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# Definition of Managed Objects for Battery Monitoring draft-ietf-eman-battery-mib-09

#### Abstract

This memo defines a portion of the Management Information Base (MIB) for use with network management protocols in the Internet community. In particular, it defines managed objects that provide information on the status of batteries in managed devices.

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## **1**. Introduction

Today, more and more managed devices contain batteries that supply them with power when disconnected from electrical power distribution grids. Common examples are nomadic and mobile devices, such as notebook computers, netbooks, and smart phones. The status of batteries in such a device, particularly the charging status is typically controlled by automatic functions that act locally on the device and manually by users of the device.

In addition to this, there is a need to monitor battery status of these devices by network management systems. This document defines a portion of the Management Information Base (MIB) that provides a means for monitoring batteries in or attached to managed devices. The Battery MIB module defined in <u>Section 4</u> meets the requirements for monitoring the status of batteries specified in [I-D.ietf-eman-requirements].

The Battery MIB module provides for monitoring the battery status. According to the framework for energy management [I-D.ietf-eman-framework] it is an Energy Managed Object, and thus, MIB modules such as the Power and Energy Monitoring MIB [I-D.ietf-eman-energy-monitoring-mib] could in principle be implemented for batteries. The Battery MIB extends the more generic aspects of energy management by adding battery-specific information. Amongst other things, the Battery MIB enables the monitoring of:

- o the current charge of a battery,
- o the age of a battery (charging cycles),
- o the state of a battery (e.g. being re-charged),
- o last usage of a battery,
- o maximum energy provided by a battery (remaining and total capacity).

Further, means are provided for battery-powered devices to send notifications when the current battery charge has dropped below a certain threshold to inform the management system of needed replacement. The same applies to the age of a battery.

Many battery-driven devices have existing instrumentation for monitoring the battery status, because this is already needed for local control of the battery by the device. This reduces the effort for implementing the managed objects defined in this document. For many devices only additional software will be needed but no additional hardware instrumentation for battery monitoring.

Since there are a lot of devices in use that contain more than one battery, means for battery monitoring defined in this document

support addressing multiple batteries within a single device. Also, batteries today often come in packages that can include identification and might contain additional hardware and firmware. The former allows tracing a battery and allows continuous monitoring even if the battery is e.g. installed in another device. The firmware version is useful information as the battery behavior might be different for different firmware versions.

Not explicitly in scope of definitions in this document are very small backup batteries, such as for example, batteries used on PC motherboard to run the clock circuit and retain configuration memory while the system is turned off. Other means may be required for reporting on these batteries. However, the MIB module defined in Section 3.1 can be used for this purpose.

A traditional type of managed device containing batteries is an Uninterruptible Power Supply (UPS) system; these supply other devices with electrical energy when the main power supply fails. There is already a MIB module for managing UPS systems defined in <u>RFC 1628</u> [<u>RFC1628</u>]. The UPS MIB module includes managed objects for monitoring the batteries contained in an UPS system. However, the information provided by the UPS MIB objects is limited and tailored the particular needs of UPS systems.

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in <u>RFC</u> 2119 [RFC2119].

## **2**. The Internet-Standard Management Framework

For a detailed overview of the documents that describe the current Internet-Standard Management Framework, please refer to <u>section 7 of</u> <u>RFC 3410</u> [<u>RFC3410</u>].

Managed objects are accessed via a virtual information store, termed the Management Information Base or MIB. MIB objects are generally accessed through the Simple Network Management Protocol (SNMP). Objects in the MIB are defined using the mechanisms defined in the Structure of Management Information (SMI). This memo specifies MIB modules that are compliant to the SMIv2, which is described in STD 58, <u>RFC 2578</u> [<u>RFC2578</u>], STD 58, <u>RFC 2579</u> [<u>RFC2579</u>] and STD 58,<u>RFC</u> <u>2580</u> [<u>RFC2580</u>].

## 3. Design of the Battery MIB Module

## <u>3.1</u>. MIB Module Structure

The Battery MIB module defined in this document defines objects for reporting information about batteries. All managed objects providing information of the status of a battery are contained in a single table called batteryTable. The batteryTable contains one conceptual row per battery.

Batteries are indexed by the entPhysicalIndex of the entPhysicalTable defined in the ENTITY-MIB module [RFC6933]. An implementation of the ENTITY-MIB module complying with the entity4CRCompliance MODULE-COMPLIANCE statement is required for compliant implementations of the BATTERY-MIB module.

If batteries are replaced with the replacing battery using the same physical connector as the replaced battery had used, then the replacing battery SHOULD be indexed with the same value of object entPhysicalIndex as the replaced battery.

The kind of entity in the entPhysicalTable of the Entity MIB module is indicated by the value of enumeration object entPhysicalClass. All batteries SHOULD have the value of object entPhysicalClass set to battery(14) in their row of the entPhysicalTable.

The batteryTable contains three groups of objects. The first group (OIDs ending with 1-10) provides information on static properties of the battery. The second group of objects (OIDs ending with 11-18) provides information on the current battery state, if it is charging or discharging, how much it is charged, its remaining capacity, the number of experienced charging cycles, etc.

<pre>batteryTable(1)</pre>						
+battery	+batteryEntry(1) [entPhysicalIndex]					
+ r-n	SnmpAdminString	batteryIdentifier(1)				
+ r-n	SnmpAdminString	batteryFirmwareVersion(2)				
+ r-n	Enumeration	batteryType(3)				
+ r-n	Unsigned32	<pre>batteryTechnology(4)</pre>				
+ r-n	Unsigned32	batteryDesignVoltage(5)				
+ r-n	Unsigned32	batteryNumberOfCells(6)				
+ r-n	Unsigned32	batteryDesignCapacity(7)				
+ r-n	Unsigned32	batteryMaxChargingCurrent(8)				
+ r-n	Unsigned32	<pre>batteryTrickleChargingCurrent(9)</pre>				
+ r-n	Unsigned32	batteryActualCapacity(10)				
+ r-n	Unsigned32	batteryChargingCycleCount(11)				
+ r-n	DateAndTime	<pre>batteryLastChargingCycleTime(12)</pre>				
+ r-n	Enumeration	batteryChargingOperState(13)				
+ rwn	Enumeration	batteryChargingAdminState(14)				
+ r-n	Unsigned32	batteryActualCharge(15)				
+ r-n	Unsigned32	batteryActualVoltage(16)				
+ r-n	Integer32	batteryActualCurrent(17)				
+ r-n	Integer32	batteryTemperature(18)				
+ r-n	SnmpAdminString	batteryCellIdentifier(19)				
+ rwn	Unsigned32	batteryAlarmLowCharge(20)				
+ rwn	Unsigned32	batteryAlarmLowVoltage(21)				
+ rwn	Unsigned32	batteryAlarmLowCapacity(22)				
+ rwn	Unsigned32	batteryAlarmHighCycleCount(23)				
+ rwn	Integer32	batteryAlarmHighTemperature(24)				
+ rwn	Integer32	batteryAlarmLowTemperature(25)				

The third group of objects in this table (OIDs ending with 20-25) indicates thresholds which can be used to raise an alarm if a property of the battery exceeds one of them. Raising an alarm may include sending a notification.

The Battery MIB defines seven notifications for indicating

- a battery charging state change that was not triggered by writing to object batteryChargingAdminState,
- 2. a low battery charging state,
- 3. a critical battery that cannot be used anymore for power supply,
- 4. an aged battery that may need to be replaced,
- 5. a battery exceed a temperature threshold,
- 6. a battery that has been connected,
- 7. disconnection of one or more batteries.

Notifications 2.-5. can use object batteryCellIdentifier to indicate a specific cell or a set of cells within the battery that have triggered the notification.

## <u>3.2</u>. Battery Technologies

Static information in the batteryTable includes battery type and technology. The battery type distinguishes primary (not rechargeable) batteries from rechargeable (secondary) batteries and capacitors. The battery technology describes the actual technology of a battery, which typically is a chemical technology.

Since battery technologies are subject of intensive research and widely used technologies are often replaced by successor technologies within an few years, the list of battery technologies was not chosen as a fixed list. Instead, IANA has created a registry for battery technologies at <a href="http://www.iana.org/assignments/eman">http://www.iana.org/assignments/eman</a> where numbers are assigned to battery technologies (TBD).

The table below shows battery technologies known today that are in commercial use with the numbers assigned to them by IANA. New entries can be added to the IANA registry if new technologies are developed or if missing technologies are identified. Note that there exists a huge number of battery types that are not listed in the IANA registry. Many of them are experimental or cannot be used in an economically useful way. New entries should be added to the IANA registry only if the respective technologies are in commercial use and relevant to standardized battery monitoring over the Internet.

++					
battery technology	assigned				
1	number				
++	+				
Unknown	1				
Other	2				
Zinc-carbon	3				
Zinc chloride	4				
Nickel oxyhydroxide	5				
Lithium-copper oxide	6				
Lithium-iron disulfide	7				
Lithium-manganese dioxide	8				
Zinc-air	9				
Silver oxide	10				
Alkaline	11				
Lead acid	12				
Nickel-cadmium	13				
Nickel-metal hybride	14				
Nickel-zinc	15				
Lithium-ion	16				
Lithium polymer	17				
Double layer capacitor	18				
++	+				

## <u>3.3</u>. Charging Cycles

The lifetime of a battery can be approximated using the measure of charging cycles. A commonly used definition of a charging cycle is the amount of discharge equal to the design (or nominal) capacity of the battery [SBS]. This means that a single charging cycle may include several steps of partial charging and discharging until the amount of discharging has reached the design capacity of the battery. After that the next charging cycle immediately starts.

# 4. Definitions

BATTERY-MIB DEFINITIONS ::= BEGIN

```
IMPORTS
    MODULE-IDENTITY, OBJECT-TYPE, NOTIFICATION-TYPE,
    mib-2, Integer32, Unsigned32
        FROM SNMPv2-SMI
                                                            -- RFC2578
    SnmpAdminString
        FROM SNMP-FRAMEWORK-MIB
                                                            -- RFC3411
    DateAndTime
        FROM SNMPv2-TC
                                                            -- <u>RFC2579</u>
    MODULE-COMPLIANCE, OBJECT-GROUP, NOTIFICATION-GROUP
        FROM SNMPv2-CONF
                                                            -- <u>RFC2580</u>
    entPhysicalIndex
        FROM ENTITY-MIB
                                                            -- RFC6933
    Unsigned64TC
           FROM APPLICATION-MIB;
                                                            -- RFC2564
batteryMIB MODULE-IDENTITY
                                      -- 15 july 2013
    LAST-UPDATED "201307151200Z"
    ORGANIZATION "IETF EMAN Working Group"
    CONTACT-INFO
        "General Discussion: eman@ietf.org
        To Subscribe: <a href="http://www.ietf.org/mailman/listinfo/eman">http://www.ietf.org/mailman/listinfo/eman</a>
        Archive: http://www.ietf.org/mail-archive/web/eman
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          Germany
          Tel: +49 6221 4342-115
          Email: guittek@neclab.eu"
```

DESCRIPTION "This MIB module defines a set of objects for monitoring batteries of networked devices and of their components. Copyright (c) 2010 IETF Trust and the persons identified as authors of the code. All rights reserved. Redistribution and use in source and binary forms, with or without modification, is permitted pursuant to, and subject to the license terms contained in, the Simplified BSD License set forth in Section 4.c of the IETF Trust's Legal Provisions Relating to IETF Documents (http://trustee.ietf.org/license-info). This version of this MIB module is part of RFC yyyy; see the RFC itself for full legal notices." -- replace yyyy with actual RFC number & remove this notice -- Revision history REVISION "201307151200Z" -- 15 July 2013 DESCRIPTION "Initial version, published as RFC yyyy." -- replace yyyy with actual RFC number & remove this notice ::= { mib-2 zzz } -- zzz to be assigned by IANA. -- Top Level Structure of the MIB module batteryNotifications OBJECT IDENTIFIER ::= { batteryMIB 0 } batteryObjects OBJECT IDENTIFIER ::= { batteryMIB 1 } batteryConformance OBJECT IDENTIFIER ::= { batteryMIB 2 } -- 1. Object Definitions \_\_\_\_\_ -- 1.1. Battery Table \_\_\_\_\_ batteryTable OBJECT-TYPE SYNTAX SEQUENCE OF BatteryEntry MAX-ACCESS not-accessible STATUS current DESCRIPTION

```
"This table provides information on batteries. It contains one conceptual row per battery.
```

Batteries are indexed by the entPhysicalIndex of the entPhysicalTable defined in the ENTITY-MIB (<u>RFC6933</u>).

For implementations of the BATTERY-MIB an implementation of the ENTITY-MIB complying with the entity4CRCompliance MODULE-COMPLIANCE statement of the ENTITY-MIB is required.

If batteries are replaced with the replacing battery using the same physical connector as the replaced battery had used, then the replacing battery SHOULD be indexed with the same value of object entPhysicalIndex as the replaced battery."

```
::= { batteryObjects 1 }
```

## batteryEntry OBJECT-TYPE

```
SYNTAXBatteryEntryMAX-ACCESSnot-accessibleSTATUScurrentDESCRIPTION
```

```
"An entry providing information on a battery."
INDEX { entPhysicalIndex }
```

```
::= { batteryTable 1 }
```

```
BatteryEntry ::=
```

```
SEQUENCE {
```

```
batteryIdentifier
                                 SnmpAdminString,
batteryFirmwareVersion
                                 SnmpAdminString,
batteryType
                                 INTEGER,
batteryTechnology
                                 Unsigned32,
                                 Unsigned32,
batteryDesignVoltage
batteryNumberOfCells
                                 Unsigned32,
batteryDesignCapacity
                                 Unsigned32,
batteryMaxChargingCurrent
                                 Unsigned32,
batteryTrickleChargingCurrent
                                 Unsigned32,
batteryActualCapacity
                                 Unsigned32,
batteryChargingCycleCount
                                 Unsigned32,
batteryLastChargingCycleTime
                                 DateAndTime,
batteryChargingOperState
                                 INTEGER,
batteryChargingAdminState
                                 INTEGER,
batteryActualCharge
                                 Unsigned64TC,
battervActualVoltage
                                 Unsigned32,
batteryActualCurrent
                                 Integer32,
batteryTemperature
                                 Integer32,
batteryCellIdentifier
                                 SnmpAdminString,
batteryAlarmLowCharge
                                 Unsigned32,
```

}

```
batteryAlarmLowVoltageUnsigned32,batteryAlarmLowCapacityUnsigned32,batteryAlarmHighCycleCountUnsigned32,batteryAlarmHighTemperatureInteger32,batteryAlarmLowTemperatureInteger32
```

```
batteryIdentifier OBJECT-TYPE
SYNTAX SnmpAdminString
MAX-ACCESS read-only
STATUS current
DESCRIPTION
"This object contains an identifier for the battery.
```

Many manufacturers deliver not only simple batteries but battery packages including additional hardware and firmware. Typically, these modules include an identifier that can be retrieved by a device in which a battery has been installed. The identifier is useful when batteries are removed and re-installed in the same or other devices. Then the device or the network management system can trace batteries and achieve continuity of battery monitoring.

If the battery identifier cannot be represented using the ISO/IEC IS 10646-1 character set, then a hexadecimal encoding of a binary representation of the battery identifier must be used.

The value of this object must be an empty string if there is no battery identifier or if the battery identifier is unknown."

::= { batteryEntry 1 }

## batteryFirmwareVersion OBJECT-TYPE

```
SYNTAX SnmpAdminString
MAX-ACCESS read-only
STATUS current
DESCRIPTION
```

"This object indicates the version number of the firmware that is included in a battery module.

Many manufacturers deliver not pure batteries but battery packages including additional hardware and firmware.

Since the behavior of the battery may change with the firmware, it may be useful to retrieve the firmware version number.

```
The value of this object must be an empty string if there
        is no firmware or if the version number of the firmware is
       unknown."
    ::= { batteryEntry 2 }
batteryType OBJECT-TYPE
   SYNTAX
                INTEGER {
                    unknown(1),
                    other(2),
                    primary(3),
                    rechargeable(4),
                    capacitor(5)
                }
   MAX-ACCESS read-only
   STATUS
                current
   DESCRIPTION
        "This object indicates the type of battery.
        It distinguishes between primary (not rechargeable)
        batteries, rechargeable (secondary) batteries and capacitors
       which are not really batteries but often used in the same
       way as a battery.
       The value other(2) can be used if the battery type is known
        but none of the ones above. Value unknown(1) is to be used
        if the type of battery cannot be determined."
    ::= { batteryEntry 3 }
batteryTechnology OBJECT-TYPE
   SYNTAX
                Unsigned32
   MAX-ACCESS read-only
   STATUS
                current
   DESCRIPTION
        "This object indicates the technology used by the battery.
       Numbers identifying battery types are registered at IANA.
        A current list of assignments can be found at
        <http://www.iana.org/assignments/eman>.
       Value 0 (unknown) MUST be used if the type of battery
        cannot be determined.
       Value 1 (other) can be used if the battery type is known
       but not one of the types already registered at IANA."
    ::= { batteryEntry 4 }
batteryDesignVoltage OBJECT-TYPE
   SYNTAX
                Unsigned32
                "millivolt"
   UNITS
   MAX-ACCESS read-only
```

```
STATUS
               current
    DESCRIPTION
        "This object provides the design (or nominal) voltage of the
        battery in units of millivolt (mV).
        Note that the design voltage is a constant value and
        typically different from the actual voltage of the battery.
       A value of 0 indicates that the design voltage is unknown."
    ::= { batteryEntry 5 }
batteryNumberOfCells OBJECT-TYPE
   SYNTAX
                Unsigned32
   MAX-ACCESS read-only
   STATUS
                current
   DESCRIPTION
        "This object indicates the number of cells contained in the
        battery.
       A value of 0 indicates that the number of cells is unknown."
    ::= { batteryEntry 6 }
batteryDesignCapacity OBJECT-TYPE
   SYNTAX
               Unsigned32
                "milliampere hours"
   UNITS
   MAX-ACCESS read-only
                current
   STATUS
   DESCRIPTION
        "This object provides the design (or nominal) capacity of
        the battery in units of milliampere hours (mAh).
        Note that the design capacity is a constant value and
        typically different from the actual capacity of the battery.
        Usually, this is a value provided by the manufacturer of the
        battery.
       A value of 0 indicates that the design capacity is
        unknown."
    ::= { batteryEntry 7 }
batteryMaxChargingCurrent OBJECT-TYPE
   SYNTAX
                Unsigned32
   UNITS
                "milliampere"
   MAX-ACCESS read-only
                current
   STATUS
   DESCRIPTION
        "This object provides the maximal current to be used for
        charging the battery in units of milliampere (mA).
```

Note that the maximal charging current may not lead to optimal charge of the battery and that some batteries can only be charged with the maximal current for a limited amount of time. A value of 0 indicates that the maximal charging current is unknown." ::= { batteryEntry 8 } batteryTrickleChargingCurrent OBJECT-TYPE SYNTAX Unsigned32 UNITS "milliampere" MAX-ACCESS read-only STATUS current DESCRIPTION "This object provides the recommended current to be used for trickle charging the battery in units of milliampere (mA). Typically, this is a value recommended by the manufacturer of the battery or by the manufacturer of the charging circuit. A value of 0 indicates that the recommended trickle charging current is unknown." ::= { batteryEntry 9 } batteryActualCapacity OBJECT-TYPE SYNTAX Unsigned32 "milliampere hours" UNITS MAX-ACCESS read-only STATUS current DESCRIPTION "This object provides the actual capacity of the battery in units of milliampere hours (mAh). Typically, the actual capacity of a battery decreases with time and with usage of the battery. It is usually lower than the design capacity Note that the actual capacity needs to be measured and is typically an estimate based on observed discharging and charging cycles of the battery. A value of 'ffffffff'H indicates that the actual capacity cannot be determined." ::= { batteryEntry 10 }

batteryChargingCycleCount OBJECT-TYPE

```
Unsigned32
   SYNTAX
   MAX-ACCESS read-only
   STATUS
               current
   DESCRIPTION
        "This object indicates the number of completed charging
        cycles that the battery underwent. In line with the
        Smart Battery Data Specification Revision 1.1, a charging
        cycle is defined as the process of discharging the battery
        by a total amount equal to the battery design capacity as
        given by object batteryDesignCapacity. A charging cycle
        may include several steps of charging and discharging the
        battery until the discharging amount given by
        batteryDesignCapacity has been reached. As soon as a
        charging cycle has been completed the next one starts
        immediately independent of the battery's current charge at
        the end of the cycle.
        For batteries of type primary(1) the value of this object is
        always 0.
       A value of 'ffffffff'H indicates that the number of charging
       cycles cannot be determined."
    ::= { batteryEntry 11 }
batteryLastChargingCycleTime OBJECT-TYPE
   SYNTAX
               DateAndTime
   MAX-ACCESS read-only
   STATUS
               current
   DESCRIPTION
        "The date and time of the last charging cycle. The value
        '0000000000000000'H is returned if the battery has not been
        charged yet or if the last charging time cannot be
        determined.
        For batteries of type primary(1) the value of this object is
        always '000000000000000'H."
    ::= { batteryEntry 12 }
batteryChargingOperState OBJECT-TYPE
   SYNTAX
                INTEGER {
                    unknown(1),
                    charging(2),
                    fastCharging(3),
                    maintainingCharge(4),
                    noCharging(5),
                    discharging(6)
                }
```

```
MAX-ACCESS read-only
   STATUS
           current
   DESCRIPTION
        "This object indicates the current charging state of the
        battery.
       Value unknown(1) indicates that the charging state of the
        battery cannot be determined.
        Value charging(2) indicates that the battery is being
        charged in a way that the charge of the battery increases.
       Value fastCharging(3) indicated that the battery is being
        charged rapidly, i.e. faster than in the charging(2) state.
        If multiple fast charging states exist, all of these
        states are indicated by fastCharging(3).
        Value maintainingCharge(4) indicates that the battery is
        being charged with a low current that compensates
        self-discharging. This includes trickle charging, float
        charging and other methods for maintaining the current
        charge of a battery.
       Value noCharging(5) indicates that the battery is not being
        charged or discharged by electric current between the
        battery and electric circuits external to the battery.
        Note that the battery may still be subject to
        self-discharging.
       Value discharging(6) indicates that the battery is being
        discharged and that the charge of the battery decreases."
    ::= { batteryEntry 13 }
batteryChargingAdminState OBJECT-TYPE
    SYNTAX
                INTEGER {
                    charging(2),
                    fastCharging(3),
                    maintainingCharge(4),
                    noCharging(5),
                    discharging(6),
                    notSet(7)
                }
   MAX-ACCESS read-write
    STATUS
                current
   DESCRIPTION
        "The value of this object indicates the desired status of
        the charging state of the battery. The real state is
        indicated by object batteryChargingOperState. See the
```

definition of object batteryChargingOperState for a description of the values.

When this object is initialized by an implementation of the BATTERY-MIB module, its value is set to notSet(7).

However, a SET request can only set this object to either charging(2), fastCharging(3), maintainingCharge(4), noCharging(5), or discharging(6). Attempts to set this object to notSet(7) will always fail with an 'inconsistentValue' error. In case multiple fast charging states exist, the battery logic can choose an appropriate fast charging state - preferably the fastest.

When the batteryChargingAdminState object is set, then the BATTERY-MIB implementation must try to set the battery to the indicated state. The result will be indicated by object batteryChargingOperState.

Due to operational conditions and limitations of the implementation of the BATTERY-MIB module, changing the battery status according to a set value of object batteryChargingAdminState may not be possible.

Setting the value of object batteryChargingAdminState may result in not changing the state of the battery to this value or even in setting the charging state to another value. For example, setting batteryChargingAdminState to value fastCharging(3) may have no effect when the battery logic is not allowing fast charging due to temperature constraints."

::= { batteryEntry 14 }

batteryActualCharge OBJECT-TYPE

SYNTAX	Unsigned64TC	
UNITS	"milliampere	hours"
MAX-ACCESS	read-only	
STATUS	current	
DESCRIPTION		

"This object provides the actual charge of the battery in units of milliampere hours (mAh).

Note that the actual charge needs to be measured and is typically an estimate based on observed discharging and charging cycles of the battery.

A value of 'ffffffff'H indicates that the actual charge

```
cannot be determined."
    ::= { batteryEntry 15 }
batteryActualVoltage OBJECT-TYPE
   SYNTAX
               Unsigned32
   UNITS
               "millivolt"
   MAX-ACCESS read-only
   STATUS
               current
   DESCRIPTION
        "This object provides the actual voltage of the battery
       in units of millivolt (mV).
       A value of 'ffffffff'H indicates that the actual voltage
       cannot be determined."
    ::= { batteryEntry 16 }
batteryActualCurrent OBJECT-TYPE
   SYNTAX
               Integer32
   UNITS
               "milliampere"
   MAX-ACCESS read-only
   STATUS
               current
   DESCRIPTION
        "This object provides the actual charging or discharging
        current of the battery in units of milliampere (mA).
        Charging current is represented by positive values,
        discharging current is represented by negative values.
        A value of '7fffffff'H indicates that the actual current
        cannot be determined."
    ::= { batteryEntry 17 }
batteryTemperature OBJECT-TYPE
   SYNTAX
                Integer32
   UNITS
                "deci-degrees Celsius"
   MAX-ACCESS read-only
   STATUS
               current
   DESCRIPTION
        "The ambient temperature at or near the battery.
        A value of '7fffffff'H indicates that the temperature
        cannot be determined."
    ::= { batteryEntry 18 }
batteryCellIdentifier OBJECT-TYPE
   SYNTAX
                SnmpAdminString
   MAX-ACCESS read-only
               current
   STATUS
   DESCRIPTION
```

"The value of this object identifies one or more cells of a battery. The format of the cell identifier may vary between different implementations. It should uniquely identify one or more cells of the indexed battery.

This object can be used for batteries, such as, for example, lithium polymer batteries for which battery controllers monitor cells individually.

This object is used by notifications of type batteryLowNotification, batteryTemperatureNotification, batteryCriticalNotification, and batteryAgingNotification. These notifications can use the value of this object to indicate the event that triggered the generation of the notification in more details by specifying a single cell or a set of cells within the battery which are specifically addressed by the notification.

An example use case for this object is a single cell in a battery that exceeds the temperature indicated by object batteryAlarmHighTemperature. In such a case, a batteryTemperatureNotification can be generated that not just indicates the battery for which the temperature is exceeded but also the particular cell.

The initial value of this object is the empty string. The value of this object is set at each time a batteryLowNotification, a batteryTemperatureNotification, a batteryCriticalNotification, or a batteryAgingNotification is generated.

When a notification is generated that does not indicate a specific cell or set of cells, the value of this object is set to the empty string."

```
::= { batteryEntry 19 }
```

```
batteryAlarmLowCharge OBJECT-TYPE
```

SYNTAX Unsigned32 UNITS "milliampere hours" MAX-ACCESS read-write STATUS current DESCRIPTION "This object provides the lower threshold value for object batteryActualCharge. If the value of object batteryActualCharge falls below this threshold, a low battery alarm will be raised. The alarm procedure may include generating a batteryLowNotification.

```
A value of 0 indicates that no alarm will be raised for any
       value of object batteryActualCharge."
    ::= { batteryEntry 20 }
batteryAlarmLowVoltage OBJECT-TYPE
   SYNTAX
               Unsigned32
   UNITS
                "millivolt"
   MAX-ACCESS read-write
   STATUS
               current
   DESCRIPTION
        "This object provides the lower threshold value for object
        batteryActualVoltage. If the value of object
        batteryActualVoltage falls below this threshold,
        a low battery alarm will be raised. The alarm procedure may
        include generating a batteryLowNotification.
       A value of 0 indicates that no alarm will be raised for any
       value of object batteryActualVoltage."
    ::= { batteryEntry 21 }
batteryAlarmLowCapacity OBJECT-TYPE
   SYNTAX
               Unsigned32
                "milliampere hours"
   UNTTS
   MAX-ACCESS read-write
   STATUS
               current
   DESCRIPTION
        "This object provides the lower threshold value for object
        batteryActualCapacity. If the value of object
        batteryActualCapacity falls below this threshold,
        a battery aging alarm will be raised. The alarm procedure
        may include generating a batteryAgingNotification.
       A value of 0 indicates that no alarm will be raised for any
       value of object batteryActualCapacity."
    ::= { batteryEntry 22 }
batteryAlarmHighCycleCount OBJECT-TYPE
   SYNTAX
               Unsigned32
   MAX-ACCESS read-write
   STATUS
               current
    DESCRIPTION
        "This object provides the upper threshold value for object
        batteryChargingCycleCount. If the value of object
        batteryChargingCycleCount rises above this threshold,
        a battery aging alarm will be raised. The alarm procedure
        may include generating a batteryAgingNotification.
```

A value of 0 indicates that no alarm will be raised for any

```
value of object batteryChargingCycleCount."
   ::= { batteryEntry 23 }
batteryAlarmHighTemperature OBJECT-TYPE
   SYNTAX
              Integer32
   UNITS
              "deci-degrees Celsius"
   MAX-ACCESS read-write
   STATUS
              current
   DESCRIPTION
       "This object provides the upper threshold value for object
       batteryTemperature. If the value of object
       batteryTemperature rises above this threshold, a battery
       high temperature alarm will be raised. The alarm procedure
       may include generating a batteryTemperatureNotification.
       A value of '7fffffff'H indicates that no alarm will be
       raised for any value of object batteryTemperature."
   ::= { batteryEntry 24 }
batteryAlarmLowTemperature OBJECT-TYPE
   SYNTAX
              Integer32
   UNITS
              "deci-degrees Celsius"
   MAX-ACCESS read-write
   STATUS
              current
   DESCRIPTION
       "This object provides the lower threshold value for object
       batteryTemperature. If the value of object
       batteryTemperature falls below this threshold, a battery
       low temperature alarm will be raised. The alarm procedure
       may include generating a batteryTemperatureNotification.
       A value of '7fffffff'H indicates that no alarm will be
       raised for any value of object batteryTemperature."
   ::= { batteryEntry 25 }
-- 2. Notifications
batteryChargingStateNotification NOTIFICATION-TYPE
   OBJECTS
            {
       batteryChargingOperState
   }
   STATUS
              current
   DESCRIPTION
       "This notification can be generated when a charging state
       of the battery (indicated by the value of object
```

```
batteryChargingOperState) is triggered by an event other
        than a write action to object batteryChargingAdminState.
        Such an event may, for example, be triggered by a local
        battery controller."
    ::= { batteryNotifications 1 }
batteryLowNotification NOTIFICATION-TYPE
    OBJECTS
                {
        batteryActualCharge,
        batteryActualVoltage,
        batteryCellIdentifier
    }
   STATUS
                current
    DESCRIPTION
        "This notification can be generated when the current charge
        (batteryActualCharge) or the current voltage
        (batteryActualVoltage) of the battery falls below a
        threshold defined by object batteryAlarmLowCharge or object
        batteryAlarmLowVoltage, respectively.
        The notification should not be sent again before the current
        voltage or the current charge becomes higher than the
        respective thresholds through charging before falling below
        the thresholds again.
        If the low charge or voltage has been detected for a single
        cell or a set of cells of the battery and not for the entire
        battery, then object batteryCellIdentifier should be set to
        a value that identifies the cell or set of cells.
        Otherwise, the value of object batteryCellIdentifier should
        be set to the empty string when this notification is
        generated."
    ::= { batteryNotifications 2 }
batteryCriticalNotification NOTIFICATION-TYPE
   OBJECTS
                {
        batteryActualCharge,
        batteryActualVoltage,
        batteryCellIdentifier
    }
    STATUS
                current
    DESCRIPTION
        "This notification can be generated when the current charge
        of the battery falls so low that it cannot provide a power
        supply function anymore and below and needs to be charged
        first before it can be used for power supply again.
        threshold defined by object batteryAlarmLowCharge or object
        batteryAlarmLowVoltage, respectively.
```

The notification should not be sent again before the battery charge has increased to a non-critical value.

If the critical state is caused a single cell or a set of cells of the battery, then object batteryCellIdentifier should be set to a value that identifies the cell or set of cells. Otherwise, the value of object batteryCellIdentifier should be set to the empty string when this notification is generated."

```
::= { batteryNotifications 3 }
```

```
batteryTemperatureNotification NOTIFICATION-TYPE
```

```
OBJECTS
            {
    batteryTemperature,
    batteryCellIdentifier
```

```
STATUS
            current
```

```
DESCRIPTION
```

}

"This notification can be generated when the measured temperature (batteryTemperature) rises above the threshold defined by object batteryAlarmHighTemperature or falls below the threshold defined by object batteryAlarmLowTemperature.

```
If the low or high temperature has been detected for a
single cell or a set of cells of the battery and not for the
entire battery, then object batteryCellIdentifier should be
set to a value that identifies the cell or set of cells.
Otherwise, the value of object batteryCellIdentifier should
be set to the empty string when this notification is
generated."
```

```
::= { batteryNotifications 4 }
```

```
batteryAgingNotification NOTIFICATION-TYPE
```

```
OBJECTS
            {
    batteryActualCapacity,
    batteryChargingCycleCount,
    batteryCellIdentifier
```

# }

```
STATUS
            current
```

```
DESCRIPTION
```

"This notification can be generated when the actual capacity (batteryActualCapacity) falls below a threshold defined by object batteryAlarmLowCapacity or when the charging cycle count of the battery (batteryChargingCycleCount) exceeds the threshold defined by object batteryAlarmHighCycleCount.

```
If the aging has been detected for a single cell or a set of
      cells of the battery and not for the entire battery, then
      object batteryCellIdentifier should be set to a value that
      identifies the cell or set of cells. Otherwise, the value
      of object batteryCellIdentifier should be set to the empty
      string when this notification is generated."
   ::= { batteryNotifications 5 }
batteryConnectedNotification NOTIFICATION-TYPE
   OBJECTS
             {
      batteryIdentifier
   }
   STATUS
             current
   DESCRIPTION
      "This notification can be generated when it has been
      detected that a battery has been connected. The battery
      can be identified by the value of object batteryIdentifier
      as well as by the value of index entPhysicalIndex that is
      contained in the OID of object batteryIdentifier."
   ::= { batteryNotifications 6 }
batteryDisconnectedNotification NOTIFICATION-TYPE
   STATUS
             current
   DESCRIPTION
      "This notification can be generated when it has been
      detected that one or more batteries have been disconnected."
   ::= { batteryNotifications 7 }
-- 3. Conformance Information
batteryCompliances OBJECT IDENTIFIER ::= { batteryConformance 1 }
batteryGroups OBJECT IDENTIFIER ::= { batteryConformance 2 }
-- 3.1. Compliance Statements
_____
batteryCompliance MODULE-COMPLIANCE
   STATUS current
   DESCRIPTION
      "The compliance statement for implementations of the
      BATTERY-MIB module.
      A compliant implementation MUST implement the objects
      defined in the mandatory groups batteryDescriptionGroup
```

```
and batteryStatusGroup.
    Note that compliance with this compliance
    statement requires compliance with the
    entity4CRCompliance MODULE-COMPLIANCE statement of the
    ENTITY-MIB (RFC6933)."
MODULE -- this module
    MANDATORY-GROUPS {
        batteryDescriptionGroup,
        batteryStatusGroup
    }
    GROUP
            batteryAlarmThresholdsGroup
    DESCRIPTION
       "A compliant implementation does not have to implement
        the batteryAlarmThresholdsGroup."
    GROUP
            batteryNotificationsGroup
    DESCRIPTION
       "A compliant implementation does not have to implement
        the batteryNotificationsGroup."
    GROUP
            batteryPerCellNotificationsGroup
    DESCRIPTION
       "A compliant implementation does not have to implement
        the batteryPerCellNotificationsGroup."
    GROUP
            batteryAdminGroup
    DESCRIPTION
       "A compliant implementation does not have to implement
        the batteryAdminGroup."
    OBJECT batteryAlarmLowCharge
    MIN-ACCESS read-only
    DESCRIPTION
        "The agent is not required to support set
        operations to this object."
    OBJECT batteryAlarmLowVoltage
    MIN-ACCESS read-only
    DESCRIPTION
        "The agent is not required to support set
        operations to this object."
    OBJECT batteryAlarmLowCapacity
    MIN-ACCESS read-only
    DESCRIPTION
        "The agent is not required to support set
```

operations to this object." OBJECT batteryAlarmHighCycleCount MIN-ACCESS read-only DESCRIPTION "The agent is not required to support set operations to this object." OBJECT batteryTemperatureNotification MIN-ACCESS read-only DESCRIPTION "The agent is not required to support set operations to this object." ::= { batteryCompliances 1 } \_\_\_\_\_ -- 3.2. MIB Grouping \_\_\_\_\_ batteryDescriptionGroup OBJECT-GROUP OBJECTS { batteryIdentifier, batteryFirmwareVersion, batteryType, batteryTechnology, batteryDesignVoltage, batteryNumberOfCells, batteryDesignCapacity, batteryMaxChargingCurrent, batteryTrickleChargingCurrent } STATUS current DESCRIPTION "A compliant implementation MUST implement the objects contained in this group." ::= { batteryGroups 1 } batteryStatusGroup OBJECT-GROUP OBJECTS { batteryActualCapacity, batteryChargingCycleCount, batteryLastChargingCycleTime, batteryChargingOperState, batteryActualCharge, batteryActualVoltage, batteryActualCurrent, batteryTemperature

```
}
   STATUS
                current
   DESCRIPTION
       "A compliant implementation MUST implement the objects
       contained in this group."
    ::= { batteryGroups 2 }
batteryAdminGroup OBJECT-GROUP
   OBJECTS {
       batteryChargingAdminState
   }
   STATUS
                current
   DESCRIPTION
       "A compliant implementation does not have to implement the
       object contained in this group."
    ::= { batteryGroups 3 }
batteryAlarmThresholdsGroup OBJECT-GROUP
   OBJECTS {
       batteryAlarmLowCharge,
       batteryAlarmLowVoltage,
       batteryAlarmLowCapacity,
       batteryAlarmHighCycleCount,
       batteryAlarmHighTemperature,
       batteryAlarmLowTemperature
   }
   STATUS
                current
   DESCRIPTION
       "A compliant implementation does not have to implement the
       objects contained in this group."
    ::= { batteryGroups 4 }
batteryNotificationsGroup NOTIFICATION-GROUP
    NOTIFICATIONS {
       batteryChargingStateNotification,
       batteryLowNotification,
       batteryCriticalNotification,
       batteryAgingNotification,
       batteryTemperatureNotification,
       batteryConnectedNotification,
       batteryDisconnectedNotification
    }
   STATUS
                current
   DESCRIPTION
        "A compliant implementation does not have to implement the
        notifications contained in this group."
    ::= { batteryGroups 5 }
```

```
batteryPerCellNotificationsGroup OBJECT-GROUP
OBJECTS {
    batteryCellIdentifier
  }
  STATUS current
DESCRIPTION
    "A compliant implementation does not have to implement the
    object contained in this group."
  ::= { batteryGroups 6 }
END
```

## 5. Security Considerations

There are a number of management objects defined in this MIB module with a MAX-ACCESS clause of read-write. Such objects may be considered sensitive or vulnerable in some network environments. The support for SET operations in a non-secure environment without proper protection can have a negative effect on network operations. These are the tables and objects and their sensitivity/vulnerability:

o batteryChargingAdminState

Setting the battery charging state can be beneficial for an operator for various reasons such as charging batteries when the price of electricity is low. However, setting the charging state can be used by an attacker to discharge batteries of devices and thereby switching these devices off if they are powered solely by batteries. In particular, if the batteryAlarmLowCharge and batteryAlarmLowVoltage can also be set, this attack will go unnoticed (i.e. no notifications are sent).

- o batteryAlarmLowCharge and batteryAlarmLowVoltage These objects set the threshold for an alarm to be raised when the battery charge or voltage falls below the corresponding one of them. An attacker setting one of these alarm values can switch off the alarm by setting it to the 'off' value 0 or modify the alarm behavior by setting it to any other value. The result may be loss of data if the battery runs empty without warning to a recipient expecting such a notification.
- o batteryAlarmLowCapacity and batteryAlarmHighCycleCount These objects set the threshold for an alarm to be raised when the battery becomes older and less performant than required for stable operation. An attacker setting this alarm value can switch off the alarm by setting it to the 'off' value 0 or modify the alarm behavior by setting it to any other value. This may either lead to a costly replacement of a working battery or too old or too weak batteries being used. The consequence of the latter could

e.g. be that a battery cannot provide power long enough between two scheduled charging actions causing the powered device to shut down and potentially lose data.

o batteryAlarmHighTemperature and batteryAlarmLowTemperature These objects set thresholds for an alarm to be raised when the battery rises above/falls below them. An attacker setting one of these alarm values can switch off these alarms by setting them to the 'off' value '7ffffffff'H or modify the alarm behavior by setting them to any other value. The result may e.g. be an unnecessary shutdown of a device if batteryAlarmHighTemperature is set to too low or damage to the device by too high temperatures if switched off or set to too high values or by damage to the battery when it e.g. is being charged. Batteries can also be damaged e.g. in an attempt to charge them at too low temperatures.

Some of the readable objects in this MIB module (i.e., objects with a MAX-ACCESS other than not-accessible) may be considered sensitive or vulnerable in some network environments. It is thus important to control even GET and/or NOTIFY access to these objects and possibly to even encrypt the values of these objects when sending them over the network via SNMP. These are the tables and objects and their sensitivity/vulnerability:

All potentially sensible or vulnerable objects of this MIB module are in the batteryTable. In general, there are no serious operational vulnerabilities foreseen in case of an unauthorized read access to this table. However, privacy issues need to be considered. It may be a trade secret of the operator

- o how many batteries are installed in a managed node (batteryIndex)
- o how old these batteries are (batteryActualCapacity and batteryChargingCycleCount)
- o when the next replacement cycle for batteries can be expected (batteryAlarmLowCapacity and batteryAlarmHighCycleCount)
- o what battery type and make are used with which firmware version (batteryIdentifier, batteryFirmwareVersion, batteryType, and batteryTechnology)

SNMP versions prior to SNMPv3 did not include adequate security. Even if the network itself is secure (for example by using IPsec), there is no control as to who on the secure network is allowed to access and GET/SET (read/change/create/delete) the objects in this MIB module.

It is RECOMMENDED that implementers consider the security features as provided by the SNMPv3 framework (see <u>[RFC3410]</u>, <u>section 8</u>), including full support for the SNMPv3 cryptographic mechanisms (for authentication and privacy).

Further, deployment of SNMP versions prior to SNMPv3 is NOT RECOMMENDED. Instead, it is RECOMMENDED to deploy SNMPv3 and to enable cryptographic security. It is then a customer/operator responsibility to ensure that the SNMP entity giving access to an instance of this MIB module is properly configured to give access to the objects only to those principals (users) that have legitimate rights to GET or SET (change/create/delete) them.

# **<u>6</u>**. IANA Considerations

## 6.1. SMI Object Identifier Registration

The Battery MIB module defined in this document uses the following IANA-assigned OBJECT IDENTIFIER value recorded in the SMI Numbers registry:

Descriptor	OBJECT IDENTIFIER value
batteryMIB	{ mib-2 xxx }

[NOTE for IANA: Please allocate an object identifier at <a href="http://www.iana.org/assignments/smi-numbers">http://www.iana.org/assignments/smi-numbers</a> for object batteryMIB.]

### <u>6.2</u>. Battery Technology Registration

Object batteryTechnology defined in <u>Section 4</u> reports battery technologies. Eighteen values for battery technologies have initially been defined. They are listed in a table in <u>Section 3.2</u>.

For ensuring extensibility of this list, IANA has created a registry for battery technologies at <u>http://www.iana.org/assignments/eman</u> and filled it with the initial list given in <u>Section 3.2</u>.

New assignments of numbers for battery technologies will be administered by IANA through Expert Review ([<u>RFC5226</u>]). Experts must check for sufficient relevance of a battery technology to be added.

[NOTE for IANA: Please create a new registry under http://www.iana.org/assignments/eman for battery types. Please fill the registry with values from the table in Section 3.2]

7. Open Issues

# 7.1. Battery replacement

How to deal with IDs in case of replacement of a battery? If a battery is replaced, shall the UUID in the entPhysicalTable be replaced by a new one?. Proposal: keep the UUID for the entity and use the batteryIdentifier to identify moving batteries.

#### **7.2**. Compliance statements for notifications

Compliance statements for Notifications need to be revisited and if necessary elaborated.

#### 8. Acknowledgements

We would like to thank Steven Chew and Bill Mielke for their valuable input.

# 9. References

# <u>9.1</u>. Normative References

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