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                      Graceful BGP session shutdown
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Abstract

   This draft describes operational procedures aimed at reducing the
   amount of traffic lost during planned maintenances of routers,
   involving the shutdown of BGP peering sessions.

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1. Introduction

   Routing changes in BGP can be caused by planned, manual, maintenance
   operations. This document discusses operational procedures to be
   applied in order to reduce or eliminate losses of packets during the
   maintenance. These losses come from the transient lack of
   reachability during the BGP convergence following the shutdown of an
   eBGP peering session between two Autonomous System Border Routers
   (ASBR).

   This document presents procedures for the cases where the forwarding
   plane is impacted by the maintenance, hence when the use of Graceful
   Restart does not apply.

   The procedures described in this document can be applied to reduce or
   avoid packet loss for outbound and inbound traffic flows initially
   forwarded along the peering link to be shut down. These procedures
   allow routers to keep using old paths until alternate ones are
   learned, ensuring that routers always have a valid route available
   during the convergence process.

   The goal of the document is to meet the requirements described in
   [REQS] at best, without changing the BGP protocol or BGP
   implementations.

   Still, it explains why reserving a community value for the purpose of
   BGP session graceful shutdown would reduce the management overhead
   bound with the solution. It would also allow vendors to provide an
   automatic graceful shutdown mechanism that does not require any
   router reconfiguration at maintenance time.

   The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT",
   "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this
   document are to be interpreted as described in RFC 2119 [RFC2119].

2. Terminology

   g-shut initiator : a router on which the session shutdown is
   performed for the maintenance.

   g-shut neighbor : a router that peers with the g-shut initiator via
   (one of) the session(s) to be shut down.

   Note that for the link-up case, we will refer to these nodes as g-no-
   shut initiator, and g-no-shut neighbor.

   Initiator AS : the Autonomous System of the g-shut initiator.

Neighbor AS : the Autonomous System of the g-shut neighbor.

Affected path / Nominal / pre-convergence path : a BGP path via the peering link(s) undergoing the maintenance. This path will no longer exist after the shutdown.

Affected prefix : a prefix initially reached via an affected path.

Affected router : a router having an affected prefix.

Backup / alternate / post-convergence path : a path towards an affected prefix that will be selected as the best path by an affected router, when the link is shut down and the BGP convergence is completed.

Transient alternate path : a path towards an affected prefix that may be transiently selected as best by an affected router during the convergence process but that is not a post-convergence path.

Loss of Connectivity (LoC) : the state when a router has no path towards an affected prefix.

3. **Packet loss upon manual eBGP session shutdown**

Packets can be lost during a manual shutdown of an eBGP session for two reasons.

First, routers involved in the convergence process can transiently lack of paths towards an affected prefix, and drop traffic destined to this prefix. This is because alternate paths can be hidden by nodes of an AS. This happens when the paths are not selected as best by the ASBR that receive them on an eBGP session, or by Route Reflectors that do not propagate them further in the iBGP topology because they do not select them as best.

Second, within the AS, routers' FIB can be transiently inconsistent during the BGP convergence and packets towards affected prefixes can loop and be dropped. Note that these loops only happen when ASBR-to-ASBR encapsulation is not used within the AS.

This document only addresses the first reason.

4. **Practices to avoid packet losses**

This section describes means for an ISP to reduce the transient loss of packets upon a manual shutdown of a BGP session.

4.1. Improving availability of alternate paths

All solutions that increase the availability of alternate BGP paths
in routers performing packet lookups in BGP tables [BestExternal]
[AddPath] help in reducing the LoC bound with manual shutdown of eBGP
sessions.

One of such solutions increasing diversity in such a way that, at any
single step of the convergence process following the eBGP session
shutdown, a BGP router does not receive a message withdrawing the
only path it currently knows for a given NLRI, allows for a
simplified g-shut procedure. This simplified procedure would only
tackle potential LoC for the inbound traffic.

Using advertise-best-external [BestExternal] on ASBRs and RRs helps
in avoiding lack of alternate paths in route reflectors upon a
convergence. Hence it reduces the LoC duration for the outbound
traffic of the ISP upon an eBGP Session shutdown by reducing the iBGP
path hunting.

Still it does not ensure that BGP routers will always have at least
one path towards affected prefixes during the convergence following
the event. This property may be verified in future revisions of
[BestExternal], notably of its Section 3, hence the current proposal
will be updated accordingly.

Increasing diversity with [AddPath] might lead to the respect of this
property, depending on the path propagation decision process that
add-path compliant routers would use.

Note that the LoC for the inbound traffic of the maintained router,
induced by a lack of alternate path propagation within the iBGP
topology of a neighboring AS is not under the control of the operator
performing the maintenance, hence the procedure described in
Section 4.2.2 should be applied upon the maintenance, even if not
required for the outbound traffic.

4.2. Graceful shutdown procedures for eBGP sessions

This section aims at describing a procedure to be applied to reduce
the LoC with readily available BGP features, and without assuming a
particular iBGP design in the Initiator and Neighbor ASes.

4.2.1. Outbound traffic

This section discusses a mean to render the affected paths less
desirable by the BGP decision process of affected routers, still
allowing these to be used during the convergence while alternate

paths are propagated to the affected routers.

A decrease of the local-pref value of the affected paths can be issued in order to render the affected paths less preferable, at the highest possible level of the BGP Decision Process.

This operation can be performed by reconfiguring the out-filters associated with the iBGP sessions established by the g-shut initiator.

The modification of the filters MUST supplant any other rule affecting the local-pref value of the old paths.

Compared to using an in-filter of the eBGP session to be shut down, the modification of the out-filters will not let the g-shut initiator switch to another path, as the input to the BGP decision process of that router does not change. As a consequence, the g-shut initiator will not send a withdraw message over its iBGP sessions when it receives an alternate path over an iBGP session. It will however modify the local-pref of the affected paths so that upstream routers will switch to alternate ones.

When the actual shutdown of the session is performed, the g-shut initiator will itself switch to the alternate paths.

In cases some BGP speakers in the AS override the local-pref attribute of paths received over iBGP sessions, the procedure described above will not work. In such cases, the recommended procedure is to tag the paths sent over the iBGP sessions of the g-shut initiator with an AS specific community. This AS specific community should lead to the setting of a low local-pref value. To be effective, the configuration related to this community MUST supplant or be applied after the already configured local-pref overriding.

4.2.2. Inbound traffic

The solution described for the outbound traffic can be applied at the neighbor AS. This can be done either "manually" or by using a community value dedicated to this task.

4.2.2.1. Phone call

The operator performing the maintenance of the eBGP session can contact the operator at the other side of the peering link, and let him apply the procedure described above for its own outbound traffic.

[4.2.2.2](#). Community tagging

A community value (referred to as GSHUT community in this document) can be agreed upon by neighboring ASes. A path tagged with this community must be considered as soon to be affected by a maintenance operation.

[4.2.2.2.1](#). Pre-Configuration

A g-shut neighbor is pre-configured to set a low local-pref value for the paths received over eBGP sessions which are tagged with the GSHUT community.

This rule must supplant any other rule affecting the local-pref value of the paths.

This local-pref reconfiguration SHOULD be performed at the out-filters of the iBGP sessions of the g-shut neighbor. That is, the g-shut neighbor does not take into account this low local-pref in its own BGP best path selection. As described in [Section 4.2.1](#) this avoids sending the withdraw messages that can lead to LoC.

[4.2.2.2.2](#). Operational action upon maintenance

Upon the manual shutdown, the output filter associated with the maintained eBGP session will be modified on the g-shut initiator so as to tag all the paths advertised over the session with the GSHUT community.

[4.2.2.2.3](#). Transitivity of the community

If the GSHUT community is an extended community, it SHOULD be chosen non-transitive. In that case, the clarification described in [[Clarification4360](#)] is required.

If a regular community is used, this community SHOULD be removed from the path when the path is propagated over eBGP sessions.

Not propagating the community further in the Internet reduces the amount of BGP churn and avoids rerouting in distant ASes that would also recognize this community value. In other words, from a routing stability perspective, it helps concealing the convergence at the maintenance location. From a security perspective, it prevents malignant ASes from using the community over paths propagated through intermediate ASes that do not support the feature, in order to perform inbound traffic engineering at the first AS recognizing the community.

ASes which support the g-shut procedure SHOULD remove the community
value(s) that they use for g-shut from the paths received from
neighboring ASes that do not support the procedure or to whom the
service is not provided.

There are cases where an interdomain exploration is to be performed
to recover the reachability, e.g., in the case of a shutdown in
confederations where the alternate paths will be found in another AS
of the confederation. In such scenarios, the community value SHOULD
be allowed to transit through the confederation but SHOULD be removed
from the paths advertised outside of the confederation.

When the local-pref value of a path is conserved upon its propagation
from one AS of the confederation to the other, there is no need to
have the GSHUT community be propagated throughout that confederation.

4.2.2.2.4. Easing the configuration for G-SHUT

From a configuration burden viewpoint, it is much easier to use a
single dedicated value for the GSHUT community.

First, on the g-shut initiator, an operator would have a single
configuration rule to be applied at the maintenance time, which would
not depend on the identity of its peer. This would make the
maintenance operations less error prone.

Second, on the g-shut neighbor, a simple filter related to g-shut can
be applied to all iBGP sessions. Additionnaly, this filter does not
need to be updated each time neighboring ASes are added or removed.

The FCFS community value 0xFFFF0000 has been reserved for this
purpose [BGPWKC].

4.3. Graceful shutdown procedures for iBGP sessions

If the iBGP topology is viable after the maintenance of the session,
i.e, if all BGP speakers of the AS have an iBGP signaling path for
all prefixes advertised on this g-shut iBGP session, then the
shutdown of an iBGP session does not lead to transient
unreachability.

However, in the case of a shutdown of a router, a reconfiguration of
the out-filters of the g-shut initiator MAY be performed to set a low
local-pref value for the paths originated by the g-shut initiator
(e.g, BGP aggregates redistributed from other protocols, including
static routes).

This behavior is equivalent to the recommended behavior for paths

"redistributed" from eBGP sessions to iBGP sessions in the case of
the shutdown of an ASBR.

5. Forwarding modes and forwarding loops

If the AS applying the solution does not rely on encapsulation to
forward packets from the Ingress Border Router to the Egress Border
Router, then transient forwarding loops and consequent packet losses
can occur during the convergence process, even if the procedure
described above is applied. Hence if zero LoC is required,
encapsulation is required between ASBRs of the AS.

Using the out-filter reconfiguration avoids the forwarding loops
between the g-shut initiator and its directly connected upstream
neighboring routers. Indeed, when this reconfiguration is applied,
the g-shut initiator keeps using its own external path and lets the
upstream routers converge to the alternate ones. During this phase,
no forwarding loops can occur between the g-shut initiator and its
upstream neighbors as the g-shut initiator keeps using the affected
paths via its eBGP peering links. When all the upstream routers have
switched to alternate paths, the transition performed by the g-shut
initiator when the session is actually shut down, will be loopfree.
Transient forwarding loops between other routers will not be avoided
with this procedure.

6. Dealing with Internet policies

A side gain of the maintenance solution is that it can also reduce
the churn implied by a shutdown of an eBGP session.

For this, it is recommended to apply the filters modifying the local-
pref value of the paths to values strictly lower but as close as
possible to the local-pref values of the post-convergence paths.

For example, if an eBGP link is shut down between a provider and one
of its customers, and another link with this customer remains active,
then the value of the local-pref of the old paths SHOULD be decreased
to the smallest possible value of the 'customer' local_pref range,
minus 1. Thus, routers will not transiently switch to paths received
from shared-cost peers or providers, which could lead to the
propagation of withdraw messages over eBGP sessions with shared-cost
peers and providers.

Proceeding like this reduces both BGP churn and traffic shifting as
routers will less likely switch to transient paths.

In the above example, it also prevents transient unreachabilities in
the neighboring AS that are due to the sending of "abrupt" withdraw
messages to shared-cost peers and providers.

7. **Link Up cases**

We identify two potential causes for transient packet losses upon an
eBGP link up event. The first one is local to the g-no-shut
initiator, the second one is due to the BGP convergence following the
injection of new best paths within the iBGP topology.

7.1. **Unreachability local to the ASBR**

An ASBR that selects as best a path received over a newly brought up
eBGP session may transiently drop traffic. This can typically happen
when the nexthop attribute differs from the IP address of the eBGP
peer, and the receiving ASBR has not yet resolved the MAC address
associated with the IP address of that "third party" nexthop.

A BGP speaker implementation could avoid such losses by ensuring that
"third party" nexthops are resolved before installing paths using
these in the RIB.

If the link up event corresponds to an eBGP session that is being
manually brought up, over an already up multi-access link, then the
operator can ping third party nexthops that are expected to be used
before actually bringing the session up, or ping directed broadcast
the subnet IP address of the link. By proceeding like this, the MAC
addresses associated with these third party nexthops will be resolved
by the g-no-shut initiator.

7.2. **iBGP convergence**

Similar corner cases as described in Appendix C.1.4 for the link down
case, can occur during an eBGP link up event.

A typical example for such transient unreachability for a given
prefix is the following :

    1. A Route Reflector, RR1, is initially advertising the current
    best path to the members of its iBGP RR full-mesh. It
    propagated that path within its RR full-mesh. Another route
    reflector of the full-mesh, RR2, knows only that path towards
    the prefix.
    2. A third Route Reflector of the RR full-mesh, RR3 receives a
    new best path orginated by the "g-no-shut" initiator, being one
    of its RR clients. RR3 selects it as best, and propagates an

          UPDATE within its RR full-mesh, i.e., to RR1 and RR2.
          3. RR1 receives that path, reruns its decision process, and
          picks this new path as best. As a result, RR1 withdraws its
          previously announced best-path on the iBGP sessions of its RR
          full-mesh.
          4. If, for any reason, RR3 processes the withdraw generated in
          step 3, before processing the update generated in step 2, RR3
          transiently suffers from unreachability for the affected prefix.

   The use of [BestExternal] among the RR of the iBGP full-mesh can
   solve these corner cases by ensuring that within an AS, the
   advertisement of a new route is not translated into the withdraw of a
   former route.

   Indeed, "best-external" ensures that an ASBR does not withdraw a
   previously advertised (eBGP) path when it receives an additional,
   preferred path over an iBGP session. Also, "best-intra-cluster"
   ensures that a RR does not withdraw a previously advertised (iBGP)
   path to its non clients (e.g. other RRs in a mesh of RR) when it
   receives a new, preferred path over an iBGP session.

8. IANA considerations

   Applying the g-shut procedure is rendered much easier with a reserved
   g-shut community value. The community value 0xFFFF0000 has been
   reserved from the FCFS community pool for this purpose.

9. Security Considerations

   By providing the g-shut service to a neighboring AS, an ISP provides
   means to this neighbor to lower the local-pref value assigned to the
   paths received from this neighbor.

   The neighbor could abuse the technique and do inbound traffic
   engineering by declaring some prefixes as undergoing a maintenance so
   as to switch traffic to another peering link.

   If this behavior is not tolerated by the ISP, it SHOULD monitor the
   use of the g-shut community by this neighbor.

   ASes which support the g-shut procedure SHOULD remove the community
   value(s) that they use for g-shut from the paths received from
   neighboring ASes that do not support the procedure or to whom the
   service is not provided. Doing so prevents malignant ASes from using
   the community through intermediate ASes that do not support the
   feature, in order to perform inbound traffic engineering.

10. Acknowledgments

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   for their useful comments on this work.

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Appendix A. Summary of operations

   This section summarizes the configurations and actions to be
   performed to support the g-shut procedure for eBGP peering links.

A.1. Pre-configuration

   On each ASBR supporting the g-shut procedure, set-up an out-filter
   applied on all iBGP sessions of the ASBR, that :

. sets the local-pref of the paths tagged with the g-shut community
to a low value

. removes the g-shut community from the path.

Optionally, add an AS specific g-shut community on the path to
indicate that this path is to be shutdown. If some ingress ASBRs
reset the local preference attribute, this AS specific g-shut
community will be used to override other local preference changes.

A.2. Operations at maintenance time

On the g-shut initiator :

. Apply an in-filter on the maintained eBGP session to tag the paths
received over the session with the g-shut community.

. Apply an out-filter on the maintained eBGP session to tag the
paths propagated over the session with the g-shut community.

. Wait for convergence to happen.

. Perform a BGP session shutdown.

Appendix B. Alternative techniques with limited applicability

A few alternative techniques have been considered to provide g-shut
capabilities but have been rejected due to their limited
applicability. This section describe them for possible reference.

B.1. In-filter reconfiguration

An In-filter reconfiguration on the eBGP session undergoing the
maintenance could be performed instead of out-filter reconfigurations
on the iBGP sessions of the g-shut initiator.

Upon the application of the maintenance procedure, if the g-shut
initiator has an alternate path in its Adj-Rib-In, it will switch to
it directly.

If this new path was advertised by an eBGP neighbor of the g-shut
initiator, the g-shut initiator will send a BGP Path Update message
advertising the new path over its iBGP and eBGP sessions.

If this new path was received over an iBGP session, the g-shut
initiator will select that path and withdraw the previously
advertised path over its non-client iBGP sessions. There can be iBGP

topologies where the iBGP peers of the g-shut initiator do not know
an alternate path, and hence may drop traffic.

Also, applying an In-filter reconfiguration on the eBGP session
undergoing the maintenance may lead to transient LoC, in full-mesh
iBGP topologies if

> a. An ASBR of the initiator AS, ASBR1 did not initially select
> its own external path as best, and

> b. An ASBR of the initiator AS, ASBR2 advertises a new path
> along its iBGP sessions upon the reception of ASBR1's update
> following the in-filter reconfiguration on the g-shut initiator,
> and

> c. ASBR1 receives the update message, runs its Decision Process
> and hence withdraws its external path after having selected
> ASBR2's path as best, and

> d. An impacted router of the AS processes the withdraw of ASBR1
> before processing the update from ASBR2.

Applying a reconfiguration of the out-filters prevents such transient
unreachabilities.

Indeed, when the g-shut initiator propagates an update of the old
path first, the withdraw from ASBR2 does not trigger unreachability
in other nodes, as the old path is still available. Indeed, even
though it receives alternate paths, the g-shut initiator keeps using
its old path as best as the in-filter of the maintained eBGP session
has not been modified yet.

Applying the out-filter reconfiguration also prevents packet loops
between the g-shut initiator and its direct neighbors when
encapsulation is not used between the ASBRs of the AS.

B.2. Multi Exit Discriminator tweaking

The MED attribute of the paths to be avoided can be increased so as
to force the routers in the neighboring AS to select other paths.

The solution only works if the alternate paths are as good as the
initial ones with respect to the Local-Pref value and the AS Path
Length value. In the other cases, increasing the MED value will not
have an impact on the decision process of the routers in the
neighboring AS.

B.3. **IGP distance Poisoning**

   The distance to the BGP nexthop corresponding to the maintained
   session can be increased in the IGP so that the old paths will be
   less preferred during the application of the IGP distance tie-break
   rule. However, this solution only works for the paths whose
   alternates are as good as the old paths with respect to their Local-
   Pref value, their AS Path length, and their MED value.

   Also, this poisoning cannot be applied when nexthop self is used as
   there is no nexthop specific to the maintained session to poison in
   the IGP.

Appendix C. **Effect of the g-shut procedure on the convergence**

   This section describes the effect of applying the solution.

C.1. **Maintenance of an eBGP session**

   This section describes the effect of applying the solution for the
   shutdown of an eBGP session.

C.1.1. **Propagation on the other eBGP sessions of the g-shut initiator**

   Nothing is propagated on the other eBGP sessions when the out-filters
   reconfiguration step is applied. The reconfiguration is indeed only
   defined for its iBGP sessions.

   The reconfiguration of the iBGP out-filters will trigger the
   reception of alternate paths at the g-shut initiator. As the eBGP
   in-filters have not been modified at that step, the old paths are
   still preferred by the g-shut initiator.

C.1.2. **Propagation on the other iBGP sessions of the g-shut initiator**

   During the out-filter reconfiguration, path updates are propagated
   with a reduced local-pref value for the affected paths. As a
   consequence, Route Reflectors and distant ASBRs select and propagate
   alternate paths through the iBGP topology as they no longer select
   the old paths as best.

   When the shut-down is performed, for each affected prefix, the g-shut
   initiator propagates on its iBGP sessions:

   . The alternate path, if the best path was received over an eBGP
   sessions.

. A withdraw, if the best path was received over an iBGP sessions.

[C.1.3](#). **Propagation of updates in an iBGP full-mesh**

No transient LoC can occur if a reconfiguration of the iBGP out-
filters on the g-shut initiator is performed.

[C.1.4](#). **Propagation of updates from iBGP to iBGP in a RR hierarchy**

Upon the reception of the update of a primary path with a lower
local-pref value from a client, a Route Reflector RR1 will either
propagate the update, or select an alternate path, depending on the
fact that the updated primary path is still the best one w.r.t. the
state of the Adj-Rib-In of RR1.

If the updated primary path is still the best, then the RR will
propagate an update for this path to the iBGP neighbors to which it
previously advertised the path. Hence it cannot cause transient lack
of path in the Adj-Rib-In of its iBGP neighbors.

If an alternate path is picked, and this path was also originated by
a client of RR1, an update will also be propagated to the same
neighbors as the one to which the primary path was initially
propagated. Hence it cannot cause transient lack of path in the Adj-
Rib-In of its iBGP neighbors.

If an alternate path is picked, and this path was received from a
member of its Route-Reflector iBGP full-mesh, then a withdraw message
is sent. As the alternate path has been sent over each session of
the iBGP full-mesh, the propagation of a withdraw for the primary
path of RR1 is done to routers that are expected to know the
alternate path picked by RR1.

The following example describes a situation where some corner case
timings could lead to transient unreachability from some members of
the iBGP full-mesh.

  1. A Route Reflector RR1 only knew about the primary path upon
  the shutdown.

  2. A member of its RR full-mesh, RR2, propagates an update of
  the old path with a lower local-pref.

  3. Another member of its RR full-mesh, RR3 processes the
  update, selects an alternate path, and propagates an update in
  the mesh.

4. RR2 receives the alternate path, selects it as best, and
hence withdraws the updated old path on the iBGP sessions of the
mesh.

5. If for any reason, RR1 receives and processes the withdraw
generated in step 4 before processing the update generated in
step 3, RR1 transiently suffers from unreachability for the
affected prefix.

In such corner cases, the solution improves the iBGP convergence
behavior/LoC but does not ensure 0 packet loss, as we cannot define a
simple solution relying only on a reconfiguration of the filters of
the g-shut initiator. Improving the availability of alternate paths
in Route Reflectors, using [BestExternal], or [AddPath], seems to be
the most pragmatic solution to these corner cases.

The use of [BestExternal] in the iBGP full-mesh between RRs can solve
these corner cases by ensuring that within an AS, the advertisement
of a new path is not translated into the withdraw of a former path.

Indeed, "best-external" ensures that an ASBR does not withdraw a
previously advertised (eBGP) path when it receives an additional,
preferred path over an iBGP session. Also, "best-intra-cluster"
ensures that a RR does not withdraw a previously advertised (iBGP)
path to its non clients (e.g. other RRs in a mesh of RR) when it
receives a new, preferred path over an iBGP session.

C.2. Maintenance of an iBGP session

If the shutdown does not temper with the viability of the iBGP
topology, the described procedure is sufficient to avoid LoC.

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