

DOTS  
Internet-Draft  
Intended status: Informational  
Expires: January 27, 2020

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**Use cases for DDoS Open Threat Signaling**  
**draft-ietf-dots-use-cases-19**

Abstract

The DDoS Open Threat Signaling (DOTS) effort is intended to provide protocols to facilitate interoperability across disparate DDoS mitigation solutions. This document presents sample use cases which describe the interactions expected between the DOTS components as well as DOTS messaging exchanges. These use cases are meant to identify the interacting DOTS components, how they collaborate, and what are the typical information to be exchanged.

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## Table of Contents

<a href="#">1.</a>	Introduction . . . . .	<a href="#">2</a>
<a href="#">2.</a>	Terminology and Acronyms . . . . .	<a href="#">3</a>
<a href="#">3.</a>	Use Cases . . . . .	<a href="#">3</a>
3.1.	Upstream DDoS Mitigation by an Upstream Internet Transit Provider . . . . .	<a href="#">3</a>
3.2.	DDoS Mitigation by a Third Party DDoS Mitigation Service Provider . . . . .	<a href="#">7</a>
<a href="#">3.3.</a>	DDoS Orchestration . . . . .	<a href="#">9</a>
<a href="#">4.</a>	Security Considerations . . . . .	<a href="#">12</a>
<a href="#">5.</a>	IANA Considerations . . . . .	<a href="#">13</a>
<a href="#">6.</a>	Acknowledgments . . . . .	<a href="#">13</a>
<a href="#">7.</a>	Informative References . . . . .	<a href="#">13</a>
	Authors' Addresses . . . . .	<a href="#">13</a>

## [1.](#) Introduction

At the time of writing, distributed denial-of-service (DDoS) attack mitigation solutions are largely based upon siloed, proprietary communications schemes with vendor lock-in as a side-effect. This can result in the configuration, provisioning, operation, and activation of these solutions being a highly manual and often time-consuming process. Additionally, coordinating multiple DDoS mitigation solutions simultaneously is fraught with both technical and process-related hurdles. This greatly increases operational complexity which, in turn, can degrade the efficacy of mitigations.

The DDoS Open Threat Signaling (DOTS) effort is intended to specify protocols that facilitate interoperability between diverse DDoS mitigation solutions and ensure greater integration in term of attack detection, mitigation requests, and attack characterization patterns. As DDoS solutions are broadly heterogeneous among vendors, the



primary goal of DOTS is to provide high-level interaction amongst differing DDoS solutions, such as detecting, initiating, terminating DDoS mitigation assistance or requesting the status of a DDoS mitigation.

This document provides sample use cases to provide inputs for the design of the DOTS protocols. The use cases are not exhaustive and future use cases are expected to emerge as DOTS is adopted and evolves.

## **2. Terminology and Acronyms**

This document makes use of the same terminology and definitions as [\[RFC8612\]](#). In addition it uses the terms defined below:

- o DDoS Mitigation Service Provider: designates the administrative entity providing the DDoS Mitigation Service.
- o DDoS Mitigation System (DMS): A system that performs DDoS mitigation. The DDoS Mitigation System may be composed by a cluster of hardware and/or software resources, but could also involve an orchestrator that may take decisions such as outsourcing partial or more of the mitigation to another DDoS Mitigation System.
- o DDoS Mitigation: The action performed by the DDoS Mitigation System.
- o DDoS Mitigation Service: designates a service provided to a customer to mitigate DDoS attacks. Service subscriptions usually involve Service Level Agreement (SLA) that have to be met. It is the responsibility of the DDoS Service provider to instantiate the DDoS Mitigation System to meet these SLAs.
- o Internet Transit Provider (ITP): designates the entity that delivers the traffic to a customer network. It can be an Internet Service Provider (ISP), or an upstream entity delivering the traffic to the ISP.

## **3. Use Cases**

### **3.1. Upstream DDoS Mitigation by an Upstream Internet Transit Provider**

This use case describes how an enterprise or a residential customer network may take advantage of a pre-existing relation with its Internet Transit Provider (ITP) in order to mitigate a DDoS attack targeting its network.



To improve the clarity of our purpose, the targeted network will be designated as enterprise network, but the same scenario applies to any downstream network, including residential network and cloud hosting network.

As the ITP provides connectivity to the enterprise network, it is already on the path of the inbound and outbound traffic of the enterprise network and well aware of the networking parameters associated to the enterprise network WAN connectivity. This eases both the configuration and the instantiation of a DDoS Mitigation Service.

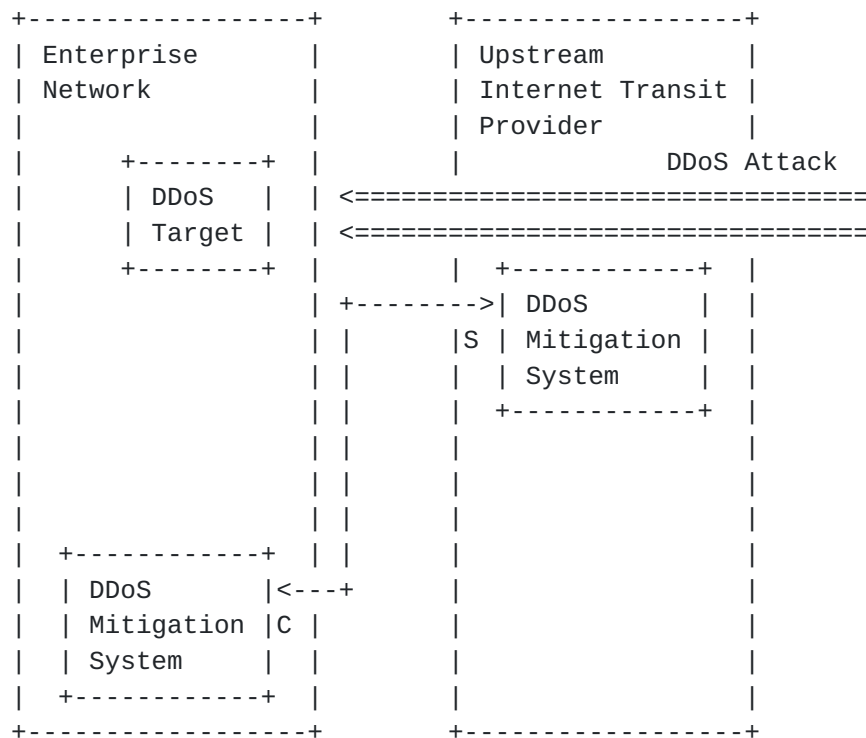
This section considers two kind of DDoS Mitigation Service between an enterprise network and an ITP:

- o The upstream ITP may instantiate a DDoS Mitigation System (DMS) upon receiving a request from the enterprise network. This typically corresponds to the case when the enterprise network is under attack.
- o On the other hand, the ITP may identify an enterprise network as the source of an attack and send a mitigation request to the enterprise DMS to mitigate this at the source.

The two scenarios, though different, have similar interactions between the DOTS client and server. For the sake of simplicity, only the first scenario will be detailed in this section. Nevertheless, the second scenario is also in scope of DOTS.

In the first scenario, as depicted in Figure 1, an enterprise network with self-hosted Internet-facing properties such as Web servers, authoritative DNS servers, and VoIP servers has a DMS deployed to protect those servers and applications from DDoS attacks. In addition to on-premise DDoS defense capability, the enterprise has contracted with its ITP for DDoS Mitigation Services which threaten to overwhelm their WAN link(s) bandwidth.





\* C is for DOTS client functionality

\* S is for DOTS server functionality

Figure 1: Upstream Internet Transit Provider DDoS Mitigation

The enterprise DMS is configured such that if the incoming Internet traffic volume exceeds 50% of the provisioned upstream Internet WAN link capacity, the DMS will request DDoS mitigation assistance from the upstream transit provider. More sophisticated detection means may be considered.

The requests to trigger, manage, and finalize a DDoS Mitigation between the enterprise DMS and the ITP is performed using DOTS. The enterprise DMS implements a DOTS client while the ITP implements a DOTS server which is integrated with their DMS in this example.

When the enterprise DMS locally detects an inbound DDoS attack targeting its resources (e.g., servers, hosts, or applications), it immediately begins a DDoS Mitigation.

During the course of the attack, the inbound traffic volume to the enterprise network exceeds the 50% threshold and the enterprise DMS escalates the DDoS mitigation. The enterprise DMS DOTS client signals to the DOTS server on the upstream ITP to initiate DDoS Mitigation. The DOTS server replies to the DOTS client that it can





serve this request, and mitigation is initiated on the ITP network by the ITP DMS.

Over the course of the attack, the DOTS server of the ITP periodically informs the DOTS client on the enterprise DMS mitigation status, statistics related to DDoS attack traffic mitigation, and related information. Once the DDoS attack has ended, or decreased to the certain level that the enterprise DMS can handle by itself, the DOTS server signals the enterprise DMS DOTS client that the attack has subsided.

The DOTS client on the enterprise DMS then requests the ITP to terminate the DDoS Mitigation. The DOTS server on the ITP receives this request and once the mitigation has ended, confirms the end of upstream DDoS Mitigation to the enterprise DMS DOTS client.

The following is an overview of the DOTS communication model for this use-case:

- o (a) A DDoS attack is initiated against resources of a network organization (here, the enterprise) which has deployed a DOTS-capable DMS - typically a DOTS client.
- o (b) The enterprise DMS detects, classifies, and begins the DDoS Mitigation.
- o (c) The enterprise DMS determines that its capacity and/or capability to mitigate the DDoS attack is insufficient, and sends via its DOTS client a DOTS DDoS Mitigation request to one or more DOTS servers residing on the upstream ITP.
- o (d) The DOTS server which receives the DOTS Mitigation request determines that it has been configured to honor requests from the requesting DOTS client, and honors its DDoS Mitigation by orchestrating its DMS.
- o (e) While the DDoS Mitigation is active, the DOTS server regularly transmits DOTS DDoS Mitigation status updates to the DOTS client.
- o (f) Informed by the DOTS server status update that the attack has ended or subsided, the DOTS client transmits a DOTS DDoS Mitigation termination request to the DOTS server.
- o (g) The DOTS server terminates DDoS Mitigation, and sends the notification to the DOTS client.

Note that communications between the enterprise DOTS client and the upstream ITP DOTS server may take place in-band within the main



Internet WAN link between the enterprise and the ITP; out-of-band via a separate, dedicated wireline network link utilized solely for DOTS signaling; or out-of-band via some other form of network connectivity such as a third-party wireless 4G network connectivity.

Note also that a DOTS client that sends a DOTS Mitigation request may be also triggered by a network admin that manually confirms the request to the upstream ITP, in which case the request may be sent from an application such as a web browser or a dedicated mobile application.

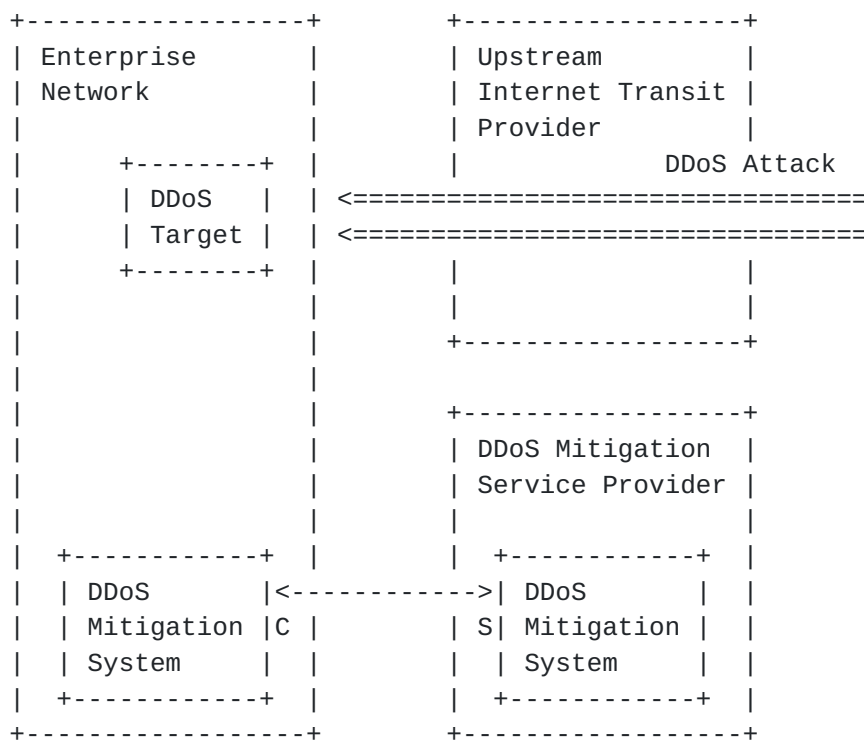
Note also that when the enterprise is multihomed and connected to multiple upstream ITPs, each ITP is only able to provide a DDoS Mitigation Service for the traffic it transits. As a result, the enterprise network may require to coordinate the various DDoS Mitigation Services associated to each link. More multi-homing considerations are discussed in [[I-D.ietf-dots-multihoming](#)].

### **3.2. DDoS Mitigation by a Third Party DDoS Mitigation Service Provider**

This use case differs from the previous use case described in [Section 3.1](#) in that the DDoS Mitigation Service is not provided by an upstream ITP. In other words, as represented in Figure 2, the traffic is not forwarded through the DDoS Mitigation Service Provider by default. In order to steer the traffic to the DDoS Mitigation Service Provider, some network configuration changes are required. As such, this use case is likely to match large enterprises or large data centers, but not exclusively.

Another typical scenario for this use case is the relation between DDoS Mitigation Service Providers forming an overlay of DMS. When a DDoS Mitigation Service Provider mitigating a DDoS attack reaches its resources capacities, it may choose to delegate the DDoS Mitigation to another DDoS Mitigation Service Provider.





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Figure 2: DDoS Mitigation between an Enterprise Network and Third Party DDoS Mitigation Service Provider

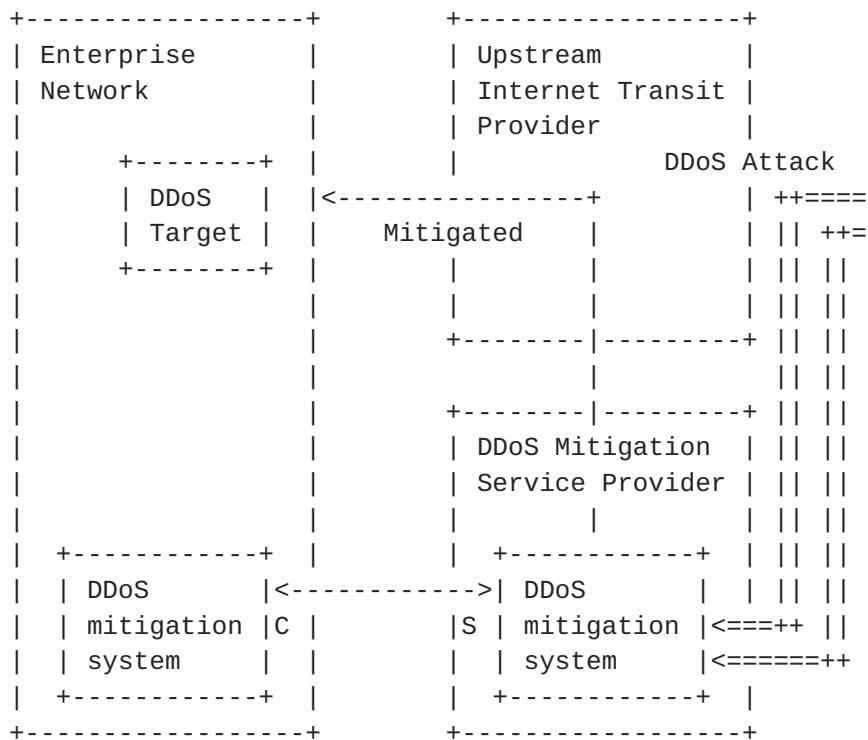
In this scenario, an enterprise network has entered into a pre-arranged DDoS mitigation assistance agreement with one or more other DDoS Mitigation Service Providers in order to ensure that sufficient DDoS mitigation capacity and/or capabilities may be activated in the event that a given DDoS attack threatens to overwhelm the ability of the enterprise's or any other given DMS to mitigate the attack on its own.

The pre-arrangement typically includes the agreement on the mechanisms used to redirect the traffic to the DDoS Mitigation Service Provider, as well as the mechanism to re-inject the traffic back to the Enterprise Network. Redirection to the DDoS Mitigation Service Provider typically involves BGP prefix announcement or DNS redirection, while re-injection of the scrubbed traffic to the enterprise network may be performed via tunneling mechanisms (e.g., GRE). These exact mechanisms used for traffic steering are out of scope.

In some cases the communication between the enterprise DOTS client and the DOTS server of the DDoS Mitigation Service Provider may go



through the ITP carrying the DDoS attack, which would affect the communication. On the other hand, the communication between the DOTS client and DOTS server may take a path that is not undergoing a DDoS attack.



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* C is for DOTS client functionality
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- \* S is for DOTS server functionality

Figure 3: Redirection to a DDoS Mitigation Service Provider

When the enterprise network is under attack or at least is reaching its capacity or ability to mitigate a given DDoS attack traffic, the DOTS client sends a DOTS request to the DDoS Mitigation Service Provider to initiate network traffic diversion - as represented in Figure 3 - and DDoS mitigation activities. Ongoing attack and mitigation status messages may be passed between the enterprise network and the DDoS Mitigation Service Provider using DOTS. If the DDoS attack has stopped or the severity of the attack has subsided, the DOTS client can request the DDoS Mitigation Service Provider to stop the DDoS Mitigation.

### 3.3. DDoS Orchestration

In this use case, one or more DDoS telemetry systems or monitoring devices monitor a network - typically an ISP network, an enterprise network, or a data center. Upon detection of a DDoS attack, these





DDoS telemetry systems alert an orchestrator in charge of coordinating the various DMS's within the domain. The DDoS telemetry systems may be configured to provide required information, such as a preliminary analysis of the observation to the orchestrator.

The orchestrator analyses the various information it receives from DDoS telemetry system, and initiates one or multiple DDoS mitigation strategies. For example, the orchestrator could select the DDoS mitigation system in the enterprise network or one provided by the ITP.

DDoS Mitigation System selection and DDoS Mitigation techniques may depends on the type of the DDoS attack. In some case, a manual confirmation or selection may also be required to choose a proposed strategy to initiate a DDoS Mitigation. The DDoS Mitigation may consist of multiple steps such as configuring the network, or updating already instantiated DDoS mitigation functions. Eventually, the coordination of the mitigation may involve external DDoS mitigation resources such as a transit provider or a Third Party DDoS Mitigation Service Provider.

The communication used to trigger a DDoS Mitigation between the DDoS telemetry and monitoring systems and the orchestrator is performed using DOTS. The DDoS telemetry system implements a DOTS client while the orchestrator implements a DOTS server.

The communication between a network administrator and the orchestrator is also performed using DOTS. The network administrator uses a web interface which interacts with a DOTS client, while the orchestrator implements a DOTS server.

The communication between the orchestrator and the DDoS Mitigation Systems is performed using DOTS. The orchestrator implements a DOTS client while the DDoS Mitigation Systems implement a DOTS server.

The configuration aspects of each DDoS Mitigation System, as well as the instantiations of DDoS mitigation functions or network configuration is not part of DOTS. Similarly, the discovery of available DDoS mitigation functions is not part of DOTS; and as such is out of scope.



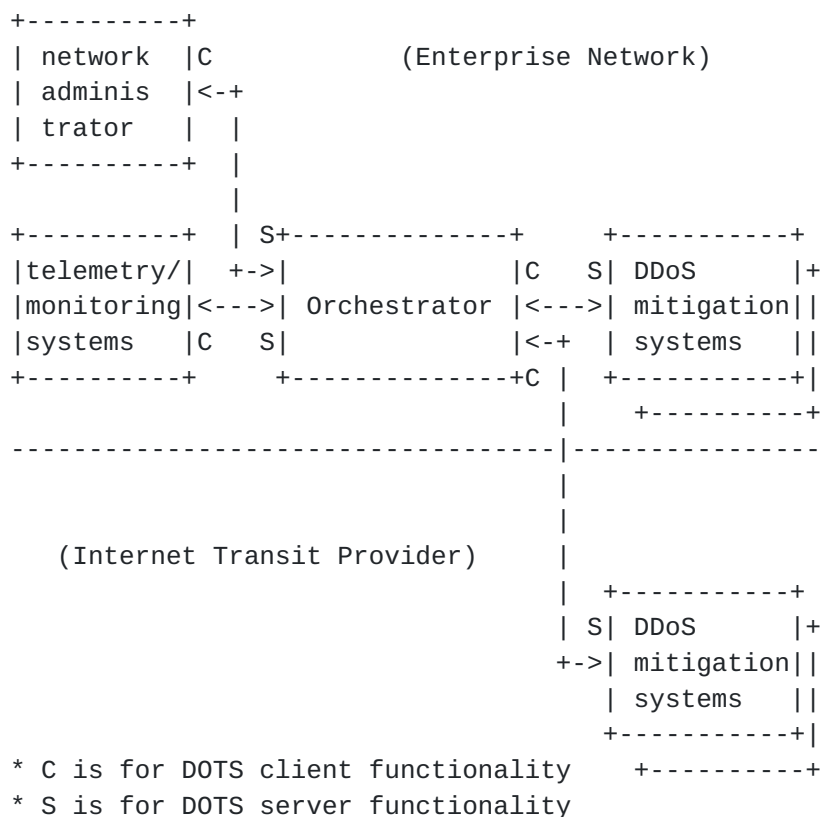


Figure 4: DDoS Orchestration

The DDoS telemetry systems monitor various network traffic and perform some measurement tasks.

These systems are configured so that when an event or some measurement indicators reach a predefined level their associated DOTS client sends a DOTS mitigation request to the orchestrator DOTS server. The DOTS mitigation request may be associated with some optional mitigation hints to let the orchestrator know what has triggered the request.

Upon receipt of the DOTS mitigation request from the DDoS telemetry system, the orchestrator DOTS server responds with an acknowledgment, to avoid retransmission of the request for mitigation. The orchestrator may begin collecting additional fine-grained and specific information from various DDoS telemetry systems in order to correlate the measurements and provide an analysis of the event. Eventually, the orchestrator may ask for additional information from the DDoS telemetry system; however, the collection of this information is out of scope.

The orchestrator may be configured to start a DDoS Mitigation upon approval from a network administrator. The analysis from the



orchestrator is reported to the network administrator via a web interface. If the network administrator decides to start the mitigation, the network administrator triggers the DDoS mitigation request using the web interface of a DOTS client communicating to the orchestrator DOTS server. This request is expected to be associated with a context that provides sufficient information to the orchestrator DOTS server to infer the DDoS Mitigation to elaborate and coordinate.

Upon receiving a request to mitigate a DDoS attack performed over a target, the orchestrator may evaluate the volumetry of the attack as well as the value that the target represents. The orchestrator may select the DDoS Mitigation Service Provider based on the attack severity. It may also coordinate the DDoS Mitigation performed by the DDoS Mitigation Service Provider with some other tasks such as for example, moving the target to another network so new sessions will not be impacted. The orchestrator requests a DDoS Mitigation to the selected DDoS mitigation systems via its DOTS client, as described in [Section 3.1](#).

The orchestrator DOTS client is notified that the DDoS Mitigation is effective by the selected DDoS mitigation systems. The orchestrator DOTS servers returns back this information to the network administrator.

Similarly, when the DDoS attack has stopped, the orchestrator DOTS client are being notified and the orchestrator's DOTS servers indicate to the DDoS telemetry systems as well as to the network administrator the end of the DDoS Mitigation.

In addition to the above DDoS Orchestration, the selected DDoS mitigation systems can return back a mitigation request to the orchestrator as an offloading. When the DDoS attack becomes severe and the DDoS mitigation system's utilization rate reaches its maximum capacity, the DDoS mitigation system can send mitigation requests with additional hints such as its blocked traffic information to the orchestrator. Then the orchestrator can take further actions like requesting forwarding nodes such as routers to filter the traffic. In this case, the DDoS mitigation system implements a DOTS client while the orchestrator implements a DOTS server.

#### **4. Security Considerations**

The document does not describe any protocol.

DOTS is at risk from three primary attacks: DOTS agent impersonation, traffic injection, and signaling blocking.



Impersonation and traffic injection mitigation can be mitigated through current secure communications best practices. Preconfigured mitigation steps to take on the loss of keepalive traffic can partially mitigate signal blocking, but in general it is impossible to comprehensively defend against an attacker that can selectively block any or all traffic

Additional details of DOTS security requirements can be found in [\[RFC8612\]](#).

## **5. IANA Considerations**

No IANA considerations exist for this document.

## **6. Acknowledgments**

The authors would like to thank among others Tirumaleswar Reddy; Andrew Mortensen; Mohamed Boucadair; Artyom Gavrichenkov; Jon Shallow, Yuuhei Hayashi, the DOTS WG chairs, Roman Danyliw and Tobias Gondrom as well as the Security AD Benjamin Kaduk for their valuable feedback.

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