

Internet Engineering Task Force  
Internet-Draft  
Intended status: Informational  
Expires: December 29, 2010

E. Haleplidis  
University of Patras  
K. Ogawa  
NTT Corporation  
W. Wang  
Zhejiang Gongshang University  
J. Hadi Salim  
Mojatatu Networks  
June 27, 2010

Implementation Report for ForCES  
draft-ietf-forces-implementation-report-02

## Abstract

Forwarding and Control Element Separation (ForCES) defines an architectural framework and associated protocols to standardize information exchange between the control plane and the forwarding plane in a ForCES Network Element (ForCES NE). [RFC3654](#) has defined the ForCES requirements, and [RFC3746](#) has defined the ForCES framework.

This document is an implementation report of the ForCES Protocol, Model and SCTP-TML, including the report on interoperability testing and the current state of ForCES implementations.

## Status of this Memo

This Internet-Draft is submitted in full conformance with the provisions of [BCP 78](#) and [BCP 79](#).

Internet-Drafts are working documents of the Internet Engineering Task Force (IETF). Note that other groups may also distribute working documents as Internet-Drafts. The list of current Internet-Drafts is at <http://datatracker.ietf.org/drafts/current/>.

Internet-Drafts are draft documents valid for a maximum of six months and may be updated, replaced, or obsoleted by other documents at any time. It is inappropriate to use Internet-Drafts as reference material or to cite them other than as "work in progress."

This Internet-Draft will expire on December 29, 2010.

## Copyright Notice

Copyright (c) 2010 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to [BCP 78](http://trustee.ietf.org/license-info) and the IETF Trust's Legal Provisions Relating to IETF Documents (<http://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions with respect to this document. Code Components extracted from this document must include Simplified BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Simplified BSD License.

## Table of Contents

<a href="#">1.</a>	<a href="#">Terminology and Conventions</a>	<a href="#">4</a>
<a href="#">1.1.</a>	<a href="#">Requirements Language</a>	<a href="#">4</a>
<a href="#">1.2.</a>	<a href="#">Definitions</a>	<a href="#">4</a>
<a href="#">2.</a>	<a href="#">Introduction</a>	<a href="#">6</a>
<a href="#">2.1.</a>	<a href="#">ForCES Protocol</a>	<a href="#">6</a>
<a href="#">2.2.</a>	<a href="#">ForCES Model</a>	<a href="#">6</a>
<a href="#">2.3.</a>	<a href="#">Transport mapping layer</a>	<a href="#">6</a>
<a href="#">3.</a>	<a href="#">Summary</a>	<a href="#">7</a>
<a href="#">4.</a>	<a href="#">Methodology</a>	<a href="#">8</a>
<a href="#">5.</a>	<a href="#">Exceptions</a>	<a href="#">9</a>
<a href="#">6.</a>	<a href="#">Detail Section</a>	<a href="#">10</a>
<a href="#">6.1.</a>	<a href="#">Implementation Experience</a>	<a href="#">10</a>
<a href="#">6.1.1.</a>	<a href="#">ForCES Protocol Features</a>	<a href="#">10</a>
<a href="#">6.1.1.1.</a>	<a href="#">Protocol Messages</a>	<a href="#">11</a>
<a href="#">6.1.1.2.</a>	<a href="#">MainHeader Handling</a>	<a href="#">12</a>
<a href="#">6.1.1.3.</a>	<a href="#">TLV Handling</a>	<a href="#">13</a>
<a href="#">6.1.1.4.</a>	<a href="#">Operation Types Supported</a>	<a href="#">14</a>
<a href="#">6.1.1.5.</a>	<a href="#">ForCES Protocol Advanced Features</a>	<a href="#">15</a>
<a href="#">6.1.2.</a>	<a href="#">ForCES Model Features</a>	<a href="#">15</a>
<a href="#">6.1.2.1.</a>	<a href="#">Basic Atomic Types Supported</a>	<a href="#">16</a>
<a href="#">6.1.2.2.</a>	<a href="#">Compound Types Supported</a>	<a href="#">17</a>
<a href="#">6.1.2.3.</a>	<a href="#">LFBs Supported</a>	<a href="#">17</a>
<a href="#">6.1.3.</a>	<a href="#">ForCES SCTP-TML Features</a>	<a href="#">20</a>
<a href="#">6.1.3.1.</a>	<a href="#">TML Priority Ports</a>	<a href="#">20</a>
<a href="#">6.1.3.2.</a>	<a href="#">Message Handling at specific priorities</a>	<a href="#">21</a>
<a href="#">6.1.3.3.</a>	<a href="#">TML Security Feature</a>	<a href="#">22</a>
<a href="#">6.2.</a>	<a href="#">Interoperability Report</a>	<a href="#">22</a>
<a href="#">6.2.1.</a>	<a href="#">Scenarios</a>	<a href="#">23</a>
<a href="#">6.2.1.1.</a>	<a href="#">Scenario 1 - Pre-association Setup</a>	<a href="#">23</a>
<a href="#">6.2.1.2.</a>	<a href="#">Scenario 2 - TML priority channels connection</a>	<a href="#">24</a>

6.2.1.3.	Scenario 3 - Association Setup - Association Complete . . . . .	<a href="#">24</a>
<a href="#">6.2.1.4.</a>	Scenario 4 - CE query . . . . .	<a href="#">25</a>
<a href="#">6.2.1.5.</a>	Scenario 5 - Heartbeat monitoring . . . . .	<a href="#">25</a>
<a href="#">6.2.1.6.</a>	Scenario 6 - Simple Config Command . . . . .	<a href="#">25</a>

<a href="#">6.2.1.7.</a>	Scenario 7 - Association Teardown . . . . .	<a href="#">26</a>
<a href="#">6.2.2.</a>	Tested Features . . . . .	<a href="#">26</a>
<a href="#">6.2.2.1.</a>	ForCES Protocol Features . . . . .	<a href="#">26</a>
<a href="#">6.2.2.2.</a>	ForCES Model Features . . . . .	<a href="#">28</a>
<a href="#">6.2.2.3.</a>	ForCES SCTP-TML Features . . . . .	<a href="#">31</a>
<a href="#">6.2.3.</a>	Interoperability Results . . . . .	<a href="#">32</a>
<a href="#">7.</a>	Acknowledgements . . . . .	<a href="#">34</a>
<a href="#">8.</a>	IANA Considerations . . . . .	<a href="#">35</a>
<a href="#">9.</a>	Security Considerations . . . . .	<a href="#">36</a>
<a href="#">10.</a>	References . . . . .	<a href="#">37</a>
<a href="#">10.1.</a>	Normative References . . . . .	<a href="#">37</a>
<a href="#">10.2.</a>	Informative References . . . . .	<a href="#">37</a>
	Authors' Addresses . . . . .	<a href="#">38</a>

## 1. Terminology and Conventions

### 1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [[RFC2119](#)].

### 1.2. Definitions

This document follows the terminology defined by the ForCES Requirements in [[RFC3654](#)] and by the ForCES framework in [[RFC3746](#)]. The definitions below are repeated below for clarity.

Control Element (CE) - A logical entity that implements the ForCES protocol and uses it to instruct one or more FEs on how to process packets. CEs handle functionality such as the execution of control and signaling protocols.

Forwarding Element (FE) - A logical entity that implements the ForCES protocol. FEs use the underlying hardware to provide per-packet processing and handling as directed/controlled by one or more CEs via the ForCES protocol.

LFB (Logical Function Block) - The basic building block that is operated on by the ForCES protocol. The LFB is a well defined, logically separable functional block that resides in an FE and is controlled by the CE via ForCES protocol. The LFB may reside at the FE's datapath and process packets or may be purely an FE

control or configuration entity that is operated on by the CE. Note that the LFB is a functionally accurate abstraction of the FE's processing capabilities, but not a hardware-accurate representation of the FE implementation.

**LFB Class and LFB Instance** - LFBs are categorized by LFB Classes. An LFB Instance represents an LFB Class (or Type) existence. There may be multiple instances of the same LFB Class (or Type) in an FE. An LFB Class is represented by an LFB Class ID, and an LFB Instance is represented by an LFB Instance ID. As a result, an LFB Class ID associated with an LFB Instance ID uniquely specifies an LFB existence.

**LFB Metadata** - Metadata is used to communicate per-packet state from one LFB to another, but is not sent across the network. The FE model defines how such metadata is identified, produced and consumed by the LFBs. It defines the functionality but not how metadata is encoded within an implementation.

**LFB Components** - Operational parameters of the LFBs that must be visible to the CEs are conceptualized in the FE model as the LFB components. The LFB components include, for example, flags, single parameter arguments, complex arguments, and tables that the CE can read and/or Components write via the ForCES protocol (see below).

**ForCES Protocol** - While there may be multiple protocols used within the overall ForCES architecture, the term "ForCES protocol" and "protocol" refer to the Fp reference points in the ForCES Framework in [\[RFC3746\]](#). This protocol does not apply to CE-to-CE communication, FE-to-FE communication, or to communication between FE and CE managers. Basically, the ForCES protocol works in a master-slave mode in which FEs are slaves and CEs are masters. This document defines the specifications for this ForCES protocol.

**ForCES Protocol Transport Mapping Layer (ForCES TML)** - A layer in ForCES protocol architecture that uses the capabilities of existing transport protocols to specifically address protocol message transportation issues, such as how the protocol messages are mapped to different transport media (like TCP, IP, ATM, Ethernet, etc), and how to achieve and implement reliability,

multicast, ordering, etc. The ForCES TML specifications are detailed in separate ForCES documents, one for each TML.

Haleplidis, et al. Expires December 29, 2010 [Page 5]

---

Internet-Draft Implementation Report for ForCES June 2010

## [2.](#) Introduction

This is an implementation report for the ForCES protocol, model and SCTP-TML documents and includes an interoperability report.

It follows the outline suggested by [[RFC5657](#)].

Forwarding and Control Element Separation (ForCES) defines an architectural framework and associated protocols to standardize information exchange between the control plane and the forwarding plane in a ForCES Network Element (ForCES NE). [[RFC3654](#)] has defined the ForCES requirements, and [[RFC3746](#)] has defined the ForCES framework.

### [2.1.](#) ForCES Protocol

The ForCES protocol works in a master-slave mode in which FEs are slaves and CEs are masters. The protocol includes commands for transport of Logical Function Block (LFB) configuration information, association setup, status, and event notifications, etc. The reader is encouraged to read the ForCES Protocol [[RFC5810](#)] for further information.

## [2.2.](#) ForCES Model

The ForCES Model [[RFC5812](#)] presents a formal way to define FE Logical Function Blocks (LFBs) using XML. LFB configuration components, capabilities, and associated events are defined when the LFB is formally created. The LFBs within the FE are accordingly controlled in a standardized way by the ForCES protocol.

## [2.3.](#) Transport mapping layer

The TML transports the PL messages. The TML is where the issues of how to achieve transport level reliability, congestion control, multicast, ordering, etc. are handled. All ForCES Protocol Layer implementations MUST be portable across all TMLs. Although more than one TML may be standardized for the ForCES Protocol, all implementations MUST implement the SCTP-TML [[RFC5811](#)].

## [3.](#) Summary

The authors attest that the ForCES Protocol, Model and SCTP-TML meet the requirements for Draft Standard.

Three independent implementations, NTT Japan, University of Patras and Zhejiang Gongshang University, were surveyed and found to already implement all the major features. All implementors mentioned they

will be implementing all missing features in the future.

An interop test was conducted in July, 2009 for all three implementations. Two other organizations, Mojatatu Networks and Hangzhou Baud Information and Networks Technology Corporation, which independently extended two different well known public domain protocol analyzers, Ethereal/Wireshark and tcpdump, also participated in the interop for a total of five independent organizations implementing. The two protocol analyzers were used to verify validity of ForCEs protocol messages (and in some cases semantics).

There were no notable difficulties in the interoperability test and almost all issues were code bugs that were dealt with mostly on site and tests repeated successfully as stated in [Section 6.2.3](#).



This report has both an implementation experience survey as well as the results of the interoperability test.

The survey information was gathered after implementors answered a brief questionnaire with all ForCES Protocol, Model and SCTP-TML features. The results can be seen in [Section 6.1](#)

The interoperability results were part of the interoperability test. Extended Ethereal and extended Tcpdump were used to verify the results. The results can be seen in [Section 6.2](#)

## 5. Exceptions

The core features of the ForCES Protocol, Model and SCTP-TML have been implemented and tested in an interop in July, 2009. The intention of the interop testing was to validate that all the main features of the 3 core documents were inter-operable amongst different implementations. The tested features can be seen in [Section 6.2.2](#).

Different organizations surveyed have implemented certain features but not others. This approach is driven by presence of different LFBs the different organizations have currently implemented. All organizations surveyed have indicated intention to implement all outstanding features in due time. The implemented features can be seen in [Section 6.1](#).

The mandated TML security requirement, IPSec, was not validated during the interop and is not discussed in this document. Since IPSec is well known and widely deployed not testing in presence of IPSec does not invalidate the tests done. Note that [Section 6.1.3.3](#) indicates that none of the implementations reporting included support for IPSec, but all indicated their intention to implement.

Although the SCTP priority ports have been changed since the interoperability test with the latest SCTP-TML draft, the change has no impact in the validity of the interoperability test.

## [6.](#) Detail Section

### [6.1.](#) Implementation Experience

Three different organizations have implemented the ForCES Protocol, Model and SCTP-TML and answered a questionnaire. These are:

- o NTT Japan.
- o University of Patras.
- o Zhejiang Gongshang University.

Also, not actual implementations, but extensions on protocol analyzers capable of understanding ForCES protocol messages, also are considered part of an implementation as they can offer validation of exchanged protocol messages. Two such extensions have been created:

- o Extension to Ethereal/Wireshark [[ethereal](#)].
- o Extension to Tcpdump [[tcpdump](#)].

All implementors were asked regarding the ForCES features they have implemented. For every item listed the respondents indicated whether they had implemented, will implement, or won't implement at all.

#### [6.1.1.](#) ForCES Protocol Features

[6.1.1.1.](#) Protocol Messages

Protocol Message	NTT Japan	University of Patras	Zhejiang Gongshang University
Association Setup	Implemented	Implemented	Implemented
Association Setup Response	Implemented	Implemented	Implemented
Association TearDown	Implemented	Implemented	Implemented
Configuration	Implemented	Implemented	Implemented
Configuration Response	Implemented	Implemented	Implemented
Query	Implemented	Implemented	Implemented
Query Response	Implemented	Implemented	Implemented
Event Notification	Implemented	Will Implement	Implemented
Packet Redirect	Implemented	Will Implement	Implemented
HeartBeat	Implemented	Implemented	Implemented

+-----+-----+-----+-----+

## ForCES Protocol Message

Haleplidis, et al.

Expires December 29, 2010

[Page 11]

---

Internet-Draft

Implementation Report for ForCES

June 2010

### [6.1.1.2.](#) MainHeader Handling

Header Field	NTT Japan	University of Patras	Zhejiang Gongshang University
Correlator	Implemented	Implemented	Implemented
Acknowledge Flag	Implemented	Implemented	Implemented
Priority Flag	Will Implement	Implemented	Implemented
Execution Mode Flag	Will Implement	Will Implement	Implemented
Atomic Flag	Will Implement	Will Implement	Implemented
Transaction Flag	Will Implement	Will Implement	Implemented

MainHeader Handling

[6.1.1.3.](#) TLV Handling

TLV	NTT Japan	University of Patras	Zhejiang Gongshang University
Redirect TLV	Implemented	Will Implement	Implemented
Association Setup Result TLV	Implemented	Implemented	Implemented
Association TearDown Reason TLV	Implemented	Implemented	Implemented
LFBSector TLV	Implemented	Implemented	Implemented

Operation TLV	Implemented	Implemented	Implemented
PathData TLV	Implemented	Implemented	Implemented
KeyInfo TLV	Will Implement	Will Implement	Implemented
FullData TLV	Implemented	Implemented	Implemented
SparseData TLV	Will Implement	Will Implement	Implemented
ILV	Will Implement	Will Implement	Implemented
Metadata TLV	Will Implement	Will Implement	Implemented
Result TLV	Implemented	Implemented	Implemented
Redirect Data TLV	Implemented	Will Implement	Implemented

TLVs Supported

#### [6.1.1.4.](#) Operation Types Supported

Operation	NTT Japan	University of Patras	Zhejiang Gongshang University
Set	Implemented	Implemented	Implemented
Set Prop	Will Implement	Will Implement	Implemented

Set Response	Implemented	Implemented	Implemented
Set Prop Response	Will Implement	Will Implement	Implemented
Del	Implemented	Will Implement	Implemented
Del Response	Implemented	Will Implement	Implemented
Get	Implemented	Implemented	Implemented
Get Prop	Will Implement	Will Implement	Implemented
Get Response	Implemented	Implemented	Implemented
Get Prop Response	Will Implement	Will Implement	Implemented
Report	Implemented	Implemented	Implemented
Commit	Will Implement	Will Implement	Implemented
Commit Response	Will Implement	Will Implement	Implemented
TRComp	Will Implement	Will Implement	Implemented

Operation Type Supported

#### [6.1.1.5.](#) ForCES Protocol Advanced Features

Feature	NTT Japan	University of	Zhejiang Gongshang
---------	-----------	---------------	--------------------



		Patras	University
Execute Mode	Will Implement	Will Implement	Implemented
Transaction	Will Implement	Will Implement	Implemented
Batching	Will Implement	Implemented	Implemented
Command Pipelining	Will Implement	Will Implement	Will Implement
HeartBeats	Implemented	Implemented	Implemented

#### ForCES Protocol Advanced Features

##### [6.1.2.](#) ForCES Model Features

[6.1.2.1](#). Basic Atomic Types Supported

Atomic Type	NTT Japan	University of Patras	Zhejiang Gongshang University
char	Implemented	Implemented	Will Implement
uchar	Implemented	Implemented	Implemented
int16	Implemented	Implemented	Will Implement
uint16	Implemented	Implemented	Will Implement
int32	Implemented	Implemented	Implemented
uint32	Implemented	Implemented	Implemented
int64	Implemented	Implemented	Will Implement
uint64	Implemented	Implemented	Will Implement
boolean	Implemented	Implemented	Implemented
string[N]	Implemented	Implemented	Implemented
string	Implemented	Implemented	Implemented
byte[N]	Implemented	Implemented	Implemented
octetstring[N]	Implemented	Implemented	Will Implement
float32	Implemented	Implemented	Will Implement
float64	Implemented	Implemented	Will Implement

Basic Atomic Types Supported

#### [6.1.2.2.](#) Compound Types Supported

Compound Type	NTT Japan	University of Patras	Zhejiang Gongshang University
structs	Implemented	Implemented	Implemented
arrays	Implemented	Implemented	Implemented

Compound Types Supported

#### [6.1.2.3.](#) LFBs Supported

##### [6.1.2.3.1.](#) FE Protocol LFB

Protocol DataTypes	NTT Japan	University of Patras	Zhejiang Gongshang University
CEHBPoly	Implemented	Implemented	Implemented
FEHIBPoly	Implemented	Implemented	Implemented
FERestPoly	Implemented	Implemented	Implemented
CEFailoverPoly	Implemented	Implemented	Implemented
FEHACapab	Implemented	Implemented	Will Implement

FE Protocol LFB Datatypes

Protocol Components	NTT Japan	University of Patras	Zhejiang Gongshang University
CurrentRunningVersion	Implemented	Implemented	Implemented
FEID	Implemented	Implemented	Implemented
MulticastFEIDs	Implemented	Implemented	Implemented
CEHBPolicy	Implemented	Implemented	Implemented
CEHDI	Implemented	Implemented	Implemented
FEHBPolicy	Implemented	Implemented	Implemented
FEHI	Implemented	Implemented	Implemented
CEID	Implemented	Implemented	Implemented
BackupCEs	Implemented	Will Implement	Will Implement
CEFailoverPolicy	Implemented	Implemented	Implemented
CEFTI	Implemented	Implemented	Implemented
FERestartPolicy	Implemented	Implemented	Will Implement
LastCEID	Implemented	Implemented	Will Implement

FE Protocol LFB Components				
Capabilities	NTT Japan	University of Patras	Zhejiang Gongshang University	
SupportableVersions	Implemented	Implemented	Implemented	
HACapabilities	Implemented	Implemented	Will Implement	

#### Capabilities Supported

Haleplidis, et al.

Expires December 29, 2010

[Page 18]

Internet-Draft

Implementation Report for ForCES

June 2010

Events	NTT Japan	University of Patras	Zhejiang Gongshang University	
PrimaryCEDown	Will Implement	Will Implement	Will Implement	

#### Events Supported

#### [6.1.2.3.2.](#) FE Object LFB

Object DataTypes	NTT Japan	University of Patras	Zhejiang Gongshang University	
LFBAdjacencyLimit	Implemented	Implemented	Implemented	
PortGroupLimitType	Implemented	Implemented	Implemented	
SupportedLFBType	Implemented	Implemented	Implemented	
FEStateValues	Implemented	Implemented	Implemented	
FEConfiguredneighborType	Implemented	Implemented	Implemented	

FEConfiguredNeighborType	Implemented	Implemented	Implemented
LFBSelectorType	Implemented	Implemented	Implemented
LFBLinkType	Implemented	Implemented	Implemented

## FE Object LFB Datatypes

Object Components	NTT Japan	University of Patras	Zhejiang Gongshang University
LFBTopology	Implemented	Implemented	Implemented
LFBSelectors	Implemented	Implemented	Implemented
FEName	Implemented	Implemented	Implemented
FEID	Implemented	Implemented	Implemented
FEVendor	Implemented	Implemented	Implemented
FEModel	Implemented	Implemented	Implemented
FEState	Implemented	Implemented	Implemented
FENeighbors	Implemented	Implemented	Implemented

FE Object LFB Components				
Capabilities	NTT Japan	University of Patras	Zhejiang Gongshang University	
ModifiableLFBTopology	Implemented	Implemented	Implemented	
SupportedLFBs	Implemented	Implemented	Implemented	

#### Capabilities Supported

### [6.1.3.](#) ForCES SCTP-TML Features

#### [6.1.3.1.](#) TML Priority Ports

Port	NTT Japan	University of Patras	Zhejiang Gongshang University	
High priority (6700)	Implemented	Implemented	Implemented	

Medium priority (6701)	Implemented	Implemented	Implemented	
Low priority (6702)	Implemented	Implemented	Implemented	

#### Priority Ports

### [6.1.3.2.](#) Message Handling at specific priorities

ForCES Message	NTT Japan	University of Patras	Zhejiang Gongshang University
Association Setup	Implemented	Implemented	Implemented
Association Setup Response	Implemented	Implemented	Implemented
Association Teardown	Implemented	Implemented	Implemented
Config	Implemented	Implemented	Implemented
Config Response	Implemented	Implemented	Implemented
Query	Implemented	Implemented	Implemented
Query Response	Implemented	Implemented	Implemented

#### Message Handling at High priority (6700) Port

ForCES Message	NTT Japan	University of Patras	Zhejiang Gongshang University
Event Notification	Implemented	Implemented	Implemented

#### Message Handling at Medium priority (6701) Port

ForCES Message	NTT Japan	University of Patras	Zhejiang Gongshang University
Packet	Implemented	Implemented	Implemented



Redirect			
Heartbeats	Implemented	Implemented	Implemented

Message Handling at Low priority (6702) Port

#### [6.1.3.3.](#) TML Security Feature

Security Feature	NTT Japan	University of Patras	Zhejiang Gongshang University
IPSec	Will Implement	Will Implement	Will Implement

Security Feature Support

#### [6.2.](#) Interoperability Report

The interoperability test took place at the University of Patras, in the Department of Electrical and Computer Engineering.

There were two options to participate in the interoperability test.

1. Locally at the University of Patras premises.
2. Remotely via internet.

Implementations from NTT and University of Patras, were present locally at the University of Patras premises in Greece, while the implementation from Zhejiang Gongshang University, which was behind a NAT, connected remotely from China.

The interoperability test, tested the basic functionality of the ForCES protocol, mainly message exchanging and handling.

The following scenarios were tested.

### 6.2.1. Scenarios

The main goal of the interoperability test was to test the basic protocol functionality, the test parameters were limited.

1. In the Association Setup Message, all report messages were ignored.
2. In the Association Setup Phase, the messages, FEO OperEnable Event (FE to CE), Config FEO Adminup (CE to FE) and FEO Config-Resp (FE to CE) were ignored. The CEs assumed that the FEs were enabled once the LFBSelectors had been queried.
3. Only FullDataTLVs were used and not SparseData TLVs.
4. There were no transaction operations.
5. Each message had only one LFBSelector TLV, one Operation TLV and one PathDataTLV per message when these were used.

#### 6.2.1.1. Scenario 1 - Pre-association Setup

While the Pre-association setup is not in the ForCES current scope it is an essential step before CEs and FEs communicate. As the first part in a successful CE-FE connection the participating CEs and FEs had to be able to be configured.

In the Pre-association Phase the following configuration items were setup regarding the CEs:

- o The CE ID.
- o The FE IDs that were connected to this CE
- o The IP of the FEs that connected
- o The TML priority ports.

In the Pre-association Phase the following configuration items were setup regarding the FEs:

- o The FE ID.
- o The CE ID that this FE were connecting to.
- o The IP of the CE that connected to

- o The TML priority ports.

#### [6.2.1.2](#). Scenario 2 - TML priority channels connection

For the interoperability test, the SCTP was used as TML. The TML connection with the associating element was needed for the scenario 2 to be successful.

Although SCTP-TML [[RFC5811](#)] defines 3 priority channels, with specific ports:

- o High priority - Port number: 6704
- o Medium priority - Port number: 6705
- o Lower priority - Port number: 6706

At the time of the interoperability test, the sctp ports of the three priority channels were the following:

- o High priority - Port number: 6700
- o Medium priority - Port number: 6701
- o Lower priority - Port number: 6702

As specified in the exceptions section, this does not invalidate the results of the interoperability test.

#### [6.2.1.3](#). Scenario 3 - Association Setup - Association Complete

Once the Pre-association phase had been complete in the previous 2 scenarios, CEs and FEs would be ready to communicate using the ForCES protocol, and enter the Association Setup stage. In this stage the FEs would attempt to join the NE. The following ForCES protocol messages would be exchanged for each CE-FE pair in the specified order:

- o Association Setup Message (from FE to CE)
- o Association Setup Response Message (from CE to FE)

- o Query Message: FEO LFBSelectors(from CE to FE)
- o Query Response: FEO LFBSelectors response (from FE to CE)

#### [6.2.1.4.](#) Scenario 4 - CE query

Once the Association Phase stage has been complete, the FEs and CEs would enter the Established stage. In this stage the FE will be continuously updated or queried. The CE should query the FE a specific value from the FE Object LFB and from the FE Protocol LFB. An example from the FE Protocol LFB is the HeartBeat Timer (FEHI) and from the FE Object LFB is the State of the LFB (FEState)

The following ForCES protocol messages were exchanged:

- o Query Message
- o Query Response Message

#### [6.2.1.5.](#) Scenario 5 - Heartbeat monitoring

The Heartbeat (HB) Message is used for one ForCES element (FE or CE) to asynchronously notify one or more other ForCES elements in the same ForCES NE on its liveness. The default configuration of the Heartbeat Policy of the FE is set to 0 which means, that the FE should not generate any Heartbeat messages. the CE is responsible for checking FE liveness by setting the PL header ACK flag of the message it sends to AlwaysACK. In this Scenario the CE will send a Heartbeat message with the ACK flag set to AlwaysACK and the FE should respond.

The following ForCES protocol messages were exchanged:

- o Heartbeat Message

#### [6.2.1.6.](#) Scenario 6 - Simple Config Command

A config message is sent by the CE to the FE to configure LFB components in the FE. A simple config command easily visible and metered would be to change the Heartbeat configuration. This was

done in two steps:

1. Change the FE Heartbeat Policy (FEHBPolicy) to value 1, to force the FE to send heartbeats.
2. After some heartbeats from the FE, the FE Heartbeat Interval (FEHI) was changed.

The following ForCES protocol messages were exchanged:

- o Config Message

- o Config Response Message

#### [6.2.1.7](#). Scenario 7 - Association Teardown

In the end, the association must be terminated. There were three scenarios by which the association was terminated:

1. Normal tear down by exchanging Association Teardown Message
2. Irregular tear down by stopping heartbeats from a FE or a CE.
3. Irregular tear down by externally shutting down/rebooting a FE or a CE.

All scenarios were tested in the interoperability test.

The following ForCES protocol messages were exchanged:

- o Association Teardown Message

#### [6.2.2](#). Tested Features

The features that were tested are:

##### [6.2.2.1](#). ForCES Protocol Features

###### [6.2.2.1.1](#). Protocol Messages

Protocol Message
Association Setup
Association Setup Response
Association TearDown
Configuration
Configuration Response
Query
Query Response
HeartBeat

#### ForCES Protocol Message

- o PASS: All implementations handled the protocol messages and all protocol analyzers captured them.

#### [6.2.2.1.2](#). MainHeader Handling

Header Field
Correlator
Acknowledge Flag
Priority Flag

#### MainHeader Handling

- o PASS: All implementations handled these main header flags and all protocol analyzers captured them.

#### [6.2.2.1.3.](#) TLV Handling

TLV
Association Setup Result TLV
Association TearDown Reason TLV
LFBSelector TLV
Operation TLV
PathData TLV
FullData TLV
Result TLV

#### TLVs Supported

- o PASS: All implementations handled these TLVs and all protocol analyzers captured them.

#### [6.2.2.1.4.](#) Operation Types Supported

Operation
Set
Set Response
Get
Get Response
Report

+-----+

### Operation Type Supported

- o PASS: All implementations handled these Operations and all protocol analyzers captured them.

#### [6.2.2.1.5.](#) ForCES Protocol Advanced Features

+-----+		
	Feature	
+-----+		
	Batching	
	HeartBeats	
+-----+		

### ForCES Protocol Advanced Features

Although Batching was not initially designed to be tested, it was tested during the interoperability test.

- o PASS: Two implementations handled batching and all handled Heartbeats. The protocol analyzers captured both.

#### [6.2.2.2.](#) ForCES Model Features

#### [6.2.2.2.1.](#) Basic Atomic Types Supported

+-----+		
	Atomic Type	
+-----+		
	uchar	



```

|   uint32   |
+-----+

```

#### Basic Atomic Types Supported

- o PASS: All implementations handled these basic atomic types.

#### [6.2.2.2.2.](#) Compound Types Supported

```

+-----+
| Compound Type |
+-----+
|   structs   |
|            |
|   arrays   |
|            |
+-----+

```

#### Compound Types Supported

- o PASS: All implementations handled these compound types.

#### [6.2.2.2.3.](#) LFBs Supported

##### [6.2.2.2.3.1.](#) FE Protocol LFB

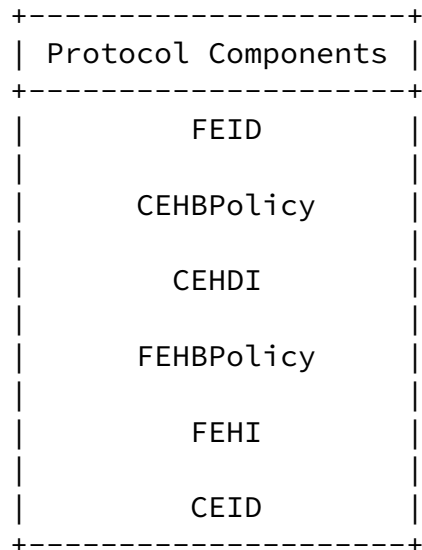
```

+-----+
| Protocol DataTypes |
+-----+
|   CEHBPolicy   |
|               |
|   FEHIBPolicy  |
|               |
+-----+

```

#### FE Protocol LFB Datatypes

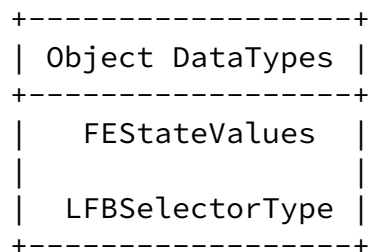
- o PASS: All implementations handled these FE Protocol LFB Datatypes.



FE Protocol LFB Components

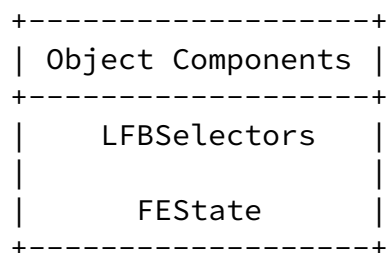
- o PASS: All implementations handled these FE Protocol LFB Components.

#### [6.2.2.2.3.2.](#) FE Object LFB



FE Object LFB Datatypes

- o PASS: All implementations handled these FE Object LFB Datatypes.



FE Object LFB Components

- o PASS: All implementations handled these FE Object LFB Components.

### [6.2.2.3.](#) ForCES SCTP-TML Features

#### [6.2.2.3.1.](#) TML Priority Ports

Port
High priority (6700)
Medium priority (6701)
Low priority (6702)

Priority Ports

- o PASS: All implementations opened and connected to all the SCTP priority ports. The protocol analyzers captured all ports and corresponding priority.

#### [6.2.2.3.2.](#) Message Handling at specific priorities

ForCES Message
Association Setup
Association Setup Response
Association Teardown
Config
Config Response
Query
Query Response

Message Handling at High priority (6700) Port

- o PASS: All implementations handled these messages at this SCTP

priority port. The protocol analyzers captured these messages at these priority ports.

```
+-----+
| ForCES Message |
+-----+
|   Heartbeats   |
+-----+
```

#### Message Handling at Low priority (6702) Port

- o PASS: All implementations handled these messages at this SCTP priority port. The protocol analyzers captured these messages at these priority ports.

#### [6.2.3.](#) Interoperability Results

All implementations were found to be interoperable with each other.

All scenarios were tested successfully.

The following issues were found and dealt with.

1. Some messages were sent on the wrong priority channels. There were some ambiguities on the SCTP-TML document on how to deal with such a situation. The possibilities were: an FE response on the same (wrong) channel as a CE query; on the correctly documented channel for the message; or to simply drop the packet. This has been corrected by mandating the message to channel mapping to be a MUST in the SCTP-TML document [[RFC5811](#)] before it was published as an RFC.
2. At some point, a CE sent a TearDown message to the FE. The CE expected the FE to shut down the connection, and the FE waited the CE to shut down the connection and were caught in a deadlock. This was a code bug and was fixed.
3. Sometimes, only when the CE and FE were remote to each other (one being in China and another in Greece), the association

setup message was not received by the CE side and therefore an association never completed. This was not an implementation issue, rather it was a network issue. This issue is solved with the retransmission of the non delivered messages.

4. An implementation did not take into account that the padding in TLVs MUST NOT be included in the length of the TLV. This was a code bug and was fixed.
5. EM Flag was set to reserved by a CE and was not ignored by the FE. This was a code bug and was fixed.

6. After the FEHBPolicy was set to 1 the FE didn't send any HeartBeats. This was a code bug and was fixed.
7. Some FEs sent HeartBeats with the ACK flag with a value other than NoACK. The CE responded. This was a code bug and was fixed.
8. When a cable was disconnected, all TML implementation didn't detect it. The association was eventually dropped due to heartbeats, this was a success, but this is an implementation issue implementers should keep in mind. This is a SCTP options issue. Nothing was needed to be done.
9. A CE crashed due to unknown LFBSelector values. This was a code bug and was fixed.
10. With the remote connection from China, which was behind a NAT, to Greece there were a lot of ForCES packet retransmission. The problem is that packets like Heartbeats were retransmitted. This was an implementation issue regarding SCTP usage implementers should keep in mind. SCTP-PR option was needed to be used. Nothing was needed to be done.

The interoperability test went so well that an additional extended test was added to test for batching messages. This test was also done successfully.

## [7.](#) Acknowledgements

The authors like to give thanks to Professors Odysseas Koufopavlou and Spyros Denazis, and the Department of Electrical and Computer Engineering in the University of Patras who hosted the ForCES interoperability test.

Also the authors would like to give thanks to Chuanhuang Li, Ming Gao, and other participants from Zhejiang Gongshang University which connected remotely. This allowed the discovery of a series of issues that would have been uncaught otherwise.

The authors would like to thank also Hideaki Iwata and Yoshinobu Morimoto for participating locally at the interoperability test and also Hiroki Date and Hidefumi Otsuka all part of NTT Japan for contributing to the interoperability test.

Additionally thanks are given to Xinping Wang for her help in writing the interoperability draft and Fenggen Jia for extending the Ethereal protocol analyzer.

## [8.](#) IANA Considerations

This memo includes no request to IANA.

## 9. Security Considerations

No security elements of the protocol or the SCTP TML [[RFC5811](#)] specification were tested.

The survey indicated that no security elements were implemented but all participants indicated their intention to implement



For security considerations regarding the ForCES Protocol and the SCTP-TML please see [[RFC5810](#)] and [[RFC5811](#)]

Haleplidis, et al. Expires December 29, 2010 [Page 36]

---

Internet-Draft Implementation Report for ForCES June 2010

## [10](#). References

### 10.1. Normative References

- [RFC5810] Doria, A., Hadi Salim, J., Haas, R., Khosravi, H., Wang, W., Dong, L., Gopal, R., and J. Halpern, "Forwarding and Control Element Separation (ForCES) Protocol Specification", [RFC 5810](#), March 2010.
- [RFC5811] Hadi Salim, J. and K. Ogawa, "SCTP-Based Transport Mapping Layer (TML) for the Forwarding and Control Element Separation (ForCES) Protocol", [RFC 5811](#), March 2010.
- [RFC5812] Halpern, J. and J. Hadi Salim, "Forwarding and Control Element Separation (ForCES) Forwarding Element Model", [RFC 5812](#), March 2010.

### 10.2. Informative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", [BCP 14](#), [RFC 2119](#), March 1997.
- [RFC3654] Khosravi, H. and T. Anderson, "Requirements for Separation of IP Control and Forwarding", [RFC 3654](#), November 2003.
- [RFC3746] Yang, L., Dantu, R., Anderson, T., and R. Gopal, "Forwarding and Control Element Separation (ForCES) Framework", [RFC 3746](#), April 2004.
- [RFC5657] Dusseault, L. and R. Sparks, "Guidance on Interoperation and Implementation Reports for Advancement to Draft Standard", [BCP 9](#), [RFC 5657](#), September 2009.
- [ethereal] "Ethereal is a protocol analyzer. The specific ethereal that was used is an updated Ethereal, by Fenggen Jia, that can analyze and decode the ForCES protocol messages.", <<http://peach.ease.lsoft.com/scripts/wa.exe?A2=ind0906&L=FORCES&T=0&F=&S=&P=1048>>.
- [tcpdump] "Tcpdump is a linux protocol analyzer. The specific tcpdump that was used is a modified tcpdump, by Jamal Hadi Salim, that can analyze and decode the ForCES protocol messages.", <<http://peach.ease.lsoft.com/scripts/wa.exe?A2=ind0906&L=FORCES&T=0&F=&S=&P=2262>>.

Authors' Addresses

Evangelos Haleplidis  
University of Patras  
Patras,  
Greece

Email: ehalep@ece.upatras.gr

Kentaro Ogawa  
NTT Corporation  
Tokyo,  
Japan

Email: ogawa.kentaro@lab.ntt.co.jp

Weiming Wang  
Zhejiang Gongshang University  
18, Xuezheng Str., Xiasha University Town  
Hangzhou, 310018  
P.R.China

Phone: +86-571-28877721

Email: wmwang@mail.zjgsu.edu.cn

Jamal Hadi Salim  
Mojatatu Networks  
Ottawa, Ontario,  
Canada

Phone:

Email: hadi@mojatatu.com

