

INTERNET-DRAFT
<draft-ietf-dnsext-gss-tsig-05.txt>
January 30, 2002
Expires July 30, 2002

Stuart Kwan
Praerit Garg
James Gilroy
Levon Esibov
Jeff Westhead
Microsoft Corp.
Randy Hall
Lucent Technologies

GSS Algorithm for TSIG (GSS-TSIG)

Status of this Memo

This document is an Internet-Draft and is in full conformance with all provisions of [Section 10 of RFC2026](#).

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

The list of current Internet-Drafts can be accessed at <http://www.ietf.org/ietf/1id-abstracts.txt>

The list of Internet-Draft Shadow Directories can be accessed at <http://www.ietf.org/shadow.html>.

Abstract

The TSIG protocol provides transaction level authentication for DNS. TSIG is extensible through the definition of new algorithms. This document specifies an algorithm based on the Generic Security Service Application Program Interface (GSS-API) ([RFC2743](#)).

Expires July 30, 2002

[Page 1]

Table of Contents

1: Introduction.....	2
2: Algorithm Overview.....	3
2.1: GSS Details.....	4
3: Client Protocol Details.....	4
3.1: Negotiating Context.....	4
3.1.1: Call GSS_Init_sec_context.....	5
3.1.2: Send TKEY Query to Server.....	6
3.1.3: Receive TKEY Query-Response from Server.....	7
3.2: Context Established.....	9
3.2.1: Terminating a Context.....	10
4: Server Protocol Details.....	10
4.1: Negotiating Context.....	10
4.1.1: Receive TKEY Query from Client.....	10
4.1.2: Call GSS_Accept_sec_context.....	11
4.1.3: Send TKEY Query-Response to Client.....	11
4.2: Context Established.....	13
4.2.1: Terminating a Context.....	13
5: Sending and Verifying Signed Messages.....	13
5.1: Sending a Signed Message - Call GSS_GetMIC.....	13
5.2: Verifying a Signed Message - Call GSS_VerifyMIC.....	14
6: Example usage of GSS-TSIG algorithm.....	15
7: Security Considerations.....	19
8: IANA Considerations.....	19
9: Conformance.....	19
10: Acknowledgements.....	20
11: References.....	20

[1. Introduction](#)

The Secret Key Transaction Authentication for DNS (TSIG) [[RFC2845](#)] protocol was developed to provide a lightweight authentication and integrity of messages between two DNS entities, such as client and server or server and server. TSIG can be used to protect dynamic update messages, authenticate regular message or to off-load complicated DNSSEC [[RFC2535](#)] processing from a client to a server and still allow the client to be assured of the integrity of the answers.

The TSIG protocol [[RFC2845](#)] is extensible through the definition of new algorithms. This document specifies an algorithm based on the Generic Security Service Application Program Interface (GSS-API) [[RFC2743](#)]. GSS-API is a framework that provides an abstraction of security to the application protocol developer. The security services offered can include authentication, integrity, and confidentiality.

The GSS-API framework has several benefits:

- * Mechanism and protocol independence. The underlying mechanisms that

realize the security services can be negotiated on the fly and varied over time. For example, a client and server MAY use Kerberos [[RFC1964](#)] for one transaction, whereas that same server MAY use SPKM [[RFC2025](#)] with a different client.

Expires July 30, 2002

[Page 2]

* The protocol developer is removed from the responsibility of creating and managing a security infrastructure. For example, the developer does not need to create new key distribution or key management systems. Instead the developer relies on the security service mechanism to manage this on its behalf.

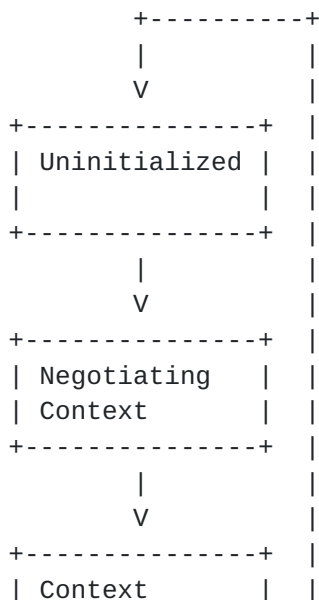
The scope of this document is limited to the description of an authentication mechanism only. It does not discuss and/or propose an authorization mechanism. Readers that are unfamiliar with GSS-API concepts are encouraged to read the characteristics and concepts section of [[RFC2743](#)] before examining this protocol in detail. It is also assumed that the reader is familiar with [[RFC2845](#)], [[RFC2930](#)], [[RFC1034](#)] and [[RFC1035](#)].

The key words "MUST", "MUST NOT", "REQUIRED", "SHOULD", "SHOULD NOT", "RECOMMENDED", and "MAY" in this document are to be interpreted as described in [RFC 2119](#) [[RFC2119](#)].

2. Algorithm Overview

In GSS, client and server interact to create a "security context". The security context can be used to create and verify transaction signatures on messages between the two parties. A unique security context is required for each unique connection between client and server.

Creating a security context involves a negotiation between client and server. Once a context has been established, it has a finite lifetime for which it can be used to secure messages. Thus there are three states of a context associated with a connection:



	Established		
+-----+			
	+-----+		

Expires July 30, 2002

[Page 3]

Every connection begins in the uninitialized state.

2.1 GSS Details

Client and server **MUST** be locally authenticated and have acquired default credentials before using this protocol as specified in [Section 1.1.1 "Credentials"](#) in [RFC 2743](#) [[RFC2743](#)].

The GSS-TSIG algorithm consists of two stages:

I. Establish security context. The Client and Server use the GSS_Init_sec_context and GSS_Accept_sec_context APIs to generate the tokens that they pass to each other using [[RFC2930](#)] as a transport mechanism.

II. Once the security context is established it is used to generate and verify signatures using GSS_GetMIC and GSS_VerifyMIC APIs. These signatures are exchanged by the Client and Server as a part of the TSIG records exchanged in DNS messages sent between the Client and Server, as described in [[RFC2845](#)].

3. Client Protocol Details

A unique context is required for each server to which the client sends secure messages. A context is identified by a context handle. A client maintains a mapping of servers to handles,

(target_name, key_name, context_handle)

The value key_name also identifies a context handle. The key_name is the owner name of the TKEY and TSIG records sent between a client and a server to indicate to each other which context **MUST** be used to process the current request.

DNS client and server **MAY** use various underlying security mechanisms to establish security context as described in sections [3](#) and [4](#). At the same time, in order to guarantee interoperability between DNS clients and servers that support GSS-TSIG it is **REQUIRED** that security mechanism used by client enables use of Kerberos v5 (see [Section 9](#) for more information).

3.1 Negotiating Context

In GSS, establishing a security context involves the passing of opaque tokens between the client and the server. The client generates the initial token and sends it to the server. The server processes the

token and if necessary, returns a subsequent token to the client. The client processes this token, and so on, until the negotiation is complete. The number of times the client and server exchange tokens

Expires July 30, 2002

[Page 4]

depends on the underlying security mechanism. A completed negotiation results in a context handle.

The TKEY resource record [[RFC2930](#)] is used as the vehicle to transfer tokens between client and server. The TKEY record is a general mechanism for establishing secret keys for use with TSIG. For more information, see [[RFC2930](#)].

3.1.1 Call GSS_Init_sec_context

To obtain the first token to be sent to a server, a client MUST call GSS_Init_sec_context API.

The following input parameters MUST be used. The outcome of the call is indicated with the output values below. Consult Sections [2.2.1](#) "GSS_Init_sec_context call" of [[RFC2743](#)] for syntax definitions.

INPUTS

CREDENTIAL HANDLE claimant_cred_handle = NULL (NULL specifies "use default"). Client MAY instead specify some other valid handle to its credentials.

CONTEXT HANDLE input_context_handle = 0

INTERNAL NAME targ_name = "DNS@<target_server_name>"

OBJECT IDENTIFIER mech_type = Underlying security mechanism chosen by implementers. To guarantee interoperability of the implementations of the GSS-TSIG mechanism client MUST specify a valid underlying security mechanism that enables use of Kerberos v5 (see [Section 9](#) for more information).

OCTET STRING input_token = NULL

BOOLEAN replay_det_req_flag = TRUE

BOOLEAN mutual_req_flag = TRUE

BOOLEAN deleg_req_flag = TRUE

BOOLEAN sequence_req_flag = TRUE

BOOLEAN anon_req_flag = FALSE

BOOLEAN integ_req_flag = TRUE

INTEGER lifetime_req = 0 (0 requests a default value). Client MAY instead specify another upper bound for the lifetime of the context to be established in seconds.

OCTET STRING chan_bindings = Any valid channel bindings as specified in [Section 1.1.6](#) "Channel Bindings" in [[RFC2743](#)]

OUTPUTS

INTEGER major_status

CONTEXT HANDLE output_context_handle

OCTET STRING output_token

BOOLEAN replay_det_state

BOOLEAN mutual_state

INTEGER minor_status

OBJECT IDENTIFIER	mech_type
BOOLEAN	deleg_state
BOOLEAN	sequence_state
BOOLEAN	anon_state

Expires July 30, 2002

[Page 5]

BOOLEAN	trans_state
BOOLEAN	prot_ready_state
BOOLEAN	conf_avail
BOOLEAN	integ_avail
INTEGER	lifetime_rec

If returned `major_status` is set to one of the following errors

- GSS_S_DEFECTIVE_TOKEN
- GSS_S_DEFECTIVE_CREDENTIAL
- GSS_S_BAD_SIG (GSS_S_BAD_MIC)
- GSS_S_NO_CRED
- GSS_S_CREDENTIALS_EXPIRED
- GSS_S_BAD_BINDINGS
- GSS_S_OLD_TOKEN
- GSS_S_DUPLICATE_TOKEN
- GSS_S_NO_CONTEXT
- GSS_S_BAD_NAMETYPE
- GSS_S_BAD_NAME
- GSS_S_BAD_MECH
- GSS_S_FAILURE

then the the client MUST abandon the algorithm and MUST NOT use the GSS-TSIG algorithm to establish this security contex. This document does not prescribe which other mechanism could be used to establish a security context. Next time when this client needs to establish security context, the client MAY use GSS-TSIG algorithm.

Success values of `major_status` are GSS_S_CONTINUE_NEEDED and GSS_S_COMPLETE. The exact success code is important during later processing.

The values of `replay_det_state` and `mutual_state` indicate if the security package provides replay detection and mutual authentication, respectively. If returned `major_status` is GSS_S_COMPLETE AND one or both of these values are FALSE, the client MUST abandon this algorithm.

Client's behavior MAY depend on other OUTPUT parameters according to the policy local to the client.

The handle `output_context_handle` is unique to this negotiation and is stored in the client's mapping table as the `context_handle` that maps to `target_name`.

3.1.2 Send TKEY Query to Server

An opaque `output_token` returned by `GSS_Init_sec_context` is transmitted to the server in a query request with `QTYPE=TKEY`. The token itself

will be placed in a Key Data field of the RDATA field in the TKEY resource record in the additional records section of the query. The owner name of the TKEY resource record set queried for and the owner

Expires July 30, 2002

[Page 6]

name of the supplied TKEY resource record in the additional records section MUST be the same. This name uniquely identifies the security context to both the client and server, and thus the client SHOULD use a value which is globally unique as described in [RFC2930]. To achieve global uniqueness, the name MAY contain a UUID/GUID [ISO11578].

TKEY Record

NAME = client-generated globally unique domain name string
(as described in [RFC2930])

RDATA

Algorithm Name	= gss-tsig
Mode	= 3 (GSS-API negotiation - per [RFC2930])
Key Size	= size of output_token in octets
Key Data	= output_token

The remaining fields in the TKEY RDATA, i.e. Inception, Expiration, Error, Other Size and Data Fields, MUST be set according to [RFC2930].

The query is transmitted to the server.

Note: if the original client call to GSS_Init_sec_context returned any major_status other than GSS_S_CONTINUE_NEEDED or GSS_S_COMPLETE, then the client MUST NOT send TKEY query. Client's behavior in this case is described above in [Section 3.1.1](#).

[3.1.3](#) Receive TKEY Query-Response from Server

Upon the reception of the TKEY query DNS server MUST respond according to the description in [Section 4](#). This Section specifies the behavior of the client after it receives the matching response to its query.

The next processing step depends on the value of major_status from the most recent call that client performed to GSS_Init_sec_context: either GSS_S_COMPLETE or GSS_S_CONTINUE.

[3.1.3.1](#) Value of major_status == GSS_S_COMPLETE

If the last call to GSS_Init_sec_context yielded a major_status value of GSS_S_COMPLETE and a non-NULL output_token was sent to the server, then the client side component of the negotiation is complete and the client is awaiting confirmation from the server.

Confirmation is in the form of a query response with RCODE=NOERROR and with the last client supplied TKEY record in the answer section of the query. The response MUST be signed with a TSIG record. The signature in the TSIG record MUST be verified using the procedure detailed in [section 5](#), Sending and Verifying Signed Messages. If the

response is not signed, OR if the response is signed but signature is invalid, then an attacker has tampered with the message in transit or has attempted to send the client a false response. In this case the

Expires July 30, 2002

[Page 7]

client MAY continue waiting for a response to its last TKEY query until the time period since the client sent last TKEY query expires. Such a time period is specified by the policy local to the client. This is a new option that allows DNS client to accept multiple answers for one query ID and select one (not necessarily the first one) based on some criteria.

If the signature is verified the context state is advanced to Context Established. Proceed to [section 3.2](#) for usage of the security context.

[3.1.3.2](#) Value of major_status == GSS_S_CONTINUE

If the last call to GSS_Init_sec_context yielded a major_status value of GSS_S_CONTINUE, then the negotiation is not yet complete. The server will return to the client a query-response with a TKEY record in the Answer section. If the DNS message error is not NO_ERROR or error field in the TKEY record is not 0 (i.e. no error), then the client MUST abandon this negotiation sequence. The client MUST delete an active context by calling GSS_Delete_sec_context providing the associated context_handle. The client MAY repeat the negotiation sequence starting with the uninitialized state as described in [section 3.1](#). To prevent infinite looping the number of attempts to establish a security context MUST be limited to ten or less.

If the DNS message error is NO_ERROR and error field in the TKEY record is 0 (i.e. no error), then the client MUST pass a token specified in the Key Data field in the TKEY resource record to GSS_Init_sec_context using the same parameters values as in previous call except values for CONTEXT_HANDLE input_context_handle and OCTET STRING input_token as described below:

INPUTS

CONTEXT_HANDLE	input_context_handle	= context_handle (this is the context_handle corresponding to the key_name which is the owner name of the TKEY record in the answer section in the TKEY query response)
OCTET STRING	input_token	= token from Key field of TKEY record

Depending on the following OUTPUT values of GSS_Init_sec_context

INTEGER	major_status
OCTET STRING	output_token

the client MUST take one of the following actions:

If OUTPUT major_status is set to one of the following values

GSS_S_DEFECTIVE_TOKEN
GSS_S_DEFECTIVE_CREDENTIAL
GSS_S_BAD_SIG (GSS_S_BAD_MIC)

GSS_S_NO_CRED
GSS_S_CREDENTIALS_EXPIRED
GSS_S_BAD_BINDINGS

Expires July 30, 2002

[Page 8]

GSS_S_OLD_TOKEN
GSS_S_DUPLICATE_TOKEN
GSS_S_NO_CONTEXT
GSS_S_BAD_NAME_TYPE
GSS_S_BAD_NAME
GSS_S_BAD_MECH
GSS_S_FAILURE

the client MUST abandon this negotiation sequence. This means that the client MUST delete an active context by calling GSS_Delete_sec_context providing the associated context_handle. The client MAY repeat the negotiation sequence starting with the uninitialized state as described in [section 3.1](#). To prevent infinite looping the number of attempts to establish a security context MUST be limited to ten or less.

If OUTPUT major_status is GSS_S_CONTINUE_NEEDED OR GSS_S_COMPLETE then client MUST act as described below.

If the response from the server was signed, and the OUTPUT major_status is GSS_S_COMPLETE, then the signature in the TSIG record MUST be verified using the procedure detailed in [section 5](#), Sending and Verifying Signed Messages. If the signature is invalid, then the client MUST abandon this negotiation sequence. This means that the client MUST delete an active context by calling GSS_Delete_sec_context providing the associated context_handle. The client MAY repeat the negotiation sequence starting with the uninitialized state as described in [section 3.1](#). To prevent infinite looping the number of attempts to establish a security context MUST be limited to ten or less.

If major_status is GSS_S_CONTINUE_NEEDED the negotiation is not yet finished. The token output_token MUST be passed to the server in a TKEY record by repeating the negotiation sequence beginning with [section 3.1.2](#). **The client MUST place a limit on the number of continuations in a context negotiation to prevent endless looping. Such limit SHOULD NOT exceed value of 10.**

If major_status is GSS_S_COMPLETE and output_token is non-NULL, the client-side component of the negotiation is complete but the token output_token MUST be passed to the server by repeating the negotiation sequence beginning with [section 3.1.2](#).

If major_status is GSS_S_COMPLETE and output_token is NULL, context negotiation is complete. The context state is advanced to Context Established. Proceed to [section 3.2](#) for usage of the security context.

[3.2](#) Context Established

When context negotiation is complete, the handle context_handle MUST be used for the generation and verification of transaction signatures.

The procedures for sending and receiving signed messages are described in [section 5](#), Sending and Verifying Signed Messages.

Expires July 30, 2002

[Page 9]

3.2.1 Terminating a Context

When the client is not intended to continue using the established security context, the client SHOULD delete an active context by calling GSS_Delete_sec_context providing the associated context_handle, AND client SHOULD delete the established context on the DNS server by using TKEY RR with the Mode field set to 5, i.e. "key deletion" [[RFC2930](#)].

4. Server Protocol Details

As on the client-side, the result of a successful context negotiation is a context handle used in future generation and verification of the transaction signatures.

A server MAY be managing several contexts with several clients. Clients identify their contexts by providing a key name in their request. The server maintains a mapping of key names to handles:

(key_name, context_handle)

4.1 Negotiating Context

A server MUST recognize TKEY queries as security context negotiation messages.

4.1.1 Receive TKEY Query from Client

Upon receiving a query with QTYPE = TKEY, the server MUST examine whether the Mode and Algorithm Name fields of the TKEY record in the additional records section of the message contain values of 3 and gss-tsig, respectively. If they do, then the (key_name, context_handle) mapping table is searched for the key_name matching the owner name of the TKEY record in the additional records section of the query. If the name is found in the table and the security context for this name is established and not expired, then the server MUST respond to the query with BADNAME error in the TKEY error field. If the name is found in the table and the security context is not established, the corresponding context_handle is used in subsequent GSS operations. If the name is found but the security context is expired, then the server deletes this security context, as described in [Section 4.2.1](#), and interprets this query as a start of new security context negotiation and performs operations described in [Section 4.1.2](#) and 4.1.3. If the name is not found, then the server interprets this query as a start of new security

context negotiation and performs operations described in [Section 4.1.2](#) and 4.1.3.

Expires July 30, 2002

[Page 10]

[4.1.2](#) Call `GSS_Accept_sec_context`

The server performs its side of a context negotiation by calling `GSS_Accept_sec_context`. The following input parameters **MUST** be used. The outcome of the call is indicated with the output values below. Consult Sections [2.2.2](#) "GSS_Accept_sec_context call" of the [RFC 2743](#)[\[RFC2743\]](#) for syntax definitions.

INPUTS

CONTEXT_HANDLE `input_context_handle` = 0 if new negotiation,
context_handle matching
key_name if ongoing negotiation

OCTET STRING `input_token` = token specified in the Key
field from TKEY RR (from Additional records Section of
the client's query)

CREDENTIAL_HANDLE `acceptor_cred_handle` = NULL (NULL specifies "use
default"). Server MAY instead specify some other valid handle
to its credentials.

OCTET STRING `chan_bindings` = Any valid channel bindings
as specified in [Section 1.1.6](#) "Channel Bindings" in [\[RFC2743\]](#)

OUTPUTS

INTEGER `major_status`

CONTEXT_HANDLE `output_context_handle`

OCTET STRING `output_token`

INTEGER `minor_status`

INTERNAL NAME `src_name`

OBJECT IDENTIFIER `mech_type`

BOOLEAN `deleg_state`

BOOLEAN `mutual_state`

BOOLEAN `replay_det_state`

BOOLEAN `sequence_state`

BOOLEAN `anon_state`

BOOLEAN `trans_state`

BOOLEAN `prot_ready_state`

BOOLEAN `conf_avail`

BOOLEAN `integ_avail`

INTEGER `lifetime_rec`

CONTEXT_HANDLE `delegated_cred_handle`

If this is the first call to `GSS_Accept_sec_context` in a new negotiation, then `output_context_handle` is stored in the server's key-mapping table as the `context_handle` that maps to the name of the TKEY record.

[4.1.3](#) Send TKEY Query-Response to Client

The server MUST respond to the client with a TKEY query response with RCODE = NOERROR, that contains a TKEY record in the answer section.

Expires July 30, 2002

[Page 11]

If OUTPUT major_status is one of the following errors the error field in the TKEY record set to BADKEY.

- GSS_S_DEFECTIVE_TOKEN
- GSS_S_DEFECTIVE_CREDENTIAL
- GSS_S_BAD_SIG (GSS_S_BAD_MIC)
- GSS_S_DUPLICATE_TOKEN
- GSS_S_OLD_TOKEN
- GSS_S_NO_CRED
- GSS_S_CREDENTIALS_EXPIRED
- GSS_S_BAD_BINDINGS
- GSS_S_NO_CONTEXT
- GSS_S_BAD_MECH
- GSS_S_FAILURE

If OUTPUT major_status is set to GSS_S_COMPLETE or GSS_S_CONTINUE_NEEDED then server MUST act as described below.

If major_status is GSS_S_COMPLETE the server component of the negotiation is finished. If output_token is non-NULL, then it MUST be returned to the client in a Key Data field of the RDATA in TKEY. The error field in the TKEY record is set to NOERROR. The message MUST be signed with a TSIG record as described in [section 5](#), Sending and Verifying Signed Messages. The context state is advanced to Context Established. [Section 4.2](#) discusses the usage of the security context.

If major_status is GSS_S_COMPLETE and output_token is NULL, then the TKEY record received from the client MUST be returned in the Answer section of the response. The message MUST be signed with a TSIG record as described in [section 5](#), Sending and Verifying Signed Messages. The context state is advanced to Context Established. [Section 4.2](#) discusses the usage of the security context.

If major_status is GSS_S_CONTINUE, the server component of the negotiation is not yet finished. The server responds to the TKEY query with a standard query response, placing in the answer section a TKEY record containing output_token in the Key Data RDATA field. The error field in the TKEY record is set to NOERROR. The server MUST limit the number of times that a given context is allowed to repeat, to prevent endless looping. Such limit SHOULD NOT exceed value of 10.

In all cases except if major_status is GSS_S_COMPLETE and output_token is NULL other TKEY record fields MUST contain the following values:

NAME = key_name

RDATA

Algorithm Name	= gss-tsig
Mode	= 3 (GSS-API negotiation - per RFC2930)
Key Size	= size of output_token in octets

The remaining fields in the TKEY RDATA, i.e. Inception, Expiration, Error, Other Size and Data Fields, MUST be set according to [[RFC2930](#)].

Expires July 30, 2002

[Page 12]

4.2 Context Established

When context negotiation is complete, the handle `context_handle` is used for the generation and verification of transaction signatures. The handle is valid for a finite amount of time determined by the underlying security mechanism. A server MAY unilaterally terminate a context at any time (see [section 4.2.1](#)).

Server SHOULD limit the amount of memory used to cache established contexts.

The procedures for sending and receiving signed messages are given in [section 5](#), Sending and Verifying Signed Messages.

4.2.1 Terminating a Context

A server can terminate any established context at any time. The server MAY hint to the client that the context is being deleted by including a TKEY RR in a response with the Mode field set to 5, i.e. "key deletion" [[RFC2930](#)].

An active context is deleted by calling `GSS_Delete_sec_context` providing the associated `context_handle`.

5. Sending and Verifying Signed Messages

5.1 Sending a Signed Message - Call `GSS_GetMIC`

The procedure for sending a signature-protected message is specified in [[RFC2845](#)]. The data to be passed to the signature routine includes the whole DNS message with specific TSIG variables appended. For the exact format, see [[RFC2845](#)]. For this protocol, use the following TSIG variable values:

```
TSIG Record
  NAME = key_name that identifies this context
  RDATA
    Algorithm Name = gss-tsig
```

Assign the remaining fields in the TSIG RDATA appropriate values as described in [[RFC2845](#)].

The signature is generated by calling `GSS_GetMIC`. The following input parameters MUST be used. The outcome of the call is indicated with the output values specified below. Consult Sections [2.3.1](#) "GSS_GetMIC call" of the [RFC 2743](#)[[RFC2743](#)] for syntax definitions.

Expires July 30, 2002

[Page 13]

INPUTS

CONTEXT HANDLE context_handle = context_handle for key_name
OCTET STRING message = outgoing message plus TSIG
variables (per [\[RFC2845\]](#))
INTEGER qop_req = 0 (0 requests a default
value). Caller MAY instead specify other valid value (for
details see [Section 1.2.4 in \[RFC2743\]](#))

OUTPUTS

INTEGER major_status
INTEGER minor_status
OCTET STRING per_msg_token

If major_status is GSS_S_COMPLETE, then signature generation succeeded. The signature in per_msg_token is inserted into the Signature field of the TSIG RR and the message is transmitted.

If major_status is GSS_S_CONTEXT_EXPIRED, GSS_S_CREDENTIALS_EXPIRED or GSS_S_FAILURE the caller MUST delete the security context, return to the uninitialized state and SHOULD negotiate a new security context, as described above in [Section 3.1](#)

If major_status is GSS_S_NO_CONTEXT, the caller MUST remove the entry for key_name from the (target_name, key_name, context_handle) mapping table, return to the uninitialized state and SHOULD negotiate a new security context, as described above in [Section 3.1](#)

If major_status is GSS_S_BAD_QOP, the caller SHOULD repeat the GSS_GetMIC call with allowed QOP value. The number of such repetitions MUST be limited to prevent infinite loops.

[5.2](#) Verifying a Signed Message - Call GSS_VerifyMIC

The procedure for verifying a signature-protected message is specified in [\[RFC2845\]](#).

The NAME of the TSIG record determines which context_handle maps to the context that MUST be used to verify the signature. If the NAME does not map to an established context, the server MUST send a standard TSIG error response to the client indicating BADKEY in the TSIG error field (as described in [\[RFC2845\]](#)).

For the GSS algorithm, a signature is verified by using GSS_VerifyMIC:

INPUTS

CONTEXT HANDLE context_handle = context_handle for key_name
OCTET STRING message = incoming message plus TSIG
variables (per [\[RFC2845\]](#))
OCTET STRING per_msg_token = Signature field from TSIG RR

OUTPUTS

INTEGER	major_status
INTEGER	minor_status
INTEGER	qop_state

Expires July 30, 2002

[Page 14]

If `major_status` is `GSS_S_COMPLETE`, the signature is authentic and the message was delivered intact. Per [\[RFC2845\]](#), the timer values of the TSIG record MUST also be valid before considering the message to be authentic. The caller MUST not act on the request or response in the message until these checks are verified.

When a server is processing a client request, the server MUST send a standard TSIG error response to the client indicating `BADKEY` in the TSIG error field as described in [\[RFC2845\]](#), if `major_status` is set to one of the following values

- `GSS_S_DEFECTIVE_TOKEN`
- `GSS_S_BAD_SIG` (`GSS_S_BAD_MIC`)
- `GSS_S_DUPLICATE_TOKEN`
- `GSS_S_OLD_TOKEN`
- `GSS_S_UNSEQ_TOKEN`
- `GSS_S_GAP_TOKEN`
- `GSS_S_CONTEXT_EXPIRED`
- `GSS_S_NO_CONTEXT`
- `GSS_S_FAILURE`

If the timer values of the TSIG record are invalid, the message MUST NOT be considered authentic. If this error checking fails when a server is processing a client request, the appropriate error response MUST be sent to the client according to [\[RFC2845\]](#).

6. Example usage of GSS-TSIG algorithm

This Section describes an example where a Client, `client.example.com`, and a Server, `server.example.com`, establish a security context according to the algorithm described above.

I. Client initializes security context negotiation

To establish a security context with a server, `server.example.com`, the Client calls `GSS_Init_sec_context` with the following parameters (Note that some INPUT and OUTPUT parameters not critical for this algorithm are not described in this example)

CONTEXT HANDLE	<code>input_context_handle</code>	= 0
INTERNAL NAME	<code>targ_name</code>	= "DNS@server.example.com"
OCTET STRING	<code>input_token</code>	= NULL
BOOLEAN	<code>replay_det_req_flag</code>	= TRUE
BOOLEAN	<code>mutual_req_flag</code>	= TRUE

The OUTPUTS parameters returned by `GSS_Init_sec_context` include

INTEGER	<code>major_status</code>	= <code>GSS_S_CONTINUE_NEEDED</code>
CONTEXT HANDLE	<code>output_context_handle</code>	<code>context_handle</code>
OCTET STRING	<code>output_token</code>	<code>output_token</code>
BOOLEAN	<code>replay_det_state</code>	= TRUE

BOOLEAN mutual_state = TRUE

Expires July 30, 2002

[Page 15]

Client verifies that `replay_det_state` and `mutual_state` values are TRUE. Since the `major_status` is `GSS_S_CONTINUE_NEEDED`, which is a success OUTPUT `major_status` value, client stores `context_handle` that maps to "DNS@server.example.com" and proceeds to the next step.

II. Client sends a query with `QTYPE = TKEY` to server

Client sends a query with `QTYPE = TKEY` for a client-generated globally unique domain name string, `789.client.example.com.server.example.com`. Query contains a TKEY record in its Additional records section with the following fields (Note that some fields not specific to this algorithm are not specified)

```
NAME = 789.client.example.com.server.example.com.
RDATA
  Algorithm Name      = gss-tsig
  Mode                = 3 (GSS-API negotiation - per [RFC2930])
  Key Size            = size of output_token in octets
  Key Data            = output_token
```

After the `key_name` `789.client.example.com.server.example.com` is generated it is stored in the client's (`target_name`, `key_name`, `context_handle`) mapping table.

III. Server receives a query with `QTYPE = TKEY`

When server receives a query with `QTYPE = TKEY`, the server verifies that `Mode` and `Algorithm` fields in the TKEY record in the Additional records section of the query are set to 3 and "gss-tsig" respectively. It finds that the `key_name` `789.client.example.com.server.example.com` is not listed in its (`key_name`, `context_handle`) mapping table.

IV. Server calls `GSS_Accept_sec_context`

To continue security context negotiation server calls `GSS_Accept_sec_context` with the following parameters (Note that some INPUT and OUTPUT parameters not critical for this algorithm are not described in this example)

```
INPUTS
CONTEXT_HANDLE input_context_handle = 0
OCTET STRING   input_token          = token specified in the Key
                                     field from TKEY RR (from Additional
                                     records section of the client's query)
```

The OUTPUTS parameters returned by `GSS_Accept_sec_context` include

```
INTEGER          major_status = GSS_S_CONTINUE_NEEDED
CONTEXT_HANDLE   output_context_handle context_handle
OCTET STRING     output_token output_token
```

Server stores the mapping of the `789.client.example.com.server.example.com` to OUTPUT `context_handle`

in its (key_name, context_handle) mapping table.

Expires July 30, 2002

[Page 16]

V. Server responds to the TKEY query

Since the `major_status = GSS_S_CONTINUE_NEEDED` in the last server's call to `GSS_Accept_sec_context`, the server responds to the TKEY query placing in the answer section a TKEY record containing `output_token` in the Key Data RDATA field. The error field in the TKEY record is set to 0. The RCODE in the query response is set to NOERROR.

VI. Client processes token returned by server

When the client receives the TKEY query response from the server, the client calls `GSS_Init_sec_context` with the following parameters (Note that some INPUT and OUTPUT parameters not critical for this algorithm are not described in this example)

```
CONTEXT_HANDLE input_context_handle = the context_handle stored
    in the client's mapping table entry (DNS@server.example.com.,
    789.client.example.com.server.example.com., context_handle)
INTERNAL NAME targ_name              = "DNS@server.example.com"
OCTET STRING  input_token            = token from Key field of TKEY
    record from the Answer section of the server's response
BOOLEAN      replay_det_req_flag    = TRUE
BOOLEAN      mutual_req_flag        = TRUE
```

The OUTPUTS parameters returned by `GSS_Init_sec_context` include

```
INTEGER      major_status = GSS_S_COMPLETE
CONTEXT_HANDLE output_context_handle = context_handle
OCTET STRING  output_token = output_token
BOOLEAN      replay_det_state = TRUE
BOOLEAN      mutual_state = TRUE
```

Since the `major_status` is set to `GSS_S_COMPLETE` the client side security context is established, but since the `output_token` is not NULL client MUST send a TKEY query to the server as described below.

VII. Client sends a query with QTYPE = TKEY to server

Client sends to the server a TKEY query for the `789.client.example.com.server.example.com. name`. Query contains a TKEY record in its Additional records section with the following fields (Note that some INPUT and OUTPUT parameters not critical to this algorithm are not described in this example)

```
NAME = 789.client.example.com.server.example.com.
RDATA
  Algorithm Name    = gss-tsig
  Mode              = 3 (GSS-API negotiation - per RFC2930)
  Key Size          = size of output_token in octets
  Key Data          = output_token
```

Expires July 30, 2002

[Page 17]

VIII. Server receives a TKEY query

When the server receives a TKEY query, the server verifies that Mode and Algorithm fields in the TKEY record in the Additional records section of the query are set to 3 and gss-tsig, respectively. It finds that the key_name 789.client.example.com.server.example.com. is listed in its (key_name, context_handle) mapping table.

IX. Server calls GSS_Accept_sec_context

To continue security context negotiation server calls GSS_Accept_sec_context with the following parameters (Note that some INPUT and OUTPUT parameters not critical for this algorithm are not described in this example)

INPUTS

CONTEXT HANDLE input_context_handle = context_handle from the
(789.client.example.com.server.example.com., context_handle)
entry in the server's mapping table
OCTET STRING input_token = token specified in the Key
field of TKEY RR (from Additional records Section of
the client's query)

The OUTPUTS parameters returned by GSS_Accept_sec_context include

INTEGER major_status = GSS_S_COMPLETE
CONTEXT_HANDLE output_context_handle = context_handle
OCTET STRING output_token = NULL

Since major_status = GSS_S_COMPLETE, the security context on the server side is established, but the server still needs to respond to the client's TKEY query, as described below. The security context state is advanced to Context Established.

X. Server responds to the TKEY query

Since the major_status = GSS_S_COMPLETE in the last server's call to GSS_Accept_sec_context and the output_token is NULL, the server responds to the TKEY query placing in the answer section a TKEY record that was sent by the client in the Additional records section of the client's latest TKEY query. In addition to this server places a TSIG record in additional records section of its response. Server calls GSS_GetMIC to generate a signature to include it in the TSIG record. The server specifies the following GSS_GetMIC INPUT parameters:

CONTEXT HANDLE context_handle = context_handle from the
(789.client.example.com.server.example.com., context_handle)
entry in the server's mapping table
OCTET STRING message = outgoing message plus TSIG
variables (as described in [[RFC2845](#)])

The OUTPUTS parameters returned by GSS_GetMIC include

INTEGER major_status = GSS_S_COMPLETE
OCTET STRING per_msg_token

Signature field in the TSIG record is set to per_msg_token.

Expires July 30, 2002

[Page 18]

XI. Client processes token returned by server

Client receives the TKEY query response from the server. Since the major_status was GSS_S_COMPLETE in the last client's call to GSS_Init_sec_context, the client verifies that the server's response is signed. To validate the signature client calls GSS_VerifyMIC with the following parameters:

INPUTS

```
CONTEXT_HANDLE context_handle = context_handle for
    789.client.example.com.server.example.com. key_name
OCTET STRING    message        = incoming message plus TSIG
                                variables (as described in [RFC2845])
OCTET STRING    per_msg_token  = Signature field from TSIG RR
                                included in the server's query response
```

Since the OUTPUTS parameter major_status = GSS_S_COMPLETE, the signature is validated, security negotiation is complete and the security context state is advanced to Context Established. These client and server will use the established security context to sign and validate the signatures when they exchange packets with each other until the context expires.

[7. Security Considerations](#)

This document describes a protocol for DNS security using GSS-API. The security provided by this protocol is only as effective as the security provided by the underlying GSS mechanisms.

All the security considerations from [RFC2845](#), [RFC2930](#) and [RFC 2743](#) apply to the protocol described in this document.

[8. IANA Considerations](#)

The authors request that the IANA reserve the TSIG Algorithm name gss-tsig for the use in the Algorithm fields of TKEY and TSIG resource records. This Algorithm name refers to the algorithm described in this document. The requirement to have this name registered with IANA is specified in [RFC 2845](#).

[9. Conformance](#)

The GSS API using SPNEGO [[RFC2478](#)] provides maximum flexibility to choose the underlying security mechanisms that enables security context negotiation. GSS API using SPNEGO [[RFC2478](#)] enables client and server to negotiate and choose such underlying security mechanisms on the fly. To support such flexibility, DNS clients and servers SHOULD specify SPNEGO

mech_type in their GSS API calls. At the same time, in order to guarantee interoperability between DNS clients and servers that support GSS-TSIG it is required that

Expires July 30, 2002

[Page 19]

- DNS servers specify SPNEGO mech_type
- GSS APIs called by DNS client support Kerberos v5
- GSS APIs called by DNS server support SPNEGO [[RFC2478](#)] and Kerberos v5.

In addition to these, GSS APIs used by DNS client and server MAY also support other underlying security mechanisms.

[10. Acknowledgements](#)

The authors of this document would like to thank the following people for their contribution to this specification: Chuck Chan, Mike Swift, Ram Viswanathan, Olafur Gudmundsson, Donald E. Eastlake 3rd and Erik Nordmark.

[11. References](#)

- [RFC2743] J. Linn, "Generic Security Service Application Program Interface, Version 2 , Update 1", [RFC 2743](#), RSA Laboratories, January 2000.
- [RFC2845] P. Vixie, O. Gudmundsson, D. Eastlake, B. Wellington, "Secret Key Transaction Authentication for DNS (TSIG)", [RFC 2845](#), ISC, NAI Labs, Motorola, Nominum, May, 2000,
- [RFC2930] D. Eastlake 3rd, "Secret Key Establishment for DNS (TKEY RR)", [RFC 2930](#), Motorola, September 2000.
- [RFC2535] D. Eastlake 3rd, "Domain Name System Security Extensions", [RFC 2535](#), IBM, March 1999.
- [RFC2137] D. Eastlake 3rd, "Secure Domain Name System Dynamic Update", [RFC 2137](#), CyberCash, April 1997.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), March 1997.
- [RFC1034] Mockapetris, P., "Domain Names - Concepts and Facilities", STD 13, [RFC 1034](#), November 1987.
- [RFC1035] Mockapetris, P., "Domain Names - Implementation and Specification", STD 13, [RFC 1034](#), November 1987.
- [RFC1964] Linn, J., "The Kerberos Version 5 GSS-API Mechanism", [RFC 1964](#), OpenVision Technologies, June 1996.
- [RFC2025] Adams, C., "The Simple Public-Key GSS-API Mechanism (SPKM)", [RFC 2025](#), Bell-Northern Research, October 1996.

[RFC2478] Baize, E., Pinkas, D., "The Simple and Protected GSS-API
Negotiation Mechanism", [RFC 2478](#), Bull, December 1998.

Expires July 30, 2002

[Page 20]

[ISO11578]"Information technology", "Open Systems Interconnection", "Remote Procedure Call", ISO/IEC 11578:1996, <http://www.iso.ch/cate/d2229.html>.

12. Author's Addresses

Stuart Kwan
Microsoft Corporation
One Microsoft Way
Redmond, WA 98052
USA
skwan@microsoft.com

Praerit Garg
Microsoft Corporation
One Microsoft Way
Redmond, WA 98052
USA
praeritg@microsoft.com

James Gilroy
Microsoft Corporation
One Microsoft Way
Redmond, WA 98052
USA
jamesg@microsoft.com

Levon Esibov
Microsoft Corporation
One Microsoft Way
Redmond, WA 98052
USA
levone@microsoft.com

Randy Hall
Lucent Technologies
400 Lapp Road
Malvern PA 19355
USA
randyhall@lucent.com

Jeff Westhead
Microsoft Corporation
One Microsoft Way
Redmond, WA 98052
USA
jwesth@microsoft.com

Expires July 30, 2002

[Page 21]