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Basic Socket Interface Extensions for Host Identity Protocol (HIP)  
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Internet-Draft

Basic API Extensions for HIP

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## Abstract

This document defines extensions to the current sockets API for the Host Identity Protocol (HIP). The extensions focus on the use of public-key based identifiers discovered via DNS resolution, but define also interfaces for manual bindings between HITs and locators. With the extensions, the application can also support more relaxed security models where the communication can be non-HIP based, according to local policies. The extensions in document are experimental and provide basic tools for further experimentation with policies.

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## 1. Introduction

This document defines C-based sockets Application Programming Interface (API) extensions for handling HIP-based identifiers explicitly in HIP-aware applications. It is up to the applications, or high-level programming languages or libraries, to manage the identifiers. The extensions in this document are mainly related to the use case in which a DNS resolution step has occurred prior to the creation of a new socket, and assumes that the system has cached or is otherwise able to resolve identifiers to locators (IP addresses). The DNS extensions for HIP are described in [\[RFC5205\]](#). The extensions also cover the case in which an application may want to explicitly provide suggested locators with the identifiers, including supporting the opportunistic case in which the system does not know the peer host identity.

The Host Identity Protocol (HIP) [\[RFC4423\]](#) proposes a new cryptographic namespace by separating the roles of end-point identifiers and locators by introducing a new namespace to the TCP/IP stack. SHIM6 [\[I-D.ietf-shim6-proto\]](#) is another protocol based on identity-locator split. The APIs specified in this document are specific to HIP, but have been designed as much as possible so as not to preclude its use with other protocols. The use of these APIs with other protocols is, nevertheless, for further study.

The APIs in this document are based on IPv6 addresses with the ORCHID prefix [\[RFC4843\]](#). ORCHIDs are derived from Host Identifiers using a hash and fitting the result into an IPv6 address. Such addresses are called Host Identity Tags (HITs) and they can be distinguished from other IPv6 addresses with the ORCHID prefix.

Applications can observe the HIP layer and its identifiers in the networking stacks with varying degrees of visibility. [\[RFC5338\]](#) discusses the lowest levels of visibility in which applications are completely unaware of the underlying HIP layer. Such HIP-unaware applications in some circumstances use HIP-based identifiers, such as

LSIs or HITs, instead of IPv4 or IPv6 addresses and cannot observe the identifier-locator bindings.

This document specifies extensions to [[RFC3493](#)] to define a new socket address family, AF\_HIP. Similarly to other address families, AF\_HIP can be used as an alias for PF\_HIP. The extensions also describe a new socket address structure for sockets using HITs explicitly and describe how the socket calls in [[RFC3493](#)] are adapted or extended as a result.

Some applications may accept incoming communications from any identifier. Other applications may initiate outgoing communications

without the knowledge of the peer identifier in Opportunistic Mode ([section 4.1.6 in \[RFC5201\]](#)) by just relying on a peer locator. This document describes how to address both situations using "wildcards" as described later in this document.

There are two related API documents. Multihoming and explicit locator-handling related APIs are defined in [[I-D.ietf-shim6-multihome-shim-api](#)]. IPsec related policy attributes and channel bindings APIs are defined in [[I-D.ietf-btnc-c-api](#)]. Most of the extensions defined in this document can be used independently of the two mentioned API documents.

The identity-locator split introduced by HIP introduces some policy related challenges with datagram oriented sockets, opportunistic mode, and manual bindings between HITs and locators. The extensions in this document are of an experimental nature and provide basic tools for experimenting with policies. Policy related issues are left for further experimentation.

To recap, the extensions in this document have three goals. The first goal is to allow HIP-aware applications to open sockets to other hosts based on the HITs alone, presuming that the underlying system can resolve the HITs to addresses used for initial contact. The second goal is that applications can explicitly initiate communications with unknown peer identifiers. The third goal is to illustrate how HIP-aware applications can use the SHIM API [[I-D.ietf-shim6-multihome-shim-api](#)] to manually map locators to HITs.

## [2.](#) Terminology

The terms used in this document are summarized in Table 1.

Term	Explanation
HIP	Host Identity Protocol
HIT	Host Identity Tag, a 100-bit hash of a public key with a 28 bit prefix
LSI	Local Scope Identifier, a local, 32-bit descriptor for a given public key.
Locator	Routable IPv4 or IPv6 address used at the lower layers

Table 1

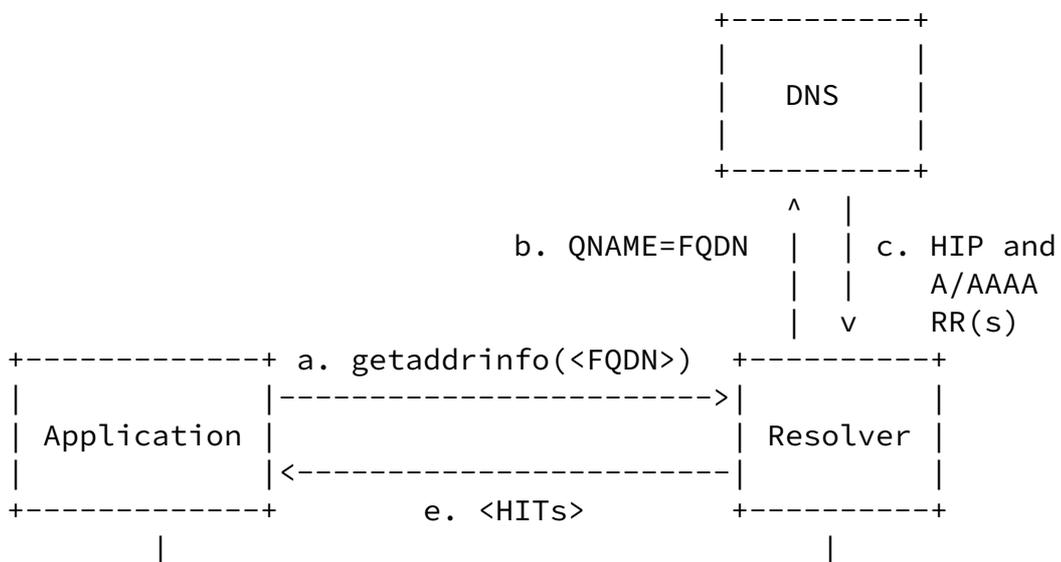
## [3.](#) Name Resolution Process

This section provides an overview of how the API can be used. First, the case in which a resolver is involved in name resolution is described, and then the case in which no resolver is involved is described.

### [3.1.](#) Interaction with the Resolver

Before an application can establish network communications with the entity named by a given FQDN or relative host name, the application must translate the name into the corresponding identifier(s). DNS-based hostname-to-identifier translation is illustrated in Figure 1. The application calls the resolver in step (a) to resolve an FQDN to HIT(s). The resolver queries the DNS in step (b) to map the FQDN to a host identifier and locator (A and AAAA records). It should be noticed that the FQDN may map to multiple host identifiers and locators, and this step may involve multiple DNS transactions, including queries for A, AAAA, HI and possibly other resource records. The DNS server responds with a list of HIP resource records in step (c). Optionally in step (d), the resolver caches the HIT to locator mapping with the HIP module. The resolver converts the HIP

records to HITs and returns the HITs to the application contained in HIP socket address structures in step (e). Depending on the parameters for the resolver call, the resolver may return also other socket address structures to the application. Finally, the application receives the socket address structure(s) from the resolver and uses them in socket calls such as connect() in step (f).



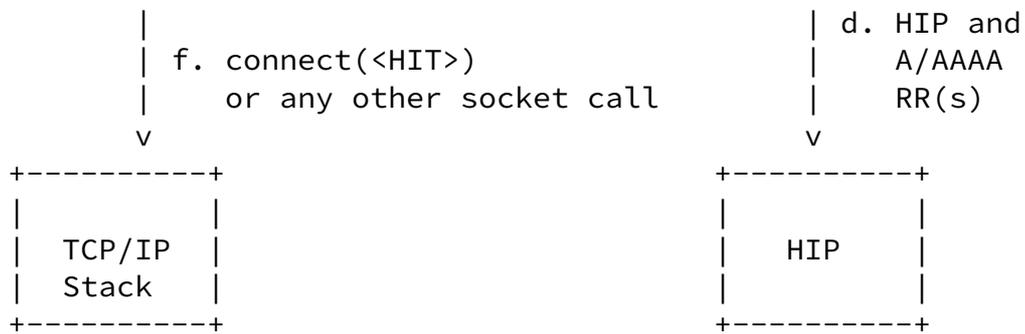


Figure 1

In practice, the resolver functionality can be implemented in different ways. For example, it may be implemented in existing resolver libraries or as a HIP-aware interposing agent.

### [3.2.](#) Interaction without a Resolver

The extensions in this document focus on the use of the resolver to map host names to HITs and locators in HIP-aware applications. The resolver may implicitly associate a HIT with the corresponding locator(s) by communicating the HIT-to-IP mapping to the HIP daemon. However, it is possible that an application operates directly on a peer HIT without interacting with the resolver. In such a case, the application may resort to the system to map the peer HIT to an IP address. Alternatively, the application can explicitly map the HIT to an IP address using socket options as specified in [Section 4.6](#). Full support for all of the extensions defined in this draft requires a number of shim socket options [[I-D.ietf-shim6-multihome-shim-api](#)] to be implemented by the system.

## [4.](#) API Syntax and Semantics

In this section, we describe the native HIP APIs using the syntax of the C programming language. We limit the description to the interfaces and data structures that are either modified or completely new, because the native HIP APIs are otherwise identical to the sockets API [[POSIX](#)].

## 4.1. Socket Family and Address Structure Extensions

The sockets API extensions define a new protocol family, PF\_HIP, and a new address family, AF\_HIP. The AF\_HIP and PF\_HIP are aliases to each other. These definition shall be defined as a result of including `<sys/socket.h>`.

The use of the PF\_HIP constant is mandatory with the `socket()` function when an application uses the native HIP APIs. The application gives the PF\_HIP constant as the first argument (domain) to the `socket()` function. The system returns a positive integer representing a socket descriptor when the system supports HIP. Otherwise, the system returns `-1` and sets `errno` to `EAFNOSUPPORT`. This is the default behavior for unsupported address families and does not require any changes to legacy systems.

Figure 2 shows socket address structure for HIP.

```
#include <netinet/in.h>

typedef struct in6_addr hip_hit_t;

struct sockaddr_hip {
    sa_family_t    ship_family;
    in_port_t      ship_port;
    uint32_t        ship_pad;
    uint64_t        ship_flags;
    hip_hit_t       ship_hit;
    uint8_t         ship_reserved[16];
};
```

Figure 2

Figure 2 is in 4.3BSD format. The family of the socket, `ship_family`, is set to `AF_HIP`. The port number `ship_port` is two octets in network byte order and the `ship_hit` is 16 octets in network byte order. An implementation may have extra member(s) in this structure.

The application usually sets the `ship_hit` field using the resolver. However, the application can use three special constants to set a

wildcard value manually into the `ship_hit` field. The constants are

HIP\_HIT\_ANY, HIP\_HIT\_ANY\_PUB, HIP\_HIT\_ANY\_TMP and HIP\_ENDPOINT\_ANY. The first three equal to a HIT value associated with a wildcard HIT of any type, public type, or anonymous type. The fourth constant, HIP\_ENDPOINT\_ANY, denotes that the application accepts HIT, IPv4 and IPv6-based addresses. The HIP\_HIT\_ANY denotes that the application accepts any type of HIT. The anonymous identifiers refer to the use of anonymous identifiers as specified in [\[RFC4423\]](#). The system may designate anonymous identifiers as meta data associated with a HIT depending on whether it has been published or not. However, there is no difference in the classes of HITs from the protocol perspective.

The application can use the HIP\_HIT\_ANY\_\* and HIP\_ENDPOINT\_ANY constants to accept incoming communications to all of the HITs of the local host. Incoming communications refers here to functions such as bind(), recvfrom() and recvmsg(). The HIP\_HIT\_\* constants are similar to the sockets API constants INADDR\_ANY and IN6ADDR\_ANY\_INIT, but they are applicable to HITs only. After initial contact with the peer, the application can discover the local and peer HITs using getsockname() and getpeername() calls as described in [Section 4.3](#). The difference between the use of the HIP\_HIT\_\* and HIP\_ENDPOINT\_ANY constants here is that the former allows only HIP-based communications but the latter also allows communications without HIP.

When a connection-oriented server application binds to HIP\_ENDPOINT\_ANY and calls accept(), the call outputs always a sockaddr\_hip structure containing information on the connected client with the address family set to AF\_HIP. The same applies also to datagram-oriented recvfrom() and recvmsg() calls. If the data flow was based on HIP, the ship\_hit field contains a HIT. In the case of an IPv6 data flow without HIP, the field contains the corresponding IPv6 address of the client. In the case of an IPv4 flow without HIP, the fields contains the client's IPv4 address in IPv4-mapped IPv6 address format as described in [section 3.7 of \[RFC3493\]](#). [Section 4.5](#) describes how the application can verify the type of the address returned by the socket API calls.

The application also uses the HIP\_HIT\_ANY constant in ship\_hit field to establish outgoing communications in Opportunistic mode [\[RFC5201\]](#), i.e., when the application knows the remote peer locator but not the HIT. Outgoing communications refers here to the use of functions such as connect(), sendto() and sendmsg(). However, the application should first associate the socket with at least one IP address of the peer using SHIM\_LOCLIST\_PEER\_PREF socket option. The use of the HIP\_HIT\_ANY constant guarantees that the communications will be based on HIP or none at all.

The use of HIP\_ENDPOINT\_ANY constant in the context of outgoing

communications is left for further experimentation in the context of opportunistic mode. It can result in a data flow with or without HIP.

Some applications rely on system level access control, either implicit or explicit (such as `accept_filter()` function found on BSD-based systems), but such discussion is out of scope. Other applications implement access control themselves by using the HITs. In such a case, the application can compare two HITs contained in the `ship_hit` field using `memcmp()` or similar function. It should be noted that different connection attempts between the same two hosts can result in different HITs because a host is allowed to have multiple HITs.

#### [4.2.](#) Extensions to Resolver Data Structures

The HIP APIs introduce a new address family, `AF_HIP`, that HIP aware applications can use to control the address type returned from `getaddrinfo()` function [[RFC3493](#)]. The `getaddrinfo()` function uses a data structure called `addrinfo` in its "hints" and "res" argument which are described in more detail in the next section. The `addrinfo` data structure is illustrated in Figure 3.

```
#include <netdb.h>

struct addrinfo {
    int      ai_flags;           /* e.g. AI_CANONNAME */
    int      ai_family;        /* e.g. AF_HIP */
    int      ai_socktype;      /* e.g. SOCK_STREAM */
    int      ai_protocol;      /* 0 or IPPROTO_HIP */
    socklen_t ai_addrlen;      /* size of *ai_addr */
    struct   sockaddr *ai_addr; /* sockaddr_hip */
    char     *ai_canonname;     /* canon. name of the host */
    struct   addrinfo *ai_next; /* next endpoint */
    int      ai_eflags;        /* RFC5014 extension */
};
```

Figure 3

An application resolving with the `ai_family` field set to `AF_UNSPEC` in the hints argument may receive any kind of socket address structures, including `sockaddr_hip`. When the application wants to receive only HITs contained in `sockaddr_hip` structures, it should set the `ai_family` field to `AF_HIP`. Otherwise, the resolver does not return any `sockaddr_hip` structures. The resolver returns `EAI_FAMILY` when `AF_HIP` is not supported.

The resolver ignores the AI\_PASSIVE flag when the application sets

the family in hints to AF\_HIP.

The system may have a HIP-aware interposing DNS agent as described in [section 3.2 in \[RFC5338\]](#). In such a case, the DNS agent may, according to local policy, return transparently LSIs or HITs in `sockaddr_in` and `sockaddr_in6` structures when available. A HIP-aware application can override this local policy in two ways. First, the application can set the family to AF\_HIP in the hints argument of `getaddrinfo()` when it requests only `sockaddr_hip` structures. Second, the application can set AI\_NO\_HIT flag to prevent the resolver from returning HITs in any kind of data structures.

When `getaddrinfo()` returns resolved outputs the results to `res` argument, it sets the family to AF\_HIP when the related structure is `sockaddr_hip`.

#### [4.2.1](#). Resolver Usage

A HIP-aware application creates the `sockaddr_hip` structures manually or obtains them from the resolver. The explicit configuration of locators is described in [\[I-D.ietf-shim6-multihome-shim-api\]](#). This document defines "automated" resolver extensions for `getaddrinfo()` resolver [\[RFC3493\]](#).

```
#include <netdb.h>

int getaddrinfo(const char *nodename,
               const char *servname,
               const struct addrinfo *hints,
               struct addrinfo **res)
void free_addrinfo(struct addrinfo *res)
```

Figure 4

As described in [\[RFC3493\]](#), the `getaddrinfo` function takes the `nodename`, `servname`, and `hints` as its input arguments. It places the result of the query into the `res` output argument. The return value is zero on success, or a non-zero error value on error. The `nodename` argument specifies the host name to be resolved; a NULL argument

denotes the HITs of the local host. The `servname` parameter declares the port number to be set in the socket addresses in the `res` output argument. Both the `nodename` and `servname` cannot be NULL at the same time.

The input argument `"hints"` acts like a filter that defines the attributes required from the resolved endpoints. A NULL `hints` argument indicates that any kind of endpoints are acceptable.

The output argument `"res"` is dynamically allocated by the resolver. The application frees the `res` argument with the `free_addrinfo` function. The `res` argument contains a linked list of the resolved endpoints. The linked list contains `sockaddr_hip` structures only when the input argument has the family set to `AF_HIP`. When the family is zero, the list contains `sockaddr_hip` structures before `sockaddr_in` and `sockaddr_in6` structures.

The resolver can return a HIT which maps to multiple locators. The resolver may cache the locator mappings with the HIP module. The HIP module manages the multiple locators according to system policies of the host. The multihoming document [[I-D.ietf-shim6-multihome-shim-api](#)] describes how an application can override system default policies.

It should be noted that the application can configure the HIT explicitly without setting the locator or the resolver can fail to resolve any locator. In this scenario, the application relies on the system to map the HIT to an IP address. When the system fails to provide the mapping, it returns `-1` in the called sockets API function to the application and sets `errno` to `EADDRNOTAVAIL`.

#### [4.3](#). The Use of `getsockname` and `getpeername` Functions

The `sockaddr_hip` structure does not contain a HIT when the application uses the `HIP_HIT_ANY_*` or `HIP_ENDPOINT_ANY` constants. In such a case, the application can discover the local and peer HITs using the `getsockname()` and `getpeername()` functions after the socket is connected. The functions `getsockname()` and `getpeername()` always output a `sockaddr_hip` structure when the family of the socket is `AF_HIP`. The application should be prepared to handle also IPv4 and IPv6 addresses in the `ship_hit` field as described in [Section 4.1](#) in

the context of the HIP\_ENDPOINT\_ANY constant.

#### 4.4. Selection of Source HIT Type

A client-side application can choose its source HIT by e.g. querying all of the local HITs with `getaddrinfo()` and associating one of them with the socket using `bind()`. This section describes another method for a client-side application to affect the selection of the source HIT type where the application does not call `bind()` explicitly. Instead, the application just specifies the preferred requirements for the source HIT type.

The Socket API for Source Address Selection [[RFC5014](#)] defines socket options to allow applications to influence source address selection mechanisms. In some cases, HIP-aware applications may want to influence source HIT selection; in particular, whether an outbound

connection should use a published or anonymous HIT. Similar to `IPV6_ADDR_PREFERENCES` defined in [RFC 5014](#), the following socket option `HIT_PREFERENCES` is defined for HIP-based sockets. This socket option can be used with `setsockopt()` and `getsockopt()` calls to set and get the HIT selection preferences affecting a HIP-enabled socket. The socket option value (`optval`) is a 32-bit unsigned integer argument. The argument consists of a number of flags where each flag indicates an address selection preference that modifies one of the rules in the default HIT selection; these flags are shown in Table 2.

Socket Option	Purpose
<code>HIP_PREFER_SRC_HIT_TMP</code>	Prefer an anonymous HIT
<code>HIP_PREFER_SRC_HIT_PUBLIC</code>	Prefer a public HIT

Table 2

If the system is unable to assign the type of HIT that is requested, at HIT selection time, the socket call (`connect()`, `sendto()`, or `sendmsg()`) will fail and `errno` will be set to `EINVAL`. If the application tries to set both of the above flags for the same socket, this also results in the error `EINVAL`.

#### [4.5.](#) Verification of Source HIT Type

An application that uses the `HIP_ENDPOINT_ANY` constant may want to check whether the actual communications was based on HIP or not. Also, the application may want to verify whether a local HIT is public or anonymous. The application accomplishes these using a new function called `sockaddr_is_srcaddr()` which is illustrated in Figure 5.

```
#include <netinet/in.h>

short sockaddr_is_srcaddr(struct sockaddr *srcaddr,
                          uint64_t flags);
```

Figure 5

The `sockaddr_is_srcaddr()` function operates in the same way as `inet6_is_srcaddr()` function [[RFC5014](#)] which can be used to verify the type of an address belonging to the local host. The difference is that the `sockaddr_is_srcaddr()` function handles `sockaddr_hip` structures in addition to `sockaddr_in6`, and possibly some other socket structures in further extensions. The flags argument is also 64 bit instead of 32 bits because new function handles the same flags

as defined in [[RFC5014](#)] in addition to two HIP-specific flags, `HIP_PREFER_SRC_HIT_TMP` and `HIP_PREFER_SRC_HIT_PUBLIC`. With these two flags, the application can distinguish anonymous HITs from public HITs.

When given an `AF_INET6` socket, `sockaddr_is_srcaddr()` behaves as `inet6_is_srcaddr()` function as described in [[RFC5014](#)]. With `AF_HIP` socket, the function returns 1 when the HIT contained in the socket address structure corresponds to a valid HIT of the local host and the HIT satisfies the given flags. The function returns -1 when the HIT does not belong to the local host or the flags are not valid. The function returns 0 when the preference flags are valid but the HIT does not match the given flags.

#### [4.6.](#) Explicit Handling of Locators

The system resolver, or the HIP module, maps HITs to locators implicitly. However, some applications may want to specify initial

locator mappings explicitly. In such a case, the application first creates a socket with AF\_HIP as the domain argument. Second, the application may get or set locator information with one of the following shim socket options as defined in the multihoming extensions in [[I-D.ietf-shim6-multihome-shim-api](#)]. The related socket options are summarized briefly in Table 3.

optname	description
SHIM_LOC_LOCAL_PREF	Get or set the preferred locator on the local side for the context associated with the socket.
SHIM_LOC_PEER_PREF	Get or set the preferred locator on the remote side for the context associated with the socket.
SHIM_LOCLIST_LOCAL	Get or set a list of locators associated with the local EID.
SHIM_LOCLIST_PEER	Get or set a list of locators associated with the peer's EID.
SHIM_LOC_LOCAL_SEND	Set or get the default source locator of outgoing IP packets.
SHIM_LOC_PEER_SEND	Set or get the default destination locator of outgoing IP packets.

Table 3

As an example of locator mappings, a connection-oriented application creates a HIP-based socket and sets the SHIM\_LOCLIST\_PEER socket

option to the socket. The HIP module uses the first address contained in the option if multiple are provided. If the application provides one or more addresses in the SHIM\_LOCLIST\_PEER setsockopt call, the system should not connect to the host via another destination address, in case the application intends to restrict the range of addresses permissible as a policy choice. The application can override the default peer locator by setting the SHIM\_LOC\_PEER\_PREF socket option if necessary. Finally, the application provides a specific HIT in the ship\_hit field of the sockaddr\_hip in the connect() system call. If the system cannot reach the HIT at one of the addresses provided, the outbound socket

API functions (connect, sendmsg, etc.) return -1 and set errno to EINVALLOCATOR.

Applications may also choose to associate local addresses with sockets. The procedures specified in [\[I-D.ietf-shim6-multihome-shim-api\]](#) are followed in this case.

Another use case is to use the opportunistic mode when the destination HIT is specified as a wildcard. The application sets one or more destination addresses using the SHIM\_LOCLIST\_PEER socket option as described above and then calls connect() with the wildcard HIT. The connect() call returns -1 and sets errno to EADDRNOTAVAIL when the application connects to a wildcard without specifying any destination address.

Applications using datagram-oriented sockets can use ancillary data to control the locators. This described in detail in [\[I-D.ietf-shim6-multihome-shim-api\]](#).

## 5. Summary of New Definitions

Table 4 summarizes the new constants and structures defined in this document.

+-----+-----+
Header   Definition
+-----+-----+
<sys/socket.h>   AF_HIP

<sys/socket.h>	PF_HIP
<netinet/in.h>	IPPROTO_HIP
<netinet/hip.h>	HIP_HIT_ANY
<netinet/hip.h>	HIP_HIT_ANY_PUB
<netinet/hip.h>	HIP_HIT_ANY_TMP
<netinet/hip.h>	HIP_ENDPOINT_ANY
<netinet/hip.h>	HIP_HIT_PREFERENCES
<netinet/hip.h>	hip_hit_t
<netdb.h>	AI_NO_HIT
<netinet/hip.h>	sockaddr_hip
<netinet/hip.h>	sockaddr_is_srcaddr

Table 4

## 6. IANA Considerations

No IANA considerations.

## 7. Security Considerations

The use of HIP\_ENDPOINT\_ANY can be used to accept incoming or create outgoing data flows without HIP. The application should use the sockaddr\_is\_srcaddr() function to validate the type of the connection in order to e.g. inform the user of the lack of HIP-based security. The use of the HIP\_HIT\_ANY\_\* constants is recommended in security-critical applications and systems.

## 8. Contributors

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## 9. Acknowledgements

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## 10. Normative References

[I-D.ietf-btnc-api]

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