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TLS-based EAP types and TLS 1.3
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Abstract

EAP-TLS [[RFC5216](#)] is being updated for TLS 1.3 in [[EAPTLS](#)]. Many other EAP [[RFC3748](#)] and [[RFC5247](#)] types also depend on TLS, such as FAST [[RFC4851](#)], TTLS [[RFC5281](#)], TEAP [[RFC7170](#)], and possibly many vendor specific EAP methods. This document updates those methods in order to use the new key derivation methods available in TLS 1.3. Additional changes necessitated by TLS 1.3 are also discussed.

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1. Introduction

EAP-TLS is being updated for TLS 1.3 in [[EAP-TLS](#)]. Many other EAP types also depend on TLS, such as FAST [[RFC4851](#)], TTLS [[RFC5281](#)], TEAP [[RFC7170](#)], and possibly many vendor specific EAP methods such as PEAP [[PEAP](#)]. All of these methods use key derivation functions which are no longer applicable to TLS 1.3. As such, all of those methods are incompatible with TLS 1.3.

We wish to enable the use of TLS 1.3 in the wider Internet community. As such, it is necessary to update the above EAP Types. These changes involve defining new key derivation functions. We also discuss implementation issues in order to highlight differences between TLS 1.3 and earlier versions of TLS.

1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [BCP 14](#) [[RFC2119](#)] [[RFC8174](#)] when, and only when, they appear in all capitals, as shown here.

2. Using TLS-based EAP methods with TLS 1.3

In general, all of the requirements of [\[EAPTLS\]](#) apply to other EAP methods that wish to use TLS 1.3. Unless otherwise required herein, implementations of EAP methods that wish to use TLS 1.3 MUST follow the guidelines in [\[EAPTLS\]](#).

There remain some differences between EAP-TLS and other TLS-based EAP methods which necessitates this document. The main difference is that [\[EAPTLS\]](#) uses the EAP-TLS Type (value 0x0D) in a number of calculations, whereas other method types will use their own Type value instead of the EAP-TLS Type value. This topic is discussed further below in [Section 2](#).

An additional difference is that the [\[EAPTLS\] Section 2.5](#) requires that once the EAP-TLS handshake has completed, the EAP server sends a protected success result indication. This indication is composed of one octet (0x00) of application data. Other TLS-based EAP methods also use this indicator, but only during resumption. When the other TLS-based EAP methods use full authentication, the indicator is not needed, and is not used. This topic is explained in more detail below, in [Section 3](#).

Finally, the document includes clarifications on how various TLS-based parameters are calculated when using TLS 1.3. These parameters are different for each EAP method, so they are discussed separately.

2.1. Key Derivation

The key derivation for TLS-based EAP methods depends on the value of the EAP Type as defined by [\[IANA\]](#) in the Extensible Authentication Protocol (EAP) Registry. The most important definition is of the Type field, as first defined in [\[RFC3748\] Section 2](#):

Type = value of the EAP Method type

For the purposes of this specification, when we refer to logical Type, we mean that the logical Type is defined to be 1 octet for values smaller than 254 (the value for the Expanded Type), and when Expanded EAP Types are used, the logical Type is defined to be the concatenation of the fields required to define the Expanded Type, including the Type with value 0xfe, Vendor-Id (in network byte order) and Vendor-Type fields (in network byte order) defined in [\[RFC3748\] Section 5.7](#).

Type = 0xFE || Vendor-Id || Vendor-Type

This definition does not alter the meaning of Type in [\[RFC3748\]](#), or

change the structure of EAP packets. Instead, this definition allows us to simplify references to EAP Types, by just using a logical "Type" instead of referring to "the Type field or the Type field with value 0xfe, plus the Vendor-ID and Vendor-Type".

Unless otherwise discussed below, the key derivation functions for all TLS-based EAP Types are defined as follows:

```
Key_Material = TLS-Exporter("EXPORTER_EAP_TLS_Key_Material",
                             Type, 128)
Method-Id    = TLS-Exporter("EXPORTER_EAP_TLS_Method-Id",
                             Type, 64)
Session-Id   = Type || Method-Id
MSK          = Key_Material(0, 63)
EMSK         = Key_Material(64, 127)
```

We note that these definitions re-use the EAP-TLS exporter labels, and change the derivation only by adding a dependency on the logical Type. The reason for this change is simplicity. There does not appear to be compelling reasons to make the labels method-specific, when they can just include the logical Type in the key derivation.

These definitions apply in their entirety to TTLS [[RFC5281](#)] and PEAP as defined in [[PEAP](#)] and [[MSPEAP](#)]. Some definitions apply to FAST and TEAP, with exceptions as noted below.

It is RECOMMENDED that vendor-defined TLS-based EAP methods use the above definitions for TLS 1.3. There is no compelling reason to use different definitions.

[2.2.](#) TEAP

[RFC7170] [Section 5.2](#) gives a definition for the Inner Method Session Key (IMSK), which depends on the TLS-PRF. We update that definition for TLS 1.3 as:

```
IMSK = TLS-Exporter("TEAPbindkey@ietf.org", EMSK, 32)
```

For MSK and EMSK, TEAP [[RFC7170](#)] uses an inner tunnel EMSK to calculate the outer EMSK. As such, those key derivations cannot use the above derivation.

The other key derivations for TEAP are given here. All derivations not given here are the same as given above in the previous section. These derivations are also used for FAST, but using the FAST Type.

```
session_key_seed = TLS-Exporter("EXPORTER: session key seed",
                                Type, 40)
```



```
S-IMCK[0] = session_key_seed
For j = 1 to n-1 do
  IMCK[j] = TLS-Exporter("EXPORTER: Inner Methods Compound Keys",
                        S-IMCK[j-1] | IMSK[j], 60)
  S-IMCK[j] = first 40 octets of IMCK[j]
  CMK[j] = last 20 octets of IMCK[j]
```

Where | denotes concatenation. MSK and EMSK are then derived from the above definitions, as:

```
MSK = TLS-Exporter("EXPORTER: Session Key Generating Function",
                  S-IMCK[j], 64)
```

```
EMSK = TLS-Exporter("EXPORTER: Extended Session Key Generating Function",
                   S-IMCK[j], 64)
```

The TEAP Compound MAC defined in [\[RFC7170\] Section 5.3](#) is updated to use the definition of CMK[j] given above, which then leads to the following definition

```
CMK = CMK[j]
```

```
Compound-MAC = MAC( CMK, BUFFER )
```

where j is the number of the last successfully executed inner EAP method. For TLS 1.3, the hash function used is the same as the ciphersuite hash function negotiated for HKDF in the key schedule, as per [section 7.1 of RFC 8446](#). The definition of BUFFER is unchanged from [\[RFC7170\] Section 5.3](#)

2.3. FAST

For FAST, the session_key_seed is also used as the key_block, as defined in [\[RFC4851\] Section 5.1](#).

The definition of S-IMCK[n], MSK, and EMSK are the same as given above for TEAP. We reiterate that the EAP-FAST Type must be used when deriving the session_key_seed, and not the TEAP Type.

Unlike [\[RFC4851\] Section 5.2](#), the definition of IMCK[j] places the reference to S-IMCK after the textual label, and concatenates the IMSK instead of MSK.

EAP-FAST previously used a PAC, which is a type of pre-shared key (PSK). Such uses are deprecated in TLS 1.3. As such, PAC provisioning is no longer part of EAP-FAST when TLS 1.3 is used.

The T-PRF given in [\[RFC4851\] Section 5.5](#) is not used for TLS 1.3.

2.4. TTLS

[RFC5281] [Section 11.1](#) defines an implicit challenge when the inner methods of CHAP [[RFC1994](#)], MS-CHAP [[RFC2433](#)], or MS-CHAPv2 [[RFC2759](#)] are used. The derivation for TLS 1.3 is instead given as

```
EAP-TTLS_challenge = TLS-Exporter("ttls challenge",, n)
```

There no "context_value" ([\[RFC8446\] Section 7.5](#)) passed to the TLS-Exporter function. The value "n" given here is the length of the challenge required, which [[RFC5281](#)] requires to be either 8 or 16 octets, depending on the challenge being used.

Note that unlike TLS 1.2 and earlier, the calculation of TLS-Exporter depends on the length passed to it. Implementations therefore MUST pass the correct length, instead of passing a large length and truncating the output. Any output calculated using a longer length which is then truncated, will be different from the output calculated using the correct length.

2.5. PEAP

When PEAP uses crypto binding, it uses a different key calculation defined in [[PEAP-MPPE](#)] which consumes inner method keying material. The pseudo-random function (PRF) used here is not taken from the TLS exporter, but is instead calculated via a different method which is given in [[PEAP-PRF](#)]. That derivation remains unchanged in this specification.

However, the key calculation uses a PEAP Tunnel Key [[PEAP-TK](#)] which is defined as:

```
... the TK is the first 60 octets of the Key_Material, as
specified in [RFC5216]: TLS-PRF-128 (master secret, "client EAP
encryption", client.random || server.random).
```

We note that this text does not define Key_Material. Instead, it defines TK as the first octets of Key_Material, and gives a definition of Key_Material which is appropriate for TLS versions before TLS 1.3.

For TLS 1.3, the TK should be derived from the Key_Material defined above in [Section 2.1](#), instead of using the TLS-PRF-128 derivation given above.

3. Application Data

Unlike previous TLS versions, TLS 1.3 can continue negotiation after the initial TLS handshake has been completed, which TLS 1.3 calls the "CONNECTED" state. Some implementations use a "TLS finished" determination as an indication that TLS negotiation has completed, and that an "inner tunnel" session can now be negotiated. This assumption is no longer correct for TLS 1.3.

TLS 1.3 permits `NewSessionTicket` messages to be sent before the TLS "Finished", and after application data is sent. This change can cause many implementations to fail in a number of different ways.

In order to correct this failure, we require that if the underlying TLS connection is still performing negotiation, then implementations **MUST NOT** send, or expect to receive application data in the TLS session. Implementations **MUST** delay processing of application data until such time as the TLS negotiation has finished. If the TLS negotiation is successful, then the application data can be examined. If the TLS negotiation is unsuccessful, then the application data is untrusted, and therefore **MUST** be discarded without being examined.

The default for many TLS library implementations is to send a `NewSessionTicket` message immediately after, or along with, the TLS Finished message. This ticket is could be used for resumption, even if the "inner tunnel" authentication has not been completed. If the ticket could be used, then it could allow a malicious EAP peer to completely bypass the "inner tunnel" authentication

Therefore, the EAP server **MUST NOT** permit any session ticket to successfully resume authentication, unless the inner tunnel authentication has completed successfully.

To protect against that attack, implementations **SHOULD NOT** send `NewSessionTicket` messages until the "inner tunnel" authentication has completed. There is no reason to send session tickets which will later be invalidated or ignored. However, we recognize that this suggestion may not always be possible to implement with some available TLS libraries. As such, EAP server **MUST** take care to either invalidate or discard session tickets which are associated with sessions that terminate in EAP Failure.

The `NewSessionTicketMessage` **SHOULD** also be sent along with other application data, if possible. Sending that message alone bloats the packet exchange to no benefit.

[EAPTLS] [Section 2.5](#) requires a protected result indicator which indicates that TLS negotiation has finished. Methods which use

"inner tunnel" methods MUST instead begin their "inner tunnel" negotiation by sending Type-specific application data.

4. Resumption

[EAPTLS] [Section 2.1.3](#) defines the process for resumption. This process is the same for all TLS-based EAP types. The only practical difference is that the value of the Type field is different.

All TLS-based EAP methods support resumption, as it is a property of the underlying TLS protocol. All EAP servers and peers MUST support resumption for all TLS-based EAP methods. We note that EAP servers and peers can still choose to not resume any particular session. For example, EAP servers may forbid resumption for administrative, or other policy reasons.

It is RECOMMENDED that EAP servers and peers enable resumption, and use it where possible. The use of resumption decreases the number of round trips used for authentication. This decrease leads to lower latency for authentications, and less load on the EAP server. Resumption can also lower load on external systems, such as databases which contain user credentials.

As the packet flows for resumption are essentially identical across all TLS-based EAP types, it is technically possible to authenticate using EAP-TLS (Type 13), and then perform resumption using another EAP type, just as EAP-TTLS (Type 21). However, there is no practical benefit to doing so. It is also not clear what this behavior would mean, or what (if any) security issues there may be with it. As a result, this behavior is forbidden.

EAP servers therefore MUST NOT resume sessions across different EAP Types, and EAP servers MUST reject resumptions in which the EAP Type value is different from the original authentication.

5. Security Considerations

[EAPTLS] [Section 5](#) is included here by reference.

Updating the above EAP methods to use TLS 1.3 is of high importance for the Internet Community. Using the most recent security protocols can significantly improve security and privacy of a network.

In some cases, client certificates are not used for TLS-based EAP methods. In those cases, the user is authenticated only after successful completion of the inner tunnel authentication. However, the TLS protocol may send one or more NewSessionTicket after receiving the TLS Finished message from the client, and therefore

before the user is authenticated.

This separation of data allows for a "time of use, time of check" security issue. Malicious clients can begin a session and receive a NewSessionTicket. The malicious client can then abort the authentication session, and the obtained NewSessionTicket to "resume" the previous session.

As a result, EAP servers MUST NOT permit sessions to be resumed until after authentication has successfully completed. This requirement may be met in a number of ways. For example, by not caching the session ticket until after authentication has completed, or by marking up the cached session ticket with a flag stating whether or not authentication has completed.

For PEAP, some derivations use HMAC-SHA1 [[PEAP-MPPE](#)]. There are no known security issues with HMAC-SHA1. In the interests of interoperability and minimal changes, we do not change that derivation.

5.1. Protected Success and Failure indicators

[EAPTLS] provides for protected success and failure indicators as discussed in [Section 4.1.1 of \[RFC4137\]](#). These indicators are provided for both full authentication, and for resumption.

Other TLS-based EAP methods provide these indicators only for resumption.

For full authentication, the other TLS-based EAP methods do not provide for protected success and failure indicators as part of the outer TLS exchange. That is, the protected result indicator is not used, and there is no TLS-layer alert sent when the inner authentication fails. Instead, there is simply either an EAP-Success or EAP-Failure sent. This behavior is the same as for previous TLS versions, and therefore introduces no new security issues.

We note that most TLS-based EAP methods provide for success and failure indicators as part of the authentication exchange performed inside of the TLS tunnel. These indicators are therefore protected, as they cannot be modified or forged.

However, some inner methods do not provide for success or failure indicators. For example, the use of TTLS with inner PAP or CHAP. Those methods send authentication credentials to the server via the inner tunnel, with no possibility to similarly signal success or failure inside of the tunnel.

There are functionally equivalent authentication methods which can be used to replace the methods which are missing protected indicators. PAP can often be replaced with EAP-GTC, and CHAP with EAP-MD5. Both replacement methods provide for similar functionality, and have protected success and failure indicator. The main cost to this change is additional round trips.

It is RECOMMENDED that implementations deprecate inner tunnel methods which do not provided protected success and failure indicators. Implementations SHOULD use EAP-GTC instead of PAP, and EAP-MD5 instead of CHAP. New TLS-based EAP methods MUST provide protected success and failure indicators inside of the TLS tunnel.

When the inner authentication protocol indicates that authentication has failed, then implementations MUST fail authentication for the entire session. There MAY be additional protocol exchanges in order to exchange more detailed failure indicators, but the final result MUST be a failed authentication. As noted earlier, any session tickets for this failed authentication MUST be either invalidated or discarded.

Similarly, when the inner authentication protocol indicates that authentication has succeeded, then implementations SHOULD cause authentication to succeed for the entire session. There MAY be additional protocol exchanges in order which could cause other failures, so success is not required here.

In both of these cases, the EAP server MUST send an EAP-Failure or EAP-Success message, as indicated by [Section 2](#), item 4 of [[RFC3748](#)]. Even though both parties have already determined the final authentication status, the full EAP state machine must still be followed.

6. IANA Considerations

This section provides guidance to the Internet Assigned Numbers Authority (IANA) regarding registration of values related to the TLS-based EAP methods for TLS 1.3 protocol in accordance with [[RFC8126](#)].

This memo requires IANA to add the following labels to the TLS Exporter Label Registry defined by [[RFC5705](#)]. These labels are used in the derivation of Key_Material and Method-Id as defined above in [Section 2](#).

The labels below need to be added to the "TLS Exporter Labels" registry. These labels are used only for TEAP.

* EXPORTER: session key seed

- * EXPORTER: Inner Methods Compound Keys
- * EXPORTER: Session Key Generating Function
- * EXPORTER: Extended Session Key Generating Function
- * TEAPbindkey@ietf.org

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