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HTTP Caching

Abstract

The Hypertext Transfer Protocol (HTTP) is a stateless application-level protocol for distributed, collaborative, hypertext information systems. This document defines HTTP caches and the associated header fields that control cache behavior or indicate cacheable response messages.

This document obsoletes RFC 7234.

Editorial Note

This note is to be removed before publishing as an RFC.

Discussion of this draft takes place on the HTTP working group mailing list (ietf-http-wg@w3.org), which is archived at https://lists.w3.org/Archives/Public/ietf-http-wg/.

Working Group information can be found at <https://httpwg.org/; source code and issues list for this draft can be found at https://github.com/httpwg/http-core>.

The changes in this draft are summarized in Appendix C.14.

Status of This Memo

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<u>Authors' Addresses</u>

1. Introduction

The Hypertext Transfer Protocol (HTTP) is a stateless application-level request/response protocol that uses extensible semantics and self-descriptive messages for flexible interaction with network-based hypertext information systems. It is typically used for distributed information systems, where the use of response caches can improve performance. This document defines aspects of HTTP related to caching and reusing response messages.

An HTTP cache is a local store of response messages and the subsystem that controls storage, retrieval, and deletion of messages in it. A cache stores cacheable responses to reduce the response time and network bandwidth consumption on future equivalent requests. Any client or server MAY use a cache, though a server that is acting as a tunnel cannot.

A shared cache is a cache that stores responses for reuse by more than one user; shared caches are usually (but not always) deployed as a part of an intermediary. A private cache, in contrast, is dedicated to a single user; often, they are deployed as a component of a user agent.

HTTP caching's goal is significantly improving performance by reusing a prior response message to satisfy a current request. A cache considers a stored response "fresh", as defined in Section 4.2, if it can be reused without "validation" (checking with the origin server to see if the cached response remains valid for this request). A fresh response can therefore reduce both latency and network overhead each time the cache reuses it. When a cached response is not fresh, it might still be reusable if validation can freshen it (Section 4.3) or if the origin is unavailable (Section 4.2.4).

This document obsoletes RFC 7234, with the changes being summarized in $\frac{Appendix B}{Appendix B}$.

1.1. Requirements Notation

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

<u>Section 2</u> of [<u>Semantics</u>] defines conformance criteria and contains considerations regarding error handling.

1.2. Syntax Notation

This specification uses the Augmented Backus-Naur Form (ABNF) notation of $[\underbrace{RFC5234}]$, extended with the notation for casesensitivity in strings defined in $[\underbrace{RFC7405}]$.

It also uses a list extension, defined in <u>Section 5.6.1</u> of [<u>Semantics</u>], that allows for compact definition of comma-separated lists using a '#' operator (similar to how the '*' operator indicates repetition). <u>Appendix A</u> shows the collected grammar with all list operators expanded to standard ABNF notation.

The following core rule is included by reference, as defined in [RFC5234], Appendix B.1: DIGIT (decimal 0-9).

[Semantics] defines the following rules:

```
HTTP-date = <HTTP-date, see [Semantics], Section 5.6.7>

OWS = <OWS, see [Semantics], Section 5.6.3>

field-name = <field-name, see [Semantics], Section 5.1>

quoted-string = <quoted-string, see [Semantics], Section 5.6.4>

token = <token, see [Semantics], Section 5.6.2>
```

1.3. Delta Seconds

The delta-seconds rule specifies a non-negative integer, representing time in seconds.

```
delta-seconds = 1*DIGIT
```

A recipient parsing a delta-seconds value and converting it to binary form ought to use an arithmetic type of at least 31 bits of non-negative integer range. If a cache receives a delta-seconds value greater than the greatest integer it can represent, or if any of its subsequent calculations overflows, the cache ${\tt MUST}$ consider the value to be 2147483648 (2 31) or the greatest positive integer it can conveniently represent.

Note: The value 2147483648 is here for historical reasons, represents infinity (over 68 years), and does not need to be stored in binary form; an implementation could produce it as a canned string if any overflow occurs, even if the calculations are performed with an arithmetic type incapable of directly representing

that number. What matters here is that an overflow be detected and not treated as a negative value in later calculations.

2. Overview of Cache Operation

Proper cache operation preserves the semantics of HTTP transfers ([Semantics]) while reducing the transfer of information already held in the cache. Although caching is an entirely OPTIONAL feature of HTTP, it can be assumed that reusing a cached response is desirable and that such reuse is the default behavior when no requirement or local configuration prevents it. Therefore, HTTP cache requirements are focused on preventing a cache from either storing a non-reusable response or reusing a stored response inappropriately, rather than mandating that caches always store and reuse particular responses.

The base cache key comprises the request method and target URI used to retrieve the stored response; the method determines under which circumstances that response can be used to satisfy a request. However, many HTTP caches in common use today only cache GET responses, and therefore only use the URI as the cache key, forwarding other methods.

If a request target is subject to content negotiation, the cache might store multiple responses for it. Caches differentiate these responses by incorporating values of the original request's selecting header fields into the cache key as well, as per <u>Section 4.1</u>.

Caches might incorporate additional material into the cache key. For example, user agent caches might include the referring site's identity, thereby "double keying" the cache to avoid some privacy risks (see <u>Section 7.2</u>).

Most commonly, caches store the successful result of a retrieval request: i.e., a 200 (OK) response to a GET request, which contains a representation of the target resource (Section 9.3.1 of [Semantics]). However, it is also possible to store redirects, negative results (e.g., 404 (Not Found)), incomplete results (e.g., 206 (Partial Content)), and responses to methods other than GET if the method's definition allows such caching and defines something suitable for use as a cache key.

A cache is *disconnected* when it cannot contact the origin server or otherwise find a forward path for a request. A disconnected cache can serve stale responses in some circumstances (Section 4.2.4).

3. Storing Responses in Caches

```
A cache MUST NOT store a response to a request unless:
  *the request method is understood by the cache;
  *the response status code is final (see Section 15 of
   [Semantics]);
  *if the response status code is 206 or 304, or the "must-
   understand" cache directive (see Section 5.2) is present: the
   cache understands the response status code;
  *the "no-store" cache directive is not present in the response
   (see <u>Section 5.2</u>);
  *if the cache is shared: the "private" response directive is
   either not present or allows a shared cache to store a modified
   response; see Section 5.2.2.7);
  *if the cache is shared: the Authorization header field is not
   present in the request (see Section 11.6.2 of [Semantics]) or a
   response directive is present that explicitly allows shared
   caching (see Section 3.4); and,
  *the response contains at least one of:
     -a public response directive (see <u>Section 5.2.2.6</u>);
     -a private response directive, if the cache is not shared (see
      <u>Section 5.2.2.7</u>);
     -an Expires header field (see Section 5.3);
     -a max-age response directive (see Section 5.2.2.9);
     -if the cache is shared, an s-maxage response directive (see
      Section 5.2.2.10);
     -a Cache Control Extension that allows it to be cached (see
      Section 5.2.3); or,
     -a status code that is defined as heuristically cacheable (see
      Section 4.2.2).
```

Note that a cache-control extension can override any of the requirements listed; see $\underline{\text{Section } 5.2.3}$.

In this context, a cache has "understood" a request method or a response status code if it recognizes it and implements all specified caching-related behavior.

Note that, in normal operation, some caches will not store a response that has neither a cache validator nor an explicit expiration time, as such responses are not usually useful to store. However, caches are not prohibited from storing such responses.

3.1. Storing Header and Trailer Fields

Caches MUST include all received response header fields - including unrecognised ones - when storing a response; this assures that new HTTP header fields can be successfully deployed. However, the following exceptions are made:

- *The Connection header field and fields whose names are listed in it are required by <u>Section 7.6.1</u> of [<u>Semantics</u>] to be removed before forwarding the message. This **MAY** be implemented by doing so before storage.
- *Likewise, some fields' semantics require them to be removed before forwarding the message, and this **MAY** be implemented by doing so before storage; see Section 7.6.1 of Semantics] for some examples.
- *The no-cache (<u>Section 5.2.2.3</u>) and private (<u>Section 5.2.2.7</u>) cache directives can have arguments that prevent storage of header fields by all caches and shared caches, respectively.
- *Header fields that are specific to a client's proxy configuration **MUST NOT** be stored, unless the cache incorporates the identity of the proxy into the cache key. Effectively, this is limited to Proxy-Authenticate (Section 11.7.1 of [Semantics]), Proxy-Authentication-Info (Section 11.7.3 of [Semantics]), and Proxy-Authorization (Section 11.7.2 of [Semantics]).

Caches MAY either store trailer fields separate from header fields, or discard them. Caches MUST NOT combine trailer fields with header fields.

3.2. Updating Stored Header Fields

Caches are required to update a stored response's header fields from another (typically newer) response in several situations; for example, see Section 3.5, Section 4.3.4 and Section 4.3.5.

When doing so, the cache **MUST** add each header field in the provided response to the stored response, replacing field values that are already present, with the following exceptions:

- *Header fields excepted from storage in Section 3.1,
- *Header fields that the cache's stored representation of the response depends upon, as described below,
- *Header fields that are automatically processed and removed by the recipient, as described below, and
- *The Content-Length header field.

In some cases, caches (especially in user agents) store processed representations of the received response, rather than the response itself, and updating header fields that affect that processing can result in inconsistent behavior and security issues. Caches in this situation MAY omit these header fields from updating stored representations on an exceptional basis, but SHOULD limit such omission to those fields necessary to assure integrity of the stored representation.

For example, a browser might decode the content coding of a response payload while it is being received, creating a disconnect between the data it has stored and the response payload's original metadata. Updating that stored metadata with a different Content-Encoding header field would be problematic. Likewise, a browser might store a post-parse tree representation of HTML, rather than the payload received in the response; updating the Content-Type header field would not be workable in this case, because any assumptions about the format made in parsing would now be invalid.

Furthermore, some fields are automatically processed and removed by the HTTP implementation; for example, the Content-Range header field. Implementations MAY automatically omit such header fields from updates, even when the processing does not actually occur.

Note that the Content-* prefix is not a signal that a header field is omitted from update; it is a convention for MIME header fields, not HTTP.

3.3. Storing Incomplete Responses

If the request method is GET, the response status code is 200 (OK), and the entire response header section has been received, a cache MAY store a response body that is not complete (Section 3.3 of [Semantics]) if the stored response is recorded as being incomplete. Likewise, a 206 (Partial Content) response MAY be stored as if it were an incomplete 200 (OK) response. However, a cache MUST NOT

store incomplete or partial-content responses if it does not support the Range and Content-Range header fields or if it does not understand the range units used in those fields.

A cache MAY complete a stored incomplete response by making a subsequent range request (Section 14.2 of [Semantics]) and combining the successful response with the stored response, as defined in Section 3.5. A cache MUST NOT use an incomplete response to answer requests unless the response has been made complete, or the request is partial and specifies a range wholly within the incomplete response. A cache MUST NOT send a partial response to a client without explicitly marking it using the 206 (Partial Content) status code.

3.4. Storing Responses to Authenticated Requests

A shared cache **MUST NOT** use a cached response to a request with an Authorization header field (<u>Section 11.6.2</u> of [<u>Semantics</u>]) to satisfy any subsequent request unless the response contains a <u>Cache-Control</u> field with a response directive (<u>Section 5.2.2</u>) that allows it to be stored by a shared cache and the cache conforms to the requirements of that directive for that response.

In this specification, the following response directives have such an effect: must-revalidate (<u>Section 5.2.2.1</u>), public (<u>Section 5.2.2.1</u>), and s-maxage (<u>Section 5.2.2.10</u>).

3.5. Combining Partial Content

A response might transfer only a partial representation if the connection closed prematurely or if the request used one or more Range specifiers (Section 14.2 of [Semantics]). After several such transfers, a cache might have received several ranges of the same representation. A cache MAY combine these ranges into a single stored response, and reuse that response to satisfy later requests, if they all share the same strong validator and the cache complies with the client requirements in Section 15.3.7.3 of [Semantics].

When combining the new response with one or more stored responses, a cache **MUST** update the stored response header fields using the header fields provided in the new response, as per <u>Section 3.2</u>.

4. Constructing Responses from Caches

When presented with a request, a cache **MUST NOT** reuse a stored response, unless:

*The presented target URI (<u>Section 7.1</u> of [<u>Semantics</u>]) and that of the stored response match, and

- *the request method associated with the stored response allows it to be used for the presented request, and
- *selecting header fields nominated by the stored response (if any) match those presented (see <u>Section 4.1</u>), and
- *the stored response does not contain the no-cache cache directive (<u>Section 5.2.2.3</u>), unless it is successfully validated (<u>Section 4.3</u>), and
- *the stored response is either:
 - -fresh (see <u>Section 4.2</u>), or
 - -allowed to be served stale (see <u>Section 4.2.4</u>), or
 - -successfully validated (see <u>Section 4.3</u>).

Note that a cache-control extension can override any of the requirements listed; see Section 5.2.3.

When a stored response is used to satisfy a request without validation, a cache **MUST** generate an <u>Age</u> header field (<u>Section 5.1</u>), replacing any present in the response with a value equal to the stored response's current_age; see <u>Section 4.2.3</u>.

A cache MUST write through requests with methods that are unsafe (Section 9.2.1 of [Semantics]) to the origin server; i.e., a cache is not allowed to generate a reply to such a request before having forwarded the request and having received a corresponding response.

Also, note that unsafe requests might invalidate already-stored responses; see Section 4.4.

A response that is stored or storable can be used to satisfy multiple requests, provided that it is allowed to reuse that response for the requests in question. This enables caches to "collapse" multiple incoming requests into a single forward request upon a cache miss, thereby reducing load on the origin server and network. However, note that if the response returned is not able to be used for some or all of the collapsed requests, additional latency might be introduced, because they will need to be forwarded to be satisfied.

When more than one suitable response is stored, a cache **MUST** use the most recent one (as determined by the Date header field). It can also forward the request with "Cache-Control: max-age=0" or "Cache-Control: no-cache" to disambiguate which response to use.

A cache that does not have a clock available **MUST NOT** use stored responses without revalidating them upon every use.

4.1. Calculating Cache Keys with Vary

When a cache receives a request that can be satisfied by a stored response that has a Vary header field (Section 12.5.5 of [Semantics]), it MUST NOT use that response unless all the selecting header fields nominated by the Vary header field match in both the original request (i.e., that associated with the stored response), and the presented request.

The selecting header field values from two requests are defined to match if and only if those in the first request can be transformed to those in the second request by applying any of:

- *adding or removing whitespace, where allowed in the header field's syntax
- *combining multiple header field lines with the same field name (see <u>Section 5.2</u> of [<u>Semantics</u>])
- *normalizing both header field values in a way that is known to have identical semantics, according to the header field's specification (e.g., reordering field values when order is not significant; case-normalization, where values are defined to be case-insensitive)

If (after any normalization that might take place) a header field is absent from a request, it can only match another request if it is also absent there.

A Vary header field value containing a member "*" always fails to match.

The stored response with matching selecting header fields is known as the selected response.

If multiple selected responses are available (potentially including responses without a Vary header field), the cache will need to choose one to use. When a selecting header field has a known mechanism for doing so (e.g., qvalues on Accept and similar request header fields), that mechanism MAY be used to select a preferred response. If such a mechanism is not available, or leads to equally preferred responses, the most recent response (as determined by the Date header field) is used, as per Section 4.

Some resources mistakenly omit the Vary header field from their default response (i.e., the one sent when no more preferable response is available), selecting it for requests to that resource

even when more preferable responses are available. When a cache has multiple responses for a target URI and one or more omits the Vary header field, it ${\tt SHOULD}$ use the most recent (see ${\tt Section~4.2.3}$) valid Vary field value available to select an appropriate response for the request.

If no selected response is available, the cache cannot satisfy the presented request. Typically, it is forwarded to the origin server in a (possibly conditional; see Section 4.3) request.

4.2. Freshness

A *fresh* response is one whose age has not yet exceeded its freshness lifetime. Conversely, a *stale* response is one where it has.

A response's *freshness lifetime* is the length of time between its generation by the origin server and its expiration time. An *explicit expiration time* is the time at which the origin server intends that a stored response can no longer be used by a cache without further validation, whereas a *heuristic expiration time* is assigned by a cache when no explicit expiration time is available.

A response's *age* is the time that has passed since it was generated by, or successfully validated with, the origin server.

When a response is "fresh" in the cache, it can be used to satisfy subsequent requests without contacting the origin server, thereby improving efficiency.

The primary mechanism for determining freshness is for an origin server to provide an explicit expiration time in the future, using either the Expires header field (Section 5.3) or the max-age response directive (Section 5.2.2.9). Generally, origin servers will assign future explicit expiration times to responses in the belief that the representation is not likely to change in a semantically significant way before the expiration time is reached.

If an origin server wishes to force a cache to validate every request, it can assign an explicit expiration time in the past to indicate that the response is already stale. Compliant caches will normally validate a stale cached response before reusing it for subsequent requests (see <u>Section 4.2.4</u>).

Since origin servers do not always provide explicit expiration times, caches are also allowed to use a heuristic to determine an expiration time under certain circumstances (see Section 4.2.2).

The calculation to determine if a response is fresh is:

response_is_fresh = (freshness_lifetime > current_age)

freshness_lifetime is defined in <u>Section 4.2.1</u>; current_age is defined in <u>Section 4.2.3</u>.

Clients can send the max-age or min-fresh request directives (<u>Section 5.2.1</u>) to constrain or relax freshness calculations for the corresponding response. However, caches are not required to honor them.

When calculating freshness, to avoid common problems in date parsing:

- *Although all date formats are specified to be case-sensitive, a cache recipient **SHOULD** match the field value case-insensitively.
- *If a cache recipient's internal implementation of time has less resolution than the value of an HTTP-date, the recipient **MUST** internally represent a parsed <u>Expires</u> date as the nearest time equal to or earlier than the received value.
- *A cache recipient **MUST NOT** allow local time zones to influence the calculation or comparison of an age or expiration time.
- *A cache recipient **SHOULD** consider a date with a zone abbreviation other than "GMT" to be invalid for calculating expiration.

Note that freshness applies only to cache operation; it cannot be used to force a user agent to refresh its display or reload a resource. See <u>Section 6</u> for an explanation of the difference between caches and history mechanisms.

4.2.1. Calculating Freshness Lifetime

A cache can calculate the freshness lifetime (denoted as freshness_lifetime) of a response by using the first match of:

- *If the cache is shared and the s-maxage response directive (Section 5.2.2.10) is present, use its value, or
- *If the max-age response directive (<u>Section 5.2.2.9</u>) is present, use its value, or
- *If the <u>Expires</u> response header field (<u>Section 5.3</u>) is present, use its value minus the value of the Date response header field, or

*Otherwise, no explicit expiration time is present in the response. A heuristic freshness lifetime might be applicable; see Section 4.2.2.

Note that this calculation is not vulnerable to clock skew, since all of the information comes from the origin server.

When there is more than one value present for a given directive (e.g., two Expires header field lines or multiple Cache-Control: max-age directives), either the first occurrence should be used, or the response should be considered stale. If directives conflict (e.g., both max-age and no-cache are present), the most restrictive directive should be honored. Caches are encouraged to consider responses that have invalid freshness information (e.g., a max-age directive with non-integer content) to be stale.

4.2.2. Calculating Heuristic Freshness

Since origin servers do not always provide explicit expiration times, a cache MAY assign a heuristic expiration time when an explicit time is not specified, employing algorithms that use other field values (such as the Last-Modified time) to estimate a plausible expiration time. This specification does not provide specific algorithms, but does impose worst-case constraints on their results.

A cache MUST NOT use heuristics to determine freshness when an explicit expiration time is present in the stored response. Because of the requirements in Section 3, this means that heuristics can only be used on responses without explicit freshness whose status codes are defined as "heuristically cacheable" (e.g., see Section 15.1 of [Semantics]), and those responses without explicit freshness that have been marked as explicitly cacheable (e.g., with a "public" response directive).

Note that in previous specifications heuristically cacheable response status codes were called "cacheable by default."

If the response has a Last-Modified header field (<u>Section 8.9.2</u> of [<u>Semantics</u>]), caches are encouraged to use a heuristic expiration value that is no more than some fraction of the interval since that time. A typical setting of this fraction might be 10%.

Note: Section 13.9 of [RFC2616] prohibited caches from calculating heuristic freshness for URIs with query components (i.e., those containing '?'). In practice, this has not been widely implemented. Therefore, origin servers are encouraged to send explicit directives (e.g., Cache-Control: no-cache) if they wish to prevent caching.

4.2.3. Calculating Age

The Age header field is used to convey an estimated age of the response message when obtained from a cache. The Age field value is the cache's estimate of the number of seconds since the origin server generated or validated the response. The Age value is therefore the sum of the time that the response has been resident in each of the caches along the path from the origin server, plus the time it has been in transit along network paths.

Age calculation uses the following data:

- age_value The term "age_value" denotes the value of the Age header
 field (Section 5.1), in a form appropriate for arithmetic
 operation; or 0, if not available.
- date_value The term "date_value" denotes the value of the Date
 header field, in a form appropriate for arithmetic operations.
 See Section 10.2.2 of [Semantics] for the definition of the Date
 header field, and for requirements regarding responses without
 it.
- now The term "now" means "the current value of the clock at the
 host performing the calculation". A host ought to use NTP
 ([RFC5905]) or some similar protocol to synchronize its clocks to
 Coordinated Universal Time.
- request_time The current value of the clock at the host at the time
 the request resulting in the stored response was made.
- response_time The current value of the clock at the host at the
 time the response was received.

A response's age can be calculated in two entirely independent ways:

- the "apparent_age": response_time minus date_value, if the local clock is reasonably well synchronized to the origin server's clock. If the result is negative, the result is replaced by zero.
- 2. the "corrected_age_value", if all of the caches along the response path implement HTTP/1.1 or greater. A cache MUST interpret this value relative to the time the request was initiated, not the time that the response was received.

```
apparent_age = max(0, response_time - date_value);
response_delay = response_time - request_time;
corrected_age_value = age_value + response_delay;
```

```
corrected_initial_age = max(apparent_age, corrected_age_value);
```

unless the cache is confident in the value of the <u>Age</u> header field (e.g., because there are no HTTP/1.0 hops in the Via header field), in which case the corrected_age_value **MAY** be used as the corrected initial age.

The current_age of a stored response can then be calculated by adding the time (in seconds) since the stored response was last validated by the origin server to the corrected_initial_age.

```
resident_time = now - response_time;
current_age = corrected_initial_age + resident_time;
```

4.2.4. Serving Stale Responses

A "stale" response is one that either has explicit expiry information or is allowed to have heuristic expiry calculated, but is not fresh according to the calculations in Section 4.2.

A cache **MUST NOT** generate a stale response if it is prohibited by an explicit in-protocol directive (e.g., by a "no-cache" cache directive, a "must-revalidate" cache-response-directive, or an applicable "s-maxage" or "proxy-revalidate" cache-response-directive; see <u>Section 5.2.2</u>).

A cache **MUST NOT** generate a stale response unless it is disconnected or doing so is explicitly permitted by the client or origin server (e.g., by the max-stale request directive in <u>Section 5.2.1</u>, by extension directives such as those defined in [<u>RFC5861</u>], or by configuration in accordance with an out-of-band contract).

4.3. Validation

When a cache has one or more stored responses for a requested URI, but cannot serve any of them (e.g., because they are not fresh, or one cannot be selected; see Section 4.1), it can use the conditional request mechanism (Section 13.1 of [Semantics]) in the forwarded request to give the next inbound server an opportunity to select a valid stored response to use, updating the stored metadata in the process, or to replace the stored response(s) with a new response. This process is known as "validating" or "revalidating" the stored response.

4.3.1. Sending a Validation Request

When generating a conditional request for validation, a cache starts with either a request it is attempting to satisfy, or - if it is initiating the request independently - it synthesises a request using a stored response by copying the method, target URI, and request header fields identified by the Vary header field (Section 4.1).

It then updates that request with one or more precondition header fields. These contain validator metadata sourced from stored response(s) that have the same cache key.

The precondition header fields are then compared by recipients to determine whether any stored response is equivalent to a current representation of the resource.

One such validator is the timestamp given in a Last-Modified header field (Section 8.9.2 of [Semantics]), which can be used in an If-Modified-Since header field for response validation, or in an If-Unmodified-Since or If-Range header field for representation selection (i.e., the client is referring specifically to a previously obtained representation with that timestamp).

Another validator is the entity-tag given in an ETag field (Section 8.9.3 of [Semantics]). One or more entity-tags, indicating one or more stored responses, can be used in an If-None-Match header field for response validation, or in an If-Match or If-Range header field for representation selection (i.e., the client is referring specifically to one or more previously obtained representations with the listed entity-tags).

4.3.2. Handling a Received Validation Request

Each client in the request chain may have its own cache, so it is common for a cache at an intermediary to receive conditional requests from other (outbound) caches. Likewise, some user agents make use of conditional requests to limit data transfers to recently modified representations or to complete the transfer of a partially retrieved representation.

If a cache receives a request that can be satisfied by reusing one of its stored 200 (OK) or 206 (Partial Content) responses, the cache **SHOULD** evaluate any applicable conditional header field preconditions received in that request with respect to the corresponding validators contained within the selected response. A cache **MUST NOT** evaluate conditional header fields that only apply to an origin server, occur in a request with semantics that cannot be satisfied with a cached response, or occur in a request with a target resource for which it has no stored responses; such preconditions are likely intended for some other (inbound) server.

The proper evaluation of conditional requests by a cache depends on the received precondition header fields and their precedence, as defined in <u>Section 13.3</u> of [<u>Semantics</u>]. The If-Match and If-Unmodified-Since conditional header fields are not applicable to a cache.

A request containing an If-None-Match header field (Section 13.1.2 of [Semantics]) indicates that the client wants to validate one or more of its own stored responses in comparison to whichever stored response is selected by the cache. If the field value is "*", or if the field value is a list of entity-tags and at least one of them matches the entity-tag of the selected stored response, a cache recipient SHOULD generate a 304 (Not Modified) response (using the metadata of the selected stored response) instead of sending that stored response.

When a cache decides to revalidate its own stored responses for a request that contains an If-None-Match list of entity-tags, the cache MAY combine the received list with a list of entity-tags from its own stored set of responses (fresh or stale) and send the union of the two lists as a replacement If-None-Match header field value in the forwarded request. If a stored response contains only partial content, the cache MUST NOT include its entity-tag in the union unless the request is for a range that would be fully satisfied by that partial stored response. If the response to the forwarded request is 304 (Not Modified) and has an ETag field value with an entity-tag that is not in the client's list, the cache MUST generate a 200 (OK) response for the client by reusing its corresponding stored response, as updated by the 304 response metadata (Section 4.3.4).

If an If-None-Match header field is not present, a request containing an If-Modified-Since header field (Section 13.1.3 of [Semantics]) indicates that the client wants to validate one or more of its own stored responses by modification date. A cache recipient SHOULD generate a 304 (Not Modified) response (using the metadata of the selected stored response) if one of the following cases is true:

- 1. the selected stored response has a Last-Modified field value that is earlier than or equal to the conditional timestamp;
- no Last-Modified header field is present in the selected stored response, but it has a Date field value that is earlier than or equal to the conditional timestamp; or,
- 3. neither Last-Modified nor Date is present in the selected stored response, but the cache recorded it as having been received at a time earlier than or equal to the conditional timestamp.

A cache that implements partial responses to range requests, as defined in <u>Section 14.2</u> of [<u>Semantics</u>], also needs to evaluate a received If-Range header field (<u>Section 13.1.5</u> of [<u>Semantics</u>]) regarding its selected stored response.

4.3.3. Handling a Validation Response

Cache handling of a response to a conditional request depends upon its status code:

- *A 304 (Not Modified) response status code indicates that the stored response can be updated and reused; see <u>Section 4.3.4</u>.
- *A full response (i.e., one with a payload body) indicates that none of the stored responses nominated in the conditional request is suitable. Instead, the cache MUST use the full response to satisfy the request. The cache MAY store such a full response, subject to its constraints (see Section 3).
- *However, if a cache receives a 5xx (Server Error) response while attempting to validate a response, it can either forward this response to the requesting client, or act as if the server failed to respond. In the latter case, the cache can send a previously stored response, subject to its constraints on doing so (see Section 4.2.4), or retry the validation request.

4.3.4. Freshening Stored Responses upon Validation

When a cache receives a 304 (Not Modified) response and already has one or more stored 200 (OK) responses for the applicable cache key, the cache needs to identify which (if any) are to be updated by the new information provided, and then do so.

The stored response(s) to update are identified by using the first match (if any) of:

- *If the new response contains a *strong validator* (see <u>Section 8.9.1</u> of [<u>Semantics</u>]), then that strong validator identifies the selected representation for update. All the stored responses with the same strong validator are identified for update. If none of the stored responses contain the same strong validator, then the cache **MUST NOT** use the new response to update any stored responses.
- *If the new response contains a weak validator and that validator corresponds to one of the cache's stored responses, then the most recent of those matching stored responses is identified for update.

*If the new response does not include any form of validator (such as where a client generates an If-Modified-Since request from a source other than the Last-Modified response header field), and there is only one stored response, and that stored response also lacks a validator, then that stored response is identified for update.

For each stored response identified, the cache **MUST** update its header fields with the header fields provided in the 304 (Not Modified) response, as per <u>Section 3.2</u>.

4.3.5. Freshening Responses with HEAD

A response to the HEAD method is identical to what an equivalent request made with a GET would have been, except it lacks payload data. This property of HEAD responses can be used to invalidate or update a cached GET response if the more efficient conditional GET request mechanism is not available (due to no validators being present in the stored response) or if transmission of the payload data is not desired even if it has changed.

When a cache makes an inbound HEAD request for a target URI and receives a 200 (OK) response, the cache **SHOULD** update or invalidate each of its stored GET responses that could have been selected for that request (see Section 4.1).

For each of the stored responses that could have been selected, if the stored response and HEAD response have matching values for any received validator fields (ETag and Last-Modified) and, if the HEAD response has a Content-Length header field, the value of Content-Length matches that of the stored response, the cache **SHOULD** update the stored response as described below; otherwise, the cache **SHOULD** consider the stored response to be stale.

If a cache updates a stored response with the metadata provided in a HEAD response, the cache **MUST** use the header fields provided in the HEAD response to update the stored response (see <u>Section 3.2</u>).

4.4. Invalidating Stored Responses

Because unsafe request methods (<u>Section 9.2.1</u> of [<u>Semantics</u>]) such as PUT, POST or DELETE have the potential for changing state on the origin server, intervening caches are required to invalidate stored responses to keep their contents up to date.

A cache **MUST** invalidate the target URI (<u>Section 7.1</u> of [<u>Semantics</u>]) when a non-error status code is received in response to an unsafe request method (including methods whose safety is unknown).

A cache MAY invalidate other URIs when a non-error status code is received in response to an unsafe request method (including methods whose safety is unknown). In particular, the URI(s) in the Location and Content-Location response header fields (if present) are candidates for invalidation; other URIs might be discovered through mechanisms not specified in this document. However, a cache MUST NOT trigger an invalidation under these conditions if the origin (Section 4.3.1 of [Semantics]) of the URI to be invalidated differs from that of the target URI (Section 7.1 of [Semantics]). This helps prevent denial-of-service attacks.

Invalidate means that the cache will either remove all stored responses whose target URI matches the given URI, or will mark them as "invalid" and in need of a mandatory validation before they can be sent in response to a subsequent request.

A "non-error response" is one with a 2xx (Successful) or 3xx (Redirection) status code.

Note that this does not guarantee that all appropriate responses are invalidated globally; a state-changing request would only invalidate responses in the caches it travels through.

5. Field Definitions

This section defines the syntax and semantics of HTTP fields related to caching.

5.1. Age

The "Age" response header field conveys the sender's estimate of the time since the response was generated or successfully validated at the origin server. Age values are calculated as specified in <u>Section 4.2.3</u>.

Age = delta-seconds

The Age field value is a non-negative integer, representing time in seconds (see Section 1.3).

Although it is defined as a singleton header field, a cache encountering a message with multiple Age field lines **SHOULD** use the first field line, discarding subsequent ones.

If the field value (after discarding additional lines, as per above) is invalid (e.g., it contains a list or something other than a non-negative integer), a cache **SHOULD** consider the response to be stale.

The presence of an Age header field implies that the response was not generated or validated by the origin server for this request. However, lack of an Age header field does not imply the origin was contacted, since the response might have been received from an HTTP/ 1.0 cache that does not implement Age.

5.2. Cache-Control

The "Cache-Control" header field is used to list directives for caches along the request/response chain. Such cache directives are unidirectional in that the presence of a directive in a request does not imply that the same directive is present in the response, or to be repeated in it.

See <u>Section 5.2.3</u> for information about how Cache-Control directives defined elsewhere are handled.

Note: Some HTTP/1.0 caches might not implement Cache-Control.

A proxy, whether or not it implements a cache, MUST pass cache directives through in forwarded messages, regardless of their significance to that application, since the directives might apply to all recipients along the request/response chain. It is not possible to target a directive to a specific cache.

Cache directives are identified by a token, to be compared caseinsensitively, and have an optional argument that can use both token and quoted-string syntax. For the directives defined below that define arguments, recipients ought to accept both forms, even if a specific form is required for generation.

```
Cache-Control = #cache-directive
cache-directive = token [ "=" ( token / quoted-string ) ]
```

For the cache directives defined below, no argument is defined (nor allowed) unless stated otherwise.

5.2.1. Request Cache-Control Directives

This section defines cache request directives. They are advisory; caches MAY implement them, but are not required to.

5.2.1.1. max-age

Argument syntax:

```
<u>delta-seconds</u> (see <u>Section 1.3</u>)
```

The "max-age" request directive indicates that the client prefers a response whose age is less than or equal to the specified number of seconds. Unless the max-stale request directive is also present, the client does not wish to receive a stale response.

This directive uses the token form of the argument syntax: e.g., 'max-age=5' not 'max-age="5"'. A sender **MUST NOT** generate the quoted-string form.

5.2.1.2. max-stale

Argument syntax:

```
delta-seconds (see Section 1.3)
```

The "max-stale" request directive indicates that the client will accept a response that has exceeded its freshness lifetime. If a value is present, then the client is willing to accept a response that has exceeded its freshness lifetime by no more than the specified number of seconds. If no value is assigned to max-stale, then the client will accept a stale response of any age.

This directive uses the token form of the argument syntax: e.g., 'max-stale=10' not 'max-stale="10"'. A sender **MUST NOT** generate the quoted-string form.

5.2.1.3. min-fresh

Argument syntax:

```
delta-seconds (see Section 1.3)
```

The "min-fresh" request directive indicates that the client prefers a response whose freshness lifetime is no less than its current age plus the specified time in seconds. That is, the client wants a response that will still be fresh for at least the specified number of seconds.

This directive uses the token form of the argument syntax: e.g., 'min-fresh=20' not 'min-fresh="20"'. A sender **MUST NOT** generate the quoted-string form.

5.2.1.4. no-cache

The "no-cache" request directive indicates that the client prefers stored response not be used to satisfy the request without successful validation on the origin server.

5.2.1.5. no-store

The "no-store" request directive indicates that a cache MUST NOT store any part of either this request or any response to it. This directive applies to both private and shared caches. "MUST NOT store" in this context means that the cache MUST NOT intentionally store the information in non-volatile storage, and MUST make a best-effort attempt to remove the information from volatile storage as promptly as possible after forwarding it.

This directive is NOT a reliable or sufficient mechanism for ensuring privacy. In particular, malicious or compromised caches might not recognize or obey this directive, and communications networks might be vulnerable to eavesdropping.

Note that if a request containing this directive is satisfied from a cache, the no-store request directive does not apply to the already stored response.

5.2.1.6. no-transform

The "no-transform" request directive indicates that the client is asking for intermediaries to avoid transforming the payload, as defined in <u>Section 7.7</u> of [<u>Semantics</u>].

5.2.1.7. only-if-cached

The "only-if-cached" request directive indicates that the client only wishes to obtain a stored response. Caches that honor this request directive **SHOULD**, upon receiving it, either respond using a stored response consistent with the other constraints of the request, or respond with a 504 (Gateway Timeout) status code.

5.2.2. Response Cache-Control Directives

This section defines cache response directives. A cache **MUST** obey the Cache-Control directives defined in this section.

5.2.2.1. must-revalidate

The "must-revalidate" response directive indicates that once the response has become stale, a cache **MUST NOT** reuse that response to satisfy another request until it has been successfully validated by the origin, as defined by <u>Section 4.3</u>.

The must-revalidate directive is necessary to support reliable operation for certain protocol features. In all circumstances a cache MUST obey the must-revalidate directive; in particular, if a cache is disconnected, the cache MUST generate a 504 (Gateway Timeout) response rather than reuse the stale response.

The must-revalidate directive ought to be used by servers if and only if failure to validate a request on the representation could cause incorrect operation, such as a silently unexecuted financial transaction.

The must-revalidate directive also permits a shared cache to reuse a response to a request containing an Authorization header field (Section 11.6.2 of [Semantics]), subject to the above requirement on revalidation (Section 3.4).

5.2.2.2. must-understand

The "must-understand" response directive limits caching of the response to a cache that understands and conforms to the requirements for that response's status code. A cache MUST NOT store a response containing the must-understand directive if the cache does not understand the response status code.

5.2.2.3. no-cache

Argument syntax:

#field-name

The "no-cache" response directive, in its unqualified form (without an argument), indicates that the response **MUST NOT** be used to satisfy any other request without forwarding it for validation and receiving a successful response; see <u>Section 4.3</u>.

This allows an origin server to prevent a cache from using the response to satisfy a request without contacting it, even by caches that have been configured to send stale responses.

The qualified form of no-cache response directive, with an argument that lists one or more field names, indicates that a cache MAY use the response to satisfy a subsequent request, subject to any other restrictions on caching, if the listed header fields are excluded

from the subsequent response or the subsequent response has been successfully revalidated with the origin server (updating or removing those fields). This allows an origin server to prevent the re-use of certain header fields in a response, while still allowing caching of the rest of the response.

The field names given are not limited to the set of header fields defined by this specification. Field names are case-insensitive.

This directive uses the quoted-string form of the argument syntax. A sender **SHOULD NOT** generate the token form (even if quoting appears not to be needed for single-entry lists).

Note: Although it has been back-ported to many implementations, some HTTP/1.0 caches will not recognize or obey this directive. Also, the qualified form of the directive is often handled by caches as if an unqualified no-cache directive was received; i.e., the special handling for the qualified form is not widely implemented.

5.2.2.4. no-store

The "no-store" response directive indicates that a cache **MUST NOT** store any part of either the immediate request or response, and **MUST NOT** use the response to satisfy any other request.

This directive applies to both private and shared caches. "MUST NOT store" in this context means that the cache MUST NOT intentionally store the information in non-volatile storage, and MUST make a best-effort attempt to remove the information from volatile storage as promptly as possible after forwarding it.

This directive is NOT a reliable or sufficient mechanism for ensuring privacy. In particular, malicious or compromised caches might not recognize or obey this directive, and communications networks might be vulnerable to eavesdropping.

5.2.2.5. no-transform

The "no-transform" response directive indicates that an intermediary (regardless of whether it implements a cache) **MUST NOT** transform the payload, as defined in <u>Section 7.7</u> of [<u>Semantics</u>].

5.2.2.6. public

The "public" response directive indicates that a cache MAY store the response even if it would otherwise be prohibited, subject to the constraints defined in <u>Section 3</u>. In other words, public explicitly

marks the response as cacheable. For example, public permits a shared cache to reuse a response to a request containing an Authorization header field (Section 3.4).

Note that it is unnecessary to add the public directive to a response that is already cacheable according to <u>Section 3</u>.

If a response with the public directive has no explicit freshness information, it is heuristically cacheable (<u>Section 4.2.2</u>).

5.2.2.7. private

Argument syntax:

#field-name

The unqualified "private" response directive indicates that a shared cache MUST NOT store the response (i.e., the response is intended for a single user). It also indicates that a private cache MAY store the response, subject the constraints defined in Section 3, even if the response would not otherwise be heuristically cacheable by a private cache.

If a qualified private response directive is present, with an argument that lists one or more field names, then only the listed header fields are limited to a single user: a shared cache MUST NOT store the listed header fields if they are present in the original response, but MAY store the remainder of the response message without those header fields, subject the constraints defined in Section 3.

The field names given are not limited to the set of header fields defined by this specification. Field names are case-insensitive.

This directive uses the quoted-string form of the argument syntax. A sender **SHOULD NOT** generate the token form (even if quoting appears not to be needed for single-entry lists).

Note: This usage of the word "private" only controls where the response can be stored; it cannot ensure the privacy of the message content. Also, the qualified form of the directive is often handled by caches as if an unqualified private directive was received; i.e., the special handling for the qualified form is not widely implemented.

5.2.2.8. proxy-revalidate

The "proxy-revalidate" response directive indicates that once the response has become stale, a shared cache **MUST NOT** reuse that response to satisfy another request until it has been successfully validated by the origin, as defined by Section 4.3. This is analogous to must-revalidate (Section 5.2.2.1), except that proxy-revalidate does not apply to private caches.

Note that "proxy-revalidate" on its own does not imply that a response is cacheable. For example, it might be combined with the public directive (Section 5.2.2.6), allowing the response to be cached while requiring only a shared cache to revalidate when stale.

5.2.2.9. max-age

Argument syntax:

```
delta-seconds (see Section 1.3)
```

The "max-age" response directive indicates that the response is to be considered stale after its age is greater than the specified number of seconds.

This directive uses the token form of the argument syntax: e.g., 'max-age=5' not 'max-age="5"'. A sender **MUST NOT** generate the quoted-string form.

5.2.2.10. s-maxage

Argument syntax:

```
delta-seconds (see Section 1.3)
```

The "s-maxage" response directive indicates that, for a shared cache, the maximum age specified by this directive overrides the maximum age specified by either the max-age directive or the Expires header field.

The s-maxage directive incorporates the proxy-revalidate (Section 5.2.2.8) response directive's semantics for a shared cache. A shared cache MUST NOT reuse a stale response with s-maxage to satisfy another request until it has been successfully validated by the origin, as defined by Section 4.3. This directive also permits a shared cache to reuse a response to a request containing an Authorization header field, subject to the above requirements on maximum age and revalidation (Section 3.4).

This directive uses the token form of the argument syntax: e.g., 's-maxage=10' not 's-maxage="10"'. A sender **MUST NOT** generate the quoted-string form.

5.2.3. Cache Control Extensions

The Cache-Control header field can be extended through the use of one or more cache-extension tokens, each with an optional value. A cache **MUST** ignore unrecognized cache directives.

Informational extensions (those that do not require a change in cache behavior) can be added without changing the semantics of other directives.

Behavioral extensions are designed to work by acting as modifiers to the existing base of cache directives. Both the new directive and the old directive are supplied, such that applications that do not understand the new directive will default to the behavior specified by the old directive, and those that understand the new directive will recognize it as modifying the requirements associated with the old directive. In this way, extensions to the existing cache-control directives can be made without breaking deployed caches.

For example, consider a hypothetical new response directive called "community" that acts as a modifier to the private directive: in addition to private caches, any cache that is shared only by members of the named community is allowed to cache the response. An origin server wishing to allow the UCI community to use an otherwise private response in their shared cache(s) could do so by including

Cache-Control: private, community="UCI"

A cache that recognizes such a community cache-extension could broaden its behavior in accordance with that extension. A cache that does not recognize the community cache-extension would ignore it and adhere to the private directive.

New extension directives ought to consider defining:

*What it means for a directive to be specified multiple times,

*When the directive does not take an argument, what it means when an argument is present,

*When the directive requires an argument, what it means when it is missing,

*Whether the directive is specific to requests, responses, or able to be used in either.

5.2.4. Cache Directive Registry

The "Hypertext Transfer Protocol (HTTP) Cache Directive Registry" defines the namespace for the cache directives. It has been created and is now maintained at http-cache-directives.

A registration MUST include the following fields:

*Cache Directive Name

*Pointer to specification text

Values to be added to this namespace require IETF Review (see [RFC8126], Section 4.8).

5.3. Expires

The "Expires" response header field gives the date/time after which the response is considered stale. See <u>Section 4.2</u> for further discussion of the freshness model.

The presence of an Expires header field does not imply that the original resource will change or cease to exist at, before, or after that time.

The Expires field value is an HTTP-date timestamp, as defined in Section 5.6.7 of [Semantics].

Expires = HTTP-date

For example

Expires: Thu, 01 Dec 1994 16:00:00 GMT

A cache recipient **MUST** interpret invalid date formats, especially the value "0", as representing a time in the past (i.e., "already expired").

If a response includes a <u>Cache-Control</u> header field with the max-age directive (<u>Section 5.2.2.9</u>), a recipient <u>MUST</u> ignore the Expires header field. Likewise, if a response includes the s-maxage directive (<u>Section 5.2.2.10</u>), a shared cache recipient <u>MUST</u> ignore the Expires header field. In both these cases, the value in Expires is only intended for recipients that have not yet implemented the Cache-Control header field.

An origin server without a clock **MUST NOT** generate an Expires header field unless its value represents a fixed time in the past (always expired) or its value has been associated with the resource by a system or user with a reliable clock.

Historically, HTTP required the Expires field value to be no more than a year in the future. While longer freshness lifetimes are no longer prohibited, extremely large values have been demonstrated to cause problems (e.g., clock overflows due to use of 32-bit integers for time values), and many caches will evict a response far sooner than that.

5.4. Pragma

The "Pragma" request header field was defined for HTTP/1.0 caches, so that clients could specify a "no-cache" request (as Cache-Control was not defined until HTTP/1.1).

However, support for Cache-Control is now widespread. As a result, this specification deprecates Pragma.

Note: Because the meaning of "Pragma: no-cache" in responses was never specified, it does not provide a reliable replacement for "Cache-Control: no-cache" in them.

5.5. Warning

The "Warning" header field was used to carry additional information about the status or transformation of a message that might not be reflected in the status code. This specification obsoletes it, as it is not widely generated or surfaced to users. The information it carried can be gleaned from examining other header fields, such as Age.

6. Relationship to Applications and Other Caches

Applications using HTTP often specify additional forms of caching. For example, Web browsers often have history mechanisms such as "Back" buttons that can be used to redisplay a representation retrieved earlier in a session.

Likewise, some Web browsers implement caching of images and other assets within a page view; they may or may not honor HTTP caching semantics.

The requirements in this specification do not necessarily apply to how applications use data after it is retrieved from a HTTP cache. For example, a history mechanism can display a previous

representation even if it has expired, and an application can use cached data in other ways beyond its freshness lifetime.

This specification does not prohibit the application from taking HTTP caching into account; for example, a history mechanism might tell the user that a view is stale, or it might honor cache directives (e.g., Cache-Control: no-store).

However, when an application caches data and does not make this apparent to or easily controllable by the user, it is strongly encouraged to define its operation with respect to HTTP cache directives, so as not to surprise authors who expect caching semantics to be honoured. For example, while it might be reasonable to define an application cache "above" HTTP that allows a response containing Cache-Control: no-store to be reused for requests that are directly related to the request that fetched it (such as those created during the same page load), it would likely be surprising and confusing to users and authors if it were allowed to be reused for requests unrelated in any way to the one from which it was obtained.

7. Security Considerations

This section is meant to inform developers, information providers, and users of known security concerns specific to HTTP caching. More general security considerations are addressed in "HTTP/1.1" (Section 11 of [Messaging]) and "HTTP Semantics" (Section 17 of [Semantics]).

Caches expose additional potential vulnerabilities, since the contents of the cache represent an attractive target for malicious exploitation. Because cache contents persist after an HTTP request is complete, an attack on the cache can reveal information long after a user believes that the information has been removed from the network. Therefore, cache contents need to be protected as sensitive information.

7.1. Cache Poisoning

Various attacks might be amplified by being stored in a shared cache. Such "cache poisoning" attacks use the cache to distribute a malicious payload to many clients, and are especially effective when an attacker can use implementation flaws, elevated privileges, or other techniques to insert such a response into a cache.

One common attack vector for cache poisoning is to exploit differences in message parsing on proxies and in user agents; see Section 6.3 of [Messaging] for the relevant requirements regarding HTTP/1.1.

7.2. Timing Attacks

Because one of the primary uses of a cache is to optimise performance, its use can "leak" information about what resources have been previously requested.

For example, if a user visits a site and their browser caches some of its responses, and then navigates to a second site, that site can attempt to load responses it knows exists on the first site. If they load quickly, it can be assumed that the user has visited that site, or even a specific page on it.

Such "timing attacks" can be mitigated by adding more information to the cache key, such as the identity of the referring site (to prevent the attack described above). This is sometimes called "double keying."

7.3. Caching of Sensitive Information

Implementation and deployment flaws (as well as misunderstanding of cache operation) might lead to caching of sensitive information (e.g., authentication credentials) that is thought to be private, exposing it to unauthorized parties.

Note that the Set-Cookie response header field [RFC6265] does not inhibit caching; a cacheable response with a Set-Cookie header field can be (and often is) used to satisfy subsequent requests to caches. Servers who wish to control caching of these responses are encouraged to emit appropriate Cache-Control response header fields.

8. IANA Considerations

The change controller for the following registrations is: "IETF (iesq@ietf.org) - Internet Engineering Task Force".

8.1. Field Name Registration

First, introduce the new "Hypertext Transfer Protocol (HTTP) Field Name Registry" at https://www.iana.org/assignments/http-fields as described in Section 18.4 of [Semantics].

Then, please update the registry with the field names listed in the table below:

Field Name	Status	Ref.	Comments
Age	standard	<u>5.1</u>	
Cache-Control	standard	<u>5.2</u>	
Expires	standard	<u>5.3</u>	
Pragma	standard	5.4	

Field Name	Status	Ref.	Comments
Warning	obsoleted	<u>5.5</u>	

Table 1

8.2. Cache Directive Registration

Please update the "Hypertext Transfer Protocol (HTTP) Cache Directive Registry" at http-cache-directives with the registration procedure of Section 5.2.4 and the cache directive names summarized in the table below.

Cache Directive	Reference
max-age	<u>Section 5.2.1.1</u> , <u>Section 5.2.2.9</u>
max-stale	<u>Section 5.2.1.2</u>
min-fresh	<u>Section 5.2.1.3</u>
must-revalidate	<u>Section 5.2.2.1</u>
must-understand	<u>Section 5.2.2.2</u>
no-cache	<u>Section 5.2.1.4</u> , <u>Section 5.2.2.3</u>
no-store	<u>Section 5.2.1.5</u> , <u>Section 5.2.2.4</u>
no-transform	<u>Section 5.2.1.6</u> , <u>Section 5.2.2.5</u>
only-if-cached	<u>Section 5.2.1.7</u>
private	<u>Section 5.2.2.7</u>
proxy-revalidate	<u>Section 5.2.2.8</u>
public	<u>Section 5.2.2.6</u>
s-maxage	<u>Section 5.2.2.10</u>

Table 2

8.3. Warn Code Registry

Please add a note to the "Hypertext Transfer Protocol (HTTP) Warn Codes" registry at https://www.iana.org/assignments/http-warn-codes> to the effect that Warning is obsoleted.

9. References

9.1. Normative References

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate
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9.2. Informative References

- [RFC5905] Mills, D., Martin, J., Ed., Burbank, J., and W. Kasch,
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- [RFC7234] Fielding, R., Ed., Nottingham, M., Ed., and J. F.
 Reschke, Ed., "Hypertext Transfer Protocol (HTTP):
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 https://www.rfc-editor.org/info/rfc7234.
- [RFC8126] Cotton, M., Leiba, B., and T. Narten, "Guidelines for Writing an IANA Considerations Section in RFCs", BCP 26, RFC 8126, DOI 10.17487/RFC8126, June 2017, https://www.rfc-editor.org/info/rfc8126.

Appendix A. Collected ABNF

Section 5.6.1.1 of [Semantics].

Age = delta-seconds

Cache-Control = [cache-directive *(OWS "," OWS cache-directive)]

Expires = HTTP-date

HTTP-date = <HTTP-date, see [Semantics], Section 5.6.7>

OWS = <OWS, see [Semantics], Section 5.6.3>

cache-directive = token ["=" (token / quoted-string)]

delta-seconds = 1*DIGIT

field-name = <field-name, see [Semantics], Section 5.1>

quoted-string = <quoted-string, see [Semantics], Section 5.6.4>

token = <token, see [Semantics], Section 5.6.2>

In the collected ABNF below, list rules are expanded as per

Appendix B. Changes from RFC 7234

Handling of duplicate and conflicting cache directives has been clarified. (Section 4.2.1)

Cache invalidation of the URIs in the Location and Content-Location header fields is no longer required, but still allowed. (Section 4.4)

Cache invalidation of the URIs in the Location and Content-Location header fields is disallowed when the origin is different; previously, it was the host. (Section 4.4)

Handling invalid and multiple Age header field values has been clarified. (Section 5.1)

Some cache directives defined by this specification now have stronger prohibitions against generating the quoted form of their values, since this has been found to create interoperability problems. Consumers of extension cache directives are no longer required to accept both token and quoted-string forms, but they still need to parse them properly for unknown extensions. (Section 5.2)

The "public" and "private" cache directives were clarified, so that they do not make responses reusable under any condition. (<u>Section</u> 5.2.2)

The "must-understand" cache directive was introduced; caches are no longer required to understand the semantics of new response status codes unless it is present. (Section 5.2.2.2)

The Warning response header was obsoleted. Much of the information supported by Warning could be gleaned by examining the response, and the remaining warn-codes - although potentially useful - were entirely advisory. In practice, Warning was not added by caches or intermediaries. (Section 5.5)

Appendix C. Change Log

This section is to be removed before publishing as an RFC.

C.1. Between RFC7234 and draft 00

The changes were purely editorial:

- *Change boilerplate and abstract to indicate the "draft" status, and update references to ancestor specifications.
- *Remove version "1.1" from document title, indicating that this specification applies to all HTTP versions.
- *Adjust historical notes.
- *Update links to sibling specifications.
- *Replace sections listing changes from RFC 2616 by new empty sections referring to RFC 723x.
- *Remove acknowledgements specific to RFC 723x.
- *Move "Acknowledgements" to the very end and make them unnumbered.

C.2. Since draft-ietf-httpbis-cache-00

The changes are purely editorial:

*Moved all extensibility tips, registration procedures, and registry tables from the IANA considerations to normative sections, reducing the IANA considerations to just instructions that will be removed prior to publication as an RFC.

C.3. Since draft-ietf-httpbis-cache-01

- *Cite RFC 8126 instead of RFC 5226 (<<u>https://github.com/httpwg/http-core/issues/75</u>>)
- *In <u>Section 5.4</u>, misleading statement about the relation between Pragma and Cache-Control (<https://www.rfc-editor.org/errata/eid4674)

C.4. Since draft-ietf-httpbis-cache-02

- *In <u>Section 3</u>, explain that only final responses are cacheable (https://github.com/httpwg/http-core/issues/29)
- *In <u>Section 5.2.2</u>, clarify what responses various directives apply to (https://github.com/httpwg/http-core/issues/52)
- *In <u>Section 4.3.1</u>, clarify the source of validators in conditional requests (https://github.com/httpwg/http-core/issues/110)
- *Revise <u>Section 6</u> to apply to more than just History Lists (https://github.com/httpwg/http-core/issues/126)
- *In <u>Section 5.5</u>, deprecated "Warning" header field (<<u>https://github.com/httpwg/http-core/issues/139</u>>)
- *In <u>Section 3.4</u>, remove a spurious note (<<u>https://github.com/</u> httpwg/http-core/issues/141>)

C.5. Since draft-ietf-httpbis-cache-03

- *In <u>Section 2</u>, define what a disconnected cache is (<<u>https://github.com/httpwg/http-core/issues/5</u>>)
- *In <u>Section 4</u>, clarify language around how to select a response when more than one matches (<https://github.com/httpwg/http-core/issues/23)
- *in <u>Section 4.2.4</u>, mention stale-while-revalidate and stale-if-error (<<u>https://github.com/httpwg/http-core/issues/122</u>>)
- *Remove requirements around cache request directives (<https://github.com/httpwg/http-core/issues/129)
- *Deprecate Pragma (<<u>https://github.com/httpwg/http-core/issues/</u> 140>)
- *In <u>Section 3.4</u> and <u>Section 5.2.2</u>, note effect of some directives on authenticated requests (<<u>https://github.com/httpwg/http-core/issues/161</u>>)

C.6. Since draft-ietf-httpbis-cache-04

*In <u>Section 5.2</u>, remove the registrations for stale-if-error and stale-while-revalidate which happened in RFC 7234 (<<u>https://github.com/httpwg/http-core/issues/207</u>>)

C.7. Since draft-ietf-httpbis-cache-05

- *In <u>Section 3.3</u>, clarify how weakly framed content is considered for purposes of completeness (<<u>https://github.com/httpwg/http-core/issues/25</u>>)
- *Throughout, describe Vary and cache key operations more clearly (https://github.com/httpwg/http-core/issues/28>)
- *In <u>Section 3</u>, remove concept of "cacheable methods" in favor of prose (https://github.com/httpwg/http-core/issues/54>, https://www.rfc-editor.org/errata/eid5300>)
- *Refactored <u>Section 7</u>, and added a section on timing attacks (https://github.com/httpwg/http-core/issues/233)
- *Changed "cacheable by default" to "heuristically cacheable" throughout (https://github.com/httpwg/http-core/issues/242)

C.8. Since draft-ietf-httpbis-cache-06

- *In <u>Section 3</u> and <u>Section 5.2.2.2</u>, change response cacheability to only require understanding the response status code if the must-understand cache directive is present (<<u>https://github.com/httpwg/http-core/issues/120</u>>)
- *Change requirements for handling different forms of cache directives in <u>Section 5.2</u> (<<u>https://github.com/httpwg/http-core/issues/128</u>>)
- *Fix typo in <u>Section 5.2.2.10</u> (<<u>https://github.com/httpwg/http-core/issues/264</u>>)
- *In <u>Section 5.2.2.6</u> and <u>Section 5.2.2.7</u>, clarify "private" and "public" so that they do not override all other cache directives (https://github.com/httpwg/http-core/issues/268)
- *In <u>Section 3</u>, distinguish between private with and without qualifying headers (<https://github.com/httpwg/http-core/issues/270)
- *In <u>Section 4.1</u>, clarify that any "*" as a member of Vary will disable caching (<https://github.com/httpwg/http-core/issues/286)

*In <u>Section 1.1</u>, reference RFC 8174 as well (<<u>https://github.com/</u> httpwg/http-core/issues/303>)

C.9. Since draft-ietf-httpbis-cache-07

- *Throughout, replace "effective request URI", "request-target" and similar with "target URI" (<https://github.com/httpwg/http-core/issues/259)
- *In <u>Section 5.2.2.6</u> and <u>Section 5.2.2.7</u>, make it clear that these directives do not ignore other requirements for caching (https://github.com/httpwg/http-core/issues/320)
- *In <u>Section 3.3</u>, move definition of "complete" into semantics (https://github.com/httpwg/http-core/issues/334)

C.10. Since draft-ietf-httpbis-cache-08

*Appendix A now uses the sender variant of the "#" list expansion (https://github.com/httpwg/http-core/issues/192)

C.11. Since draft-ietf-httpbis-cache-09

- *In <u>Section 5.1</u>, discuss handling of invalid and multiple Age header field values (<https://github.com/httpwg/http-core/issues/193>)
- *Switch to xml2rfc v3 mode for draft generation (<https://github.com/httpwq/http-core/issues/394)

C.12. Since draft-ietf-httpbis-cache-10

*In <u>Section 5.2</u> (<u>Cache-Control</u>), adjust ABNF to allow empty lists (https://github.com/httpwg/http-core/issues/210)

C.13. Since draft-ietf-httpbis-cache-11

*None.

C.14. Since draft-ietf-httpbis-cache-12

- *In <u>Section 4.2.4</u>, remove 'no-store', as it won't be in cache in the first place (<https://github.com/httpwg/http-core/issues/447)
- *In <u>Section 3.1</u>, make it clear that only response headers need be stored (https://github.com/httpwg/http-core/issues/457)
- *Rewrote "Updating Stored Header Fields" <u>Section 3.2</u> (<<u>https://github.com/httpwg/http-core/issues/458</u>>)

- *In <u>Section 4.2.1</u> clarify how to handle invalid and conflicting directives (https://github.com/httpwg/http-core/issues/460)
- *In <u>Section 4.3.3</u>, mention retry of failed validation requests (https://github.com/httpwg/http-core/issues/462)
- *In <u>Section 4.3.3</u>, clarify requirement on storing a full response to a conditional request (<<u>https://github.com/httpwg/http-core/issues/463</u>>)
- *In <u>Section 5.1</u>, clarify error handling (<<u>https://github.com/</u> httpwg/http-core/issues/471>)
- *In <u>Section 4.2</u>, remove spurious "UTC" (<<u>https://github.com/</u> httpwg/http-core/issues/472>)
- *In <u>Section 4.2</u>, correct the date-related rule names to consider case-insensitive (<https://github.com/httpwg/http-core/issues/473>)
- *In <u>Section 6</u>, strengthen recommendation for application caches to pay attention to cache directives (<http-core/issues/474)
- *In <u>Section 4</u>, mention collapsed requests (<<u>https://github.com/httpwg/http-core/issues/475</u>>)
- *In <u>Section 4.4</u>, relax requirements on Content-Location and Location invalidation (<<u>https://github.com/httpwg/http-core/issues/478</u>>)
- *In <u>Section 4.3.4</u>, refine the exceptions to update on a 304 (https://github.com/httpwg/http-core/issues/488)
- *Moved table of Cache-Control directives into <u>Section 8.2</u> (<<u>https://github.com/httpwg/http-core/issues/506</u>>)
- *In <u>Section 1.2</u>, remove unused core ABNF rules (<<u>https://github.com/httpwg/http-core/issues/529</u>>)
- *Changed to using "payload data" when defining requirements about the data being conveyed within a message, instead of the terms "payload body" or "response body" or "representation body", since they often get confused with the HTTP/1.1 message body (which includes transfer coding) (https://github.com/httpwg/http-core/issues/553>)

Acknowledgments

See Appendix "Acknowledgments" of [Semantics].

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