request from the AppS or the NE, the AE decides the appropriate mode (i.e., Push or Pull). The usage Push or Pull mode can be determined by the authorizing entity either statically or dynamically. Static determination might be

based on a configurable defined policy in the authorizing entity, while dynamic determination might be based on information received from an application server. For Push mode, the authorizing entity needs to identify the appropriate NE(s) to which QoS authorization information needs to be pushed. It might determine this based on information received from the AppS, such as the IP addresses of media flows. In some deployment scenarios, there is a mapping between access network type and the service logic (e.g., selection of the Push or Pull mode, and other differentiated handling of the resource admission and control). The access network type might be derived from the authorization request from the AppS or the NE, and in this case, the authorizing entity can identify the corresponding service logic based on the mapping.

If the interface between the NEs and the AAA cloud is identical regardless of whether the AE communicates with an AppS or not, routers are insulated from the details of particular applications and need not know that Application Servers are involved. Also, the AAA cloud may also encompass business relationships such as those between network operators and third-party application providers. This enables flexible intra- or inter-domain authorization, accounting, and settlement.

3.1. Network Element Functional Model

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[Figure 2 \(Network Element Functional Model\)](#) depicts a logical operational model of resource management in a router.

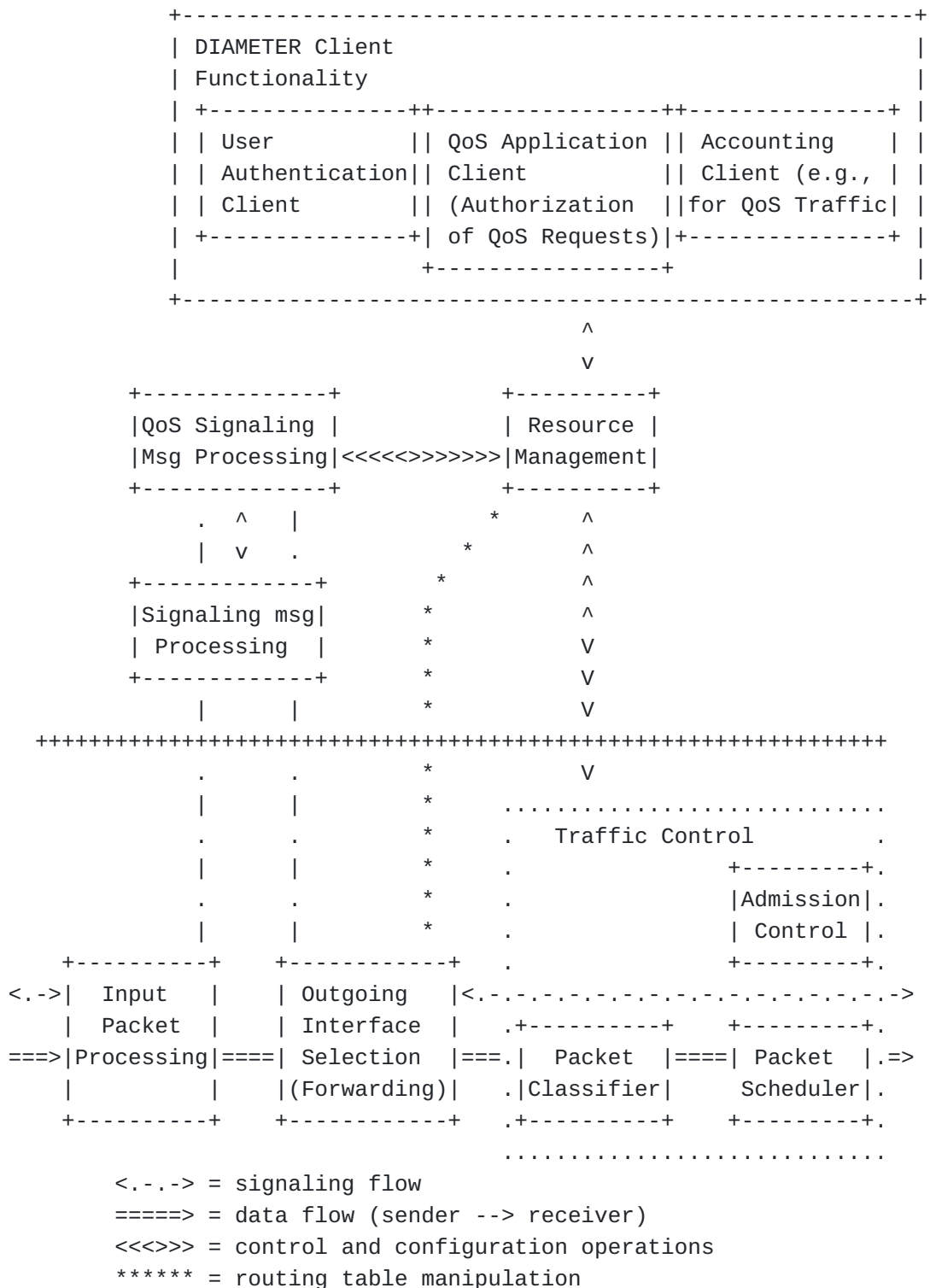


Figure 2: Network Element Functional Model

Processing of incoming QoS reservation requests includes three actions: admission control, authorization and resource reservation.

The admission control function provides information about available resources and determines whether there are enough resources to fulfill the request. Authorization is performed by the Diameter client, which involves contacting an authorization entity through the AAA cloud shown in [Section 3 \(Framework\)](#). If both checks are successful, the authorized QoS parameters are set in the packet classifier and the packet scheduler. Note that the parameters passed to the Traffic Control function may be different from the ones requested QoS (depending on the authorization decision). Once the requested resource is granted, the Resource Management function provides accounting information to the AE via the Diameter client.

3.2. Implications of Endpoint QoS Capabilities

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3.2.1. Endpoint Categories

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The QoS capabilities of Application Endpoints are varied, and can be categorized as follows:

Category 1 A Category 1 Application Endpoint has no QoS capability at either the application or the network level. This type of AppE may set up a connection through application signaling, but it is incapable of specifying resource/QoS requirements through either application or network-level signaling.

Category 2 A Category 2 Application Endpoint only has QoS capability at the application level. This type of AppE is able to set up a connection through application signaling with certain resource/QoS requirements (e.g., application attributes), but it is unable to signal any resource/QoS requirements at the network level.

Category 3 A Category 3 Application Endpoint has QoS capability at the network level. This type of AppE may set up a connection through application signaling, translate service characteristics into network resource/QoS requirements (e.g., network QoS class) locally, and request the resources through network signaling, e.g., Resource ReSerVation Protocol (RSVP) [\[RFC2205\]](#) ([Braden, B., Zhang, L., Berson, S., Herzog, S., and S. Jamin, "Resource ReSerVation Protocol \(RSVP\) -- Version 1 Functional](#)

[Specification," September 1997.\)](#) or NSIS [\[I-D.ietf-nsis-qos-nslp\] \(Manner, J., Karagiannis, G., and A. McDonald, "NSLP for Quality-of-Service Signaling," January 2010.\)](#).

3.2.2. Interaction Modes Between the Authorizing Entity and Network Element

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Different QoS mechanisms are employed in packet networks. Those QoS mechanisms can be categorized into two schemes: IntServ [\[RFC2211\] \(Wroclawski, J., "Specification of the Controlled-Load Network Element Service," September 1997.\)](#), [\[RFC2212\] \(Shenker, S., Partridge, C., and R. Guerin, "Specification of Guaranteed Quality of Service," September 1997.\)](#) and DiffServ [\[RFC2474\] \(Nichols, K., Blake, S., Baker, F., and D. Black, "Definition of the Differentiated Services Field \(DS Field\) in the IPv4 and IPv6 Headers," December 1998.\)](#). In the IntServ scheme, network signaling (e.g., RSVP, NSIS, or link specific signaling) is commonly used to initiate a request from an AppE for the desired QoS resource. In the DiffServ scheme, QoS resources are provisioned based upon some predefined QoS service classes rather than AppE-initiated, flow-based QoS requests.

It is obvious that the eligible QoS scheme is correlated to the AppE's capability in the context of QoS authorization. Since Category 1 and 2 AppEs cannot initiate the QoS resource requests by means of network signaling, using the current mechanism of IntServ model to signal QoS information across the network is not applicable to them in general. Depending on network technology and operator requirements, a Category 3 AppE may either make use of network signaling for resource requests or not.

The diversity of QoS capabilities of endpoints and QoS schemes of network technology leads to the distinction on the interaction mode between QoS authorization system and underlying NEs. When the IntServ scheme is employed by a Category 3 endpoint, the authorization process is typically initiated by a NE when a trigger is received from the endpoint such as network QoS signaling. In the DiffServ scheme, since the NE is unable to request the resource authorization on its own initiative, the authorization process is typically triggered by either the request of AppSs or policies defined by the operator.

As a consequence, two interaction modes are needed in support of different combinations of QoS schemes and endpoint's QoS capabilities: Push mode and Pull mode.

Push mode The QoS authorization process is triggered by AppSs or local network conditions (e.g., time of day on resource usage and QoS classes), and the authorization decisions are installed by the AE to the network element on its own initiative without

explicit request. In order to support the push mode, the AE (i.e., Diameter server) should be able to initiate a Diameter authorization session to communicate with the NE (i.e., Diameter client) without any pre-established connection from the network element.

Pull mode The QoS authorization process is triggered by the network signaling received from end-user equipment or by a local event in the NE according to pre-configured policies, and authorization decisions are produced upon the request of the NE. In order to support the pull mode, the NE (i.e., Diameter client) will initiate a Diameter authorization session to communicate with the authorizing entity (i.e., Diameter server).

For Category 1 and 2 Application Endpoints, Push mode is REQUIRED. For a Category 3 AppE, either Push mode or Pull mode MAY be used. Push mode is applicable to certain networks, for example, Cable network, DSL, Ethernet, and Diffserv-enabled IP/MPLS. The Pull mode is more appropriate to IntServ-enabled IP networks or certain wireless networks such as the GPRS networks defined by 3GPP. Some networks (for example, WiMAX) may require both Push and Pull modes.

3.3. Authorization Schemes

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3.3.1. Pull Mode Schemes

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Three types of basic authorization schemes for Pull mode exist: one type of two-party scheme and two types of three-party schemes. The notation adopted here is in respect to the entity that performs the QoS authorization (QoS Authz). The authentication of the QoS requesting entity might be done at the NE as part of the QoS signaling protocol, or by an off-path protocol (on the application layer or for network access authentication) or the AE might be contacted with request for authentication and authorization of the QoS requesting entity. From the Diameter QoS application's point of view these schemes differ in type of information that need to be carried. Here we focus on the 'Basic Three Party Scheme' (see [Figure 3 \(Three Party Scheme\)](#)) and the 'Token-based Three Party Scheme' (see [Figure 4 \(Token-based Three Party Scheme\)](#)). In the 'Two Party Scheme', the QoS RRE is authenticated by the NE and the authorization decision is made either locally at the NE itself or offloaded to a trusted entity (most likely within the same

administrative domain). In the two-party case no Diameter QoS protocol interaction is required.

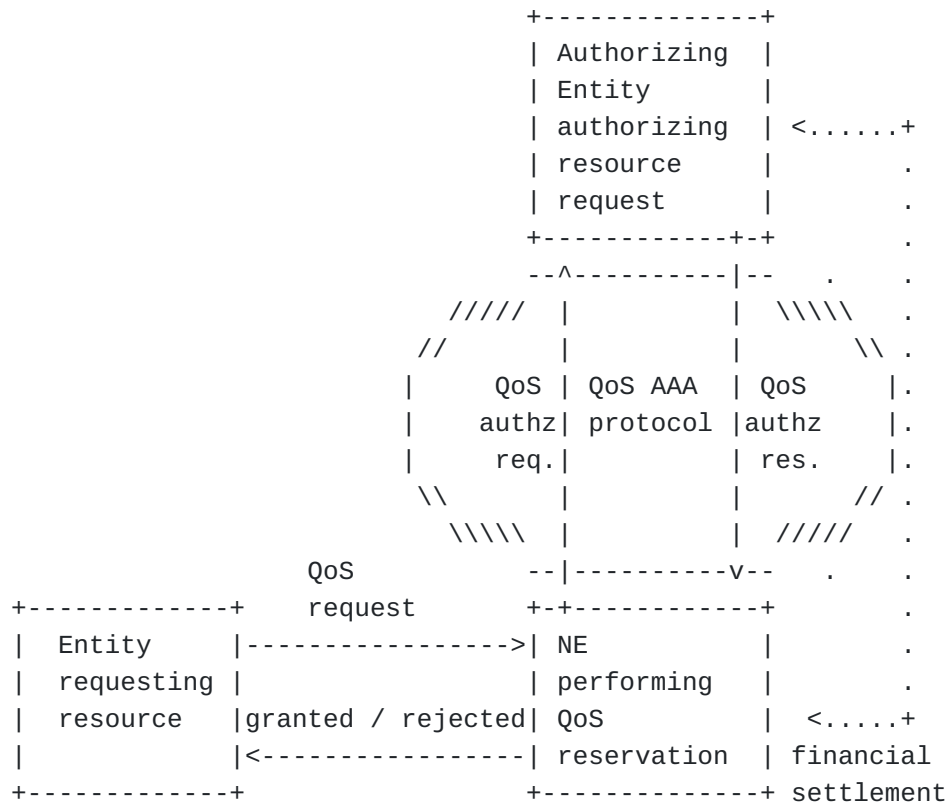


Figure 3: Three Party Scheme

In the 'Basic Three Party Scheme' a QoS reservation request that arrives at the NE is forwarded to the Authorizing Entity (e.g., in the user's home network), where the authorization decision is made. As shown, financial settlement - a business relationship, such as a roaming agreement, between the visited network and the home network ensures that the visited network is compensated for the resources consumed by the user via the home network.

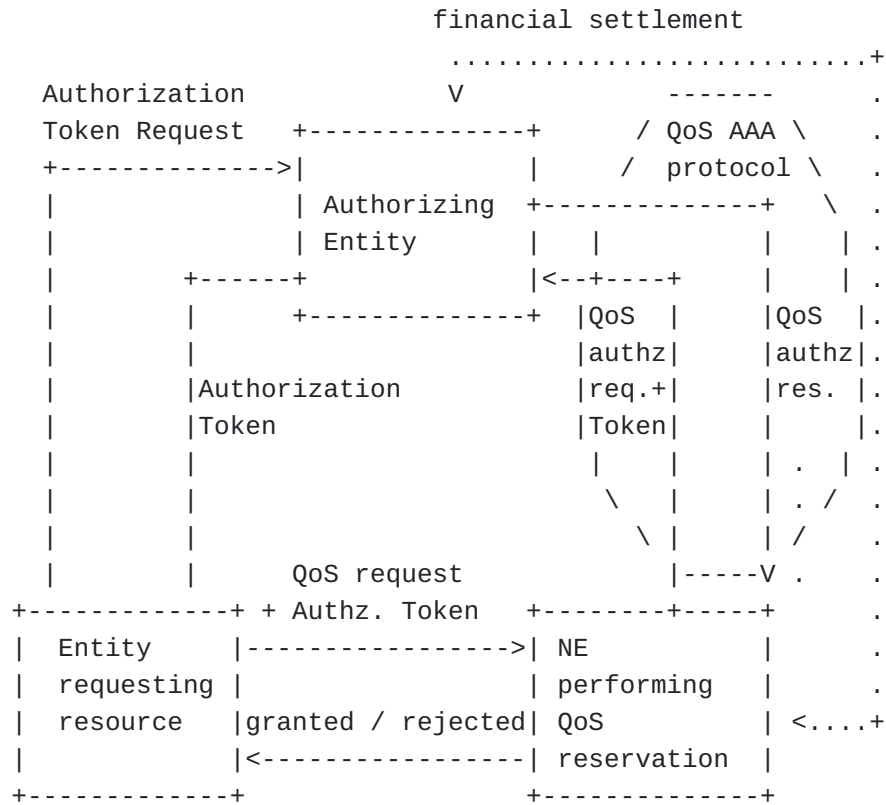


Figure 4: Token-based Three Party Scheme

The 'Token-based Three Party Scheme' is applicable to environments where a previous protocol interaction is used to request authorization tokens to assist the authorization process at the NE or the AE [RFC3521] (Hamer, L-N., Gage, B., and H. Shieh, "Framework for Session Set-up with Media Authorization," April 2003.).

The QoS RRE may be involved in an application layer protocol interaction, for example using SIP [RFC3313] (Marshall, W., "Private Session Initiation Protocol (SIP) Extensions for Media Authorization," January 2003.), with the AE. As part of this interaction, authentication and authorization at the application layer might take place. As a result of a successful authorization decision, which might involve the user's home AAA server, an authorization token is generated by the AE (e.g., the SIP proxy and an entity trusted by the SIP proxy) and returned to the end host for inclusion into the QoS signaling protocol. The authorization token will be used by a NE that receives the QoS signaling message to authorize the QoS request. Alternatively, the Diameter QoS application will be used to forward the authorization token to the user's home network. The authorization token allows that the authorization decision performed at the application layer can be associated with a corresponding QoS signaling session. Note that the authorization token might either refer to established state concerning

the authorization decision or the token might itself carry the authorized parameters (protected by a digital signature or a keyed message digest to prevent tampering). In the latter case the authorization token may contain several pieces of information pertaining to the authorized application session, but at minimum it should contain:

- *An identifier for the AE (for example, an AppS) that issued the authorization token
- *An identifier referring to a specific application protocol session for which the token was issued and
- *A keyed message digest or digital signature protecting the content of the authorization token

A possible structure for the authorization token and the policy element carrying it are proposed in context of RSVP [\[RFC3520\] \(Hamer, L-N., Gage, B., Kosinski, B., and H. Shieh, "Session Authorization Policy Element," April 2003.\)](#).

In the scenario mentioned above, where the QoS resource requesting entity is involved in an application layer protocol interaction with the AE, it may be worthwhile to consider a token-less binding mechanism also. The application layer protocol interaction may have indicated the transport port numbers at the QoS RRE where it might receive media streams (for example in SIP/SDP [\[RFC4566\] \(Handley, M., Jacobson, V., and C. Perkins, "SDP: Session Description Protocol," July 2006.\)](#) signalling, these port numbers are advertised). The QoS RRE may also use these port numbers in some IP filter indications to the NE performing QoS reservation so that it may properly tunnel the inbound packets. The NE performing QoS reservation will forward the QoS resource requesting entity's IP address and the IP filter indications to the AE in the QoS authorization request. The AE will use the QoS RRE's IP address and the port numbers in the IP filter indication, which will match the port numbers advertised in the earlier application layer protocol interaction, to identify the right piece of policy information to be sent to the NE performing the QoS reservation in the QoS Authorization. response.

3.3.2. Push Mode Schemes

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The push mode can be further divided into two types: endpoint-initiated and network-initiated. In the former case, the authorization process is triggered by AppS in response to an explicit QoS request from an endpoint through application signaling, e.g., SIP; in the latter case, the authorization process is triggered by the AppS without an explicit QoS request from an endpoint.

In the endpoint-initiated scheme, the QoS RRE (i.e., the AppE) determines the required application level QoS and sends a QoS request through an application signaling message. The AppS will extract application-level QoS information and trigger the authorization process to the AE. In the network-initiated scheme, the AE and/or AppS should derive and determine the QoS requirements according to application attribute, subscription and endpoint's capability when the endpoint does not explicitly indicate the QoS attributes. The AE makes an authorization decision based on application level QoS information, network policies, end-user subscription, network resource availability, etc., and installs the decision to NE directly. A Category 1 AppE requires network-initiated Push mode and a Category 2 AppE may use either type of Push Mode.

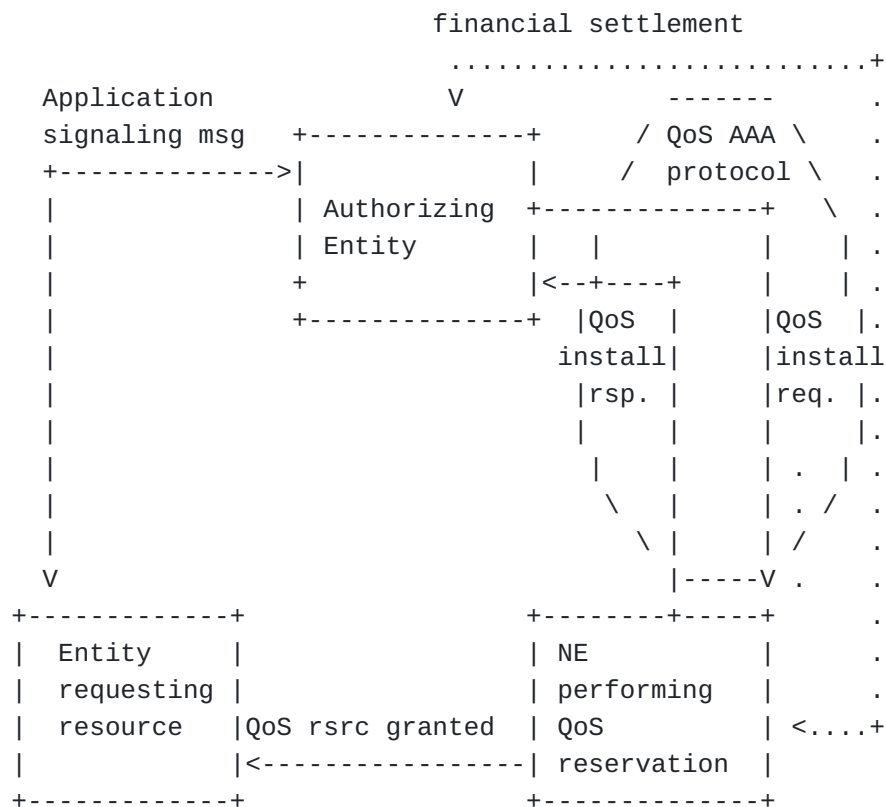


Figure 5: Scheme for Push Mode

3.4. QoS Application Requirements

A QoS application must meet a number of requirements applicable to a diverse set of networking environments and services. It should be compatible with different deployment scenarios having specific QoS signaling models and security issues. Satisfying the requirements listed below while interworking with QoS signaling protocols, a Diameter QoS application should accommodate the capabilities of the QoS signaling protocols rather than introducing functional requirements on them. A list of requirements for a QoS authorization application is provided here:

Identity-based Routing The Diameter QoS application MUST route AAA requests to the Authorizing Entity, based on the provided identity of the QoS requesting entity or the identity of the AE encoded in the provided authorization token.

Flexible Authentication Support The Diameter QoS application MUST support a variety of different authentication protocols for verification of authentication information present in QoS signaling messages. The support for these protocols MAY be provided indirectly by tying the signaling communication for QoS to a previous authentication protocol exchange (e.g., using network access authentication).

Making an Authorization Decision The Diameter QoS application MUST exchange sufficient information between the AE and the enforcing entity (and vice versa) to compute an authorization decision and to execute this decision.

Triggering an Authorization Process The Diameter QoS application MUST allow periodic and event triggered execution of the authorization process, originated at the enforcing entity or even at the AE.

Associating QoS Reservations and Application State The Diameter QoS application MUST carry information sufficient for an AppS to identify the appropriate application session and associate it with a particular QoS reservation.

Dynamic Authorization It MUST be possible for the Diameter QoS application to push updates towards the NE(s) from authorizing entities.

Bearer Gating

The Diameter QoS application MUST allow the AE to gate (i.e., enable/disable) authorized application flows based on, e.g., application state transitions.

Accounting Records The Diameter QoS application MAY define QoS accounting records containing duration, volume (byte count) usage information and description of the QoS attributes (e.g., bandwidth, delay, loss rate) that were supported for the flow.

Sending Accounting Records The NE SHOULD be able to send accounting records for a particular QoS reservation state to an accounting entity.

Failure Notification The Diameter QoS application MUST allow the NE to report failures, such as loss of connectivity due to movement of a mobile node or other reasons for packet loss, to the authorizing entity.

Accounting Correlation The Diameter QoS application MAY support the exchange of sufficient information to allow for correlation between accounting records generated by the NEs and accounting records generated by an AppS.

Interaction with other AAA Applications Interaction with other AAA applications, such as the Diameter Network Access (NASREQ) application [\[RFC4005\] \(Calhoun, P., Zorn, G., Spence, D., and D. Mitton, "Diameter Network Access Server Application," August 2005.\)](#), may be required for exchange of authorization, authentication and accounting information.

In deployment scenarios where authentication of the QoS reservation requesting entity (e.g., the user) is done by means outside the Diameter QoS application protocol interaction, the AE is contacted only with a request for QoS authorization. Authentication might have taken place already via the interaction with the Diameter NASREQ application or as part of the QoS signaling protocol (e.g., Transport Layer Security (TLS) [\[RFC5246\] \(Dierks, T. and E. Rescorla, "The Transport Layer Security \(TLS\) Protocol Version 1.2," August 2008.\)](#) in the General Internet Signaling Transport (GIST) protocol [\[I-D.ietf-nsis-ntlp\] \(Schulzrinne, H. and M. Stiemerling, "GIST: General Internet Signalling Transport," June 2009.\)](#)). Authentication of the QoS reservation requesting entity to the AE is necessary if a particular Diameter QoS application protocol cannot be

related (or if there is no intention to relate it) to a prior authentication. In this case the AE MUST authenticate the QoS reservation requesting entity in order to authorize the QoS request as part of the Diameter QoS protocol interaction. The document refers to three types of sessions that need to be properly correlated.

QoS Signaling Session The time period during which a QoS signaling protocol establishes, maintains and deletes a QoS reservation state at the QoS network element is referred to as QoS signaling session. Different QoS signaling protocols use different ways to identify QoS signaling sessions. The same applies to different usage environments. Currently, this document supports three types of QoS session identifiers, namely a signaling session id (e.g., the Session Identifier used by the NSIS protocol suite), a flow id (e.g., identifier assigned by an application to a certain flow as used in the 3GPP) and a flow description based on the IP parameters of the flow's end points.

Diameter Authorization Session The time period, for which a Diameter server authorizes a requested service (i.e., QoS resource reservation) is referred to as a Diameter authorization session. It is identified by a Session-Id included in all Diameter messages used for management of the authorized service (initial authorization, re-authorization, termination), see [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#).

Application-layer Session The application layer session identifies the duration of an application layer service which requires provision of certain QoS. An application layer session identifier is provided by the QoS requesting entity in the QoS signaling messages, for example as part of the authorization token. In general, the application session identifier is opaque to the QoS aware NEs. It is included in the authorization request message sent to the AE and helps it to correlate the QoS authorization request to the application session state information.

Correlating these sessions is done at each of the three involved entities: The QoS requesting entity correlates the application with the QoS signaling sessions. The QoS NE correlates the QoS signaling session with the Diameter authorization sessions. The AE SHOULD bind the information about the three sessions together. Note that in certain scenarios not all of the sessions are present. For example, the application session might not be visible to QoS signaling protocol

directly if there is no binding between the application session and the QoS requesting entity using the QoS signaling protocol.

4. QoS Application Session Establishment and Management

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4.1. Parties Involved

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Authorization models supported by this application include three parties:

- *Resource Requesting Entity

- *Network Elements (Diameter QoS application (DQA) client)

- *Authorizing Entity (Diameter QoS application (DQA) server)

Note that the QoS RRE is only indirectly involved in the message exchange. This entity provides the trigger to initiate the Diameter QoS protocol interaction by transmitting QoS signaling messages. The Diameter QoS application is only executed between the Network Element (i.e., DQA client) and the Authorizing Entity (i.e., DQA server). The QoS RRE may communicate with the AE using application layer signaling for negotiation of service parameters. As part of this application layer protocol interaction, for example using SIP, authentication and authorization might take place. This message exchange is, however, outside the scope of this document. The protocol communication between the QoS resource requesting entity and the QoS NE might be accomplished using the NSIS protocol suite, RSVP or a link layer signaling protocol. A description of these protocols is also outside the scope of this document.

4.2. Session Establishment

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The Pull and Push modes use a different set of command codes for session establishment. For other operations, such as session modification and termination, they use the same set of command codes. The selection of Pull mode or Push mode operation is based on the trigger of the QoS Authorization session. When a QoS-Authorization-Request (QAR, see [Section 5.1 \(QoS-Authorization Request \(QAR\)\)](#)) message with a new session ID is received, the AE operates in the Pull mode; when other triggers are received, the AE operates in the Push

mode. Similarly, when a QoS-Install-Request (QIR, see [Section 5.3 \(QoS-Install Request \(QIR\)\)](#) with a new session ID is received, the NE operates in the Push mode; when other triggers are received, the NE operation in the Pull mode.

The QoS authorization session is typically established per subscriber base (i.e., all requests with the same user ID), but it is also possible to be established on per node or per request base. The concurrent sessions between an NE and an AE are identified by different Session-ID.

4.2.1. Session Establishment for Pull Mode

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A request for a QoS reservation or local events received by a NE can trigger the initiation of a Diameter QoS authorization session. The NE converts the required objects from the QoS signaling message to Diameter AVPs and generates a QAR message.

[Figure 6 \(Initial QoS Request Authorization for Pull Mode\)](#) shows the protocol interaction between a Resource Requesting Entity, a Network Element and the Authorizing Entity.

The AE's identity, information about the application session and/or identity and credentials of the QoS RRE, requested QoS parameters, signaling session identifier and/or QoS enabled data flows identifiers MAY be encapsulated into respective Diameter AVPs and included in the Diameter message sent to the AE. The QAR is sent to a Diameter server that can either be the home server of the QoS requesting entity or an AppS.

QoS-specific Input Data	Diameter AVPs
Authorizing entity ID (e.g., Destination-Host taken from authorization token, Destination-Realm or derived from the NAI of the QoS requesting entity)	Destination-Host Destination-Realm
Authorization Token Credentials of the QoS requesting entity	QoS-Authentication-Data User-Name

QoS-Resources (including QoS parameters)

Table 1: Mapping Input Data to QoS AVPs--Pull Mode

Authorization processing starts at the Diameter QoS server when it receives the QAR. Based on the information in the QoS-Authentication-Data, User-Name and QoS-Resources AVPs the server determines the

authorized QoS resources and flow state (enabled/disabled) from locally available information (e.g., policy information that may be previously established as part of an application layer signaling exchange, or the user's subscription profile). The QoS-Resources AVP is defined in [\[I-D.ietf-dime-qos-attributes\]](#) (Korhonen, J., Tschofenig, H., Arumaithurai, M., Jones, M., and A. Lior, "Traffic Classification and Quality of Service Attributes for Diameter," December 2009.). The authorization decision is then reflected in the response returned to the Diameter client with the QoS-Authorization-Answer message (QAA).

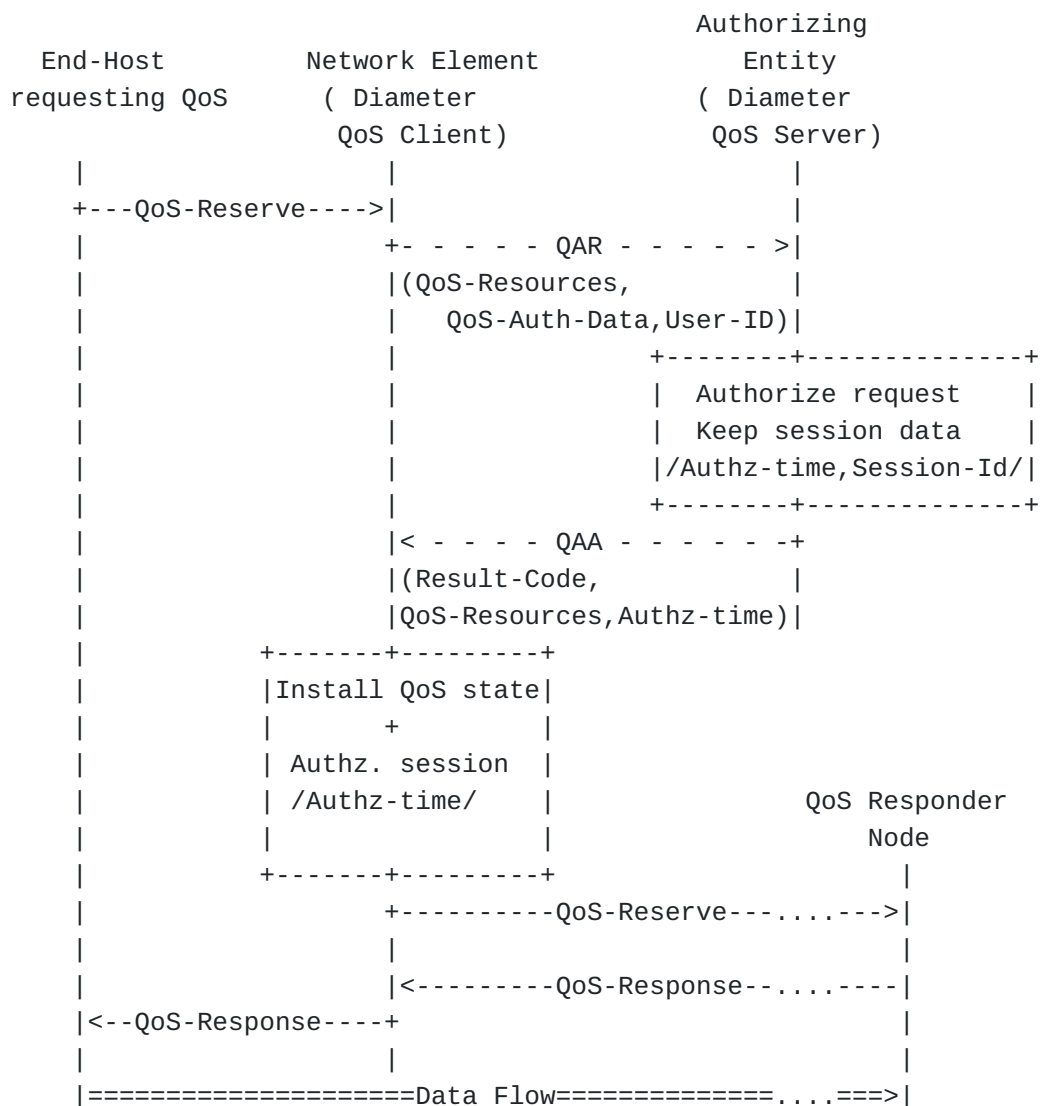


Figure 6: Initial QoS Request Authorization for Pull Mode

The Authorizing Entity keeps authorization session state and SHOULD save additional information for management of the session (e.g.,

Signaling-Session-Id, authentication data) as part of the session state information.

The final result of the authorization request is provided in the Result-Code AVP of the QAA message sent by the Authorizing Entity. In case of successful authorization (i.e., Result-Code = DIAMETER_LIMITED_SUCCESS, (see [Section 7.1 \(Reused Base Protocol AVPs\)](#))), information about the authorized QoS resources and the status of the authorized flow (enabled/disabled) is provided in the QoS-Resources AVP of the QAA message. The QoS information provided via the QAA is installed by the QoS Traffic Control function of the NE. The value DIAMETER_LIMITED_SUCCESS indicates that the AE expects confirmation via another QAR message for successful QoS resource reservation and for final reserved QoS resources (see below). One important piece of information returned from the Authorizing Entity is the authorization lifetime (carried inside the QAA). The authorization lifetime allows the NE to determine how long the authorization decision is valid for this particular QoS reservation. A number of factors may influence the authorized session duration, such as the user's subscription plan or currently available credits at the user's account (see [Section 8 \(Accounting\)](#)). The authorization duration is time-based, as specified in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#). For an extension of the authorization period, a new QoS-Authorization-Request/Answer message exchange SHOULD be initiated. Further aspects of QoS authorization session maintenance is discussed in [Section 4.3 \(Session Re-authorization\)](#), [Section 4.4 \(Session Termination\)](#) and [Section 8 \(Accounting\)](#).

The indication of a successful QoS reservation and activation of the data flow is provided by the transmission of a QAR message, which reports the parameters of the established QoS state: reserved resources, duration of the reservation, and identification of the QoS enabled flow/QoS signaling session. The Diameter QoS server acknowledges the reserved QoS resources with the QA Answer (QAA) message where the Result-Code is set to 'DIAMETER_SUCCESS'. Note that the reserved QoS resources reported in this QAR message MAY be different than those authorized with the initial QAA message, due to the QoS signaling specific behavior (e.g., receiver-initiated reservations with One-Path-With-Advertisements) or specific process of QoS negotiation along the data path.

4.2.2. Session Establishment for Push Mode

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The Diameter QoS server in the AE initiates a Diameter QoS authorization session upon the request for QoS reservation triggered by application layer signaling or by local events, and generates a QoS-

Install-Request (QIR) message to Diameter QoS client in the NE in which it maps required objects to Diameter payload objects.

[Figure 7 \(Initial QoS Request Authorization for Push Mode\)](#) shows the protocol interaction between the AE, a Network Element and a RRE. The NE's identity, information about the application session and/or identity and credentials of the QoS resource requesting entity, requested QoS parameters, signaling session identifier and/or QoS enabled data flows identifiers MAY be encapsulated into respective Diameter AVPs and included into the Diameter message sent from a Diameter QoS server in the Authorizing Entity to a Diameter QoS client in the NE. This requires that the AE has knowledge of specific information for allocating and identifying the NE that should be contacted and the data flow for which the QoS reservation should be established. This information can be statically configured or dynamically discovered, see [Section 4.2.3 \(Discovery and Selection of Peer Diameter QoS Application Node\)](#) for details.

QoS-specific Input Data	Diameter AVPs
Network Element ID	Destination-Host Destination-Realm
Authorization Token Credentials of the QoS requesting entity	QoS-Authorization-Data User-Name

QoS-Resources (including QoS parameters)

Table 2: Mapping Input Data to QoS AVPs--Push Mode

Authorization processing starts at the Diameter QoS server when it receives a request from a RRE through an AppS (e.g., SIP Invite) or is triggered by a local event (e.g., pre-configured timer). Based on the received information the server determines the authorized QoS resources and flow state (enabled/disabled) from locally available information (e.g., policy information that may be previously established as part of an application layer signaling exchange, or the user's subscription profile). The authorization decision is then reflected in the QoS-Install-Request message (QIR) to the Diameter QoS client.

One important piece of information from the AE is the authorization lifetime (carried inside the QIR). The authorization lifetime allows the NE to determine how long the authorization decision is valid for this particular QoS reservation. A number of factors may influence the authorized session duration, such as the user's subscription plan or currently available credits at the user's account (see [Section 8 \(Accounting\)](#)). The authorization duration is time-based as specified in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#). For an extension of the authorization period, a new QoS-Install-Request/Answer message or QoS-Authorization-Request/Answer message exchange SHOULD be initiated. Further aspects of QoS authorization session maintenance are discussed in [Section 4.3 \(Session Re-authorization\)](#), [Section 4.4 \(Session Termination\)](#) and [Section 8 \(Accounting\)](#).

The indication of QoS reservation and activation of the data flow can be provided by the QoS-Install-Answer message immediately. In the case of successful enforcement, the Result-Code (= DIAMETER_SUCCESS, (see [Section 7.1 \(Reused Base Protocol AVPs\)](#))) information is provided in the QIA message. Note that the reserved QoS resources reported in the QIA message may be different than those initially authorized with the QIR message, due to the QoS signaling specific behavior (e.g., receiver-initiated reservations with One-Path-With-Advertisements) or specific process of QoS negotiation along the data path. In the case Multiple AEs control the same NE, the NE should make the selection on the authorization decision to be enforced based on the priority of the request.

4.2.3. Discovery and Selection of Peer Diameter QoS Application Node

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The Diameter QoS application node may obtain information of its peer nodes (e.g., FQDN, IP address) through static configuration or dynamic discovery as described in Section 5.2 of [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#). In particular, the NE shall perform the relevant operation for Pull mode; the AE shall perform the relevant operations for Push mode.

Upon receipt of a trigger to initiate a new Diameter QoS authorization session, the Diameter QoS application node selects and retrieves the location information of the peer node that is associated with the affected user based on some index information provided by the RRE. For instance, it can be the Authorization Entity's ID stored in the authorization token, the end-user's identity (e.g., NAI [\[RFC4282\] \(Aboba, B., Beadles, M., Arkko, J., and P. Eronen, "The Network Access Identifier," December 2005.\)](#)) or a globally routable IP address.

4.3. Session Re-authorization

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Client and server-side initiated re-authorizations are considered in the design of the Diameter QoS application. Whether the re-authorization events are transparent for the resource requesting entity or result in specific actions in the QoS signaling protocol is outside the scope of the Diameter QoS application. It is directly dependent on the capabilities of the QoS signaling protocol.

There are a number of options for policy rules according to which the NE (AAA client) contacts the AE for re-authorization. These rules depend on the semantics and contents of the QAA message sent by the AE:

- a. The QAA message contains the authorized parameters of the flow and its QoS and sets their limits (presumably upper). With these parameters the AE specifies the services that the NE can provide and will be financially compensated for. Therefore, any change or request for change of the parameters of the flow and its QoS that do not conform to the authorized limits requires contacting the AE for authorization.
- b. The QAA message contains authorized parameters of the flow and its QoS. The rules that determine whether parameters' changes require re-authorization are agreed out of band, based on a Service Level Agreement (SLA) between the domains of the NE and the AE.
- c. The QAA message contains the authorized parameters of the flow and its QoS. Any change or request for change of these parameters requires contacting the AE for re-authorization.
- d. In addition to the authorized parameters of the flow and its QoS, the QAA message contains policy rules that determine the NEs actions in case of change or request for change in authorized parameters.

Provided options are not exhaustive. Elaborating on any of the listed approaches is deployment /solution specific and is not considered in the current document.

In addition, the AE may use a RAR (Re-Authorization-Request) to perform re-authorization with the authorized parameters directly when the re-authorization is triggered by service request or local events/policy rules.

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4.3.1. Client-Side Initiated Re-Authorization

The AE provides the duration of the authorization session as part of the QoS-Authorization-Answer message (QAA). At any time before expiration of this period, a new QoS-Authorization-Request message (QAR) MAY be sent to the AE. The transmission of the QAR MAY be triggered when the NE receives a QoS signaling message that requires modification of the authorized parameters of an ongoing QoS session, or authorization lifetime expires.

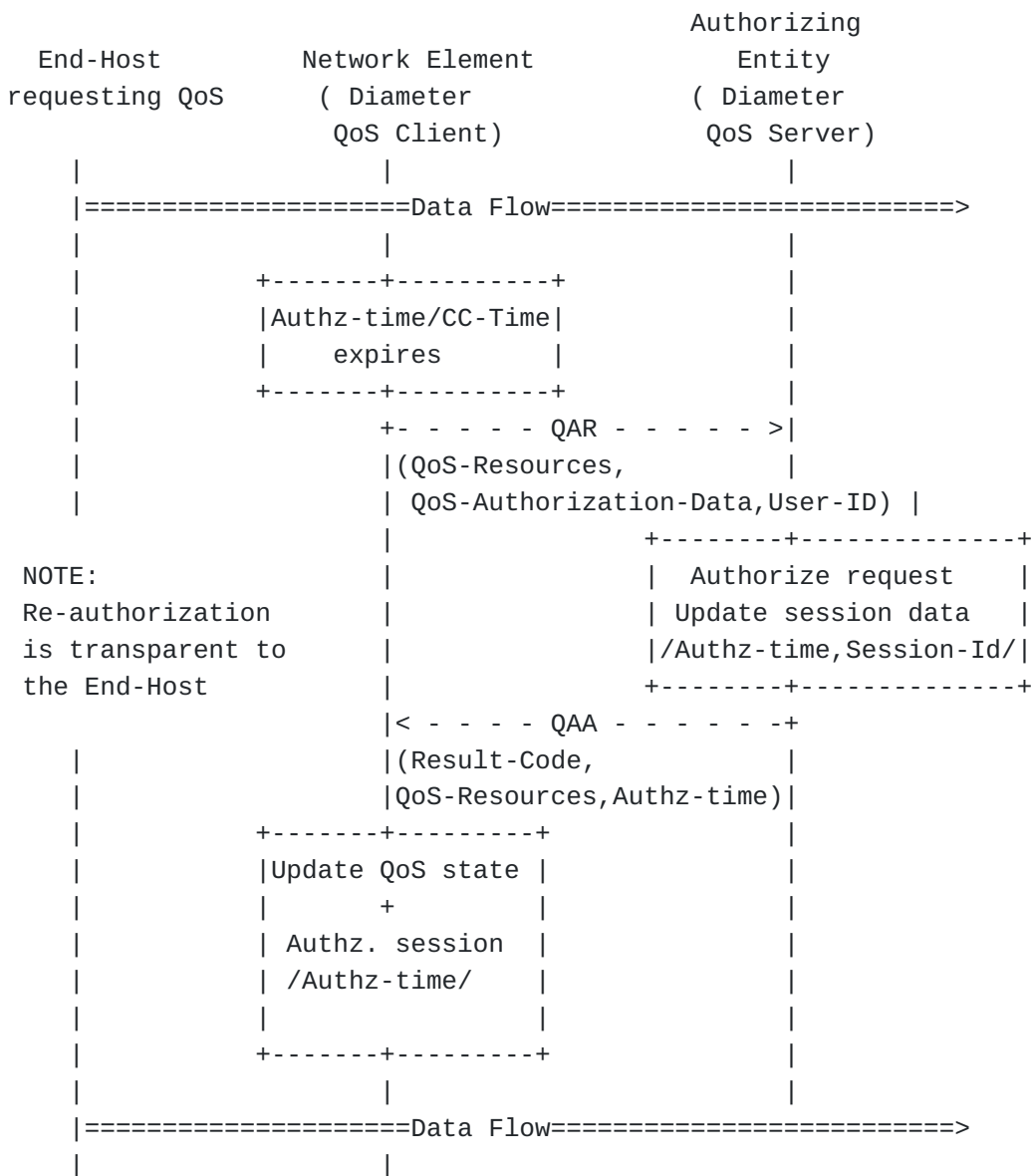


Figure 8: Client-side Initiated QoS Re-Authorization

4.3.2. Server-Side Initiated Re-Authorization

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The AE MAY initiate a QoS re-authorization by issuing a Re-Auth-Request message (RAR) as defined in the Diameter base protocol [\[RFC3588\]](#) ([Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.](#)), which may include the parameters of the re-authorized QoS state: reserved resources, duration of the reservation, identification of the QoS enabled flow/QoS signaling session for re-installation of the resource state by the QoS Traffic Control function of the NE.

A NE that receives such a RAR message with Session-Id matching a currently active QoS session acknowledges the request by sending the Re-Auth-Answer (RAA) message towards the AE.

If RAR does not include any parameters of the re-authorized QoS state, the NE MUST initiate a QoS re-authorization by sending a QoS-Authorization-Request (QAR) message towards the AE.

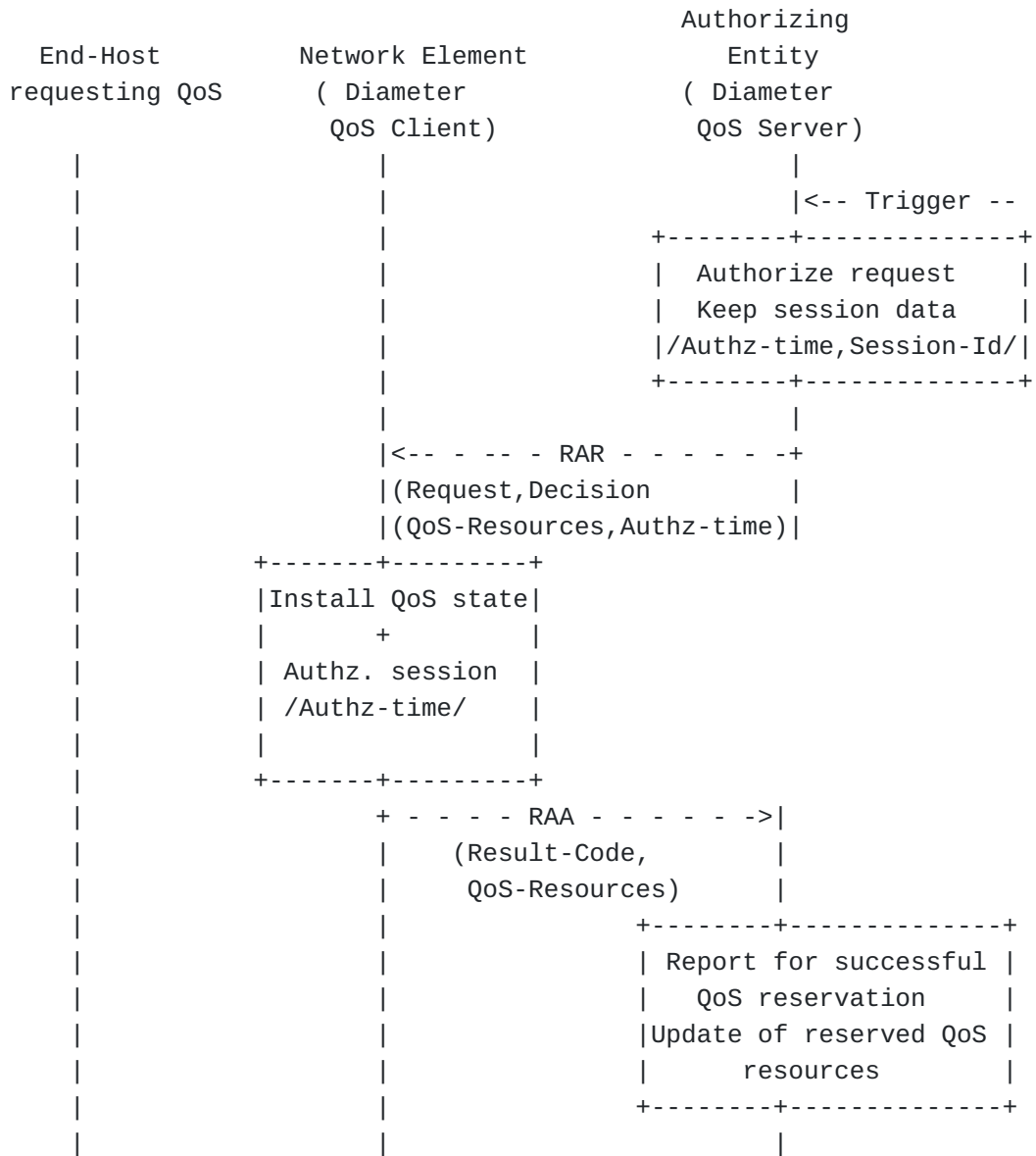


Figure 9: Server-side Initiated QoS Re-Authorization

4.4. Session Termination

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4.4.1. Client-Side Initiated Session Termination

The authorization session for an installed QoS reservation state MAY be terminated by the Diameter client by sending a Session-Termination-Request message (STR) to the Diameter server with a response Session-Termination-Acknowledgement message (STA). This is a Diameter base protocol function and it is defined in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#). Session termination can be caused by a QoS signaling messaging requesting deletion of the existing QoS reservation state or it can be caused as a result of a soft-state expiration of the QoS reservation state.

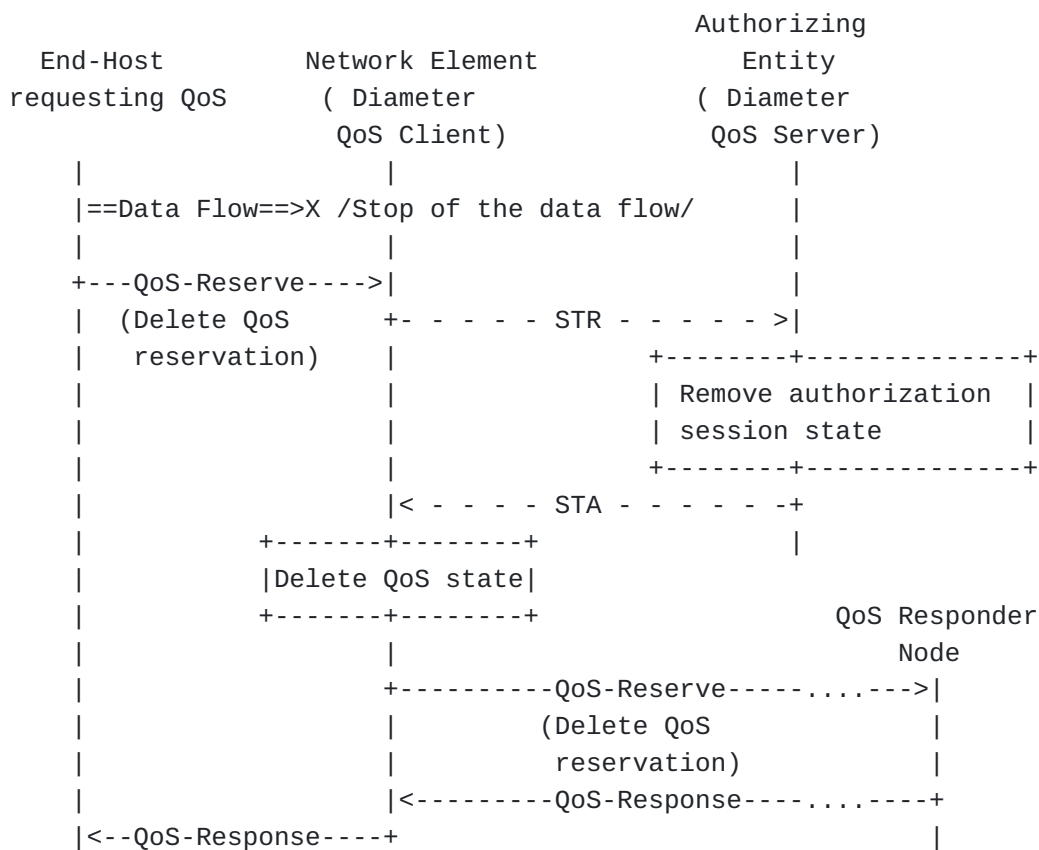


Figure 10: Client-Side Initiated Session Termination

4.4.2. Server-Side Initiated Session Termination

At anytime during a session the AE MAY send an Abort-Session-Request message (ASR) to the NE. This is a Diameter base protocol function and it is defined in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#). Possible reasons for initiating the ASR message to the NE are insufficient credits or session termination at the application layer. The ASR message results in termination of the authorized session, release of the reserved resources at the NE and transmission of an appropriate QoS signaling message indicating a notification to other Network Elements aware of the signaling session.

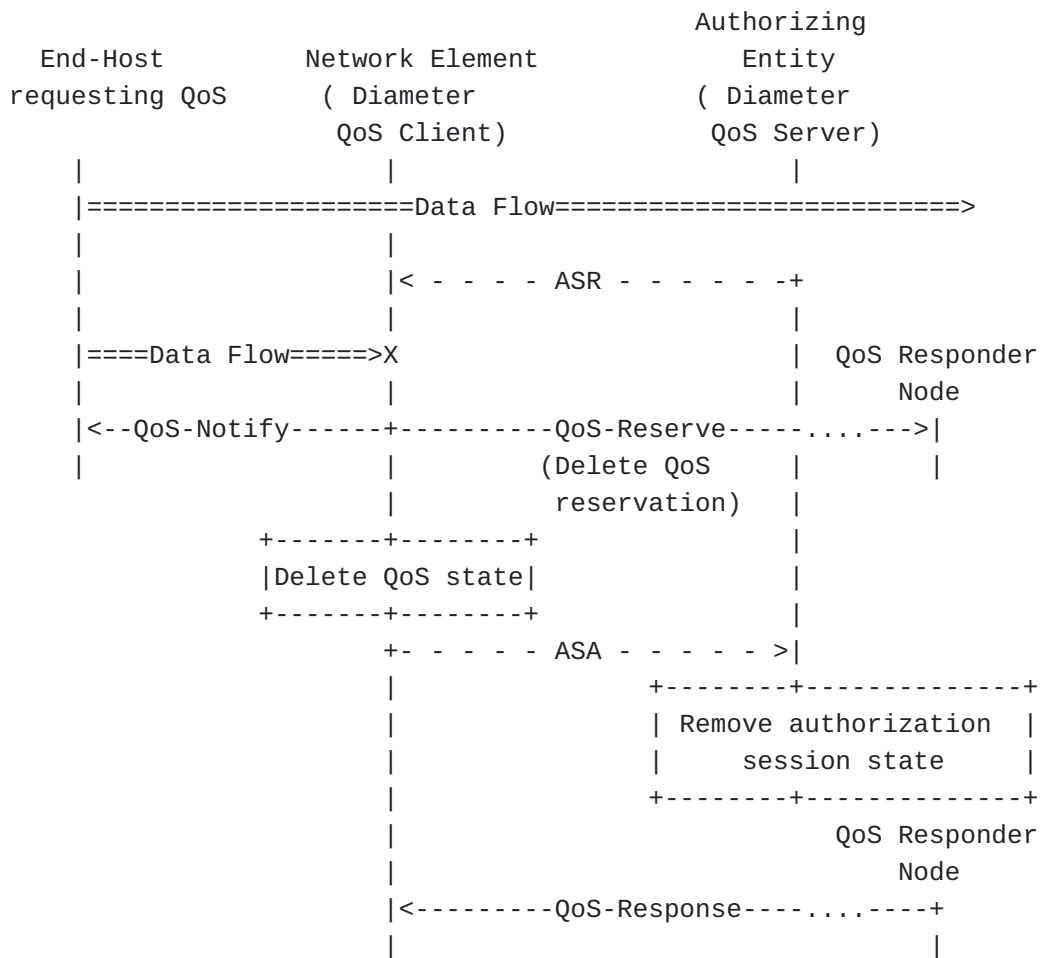


Figure 11: Server-Side Initiated Session Termination

5. QoS Application Messages

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The Diameter QoS application requires the definition of new mandatory AVPs and Command-codes (see Section 3 of [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#)). Four new Diameter messages are defined along with Command-Codes whose values MUST be supported by all Diameter implementations that conform to this specification.

Command Name	Abbrev.	Code	Reference
QoS-Authorization-Request	QAR	[TBD1]	Section 5.1 (QoS-Authorization Request (QAR))
QoS-Authorization-Answer	QAA	[TBD2]	Section 5.2 (QoS-Authorization Answer (QAA))
QoS-Install-Request	QIR	[TBD3]	Section 5.3 (QoS-Install Request (QIR))
QoS-Install-Answer	QIA	[TBD4]	Section 5.4 (QoS-Install Answer (QIA))

Table 3: Diameter QoS Commands

In addition, the following Diameter Base protocol messages are used in the Diameter QoS application:

Command-Name	Abbrev.	Code	Reference
Re-Auth-Request	RAR	258	[RFC3588] (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.)
Re-Auth-Answer	RAA	258	[RFC3588] (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.)
Abort-Session-Request	ASR	274	[RFC3588] (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.)
Abort-Session-Answer	ASA	274	[RFC3588] (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.)

Session-Term-Request	STR	275	[RFC3588] (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.)
Session-Term-Answer	STA	275	[RFC3588] (Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.)

Table 4: Diameter Base Commands

Diameter nodes conforming to this specification MAY advertise support for the Diameter QoS application by including the value of [TBD5] in the Auth-Application-Id or the Acct-Application-Id AVP of the Capabilities-Exchange-Request and Capabilities-Exchange-Answer commands, see [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#).

The value of {TBD5} MUST be used as the Application-Id in all QAR/QAA and QIR/QIA commands.

The value of zero (0) SHOULD be used as the Application-Id in all STR/STA, ASR/ASA, and RAR/RAA commands.

5.1. QoS-Authorization Request (QAR)

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The QoS-Authorization-Request message (QAR) indicated by the Command-Code field (see Section 3 of [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#)) set to [TBD1] and 'R' bit set in the Command Flags field is used by NEs to request quality of service related resource authorization for a given flow.

The QAR message MUST carry information for signaling session identification, AE identification, information about the requested QoS, and the identity of the QoS requesting entity. In addition, depending on the deployment scenario, an authorization token and credentials of the QoS requesting entity SHOULD be included.

The message format is defined as follows:

```

<QoS-Request> ::= < Diameter Header: [TBD1], REQ, PXY >
    < Session-Id >
    { Auth-Application-Id }
    { Origin-Host }
    { Origin-Realm }
    { Destination-Realm }
    { Auth-Request-Type }
    [ Destination-Host ]
    [ User-Name ]
    * [ QoS-Resources ]
    [ QoS-Authorization-Data ]
    [ Bound-Auth-Session-Id ]
    * [ AVP ]

```

5.2. QoS-Authorization Answer (QAA)

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The QoS-Authorization-Answer message (QAA), indicated by the Command-Code field set to [TBD2] and 'R' bit cleared in the Command Flags field is sent in response to the QoS-Authorization-Request message (QAR). If the QoS authorization request is successfully authorized, the response will include the AVPs to allow authorization of the QoS resources and transport plane gating information.

The message format is defined as follows:

```

<QoS-Answer> ::= < Diameter Header: [TBD2], PXY >
    < Session-Id >
    { Auth-Application-Id }
    { Auth-Request-Type }
    { Result-Code }
    { Origin-Host }
    { Origin-Realm }
    * [ QoS-Resources ]
    [ Acct-Multisession-Id ]
    [ Session-Timeout ]
    [ Authorization-Session-Lifetime ]
    [ Authorization-Grace-Period ]
    * [ AVP ]

```

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5.3. QoS-Install Request (QIR)

The QoS-Install Request message (QIR), indicated by the Command-Code field set to [TBD3] and 'R' bit set in the Command Flags field is used by AE to install or update the QoS parameters and the flow state of an authorized flow at the transport plane element.

The message MUST carry information for signaling session identification or identification of the flow to which the provided QoS rules apply, identity of the transport plane element, description of provided QoS parameters, flow state and duration of the provided authorization.

The message format is defined as follows:

```
<QoS-Install-Request> ::= < Diameter Header: [TBD3], REQ, PXY >
                           < Session-Id >
                           { Auth-Application-Id }
                           { Origin-Host }
                           { Origin-Realm }
                           { Destination-Realm }
                           { Auth-Request-Type }
                           [ Destination-Host ]
                           * [ QoS-Resources ]
                           [ Session-Timeout ]
                           [ Authorization-Session-Lifetime ]
                           [ Authorization-Grace-Period ]
                           [ Authorization-Session-Volume ]
                           * [ AVP ]
```

5.4. QoS-Install Answer (QIA)

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The QoS-Install Answer message (QIA), indicated by the Command-Code field set to [TBD4] and 'R' bit cleared in the Command Flags field is sent in response to the QoS-Install Request message (QIR) for confirmation of the result of the installation of the provided QoS reservation instructions.

The message format is defined as follows:

```
<QoS-Install-Answer> ::= < Diameter Header: [TBD4], PXY >
                           < Session-Id >
                           { Auth-Application-Id }
                           { Origin-Host }
                           { Origin-Realm }
                           { Result-Code }
                           * [ QoS-Resources ]
                           * [ AVP ]
```

5.5. Re-Auth-Request (RAR)

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The Re-Auth-Request message (RAR), indicated by the Command-Code field set to 258 and the 'R' bit set in the Command Flags field, is sent by the AE to the NE in order to initiate the QoS re-authorization from DQA server side.

If the RAR command is received by the NE without any parameters of the re-authorized QoS state, the NE MUST initiate a QoS re-authorization by sending a QoS-Authorization-Request (QAR) message towards the AE.

The message format is defined as follows:

```
<RAR> ::= < Diameter Header: 258, REQ, PXY >
        < Session-Id >
        { Origin-Host }
        { Origin-Realm }
        { Destination-Realm }
        { Destination-Host }
        { Auth-Application-Id }
        { Re-Auth-Request-Type }
        [ User-Name ]
        [ Origin-State-Id ]
        * [ Proxy-Info ]
        * [ Route-Record ]
        * [ QoS-Resources ]
        [ Session-Timeout ]
        [ Authorization-Session-Lifetime ]
        [ Authorization-Grace-Period ]
        [ Authorization-Session-Volume ]
        * [ AVP ]
```

5.6. Re-Auth-Answer (RAA)

[TOC](#)

The Re-Auth-Answer message (RAA), indicated by the Command-Code field set to 258 and the 'R' bit cleared in the Command Flags field, is sent by the NE to the AE in response to the RAR command.

The message format is defined as follows:

```

<RAA> ::= < Diameter Header: 258, PXY >
        < Session-Id >
        { Result-Code }
        { Origin-Host }
        { Origin-Realm }
        [ User-Name ]
        [ Origin-State-Id ]
        [ Error-Message ]
        [ Error-Reporting-Host ]
        * [ Failed-AVP ]
        * [ Redirect-Host ]
        [ Redirect-Host-Usage ]
        [ Redirect-Host-Cache-Time ]
        * [ Proxy-Info ]
        * [ QoS-Resources ]
        * [ AVP ]

```

6. QoS Application State Machine

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The QoS application defines its own state machine that is based on the authorization state machine defined in Section 8.1 of the Base Protocol ([\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#)). The QoS state machine uses own messages as defined in [Section 5 \(QoS Application Messages\)](#) and QoS AVPs as defined in [Section 7 \(QoS Application AVPs\)](#).

6.1. Supplemented States for Push Mode

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Using the Base Protocol state machine as a basis, the following states are supplemented to first 2 state machines in which the session state is maintained on the Server. These MUST be supported in any QoS application implementations in support of server initiated push mode ([see \(Session Establishment for Push Mode\)](#)).

The following states are supplemented to the state machine on the Server when state is maintained on the client as defined in Section 8.1 of the Base Protocol [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#):

SERVER, STATEFUL			
State	Event	Action	New State
Idle	An application or local event triggers an initial QoS request to the server	Send QIR initial request	Pending
Pending	Received QIA with a failed Result-Code	Cleanup	Idle
Pending	Received QIA with Result-Code = SUCCESS	Update session	Open
Pending	Error in processing received QIA with Result-Code = SUCCESS	Send ASR	Discon

The following states are supplemented to the state machine on the client when state is maintained on the server as defined in Section 8.1 of the Base Protocol [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#):

CLIENT, STATEFUL			
State	Event	Action	New State
Idle	QIR initial request received and successfully processed	Send QIA initial answer, reserve resources	Open
Idle	QIR initial request received but not successfully processed	Send QIA initial answer with Result-Code != SUCCESS	Idle

7. QoS Application AVPs

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Each of the AVPs identified in the QoS-Authorization-Request/Answer and QoS-Install-Request/Answer messages and the assignment of their value(s) is given in this section.

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7.1. Reused Base Protocol AVPs

The QoS application uses a number of session management AVPs, defined in the Base Protocol ([\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#)).

Attribute Name	AVP Code	Reference [RFC3588]
Origin-Host	264	Section 6.3
Origin-Realm	296	Section 6.4
Destination-Host	293	Section 6.5
Destination-Realm	283	Section 6.6
Auth-Application-Id	258	Section 6.8
Result-Code	268	Section 7.1
Auth-Request-Type	274	Section 8.7
Session-Id	263	Section 8.8
Authorization-Lifetime	291	Section 8.9
Authorization-Grace-Period	276	Section 8.10
Session-Timeout	27	Section 8.13
User-Name	1	Section 8.14

The Auth-Application-Id AVP (AVP Code 258) is assigned by IANA to Diameter applications. The value of the Auth-Application-Id for the Diameter QoS application is TBD.

7.2. QoS Application Defined AVPs

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This document reuses the AVPs defined in Section 4 of [\[I-D.ietf-dime-qos-attributes\] \(Korhonen, J., Tschofenig, H., Arumaithurai, M., Jones, M., and A. Lior, "Traffic Classification and Quality of Service Attributes for Diameter," December 2009.\)](#).

This section lists the AVPs that are introduced specifically for the QoS application. The following new AVPs are defined: Bound-Auth-Session-Id and the QoS-Authorization-Data AVP.

The following table describes the Diameter AVPs newly defined in this document for usage with the QoS Application, their AVP code values, types, possible flag values, and whether the AVP may be encrypted.

final exchange of accounting messages for terminating of the accounting session and reporting final records for the usage of the QoS resources reserved. It should be noted that the two sessions (authorization and accounting) have independent management by the Diameter base protocol, which allows for finalizing the accounting session after the end of the authorization session.

The detailed QoS accounting procedures are out of scope in this document.

9. Examples

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9.1. Example Call Flow for Pull Mode (Success Case)

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This section presents an example of the interaction between the end host and Diameter QoS application entities using Pull mode. The application layer signaling is, in this example, provided using SIP. Signaling for a QoS resource reservation is done using the QoS NSLP. The authorization of the QoS reservation request is done by the Diameter QoS application (DQA).

End-Host requesting QoS	SIP Proxy (DQA Server)	Correspondent Node
..Application layer SIP signaling.....		
Invite (SDP)		.
+.....->		
100 Trying		.
<.....+ Invite (SDP) .		
+.....> .		
180 SDP' .		
<.....+ .		
+-----+-----+ .		
Authorize session .		
parameters .		
180 (Session parameters)	+-----+-----+	.
<.....-+ .		
.....		
+-----+		
NE		
(DQA Client)		
+-----+		
QoS NSLP Reserve		
+-----> QAR		
(POLICY_DATA>v +- - - - <<AAA>>- - - ->		
QSPEC) v >====>(Destination-Host,		
v >=====>QoS-Authorization-Data++-----+		
>=====>QoS-Resources) Authorize		
QoS resources		
++-----+		
QAA		
<- - - - <<AAA>>- - - -+		
(Result-Code,		
QoS-Resources,		
Authorization-Lifetime)		
+-----+		
Install QoS state1		
+ Authz. session		
+-----+		
QoS NSLP Reserve		
+----->		
QoS NSLP Response		
QoS NSLP Response <-----+		
<-----+		
QoS NSLP Query		

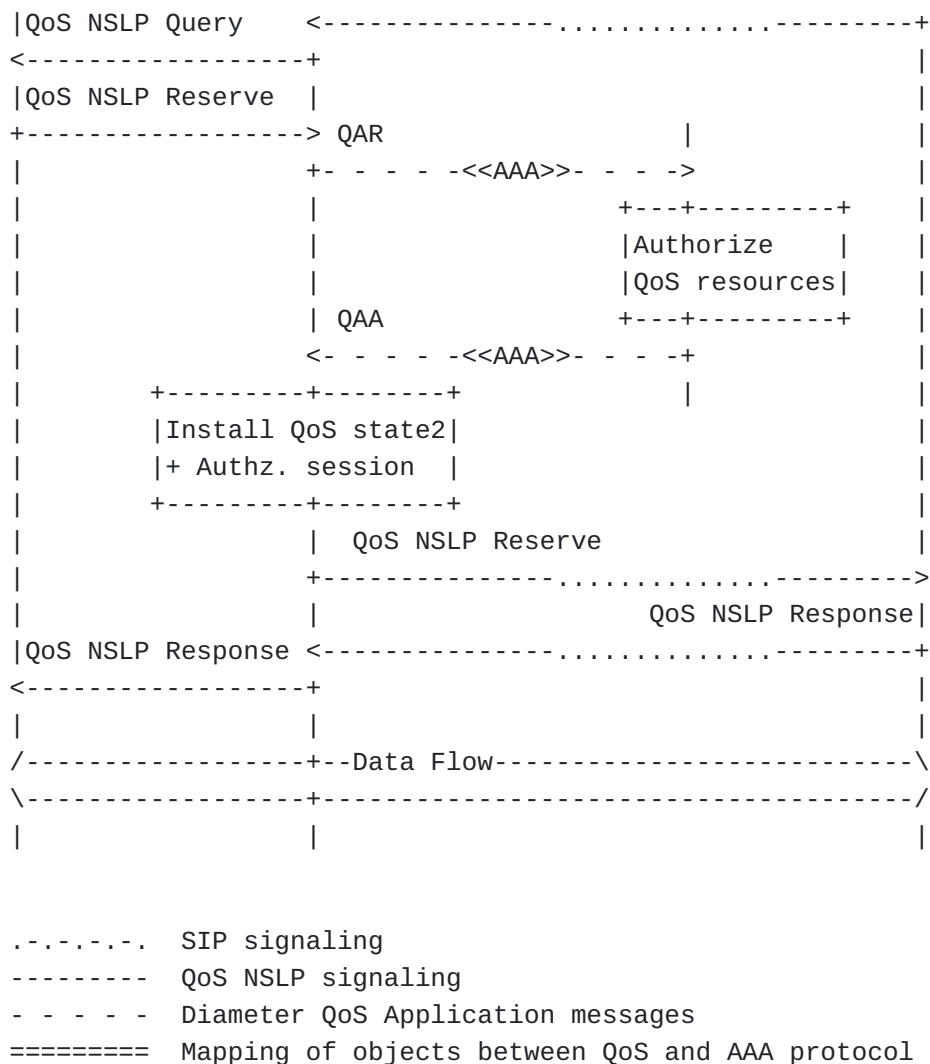


Figure 12: QoS Authorization Example - Pull Mode

The communication starts with SIP signaling between the two end points and the SIP proxy for negotiation and authorization of the requested service and its parameters (see [Figure 12 \(QoS Authorization Example - Pull Mode\)](#)). As a part of the process, the SIP proxy verifies whether the user at Host A is authorized to use the requested service (and potentially the ability to be charged for the service usage). Negotiated session parameters are provided to the end host. Subsequently, Host A initiates a QoS signaling message towards Host B. It sends a QoS NSLP Reserve message, in which it includes description of the required QoS (QSPEC object) and authorization data for negotiated service session (part of the POLICY_DATA object). Authorization data includes, as a minimum, the identity of the AE (e.g., the SIP proxy) and an identifier of the application service session for which QoS resources are requested.

A QoS NSLP Reserve message is intercepted and processed by the first QoS aware Network Element. The NE uses the Diameter QoS application to request authorization for the received QoS reservation request. The identity of the AE (in this case the SIP server that is co-located with a Diameter server) is put into the Destination-Host AVP, any additional session authorization data is encapsulated into the QoS-Authorization-Data AVP and the description of the QoS resources is included into QoS-Resources AVP. These AVPs are included into a QoS Authorization Request message, which is sent to the AE.

A QAR message will be routed through the AAA network to the AE. The AE verifies the requested QoS against the QoS resources negotiated for the service session and replies with QoS-Authorization answer (QAA) message. It carries the authorization result (Result-Code AVP) and the description of the authorized QoS parameters (QoS-Resources AVP), as well as duration of the authorization session (Authorization-Lifetime AVP).

The NE interacts with the traffic control function and installs the authorized QoS resources and forwards the QoS NSLP Reserve message further along the data path. Moreover, the NE may serve as a signaling proxy and process the QoS signaling (e.g., initiation or termination of QoS signaling) based on the QoS decision received from the authorizing entity.

9.2. Example Call Flow for Pull Mode (Failure Case)

[TOC](#)

This section illustrates the example shown [Section 9.2 \(Example Call Flow for Pull Mode \(Failure Case\)\)](#) that fails due to an authorization failure. Failures can occur in various steps throughout the protocol execution and in this example we assume that the Diameter QAR request processed by the Diameter server leads to an unsuccessful result. The QAA message responds, in this example, with a permanent error "DIAMETER_AUTHORIZATION_REJECTED" (5003) set in the Result-Code AVP. When the NE receives this response it discontinues the QoS reservation signaling downstream and provides an error message back to the end host that initiated the QoS signaling request. The QoS NSLP RESPONSE signaling message would in this case carry a INFO_SPEC object indicating the permanent failure as "Authorization failure" (0x02).

End-Host	SIP Proxy	Correspondent
requesting QoS	(DQA Server)	Node

..SIP Signaling.....		
. Invite (SDP)		.
. +.....->		.
. 100 Trying		.
. <.....+ Invite (SDP)		.
.	+.....>	.
.	180 SDP'	.
.	<.....+	.
.	+-----+	.
.	Authorize session	.
.	parameters	.
. 180 (Session parameters)	+-----+	.
. <.....+		.
..		
	+-----+	
	NE	
	(DQA Client)	
	+-----+	
QoS NSLP Reserve		
+-----> QAR		
(POLICY_DATA>v +- - - - <<AAA>>- - - ->		
QSPEC) v >==>(Destination-Host,		
v >=====>QoS-Authorization-Data++-----+		
>=====>QoS-Resources) Authorize		
	QoS resources	
	++-----+	
QAA		
<- - - - <<AAA>>- - - -+		
(Result-Code = 5003)		
QoS NSLP Response		
(with error 0x02)		
<-----+		

..-..-.. SIP signaling

----- QoS NSLP signaling

- - - - - Diameter QoS Application messages

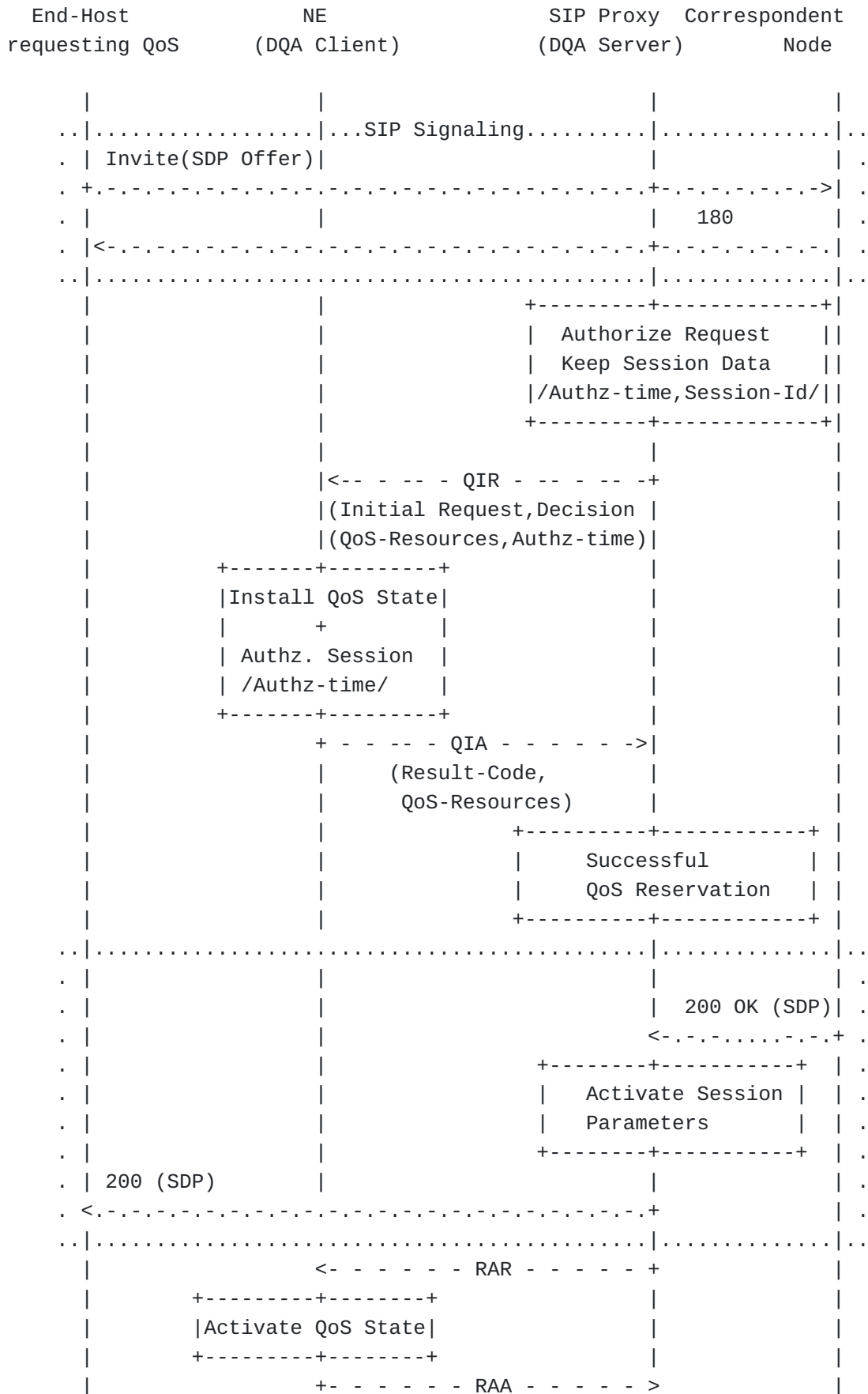
===== Mapping of objects between QoS and AAA protocol

Figure 13: QoS Authorization Example - Pull Mode (Failure Case)

9.3. Example Call Flow for Push Mode

[TOC](#)

This section presents an example of the interaction between the end host and Diameter QoS application entities using Push mode. The application layer signaling is, in this example, provided using SIP. Signaling for a QoS resource reservation is done using the QoS NSLP. The authorization of the QoS reservation request is done by the Diameter QoS application (DQA).



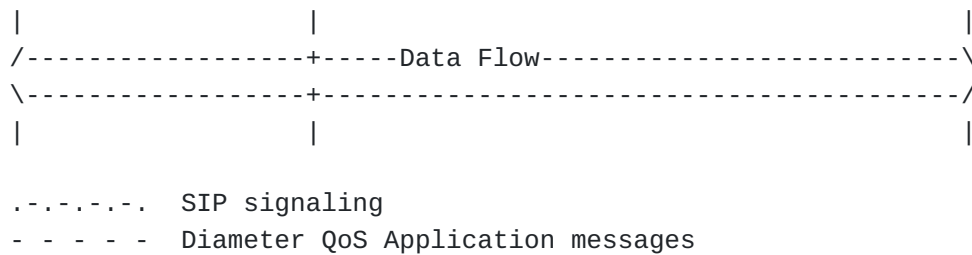


Figure 14: QoS Authorization Example - Push Mode

The communication starts with SIP signaling between the two end points and the SIP proxy for negotiation and authorization of the requested service and its parameters (see [Figure 14 \(QoS Authorization Example - Push Mode\)](#)). As a part of the process, the SIP proxy verifies whether the user at Host A is authorized to use the requested service (and potentially the ability to be charged for the service usage). A few implementation choices exist regarding the decision about when to initiate the QoS reservation. [\[I-D.ietf-mmusic-media-path-middleboxes\] \(Stucker, B. and H. Tschofenig, "Analysis of Middlebox Interactions for Signaling Protocol Communication along the Media Path," March 2009.\)](#) discusses this aspect with a focus on firewalling. In the example above the DQA server is triggered to authorize the QoS request based on session parameters from the SDP payload. It will use a QIR message to do so. For this example message flow we assume a two-stage commit, i.e. the SIP proxy interacts with the NE twice. First, it only prepares the QoS reservation and then with the arrival of the 200 OK the QoS reservation is activated.

This example does not describe how the DQA server learns which DQA client to contact. We assume pre-configuration in this example. In any case, the address of DQA client is put into the Destination-Host AVP, the description of the QoS resources is included into QoS-Resources AVP, and the duration of the authorization session is carried in the Authorization-Lifetime AVP.

When the DQA client receives the QIR it interacts with the traffic control function and reserves the authorized QoS resources accordingly. At this point in time the QoS reservation is not yet activated. When a 200 OK is returned the DQA server may verify the accepted QoS against the pre-authorized QoS resources, and sends a Diameter RAR message to the DQA client in the NE for activating the installed policies and commit the resource allocation.

10. IANA Considerations

This section contains the namespaces that have either been created in this specification or had their values assigned to existing namespaces managed by IANA.

10.1. AVP Codes

[TOC](#)

IANA is requested to allocate two AVP codes to the registry defined in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#):

Registry:

AVP Code	AVP Name	Reference

to be assigned	QoS-Authorization-Data	Section 7.2
to be assigned	Bound-Auth-Session-Id	Section 7.2

10.2. Application IDs

[TOC](#)

IANA is requested to allocate the following application ID from the registry defined in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#) (using the next available value from the 7-16777215 range).

Registry:

ID values	Name	Reference

to be assigned	Diameter QoS application	Section 5

10.3. Command Codes

[TOC](#)

IANA is requested to allocate command code values from the registry defined in [\[RFC3588\] \(Calhoun, P., Loughney, J., Guttman, E., Zorn, G., and J. Arkko, "Diameter Base Protocol," September 2003.\)](#).

Registry:

Code	Value	Name	Reference

TBD		QoS-Authorization-Request (QAR)	Section 5.1
TBD		QoS-Authorization-Answer (QAA)	Section 5.2
TBD		QoS-Install-Request (QIR)	Section 5.3
TBD		QoS-Install-Answer (QIA)	Section 5.4

11. Security Considerations

[TOC](#)

This document describes a mechanism for performing authorization of a QoS reservation at a third party entity. The Authorizing Entity needs sufficient information to make such an authorization decision and this information may come from various sources, including the application layer signaling, the Diameter protocol (with its security mechanisms), from policy information stored available with a AAA server and from a QoS signaling protocol.

Below there is a discussion about considerations for the Diameter QoS interaction between an Authorizing Entity and a Network Element.

Security between the Authorizing Entity and the Network Element has a number of components: authentication, authorization, integrity and confidentiality.

Authentication refers to confirming the identity of an originator for all datagrams received from the originator. Lack of authentication of Diameter messages between the Authorizing Entity and the Network Element can seriously jeopardize the fundamental service rendered by the Network Element. A consequence of not authenticating the message sender by the Network Element would be that an attacker could spoof the identity of a "legitimate" Authorizing Entity in order to allocate resources, change resource assignments or free resources. The adversary can also manipulate the state at the Network Element in such a way that it leads to a denial of service attack by, for example, setting the allowed bandwidth to zero or allocating the entire bandwidth available to a single flow.

A consequence of not authenticating the Network Element to an Authorizing Entity is that an attacker could impact the policy based admission control procedure operated by the Authorizing Entity that provides a wrong view of the resources used in the network. Failing to provide the required credentials should be subject to logging. Authorization refers to whether a particular Authorizing Entity is authorized to signal a Network Element with requests for one or more applications, adhering to a certain policy profile. Failing the authorization process might indicate a resource theft attempt or failure due to administrative and/or credential deficiencies. In either

case, the Network Element should take the proper measures to log such attempts.

Integrity is required to ensure that a Diameter message has not been maliciously altered. The result of a lack of data integrity enforcement in an untrusted environment could be that an imposter will alter the messages exchanged between a Network Entity and an Authorizing Entity potentially causing a denial of service.

Confidentiality protection of Diameter messages ensures that the signaling data is accessible only to the authorized entities. When signaling messages from the Application Server, via the Authorizing Entity towards the Network Element traverse untrusted networks, lack of confidentiality will allow eavesdropping and traffic analysis.

Additionally, Diameter QoS messages may carry authorization tokens that require confidentiality protection.

Diameter offers security mechanisms to deal with the functionality demanded in the paragraphs above. In particular, Diameter offers communication security between neighboring Diameter peers using Transport Layer Security (TLS) or IPsec. Authorization capabilities are application specific and part of the overall implementation.

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13. Contributors

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